

Social media explosion!  uses network to  
so phone companies hire people who know graph theory

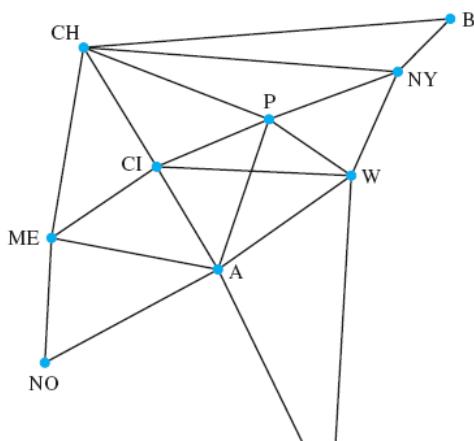
## CHAPTER 6: GRAPHS

## 6.1 Graphs (does NOT mean graph of a function)

Example: The following illustration (called a graph) represents a data-communications network connecting major cities in the Midwest and East Coast, where a dot represents a city's communications center and each line segment represents cable wiring, i.e. a link, that connects the two cities. To ensure reliable and efficient communication within this network, it is natural to ask the following questions regarding how messages are routed between cities.

1. What route has the minimum number of links connecting two given cities? (For example Boston (B) and New Orleans (NO))
  2. How many different routes connect any two given cities?
  3. Is communication between any two cities possible even if a link was cut?

- vertices / nodes
- edges / links



**Definition:** A *graph* is a pair  $G = (V, E)$  where  $V$  is a finite set of elements (called *vertices*) and  $E$  is a set of two-element subsets of  $V$  (called *edges*). The number of vertices,  $v = |V|$ , is called the *order* of  $G$  and the number of edges,  $e = |E|$ , is called the *size* of  $G$ .

Example: Let

$$G = (V, E)$$

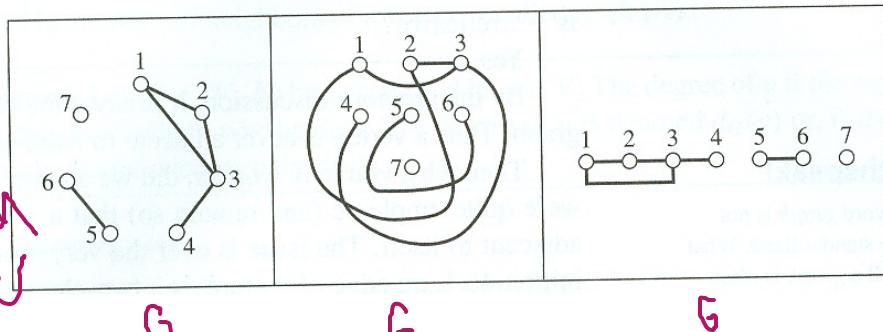
$$V = \{1, 2, 3, 4, 5, 6, 7\} \Rightarrow v = 7$$

$$E = \{\{1,2\}, \{1,3\}, \{2,3\}, \{3,4\}, \{5,6\}\} \Rightarrow e = 5$$

The following are three different (but isomorphic, i.e., equivalent) representations of this graph:

order of deposit  
matters

2 element  
gruppe



graph's  
topology (it)  
+  
position, length  
doesn't matter

**Definition:** Two graphs  $G = (V, E)$  and  $G' = (V', E')$  are said to be *isomorphic* if there is a bijection  $F$  (one-to-one and onto function) between  $V$  and  $V'$ , which induces a bijection  $H$  between  $E$  and  $E'$  defined by  $H(\{v_1, v_2\}) = \{F(v_1), F(v_2)\}$ .

defines functions

Example: The following two graphs are isomorphic because of the bijections  $F: V \rightarrow V'$  defined by  $F(1) = c$

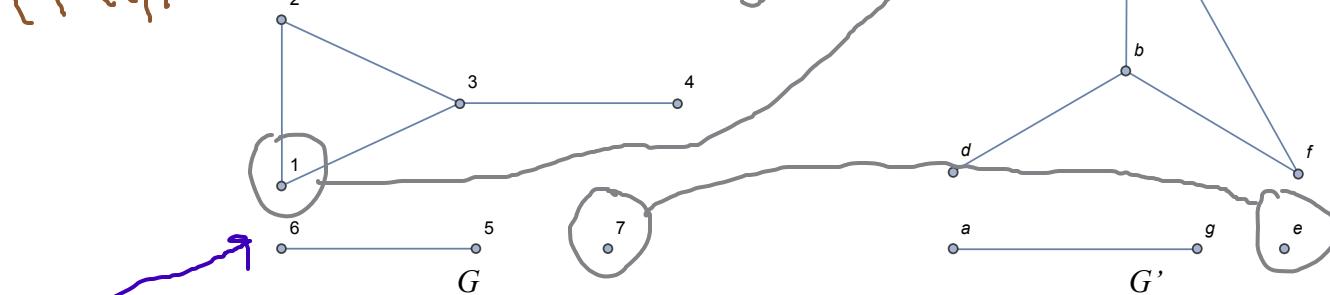
$$1 \rightarrow c, 2 \rightarrow f, 3 \rightarrow b, 4 \rightarrow d, 5 \rightarrow a, 6 \rightarrow g, 7 \rightarrow e$$

