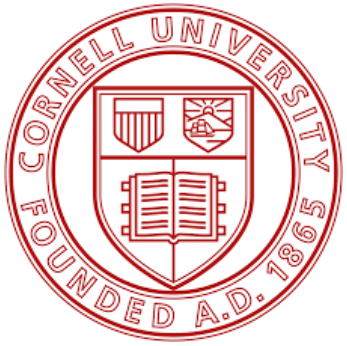

Cornell Bowers CIS
Computer Science

Theory Seminar
Sep 25, 2023

Recursive Lattice Reduction

Spencer Peters
and


Divesh Aggarwal


Thomas Espitau


Noah S.D.

Lattices

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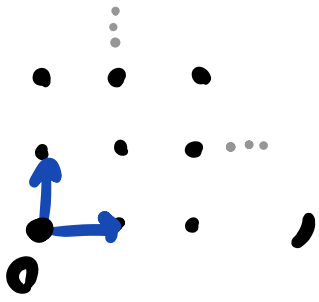
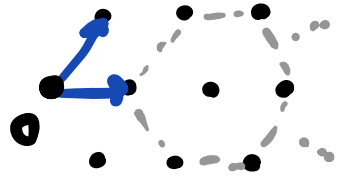
e.g., $\mathbb{Z}^2$:  , $\mathbb{Z}^n$:  , Hexagonal:  , ...

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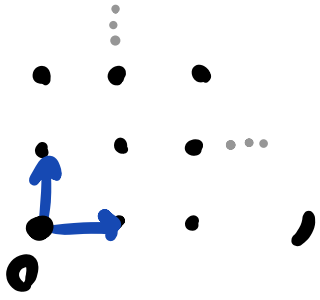
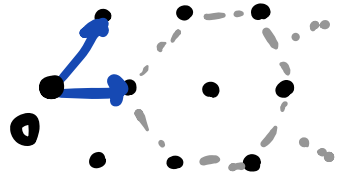
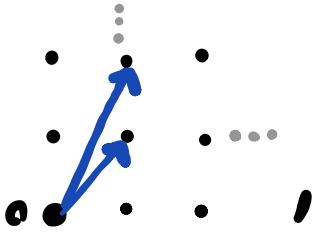
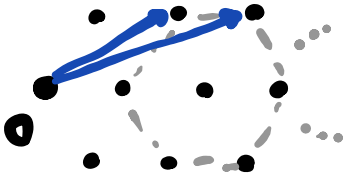
e.g., $\mathbb{Z}^2$:  , $\mathbb{Z}^n$:  , Hexagonal:  , ...

$\mathbb{Z}^2$:  , $\mathbb{Z}^n$:  , Hexagonal:  , ...

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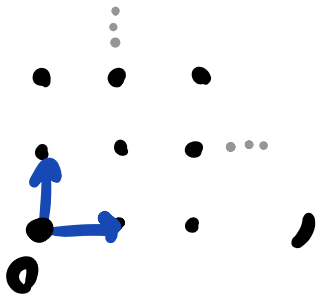
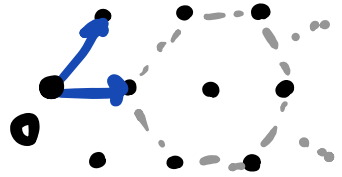
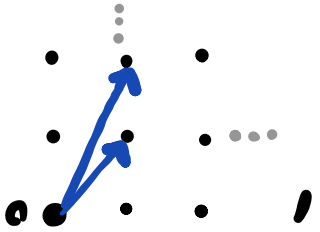
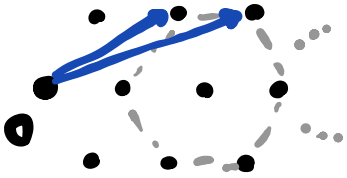
e.g., $\mathbb{Z}^2$:  , $\mathbb{Z}^n$:  , Hexagonal:  , ...

$\mathbb{Z}^2$:  , $\mathbb{Z}^n$:  , Hexagonal:  , ...

n is called the *rank* or *dimension* of $\mathcal{L}$.

Lattices

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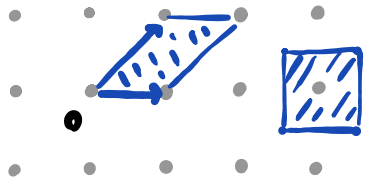
Lattices



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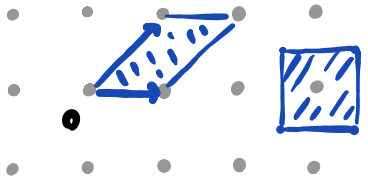

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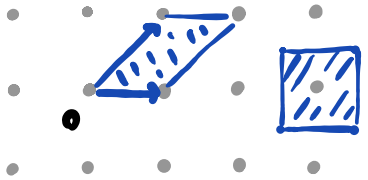

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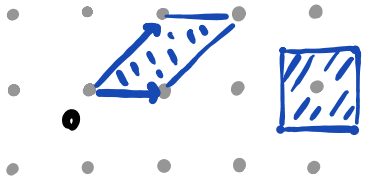

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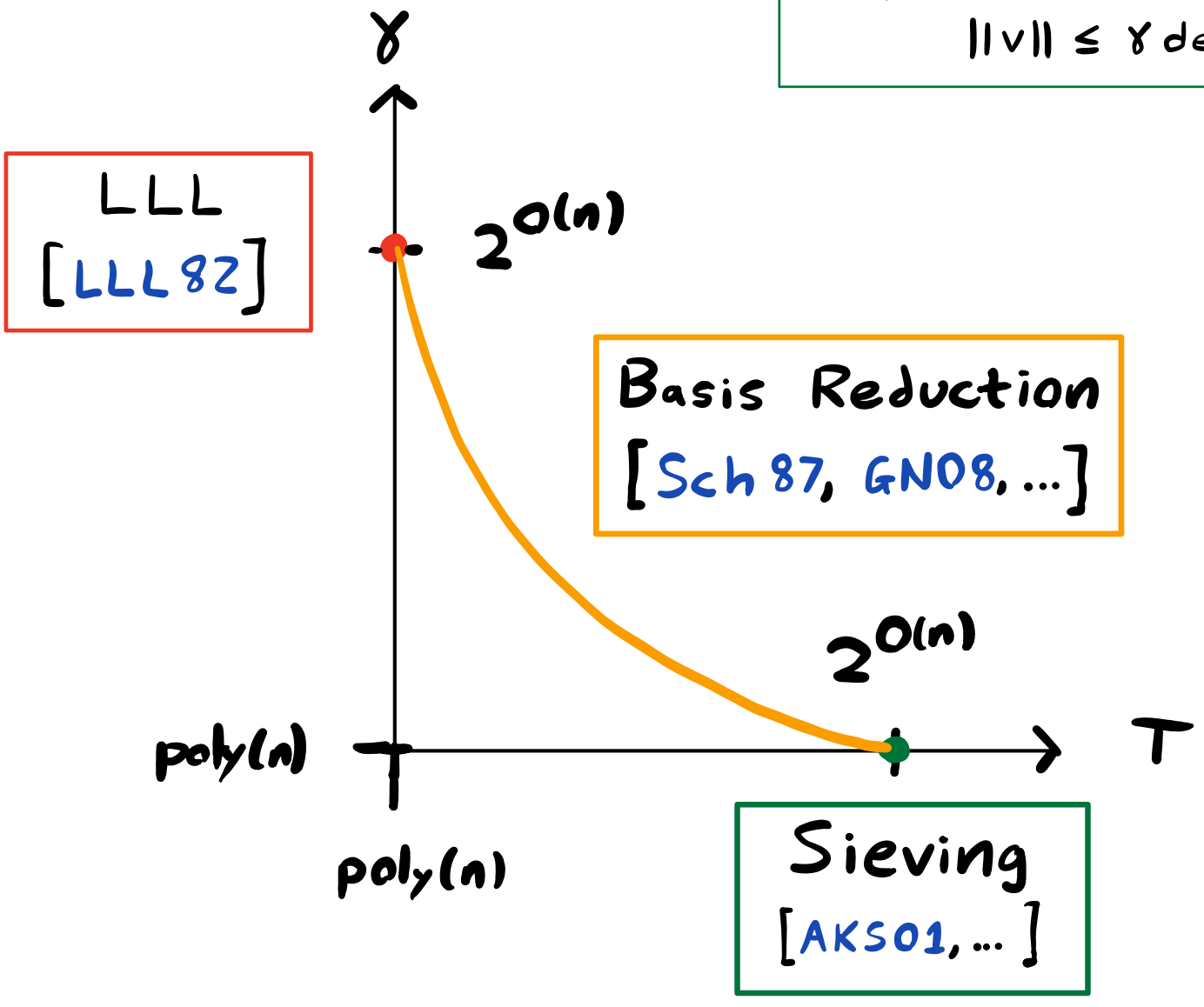