and  $H: E \rightarrow E'$  defined by

$$\begin{aligned} \{1,2\} &\rightarrow \{c,f\}, \{1,3\} \rightarrow \{c,b\}, \{2,3\} \rightarrow \{f,b\}, \{3,4\} \rightarrow \{b,d\}, \{5,6\} \rightarrow \{a,g\} \\ \end{aligned}$$

$$\begin{aligned} H\{\{1,3\}\} &= \\ (F(1), F(3)) & \in V' \end{aligned}$$

correspond vertices  
mb edges



different  
(labels)  
but does  
NOT matter

**Definition:** (Adjacency) Let  $G = (V, E)$  be a graph and  $x, y \in V$ .

(a) We say that  $x$  is adjacent to (or joined to or a neighbor of)  $y$  if they form an edge of  $G$ , i.e.  $\{x, y\} \in E$ , and write  $x \sim y$  to denote this fact.

2nd  
subset

(b) If  $\varepsilon = xy = \{x, y\}$  is the edge joining  $x$  and  $y$ , then  $x$  and  $y$  are called endpoints of  $\varepsilon$  and are also said to be incident on  $\varepsilon$ . you can see at an end

(c) The set of all neighbors of  $x$  is defined as  $N(x) = \{y \in V : y \sim x\}$ . EX.  $N(b) = \{1, 2, 4\}$

(d) The degree of  $x$  is the number of neighbors of  $x$  (or the number of edges with which  $v$  is incident) and is denoted by  $d_G(x)$  or simply  $d(x)$ .

vertex 3 has 3  
neighbors 3 ]  
not work w/ unless  
otherwise

#### NOTES:

1. It is possible for an edge to join a vertex back to itself (called a loop).

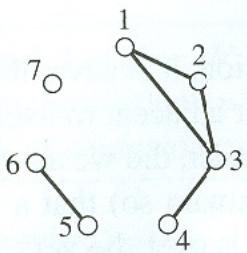
2. A graph that has no more than one edge joining two vertices is called a simple graph. If there are more than one edge joining two vertices is called a multiple-edged graph.

Example: Consider the graph  $G = (V, E)$  in the previous example:

$$V = \{1, 2, 3, 4, 5, 6, 7\}$$

$$E = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{3, 4\}, \{5, 6\}\}$$

$$e = 5$$



$$\begin{aligned} G &= 7 \\ V &= 7 \\ E &= 5 \end{aligned}$$

Then the set of neighbors of each vertex and their corresponding degrees are

$N(1) = \{2, 3\}$	$d(1) = 2$
$N(2) = \{1, 3\}$	$d(2) = 2$
$N(3) = \{1, 2, 4\}$	$d(3) = 3$
$N(4) = \{3\}$	$d(4) = 1$
$N(5) = \{6\}$	$d(5) = 1$
$N(6) = \{5\}$	$d(6) = 1$
$N(7) = \emptyset$	$d(7) = 0$

and w

Observe that

$$d(1) + d(2) + \dots + d(7) = 10 = 2 \cdot 5 = 2|E|$$

This property is in fact true of all graphs and will be proven as a theorem later.

construction = algorithm

induction = quantitative

### Sum of Degrees

**Theorem:** Let  $G = (V, E)$ . The sum of the degrees of the vertices of  $G$  is twice the number of edges, i.e.

$P(e)$

$$\sum_{x \in V} d(x) = 2e$$

Proof: (By induction on the number of edges) Let  $e$  denote the number of edges of  $G$ . We first prove that the formula is true for  $e=1$ , namely when  $G$  has only one edge, say  $x_0y_0$ . Then we have  $d(x_0) = d(y_0) = 1$  and  $d(z) = 0$  for all other vertices  $z \in V$ . It follows that

why have this  
other is

$$\sum_{x \in V} d(x) = d(x_0) + d(y_0) = 2$$

which equals  $2e = 2$ . Next, assume that the formula holds for any graph  $G'$  with  $e-1$  edges:

neighbors

$$\sum_{v \in V'} d_{G'}(v) = 2(e-1)$$

Assume true

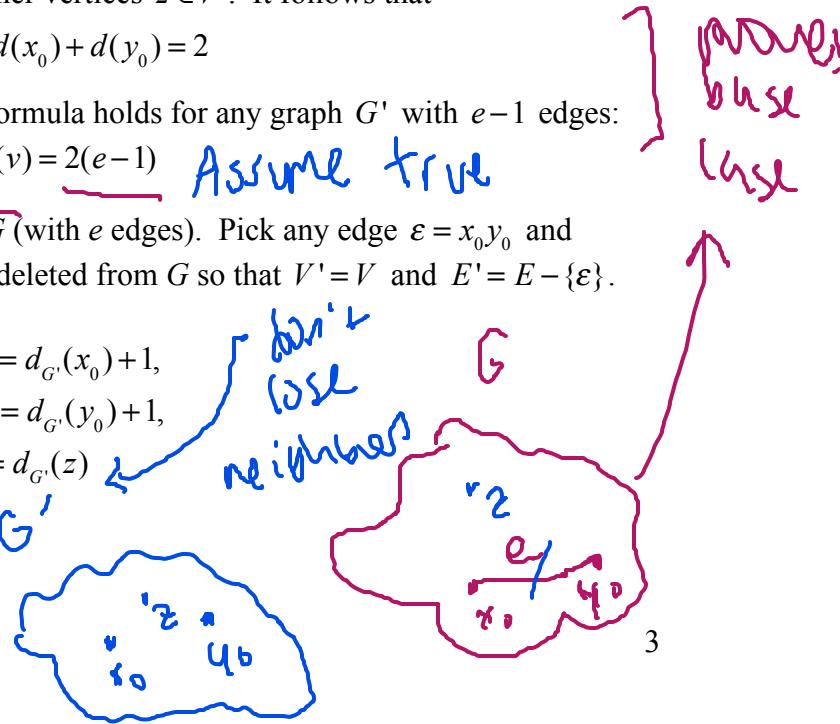
We need to prove that the formula holds for  $G$  (with  $e$  edges). Pick any edge  $e = x_0y_0$  and consider the subgraph  $G'(V', E')$  where  $e$  is deleted from  $G$  so that  $V' = V$  and  $E' = E - \{e\}$ .

Then observe that

$$\begin{aligned} d_G(x_0) &= d_{G'}(x_0) + 1, \\ d_G(y_0) &= d_{G'}(y_0) + 1, \\ d_G(z) &= d_{G'}(z) \end{aligned}$$

for all other vertices  $z \in V$ . It follows that

$y_0$  lost  
 $y_0$  is  
neighbor  
 $x_0$  lost neighbor  
( $y_0$ )



## examples 2(e-1)

numbers to assumption

$$\sum_{x \in V} d_G(x) = \left( \sum_{x \in V} d_G(x) \right) + 2 = 2(e-1) + 2 = 2e$$

Hence, by mathematical induction the formula holds for all integers  $e \geq 1$ .

degree

$$d(x) \geq 5$$

Example: Suppose a graph  $G$  has 30 edges and it is known that every vertex has at least 5 neighbors. What is the maximum number of vertices that  $G$  can have?

Solution: Let  $V = \{x_1, x_2, \dots, x_n\}$  denote the vertices of  $G$ . Then on the one hand, we have

# of vertices

$$\sum_{x \in V} d(x) = d(x_1) + d(x_2) + \dots + d(x_n) \geq \underbrace{5+5+\dots+5}_{n \text{ times}} = 5n$$

$n = \# \text{ of vertices}$

On the other hand, we have  $\sum_{x \in V} d(x) = 2e = 2(30) = 60$ . It follows that

$$60 = \sum_{x \in V} d(x) \geq 5n$$

$$\therefore n \leq 12$$

Thus,  $G$  has at most 12 vertices.

solve for  $n$

vertex to value.

Example: Prove that any graph  $G$  must have an even number of vertices of odd degree.

Solution: Let  $V = \{x_1, x_2, \dots, x_n\}$  denote the vertices of  $G$ . Suppose on the contrary that  $G$  has an odd number of vertices of odd degree, say  $x_1, x_2, \dots, x_{2k+1}$ , where the degrees  $d(x_1), d(x_2), \dots, d(x_{2k+1})$  are all odd. It follows that  $\sum_{x \in V} d(x)$  is odd, being a sum of an odd part

and an even part:

$$\sum_{x \in V} d(x) = \underbrace{d(x_1) + d(x_2) + \dots + d(x_{2k+1})}_{\text{SUM OF ODD NUMBER OF ODDS = ODD}} + \underbrace{d(x_{2k+2}) + \dots + d(x_n)}_{\text{SUM OF EVENS = EVEN}}$$

On the other hand, we have  $\sum_{x \in V} d(x) = 2e$ , which is even and hence a contradiction.