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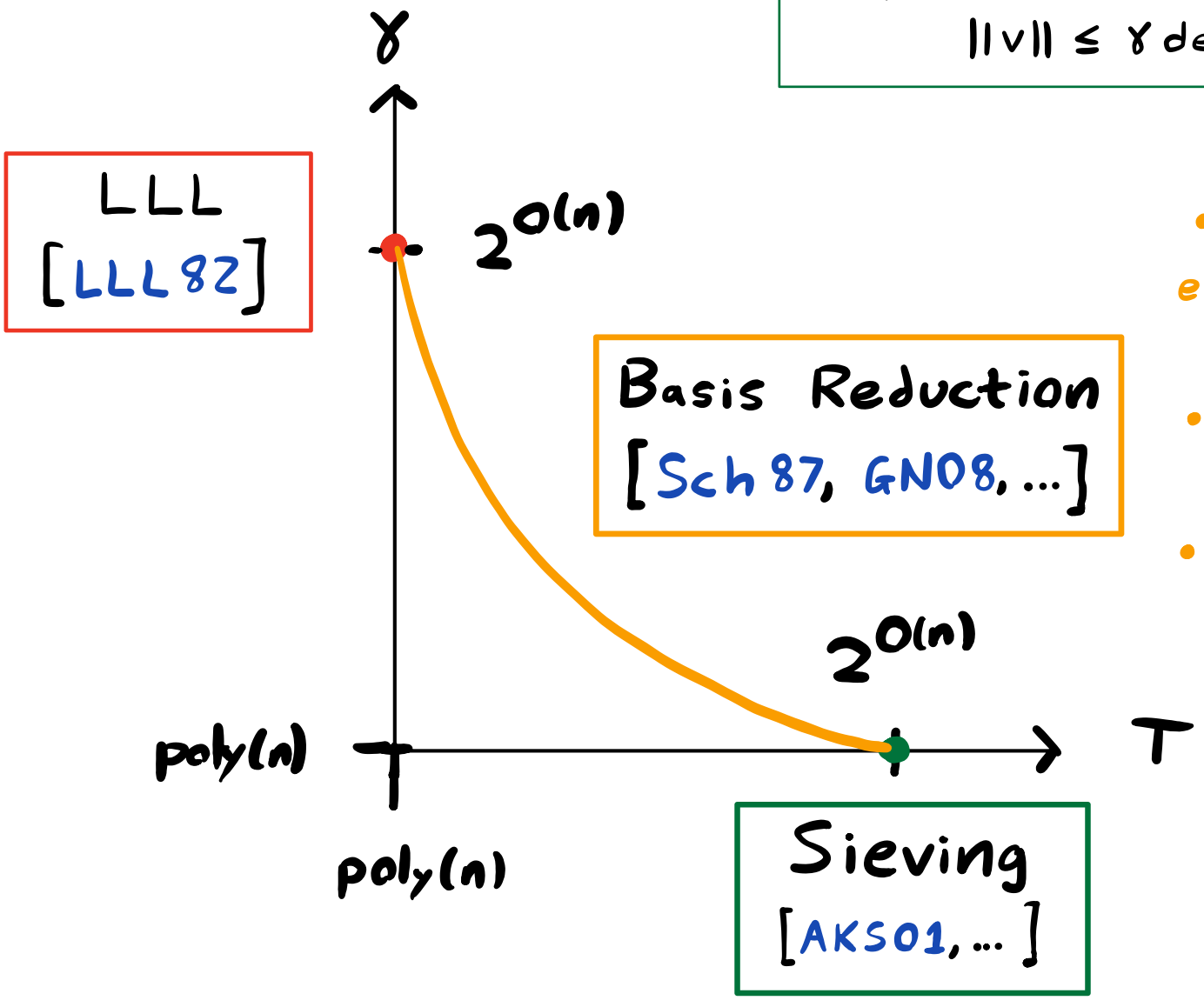

LLL
[LLL82]

Basis Reduction
[Sch87, GN08, ...]

Sieving
[AKS01, ...]

- Works by solving exact SVP in dimension κ
- $T = \text{poly}(n)\, 2^{O(\kappa)}$
- $\gamma = \kappa^{\frac{(n-1)}{2(\kappa-1)}} \approx \kappa^{\frac{n}{2\kappa}}$.

Basis Reduction Algorithms

- All basis reduction algorithms follow the basic approach of LLL: iteratively improve the basis by solving SVP exactly in smaller dimension.

- Analysis is intricate
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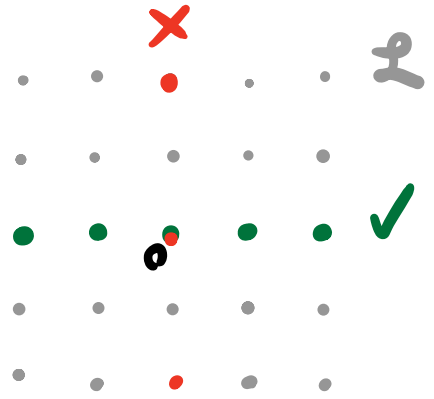
  - "Recurse on a smaller-dimensional lattice!"
  - Should be a *sublattice*
  - But should still have *short vectors* — i.e., small determinant.

The Densest Sublattice Problem

A *sublattice* $\mathcal{L}'$ of $\mathcal{L}$ is the intersection of $\mathcal{L}$ with a subspace.