Adjacency Matrix

(Table / Grid)  $\text{odd} + \text{even} = \text{even}$

$n$  vertices

**Definition:** (Adjacency Matrix) Let  $G$  be a graph with a set of vertices  $V = \{x_1, x_2, \dots, x_n\}$ . Given two vertices  $x_i, x_j$ , we assign it the value  $a_{ij}$  defined

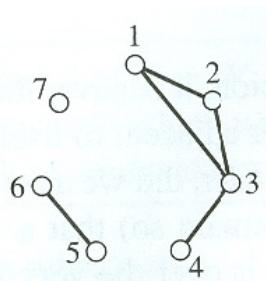
$$\text{Summation } a_{ji} = a_{ij} = \begin{cases} 1 & \text{if } x_i \sim x_j \text{ (neighbors)} \\ 0 & \text{otherwise} \end{cases}$$

The table of values  $(a_{ij})$  is called the *adjacency matrix* of  $G$ .

Example: The adjacency matrix for the graph

entry in  
row  $i$ , column  $j$

$$M = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \dots & \dots & \dots & \dots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{bmatrix}$$

$G =$  $V = 7$ 

1 - adjacent

0 - NOT

is given by

order doesn't

matter

{1, 3} {~3}

same as

{3, 1} {3 ~1}

want to show which vertex is

adjacent to

another  $n$  times

$$(n-1)(n-1) + \dots$$

$$n(n-1) = 2e$$

$$e = \frac{n(n-1)}{2}$$

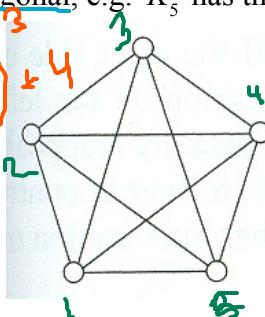
$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	$v_6$	$v_7$	
$v_1$	0	1	1	0	0	0	0
$v_2$	1	0	1	0	0	0	0
$v_3$	1	1	0	1	0	0	0
$v_4$	0	0	1	0	0	0	0
$v_5$	0	0	0	0	0	1	0
$v_6$	0	0	0	0	1	0	0
$v_7$	0	0	0	0	0	0	0

**Definition:** A *complete graph* on  $n$  vertices, denoted by  $K_n$ , is one where all pairs of distinct vertices are adjacent, i.e. every vertex is adjacent to every other vertex.

**NOTE:** The adjacency matrix of a complete graph is one whose entries consist of all 1's except for those along the main diagonal, e.g.  $K_5$  has the following adjacency matrix:

$$\begin{aligned} k_1 &= 0 \\ k_2 &= 1 \\ k_3 &= 3 \end{aligned}$$

$$\begin{aligned} k_4 &= 6 \\ k_5 &= 10 \end{aligned}$$



$$\begin{pmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 & 1 \\ 1 & 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 1 & 0 \end{pmatrix}$$

$$\sum_{i \neq j} 1 = 2e \quad e = 10$$

$$4 + 4 + \dots = 2e$$

$$20 = 2e$$

main diagonal, the

0's says  
adjacent to itselfExercise: Determine a direct formula for the number of edges in the complete graph  $K_n$ .

### Subgraphs and Edge/Vertex Deletion

Special subgraphs can be constructed from a graph by deleting either a subset of edges only (edge deletion) or a subset of vertices and their corresponding edges (vertex deletion). This leads to the following notions.

**Definition:** (Spanning Subgraph) Let  $G$  and  $H$  be graphs. If  $G$  is a subgraph of  $H$  with  $V(G) = V(H)$  (edge deletion only), then  $G$  is called a *spanning subgraph* of  $H$ .

Example: Let  $G$  and  $H$  be the following graphs:

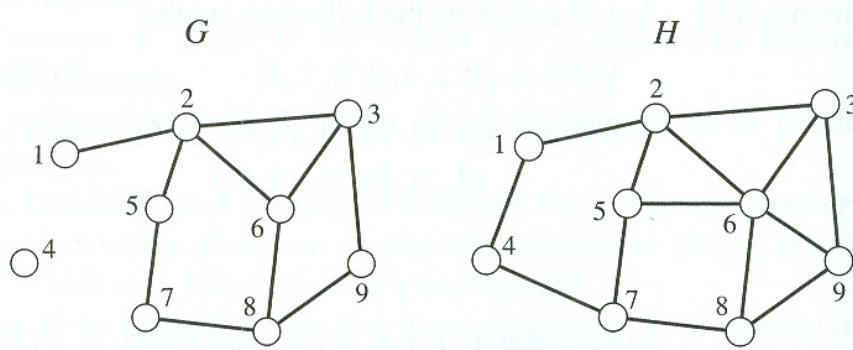
$$V(G) = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

$$E(G) = \{\{1, 2\}, \{2, 3\}, \{2, 5\}, \{2, 6\}, \{3, 6\}, \{3, 9\}, \{5, 7\}, \{6, 8\}, \{7, 8\}, \{8, 9\}\}$$

$$V(H) = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

$$\begin{aligned} E(H) = & \{\{1, 2\}, \{1, 4\}, \{2, 3\}, \{2, 5\}, \{2, 6\}, \{3, 6\}, \{3, 9\}, \\ & \{4, 7\}, \{5, 6\}, \{5, 7\}, \{6, 8\}, \{6, 9\}, \{7, 8\}, \{8, 9\}\} \end{aligned}$$

Here,  $G$  is not only a *subgraph* of  $H$  but also a spanning subgraph of  $H$  since  $E(G) \subseteq E(H)$  and  $V(G) = V(H)$ . Observe that  $G$  can be obtained from  $H$  by deleting 4 edges.



**Definition:** (Induced Subgraph) Let  $H$  be a graph and  $A$  be a subset of the vertices of  $H$ , i.e.  $A \subseteq V(H)$ . The *subgraph of  $H$  induced on  $A$*  is the graph  $H[A]$  defined by the following set of vertices and edges:

a)  $V(H[A]) = A$

b)  $E(H[A]) = \{xy \in E(H) : x \in A \text{ and } y \in A\}$

In other words, the graph  $H[A]$  has  $A$  as its set of vertices and contains exactly those edges in  $H$  that are joined by vertices in  $A$ .

Example: Let  $H$  be the graph defined by

*vertices to keep*

$$\begin{aligned} V(H) &= \{1, 2, 3, 4, 5, 6, 7, 8, 9\} \\ E(H) &= \{\{1, 2\}, \{1, 4\}, \{2, 3\}, \{2, 5\}, \{2, 6\}, \{3, 6\}, \{3, 9\}, \\ &\quad \{4, 7\}, \{5, 6\}, \{5, 7\}, \{6, 8\}, \{6, 9\}, \{7, 8\}, \{8, 9\}\} \end{aligned}$$

*list vertices to keep*

Consider the subset of vertices  $A = \{1, 2, 3, 5, 6, 7, 8\} \subset V(H)$ . Then the induced subgraph  $H[A]$  is given by

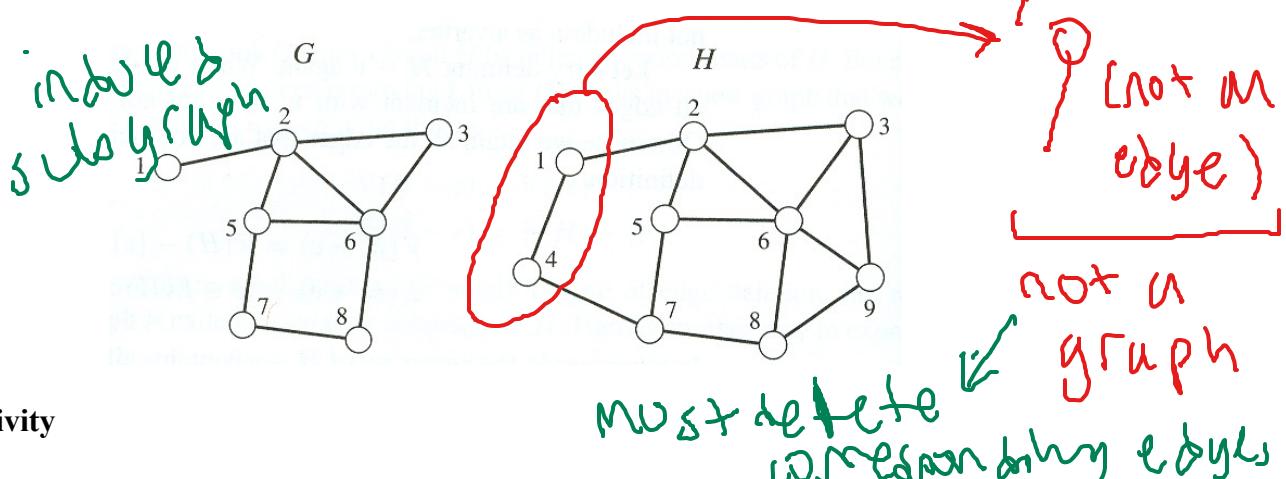
$$V(H[A]) = \{1, 2, 3, 5, 6, 7, 8\}$$

$$\begin{aligned} E(H[A]) = & \{\{1, 2\}, \{2, 3\}, \{2, 5\}, \{2, 6\}, \{3, 6\}, \{5, 6\}, \{5, 7\}, \\ & \{6, 8\}, \{7, 8\}\} \end{aligned}$$

*A*

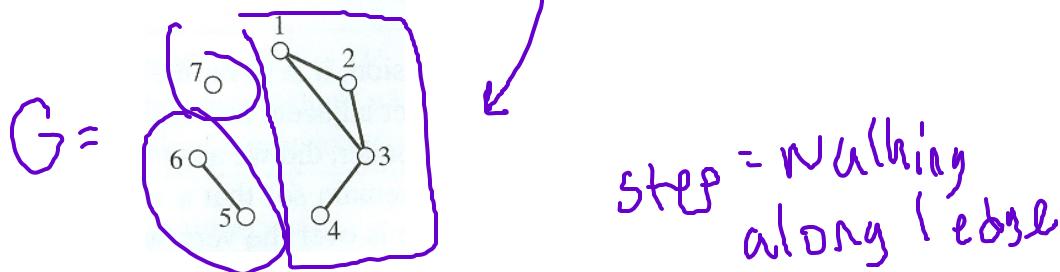
*list vertices to keep*

Observe that  $H[A]$  can be obtained from  $H$  by deleting 2 vertices and their corresponding 5 edges.