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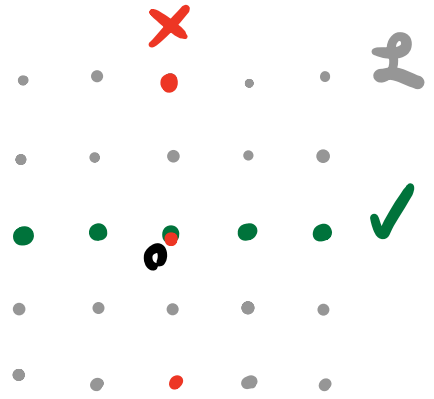

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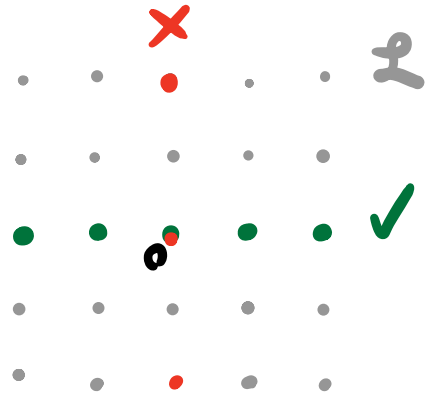

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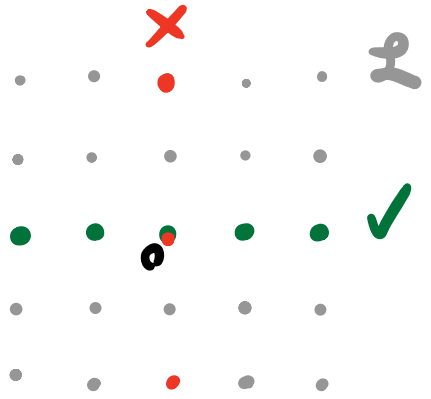

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γ-DSP with $\ell = 1$ is exactly γ-SVP.

An approach for solving SVP

$A(\mathcal{L})$:

1. Find dense sublattice $\mathcal{L}' \subset \mathcal{L}$ (somehow)
2. Return $A(\mathcal{L}')$.

- For the base case, when $\text{rank}(\mathcal{L}) = \kappa$, output $\text{SVP}(\mathcal{L})$ — that is, use an exact algorithm.

γ-DSP is Composable

Consider $\mathcal{L}'' \subset \mathcal{L}' \subset \mathcal{L}$.

rank: $\ell < m < n$

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Proof. $\det(\mathcal{L}'') \leq \gamma_1 \cdot \det(\mathcal{L}')^{\ell/m}$

$= \gamma_1 \cdot (\gamma_2 \det(\mathcal{L})^{\ell/m})^{m/n} = \gamma_1 \cdot \gamma_2^{\ell/m} \det(\mathcal{L})^{\ell/m}$.

γ-DSP is Self-Dual

$$\mathcal{L}^* := \{ w \in \mathrm{span}(\mathcal{L}) : \forall y \in \mathcal{L}, \langle w, y \rangle \in \mathbb{Z} \}$$

$$(\mathcal{L}^*)^* = \mathcal{L}$$

$$\det(\mathcal{L}^*) = 1 / \det(\mathcal{L})$$

- There is a bijection from rank ℓ sublattices of $\mathcal{L}$ to rank $(n-\ell)$ sublattices of $\mathcal{L}^*$, preserving the approximation factor!

$$\mathcal{L}' \in \gamma\text{-DSP}_\ell(\mathcal{L})$$

$$\Leftrightarrow$$

$$\mathcal{L}^* \cap (\mathcal{L}')^\perp \in \gamma\text{-DSP}_{n-\ell}(\mathcal{L}^*)$$

Important Special Case:

$$w \in \gamma\text{-SVP}(\mathcal{L}^*)$$

$$\Leftrightarrow$$

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Warm-up: $\ell = 1$ (SVP $\Rightarrow$ SVP)

- Plan:
  1. $w \leftarrow A(\mathcal{L}^*)$
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  3. Output $A(\mathcal{L}')$.

- Base case: if $\text{rank}(\mathcal{L}) = \kappa$, output $\text{SVP}(\mathcal{L})$.

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Warm-up: $\ell = 1$ (SVP $\Rightarrow$ SVP)

- Plan:
  - Choose initial "depth parameter"

    $\tau = \tau(n) \geq 0$.

  1. $w \leftarrow A(\mathcal{L}^*, \tau - 1)$
  2. $\mathcal{L}' := \mathcal{L} \cap w^\perp$
  3. Output $A(\mathcal{L}', \tau)$

- Base cases:

  if $\tau = 0$, output $LLL(\mathcal{L}, 1)$

  if $\text{rank}(\mathcal{L}) = \kappa$, output $SVP(\mathcal{L})$.

Analysis

1. $w \leftarrow A(\mathcal{L}^*, \tau - 1)$
2. $\mathcal{L}' := \mathcal{L} \cap w^\perp$ (Dual)
3. Output $A(\mathcal{L}', \tau)$ (Composition)

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Analysis

$$\gamma(n, \tau) \leq \gamma(n-1, \tau) \cdot \gamma(n, \tau-1)^{\frac{1}{n-1}}$$

$$\gamma(n, 0) = 2^n \qquad \gamma(\kappa, \tau) = \sqrt{\kappa}$$

- Can check that by induction

$$\gamma(n, \tau) \leq \kappa^{\frac{n-1}{2(\kappa-1)}} \cdot \exp\left(n^3 / 2^\tau\right)$$

- Taking $\tau = O(\log n)$ recovers block reduction:

$$\gamma = (1 + o(1))\, \kappa^{\frac{n-1}{2(\kappa-1)}}$$

Analysis

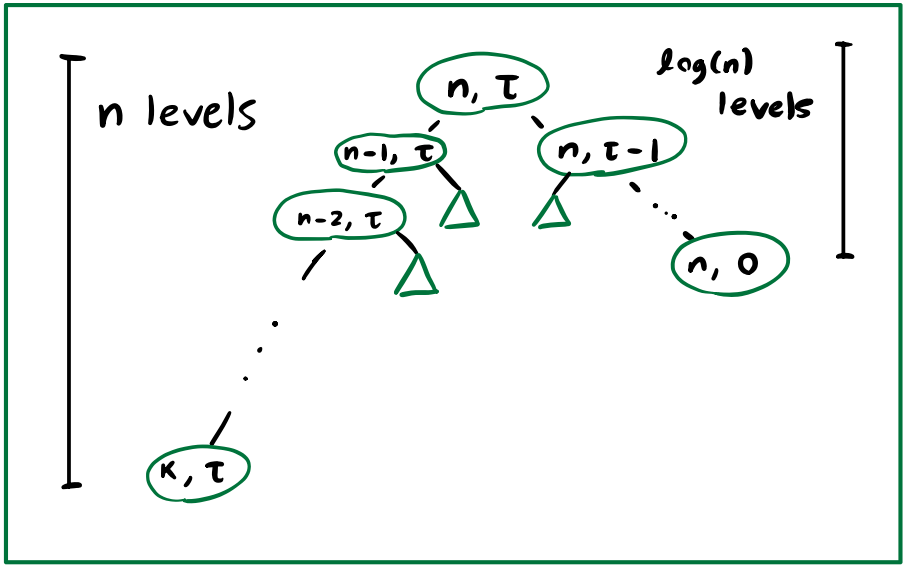
- SVP oracle calls dominate runtime.

$$C(n, \tau) = C(n-1, \tau) + C(n, \tau-1)$$

$$C(\kappa, \tau) = 1 \qquad C(n, 0) = 0$$

$$C(n, \tau) = \binom{n - \kappa + \tau - 1}{\tau} \approx n^{\tau} = n^{O(\log n)}$$

- Issue: Call tree highly unbalanced.