## Connectivity

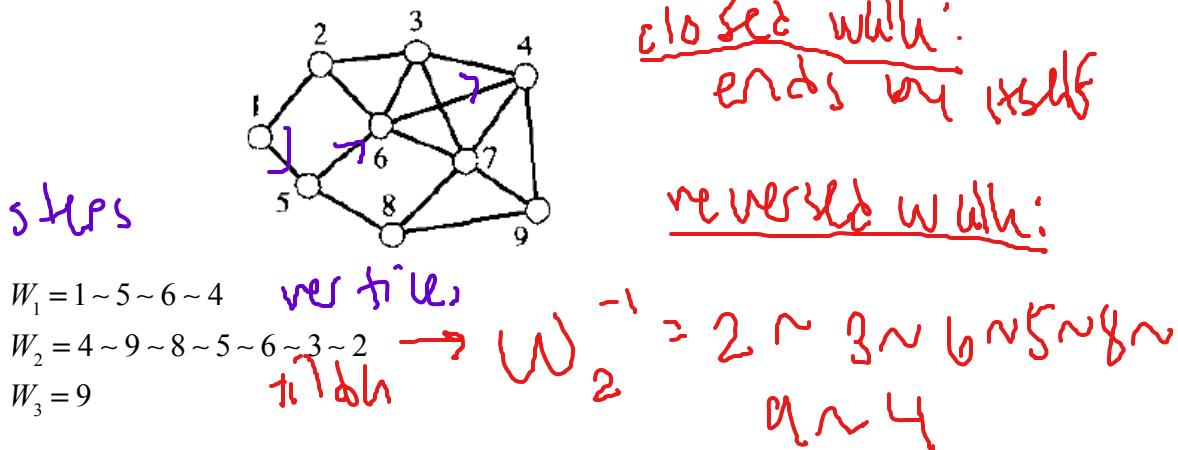
The following is a graph that seems 'disconnected' and has three 'components'. In this section we formally develop the notion of a connected graph.



**Definition:** (Walk) Let  $G$  be a graph.

- (a) A walk of length  $l$  in  $G$  is a sequence of  $(l+1)$  vertices, with each vertex adjacent to the next, i.e.  $W = (x_0, x_1, \dots, x_l)$  with  $x_0 \sim x_1 \sim x_2 \dots \sim x_l$ .
- (b) A walk  $W$  is closed if it begins and ends at the same vertex. Also, the reversal of  $W$  is  $W^{-1} = (x_l, x_{l-1}, \dots, x_0)$ .

Example: Here are some examples of walks in  $G$  given below:



**Definition:** (Concatenation) Let  $G$  be a graph. Suppose two walks are given:

$$W_1 + W_2 = 1 \sim 5 \sim 6 \sim 4 \sim 9 \sim 8 \sim 5 \sim \dots$$

$$W_1 = x_0 \sim x_1 \sim x_2 \dots \sim x_l$$

$$W_2 = y_0 \sim y_1 \sim y_2 \dots \sim y_k$$

where  $x_l = y_0$ . Their *concatenation* is defined to be the walk (of length  $l+k$ )

$$W_1 + W_2 = x_0 \sim x_1 \sim x_2 \dots \sim (x_l = y_0) \sim y_1 \sim y_2 \dots \sim y_k$$

just & first must  
match

Example: The concatenation of the two walks in the previous example becomes

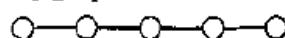
$$W_1 + W_2 = 1 \sim 5 \sim 6 \sim 4 \sim 9 \sim 8 \sim 5 \sim 6 \sim 3 \sim 2$$

**Definition:** (Path) A path in a graph is a walk in which no vertex is repeated. Equivalently, a path  $P$  can also be viewed as a graph in itself with vertex set  $V = \{x_1, x_2, \dots, x_n\}$  and edge set

$$E = \{x_0x_1, x_1x_2, \dots, x_{n-1}x_n\}$$

We also call  $P$  a  $(x_0, x_n)$ -path. A path on  $n$  vertices is denoted  $P_n = (x_0, x_{n-1})$ .