Take Two: $k > 1$ (DSP $\Rightarrow$ DSP)

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What if $\text{rank}(\mathcal{L}') = (1-\varepsilon)n < \ell$?

Can't find a larger-rank sublattice!

Take Two: $\ell > 1$ (DSP $\Rightarrow$ DSP)

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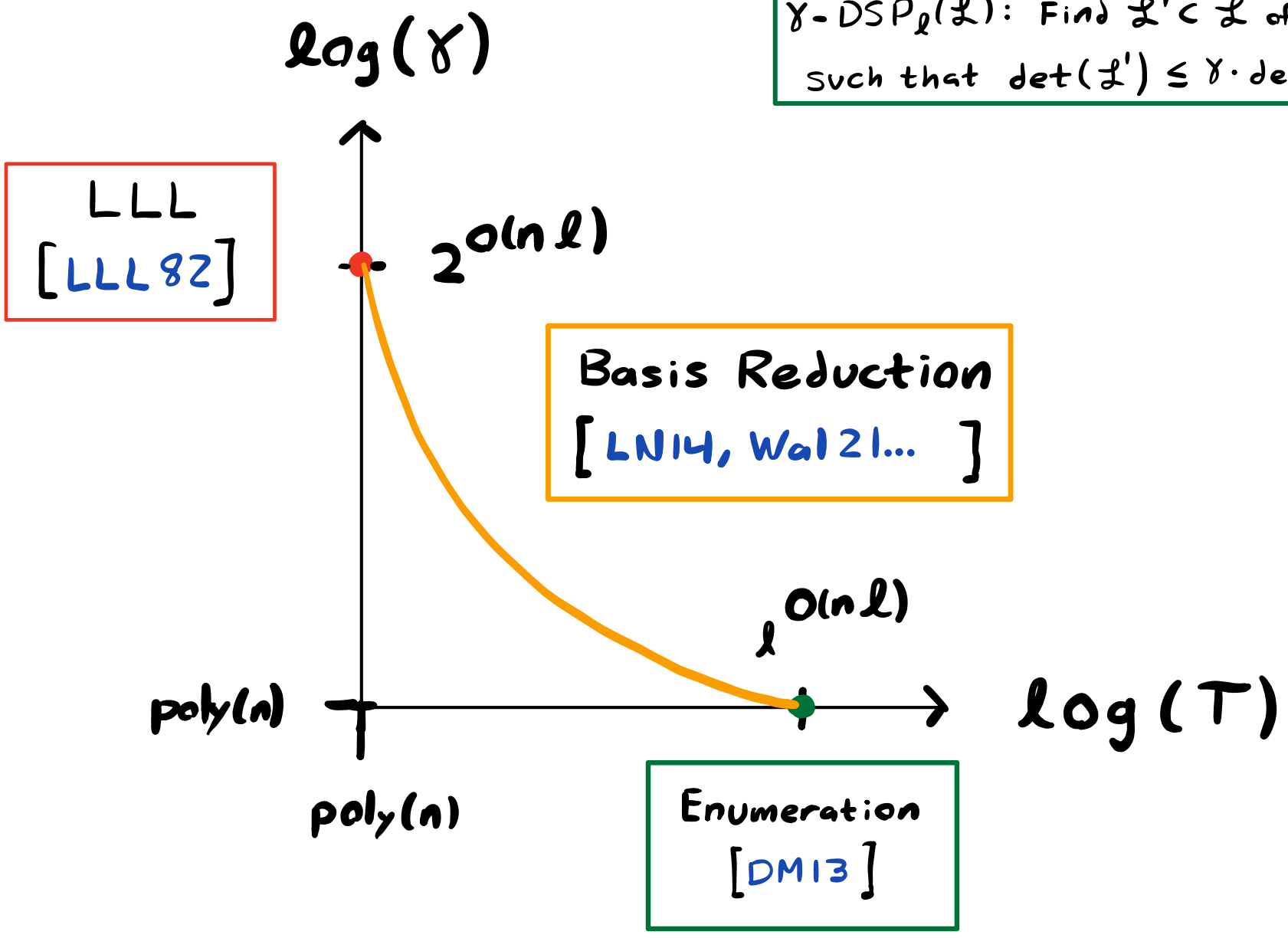
Time / γ Tradeoffs for γ-DSP$_\ell$

γ-DSP$_\ell(\mathcal{L})$: Find $\mathcal{L}' \subset \mathcal{L}$ of rank ℓ such that $\det(\mathcal{L}') \le \gamma \cdot \det(\mathcal{L})^{\ell/n}$.



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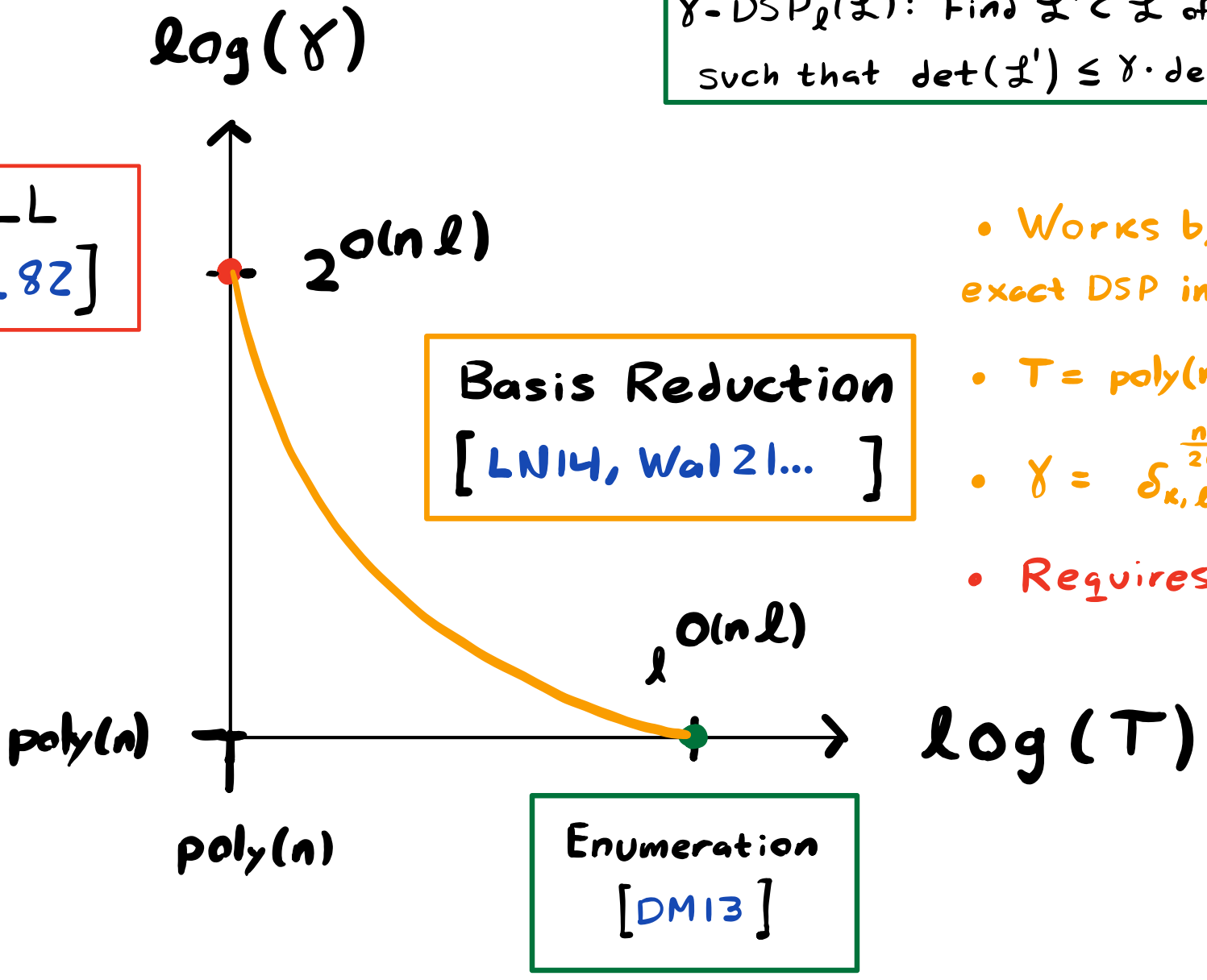