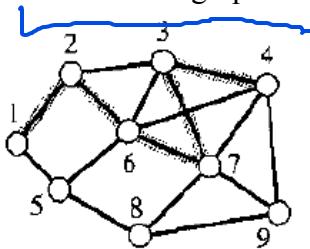
A  $P_5$  graph:



5 vertices

Example: The walk  $W = 1 \sim 2 \sim 6 \sim 7 \sim 3 \sim 4$  in the graph below is a path.

don't repeat  
vertices  $\Rightarrow$  don't  
repeat edges



path between 1 & 4  
"1 & 4 we connected"  
do not yet  
a path if terms  
repeat

NOTE: The concatenation  $W_1 + W_2 = 1 \sim 5 \sim 6 \sim 4 \sim 9 \sim 8 \sim 5 \sim 6 \sim 3 \sim 2$  described in the previous example is NOT a path.

**Proposition:** Let  $P$  be a path in a graph  $G$ . Then  $P$  does not traverse any edge of  $G$  more than once.

contradiction proof

but graph needs  
strength

**Definition:** (Connected) Let  $G$  be a graph and  $x, y \in V(G)$ . Then  $x$  is said to be *connected to*  $y$  if there is a  $(x, y)$ -path. If this holds for all  $x, y \in V(G)$ , then  $G$  is said to be a *connected graph*.

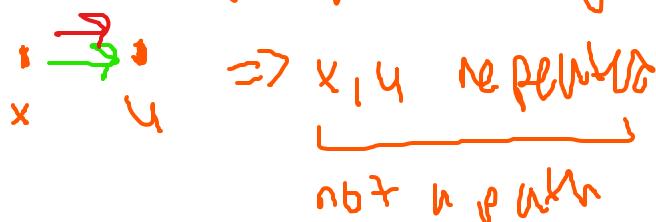
'Connected to' as an Equivalence Relation

for all  $\rightarrow$

$\hookrightarrow$  1 component

Example: Consider the following graph  $G$ , which has three *components* where each component is a subgraph consisting of a set of vertices that are connected to each other:

Assume path w/ repeated edge



don't repeat edges  
 $\Rightarrow$  don't repeat  
edges

# equivalence relation on R on S

(1) reflexive  $(x, x)$

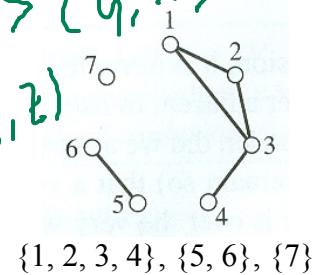
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(2) symmetric  $(x, y) \Rightarrow (y, x)$

(3) transitive  $(x, y), (y, z) \Rightarrow (x, z)$

$\Rightarrow (x, z)$



$\& P = (x \dots y)$

$Q = (y \dots z)$

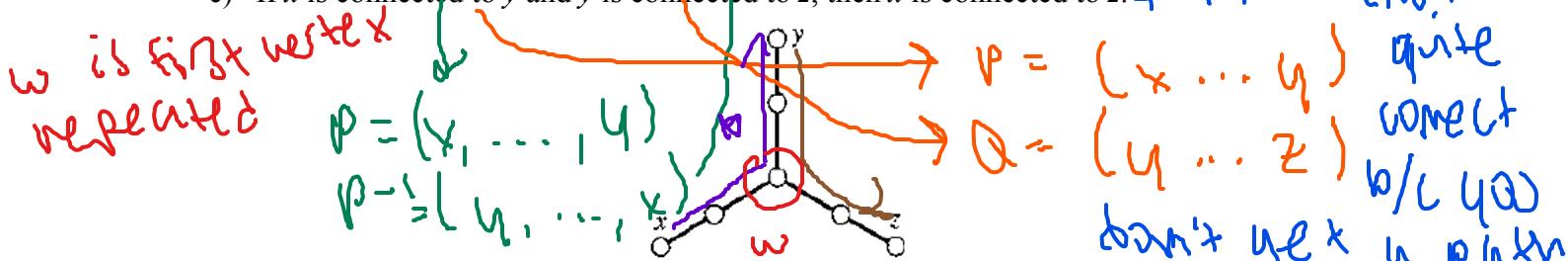
Trim:  $P + Q = (x \dots w \dots z)$

$w \dots w \dots z$

This leads to the notion of 'connected to' as an equivalence relation defined on  $V(G)$ .

**Theorem:** The notion of 'connected to' is an equivalence relation on  $V(G)$ :

- a) Each vertex  $x$  is connected to itself.  $\rightsquigarrow P(x)$
- b) If  $x$  is connected to  $y$ , then  $y$  is connected to  $x$ .  $\rightsquigarrow R = (x \dots z)$
- c) If  $x$  is connected to  $y$  and  $y$  is connected to  $z$ , then  $x$  is connected to  $z$ .  $\nmid P + Q$  (not)



**WARNING:** Concatenation of two paths does NOT necessarily produce a path (demonstrate this with the graph above).

**Lemma:** Let  $G$  be a graph and  $x, y \in V(G)$ . If there is an  $(x, y)$ -walk in  $G$ , then there is an  $(x, y)$ -path in  $G$ .  $\hookrightarrow$  can always trim to get a path

**Components**

to trim

Since the 'connected to' equivalence relation divides the set of vertices  $V(G)$  into distinct equivalence classes, this in turn divides the graph  $G$  into corresponding subgraphs.