- Works by solving exact DSP in dimension κ
- $T = \text{poly}(n)\, \ell^{O(\kappa\ell)}$
- $\gamma = \delta_{\kappa,\ell}^{\frac{n-\ell}{2(\kappa-\ell)}} = \kappa^{\Theta\left(\frac{\ell(n-\ell)}{(\kappa-1)}\right)}$.
- Requires $\ell \le \kappa$.

Analysis

- $C = \text{poly}(n)$ oracle calls!
- Recovers basis reduction,
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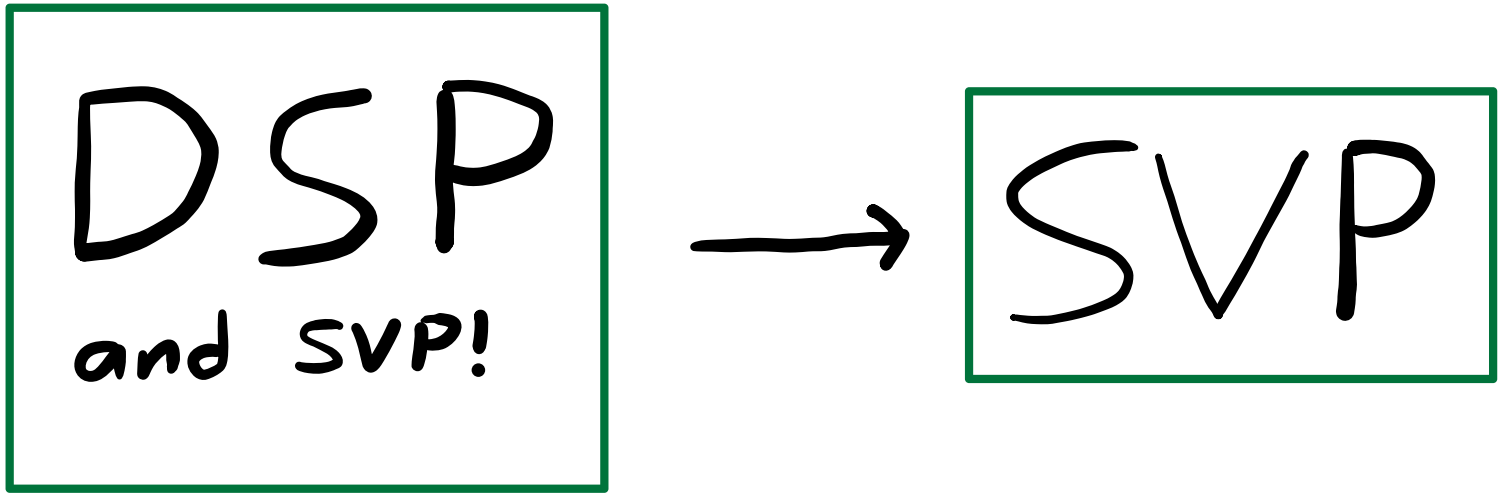
- Relies on conjecture $\sqrt{\delta_{k,\ell}} \approx k^{\frac{\ell(k-\ell)}{2(k-1)}}$
- DSP oracle calls are expensive.


DSP
and SVP!
SVP

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- Solution idea: When ℓ is large, be patient:
  don't reduce the depth parameter τ.

The Reduction

Start with $\ell \le n - \kappa + 1$.

- Duality: if $\max\{1, \frac{(n-\kappa)}{5}\} < \ell < \frac{n}{2}$

  OR $\ell \ge n - \max\{1, \frac{(n-\kappa)}{10}\}$:

  output $\mathcal{L} \cap A(\mathcal{L}^*, n-\ell, \tau)^{\perp}$.

- Recursive step:

  $\hat{\mathcal{L}} \leftarrow A(\mathcal{L}^*, \lceil \frac{(n-\kappa)}{20} \rceil, \tau - b)$

  Output $A(\mathcal{L} \cap (\hat{\mathcal{L}})^{\perp}, \ell, \tau)$.

  $b = \begin{cases} 1, & \ell > \frac{n}{2} \\ 0, & \ell \le \frac{n}{2} \end{cases}$

- Base cases:

  if $\tau = 0$, output $\mathrm{LLL}(\mathcal{L}, \ell)$.

  if $\mathrm{rank}(\mathcal{L}) = \kappa$ AND $\ell = 1$, return $\mathrm{SVP}(\mathcal{L})$.

Analysis: Key Lemmas

Lemma 1. All recursive calls satisfy $\min\{\ell, n-\ell\} \le n-\kappa+1$.

All can be verified directly from local checks!

↳ Algorithm does not get "stuck"!

Lemma 2. The potential $\Phi(n,\tau) := \tau + 20\log(n-\kappa+1)$ drops by at least 1 from parent to grandchild.

↳ poly(n) runtime (oracle calls).

Lemma 3. The guess $f(n,\ell,\tau) := \kappa^{\frac{\ell(n-\ell)}{2(\kappa-1)}} \cdot \exp(n^3/2^\tau)$ satisfies the recurrence induced by $\mathcal{A}$.

↳ Achieve basis reduction tradeoff $\kappa^{\frac{\ell(n-\ell)}{2(\kappa-1)}}$.

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- Our DSP → SVP involved tricky parameter choices.

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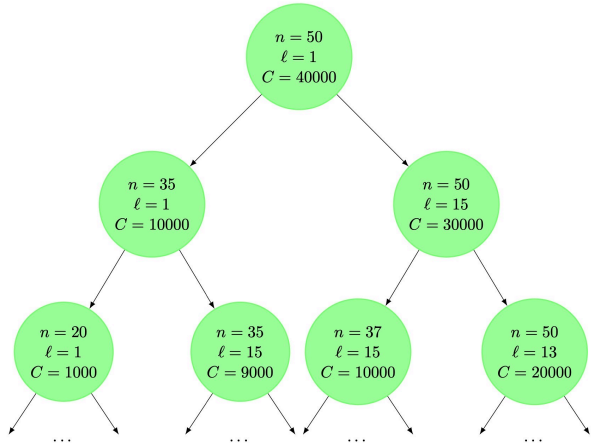
- Using dynamic programming, solve

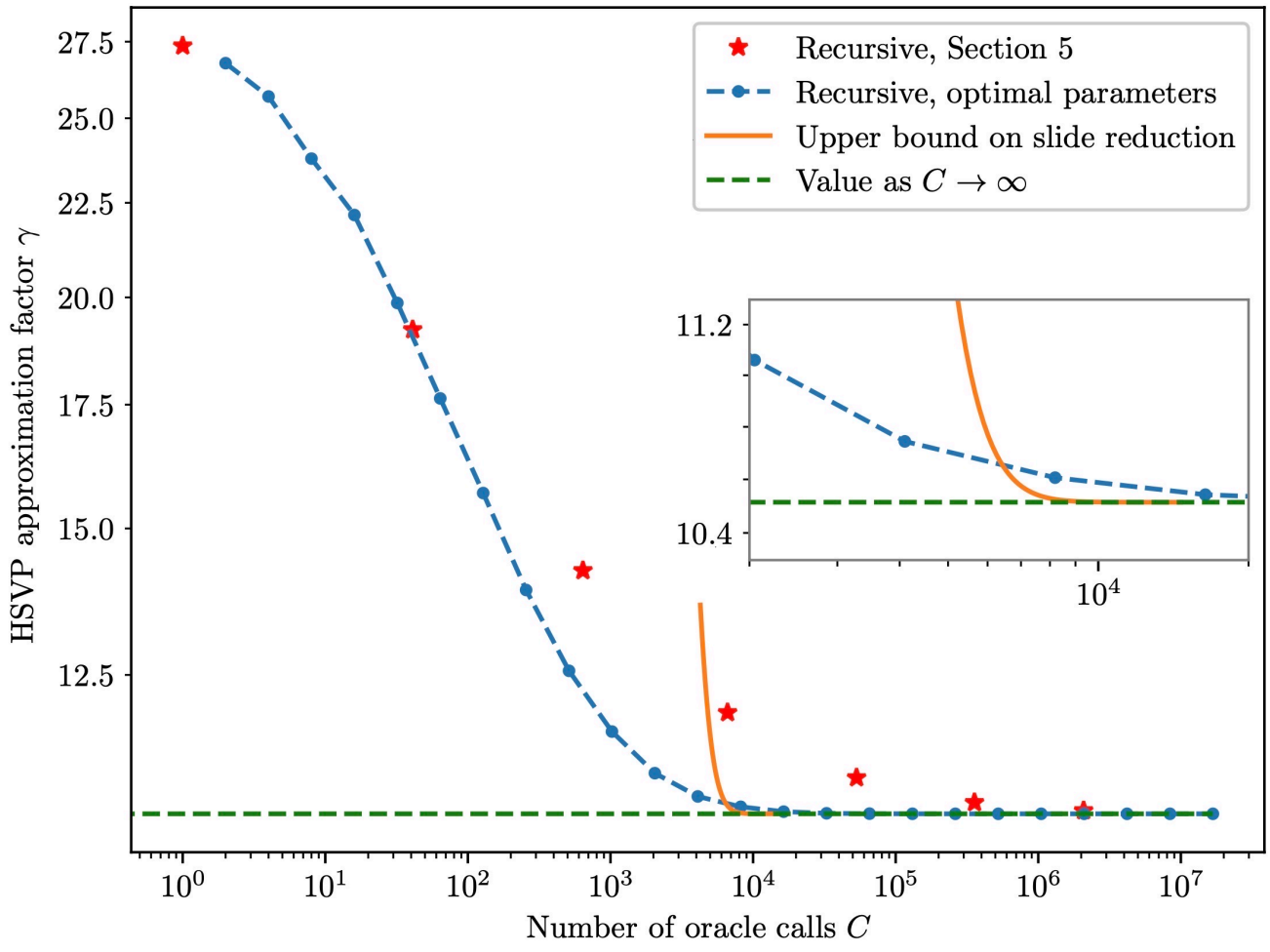
$$\gamma(n, \ell, C) := \min \left\{ \gamma(n, n-\ell, C), \min_{1 \le \ell^* \le n-\kappa} \min_{c^* \le C} \gamma(n-\ell^*, \ell, C-c^*)\, \gamma(n, \ell^*, c^*)^{\frac{\ell}{n-\ell^*}} \right\}$$

Results

- **Optimal DP solution bounds**
  rather massively improve on
  DSP → SVP guarantee.



$n = 50,\ \kappa = 10$



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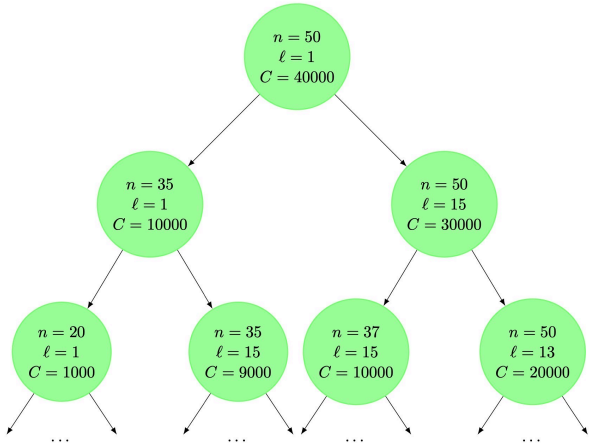

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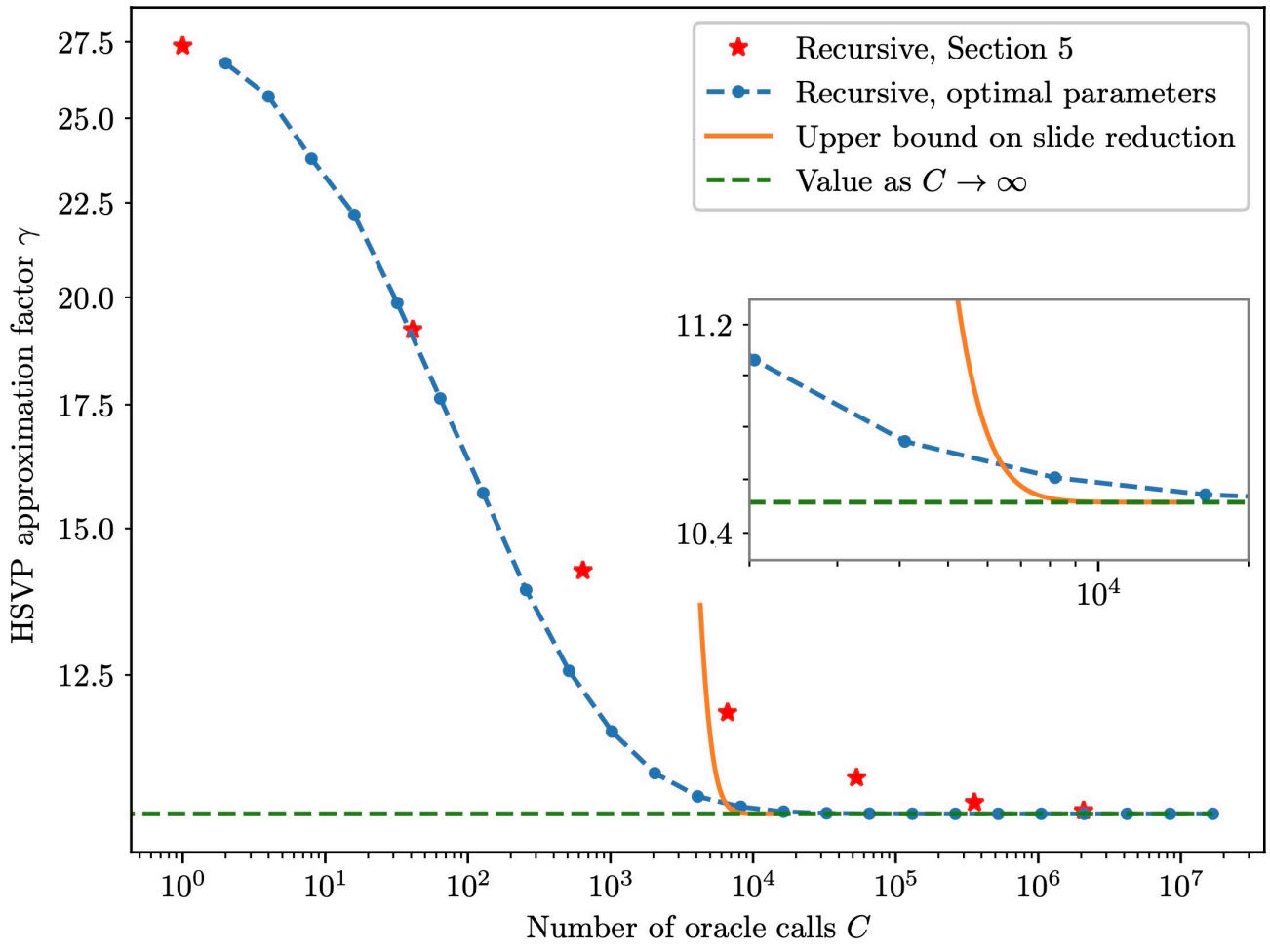

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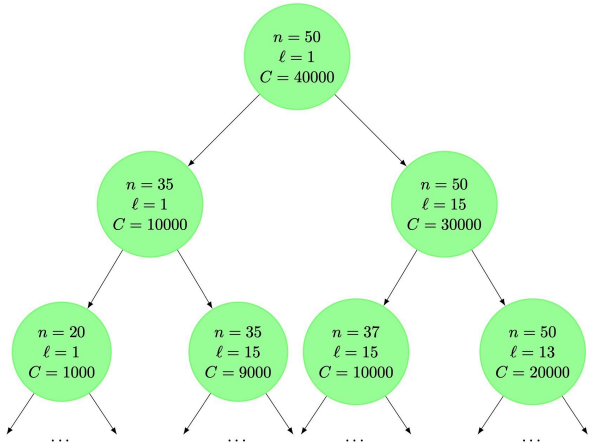

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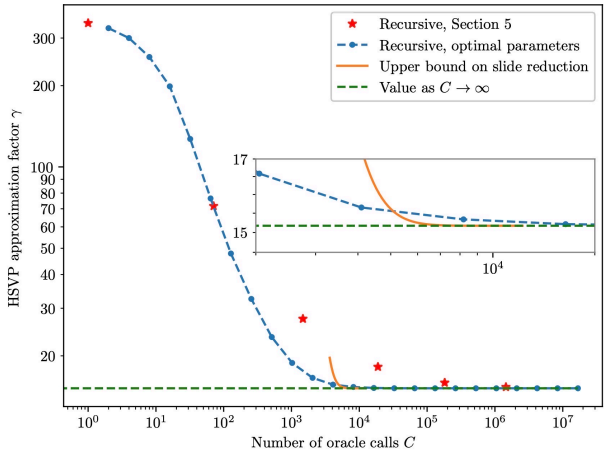

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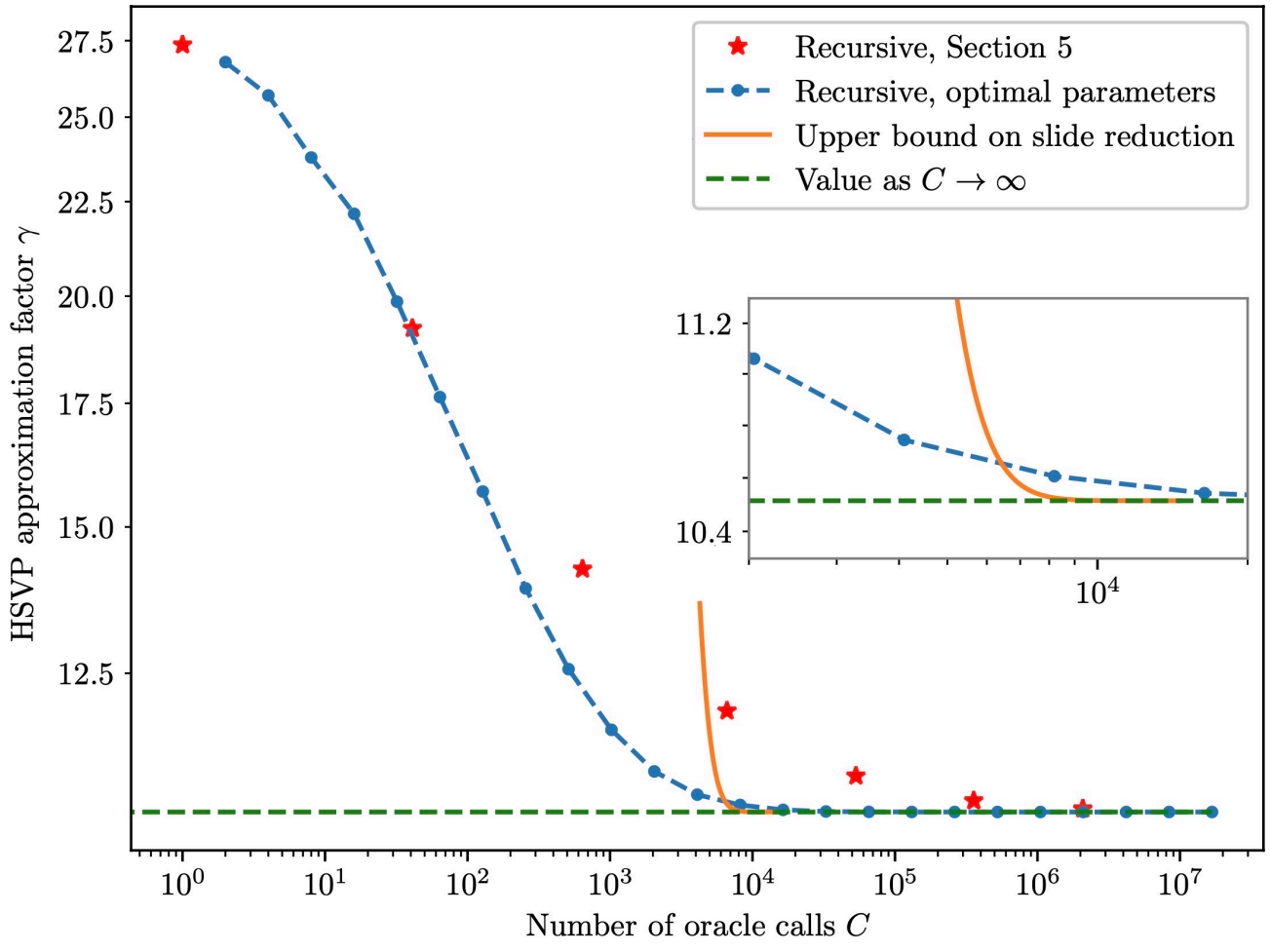

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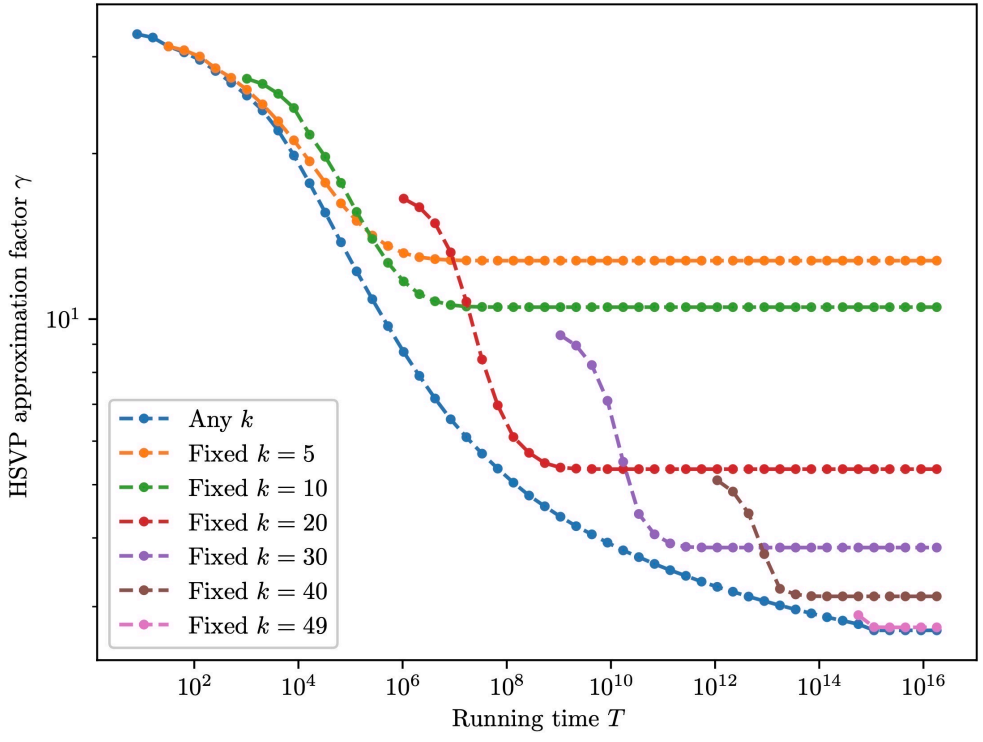

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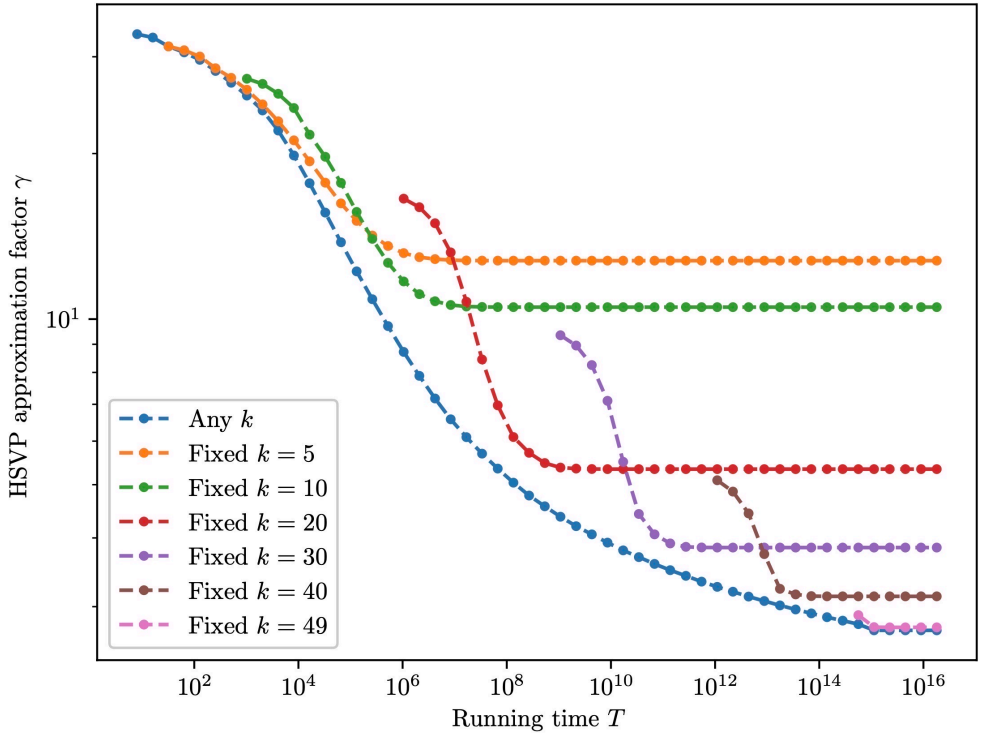

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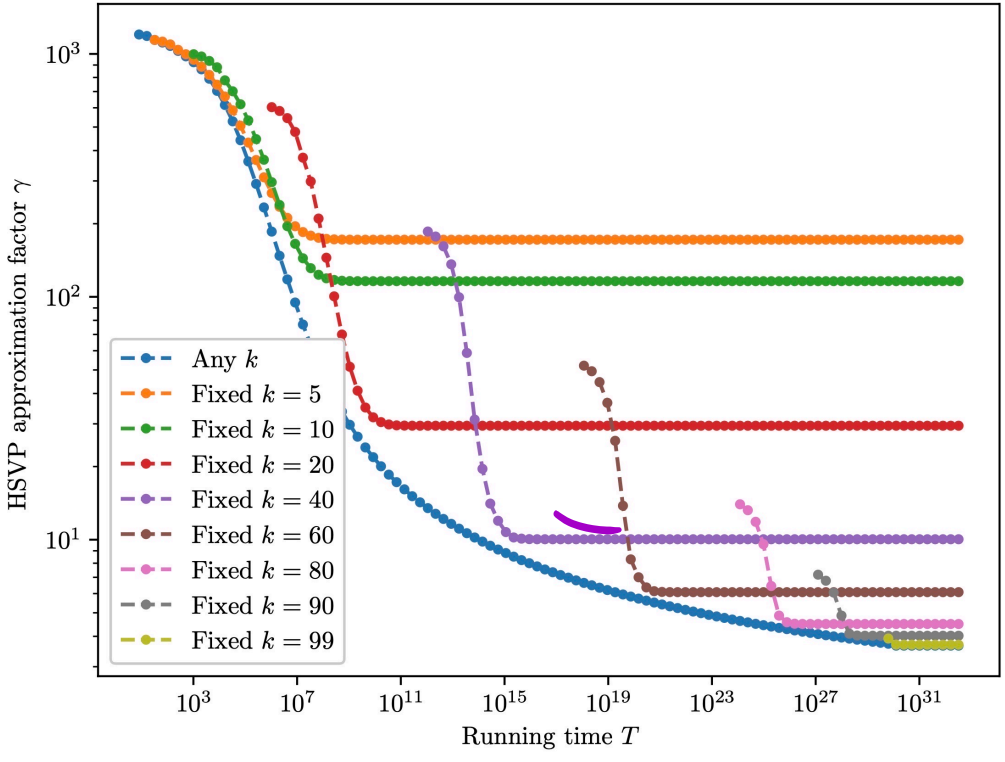

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Thanks for listening!