**Definition:**

- a) (Equivalence class) Given a vertex  $x \in V(G)$ , define  $C = [x]$  to be the connectivity class of  $x$ , i.e. the equivalence class of vertices of  $V(G)$  that are connected to  $x$ , i.e.

$$C = [x] = \{y \in V(G) : y \text{ connected to } x\}$$

- b) (Component) Let  $C$  be a connectivity class. A connected component of  $G$  is an induced subgraph  $G[C] = (C, E(C))$  with vertex set  $C$  and edge set  $E(C)$ , which consists of edges whose endpoints belong to  $C$ .

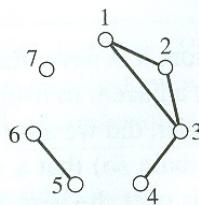
Example: Let us determine the components of the graph  $G$  below.

"Connected to" =

equivalence relation

"connecting classes" =  
equivalence classes

$\{1, 2\}, \{1, 3\},$   
 $\{2, 3\}, \{3, 4\}$



$C = \{1, 2, 3, 4\}$   
 $G[C] = \text{component containing } C$   
 $= \{1, 2, 3, 4\}$

First we determine the connectivity classes  $C$  on the set of vertices of  $G$ :

# of components =  
# connected classes

$[1] = \{1, 2, 3, 4\}$   
 $[2] = \{1, 2, 3, 4\}$   
 $[3] = \{1, 2, 3, 4\}$   
 $[4] = \{1, 2, 3, 4\}$   
 $[5] = \{5, 6\}$   
 $[6] = \{5, 6\}$   
 $[7] = \{7\}$

some class ] | component of vertices

Thus there are three equivalence classes:  $C = \{1, 2, 3, 4\}$ ,  $\{5, 6\}$ , and  $\{7\}$ . The corresponding components of  $G$  are the induced subgraphs  $G[\{1, 2, 3, 4\}]$ ,  $G[\{5, 6\}]$ , and  $G[\{7\}]$ .

**Definition:** A graph  $G$  is *connected* if it has exactly one connected component.

### Disconnection

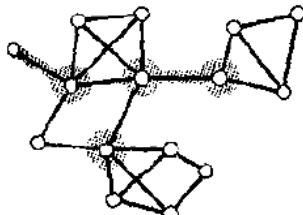
**Definition:** (Cut Vertex/Edge) Let  $G$  be a graph.

- a) A vertex  $x \in V(G)$  is called a *cut vertex* provided  $G - x$  has more components than  $G$ , i.e. removing  $x$  increases the number of components of  $G$ .
- b) Similarly, an edge  $e \in E(G)$  is called a *cut edge* if  $G - e$  has more components than  $G$ , i.e. removing  $e$  increases the number of components of  $G$ .

NOTE: Cut vertices and cut edges makes a graph more disconnected.

Example: The graph below has 4 cut vertices and 2 cut edges:

(glowly vertex & edges)



**Theorem:** Let  $G$  be a connected graph and  $e$  a cut edge of  $G$ . Then  $G - e$  has exactly two components.

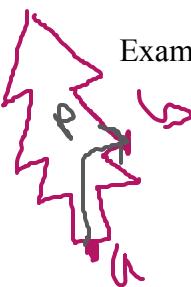
no restriction on cut vertices since they won't

### Cycles and Trees

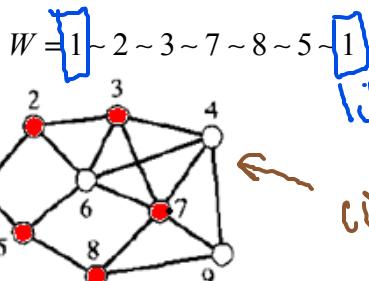
**Definition:** (Cycle) A *cycle* is a walk of length at least three in which the first and last vertex are the same (closed walk), but no other vertices are repeated.

to get tree, there's only 1 path

Example: The following walk is a cycle:  $W = 1 \sim 2 \sim 3 \sim 7 \sim 8 \sim 5 \sim 1$



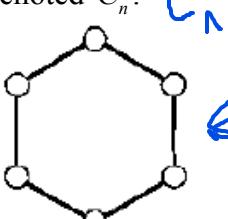
delete edges  
only so what  
remains is  
a tree?



like a loop

connected  
NOT a tree

NOTE: A cycle (graph) on  $n$  vertices is denoted  $C_n$ .



$C_n$

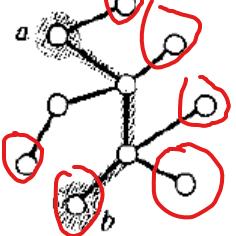
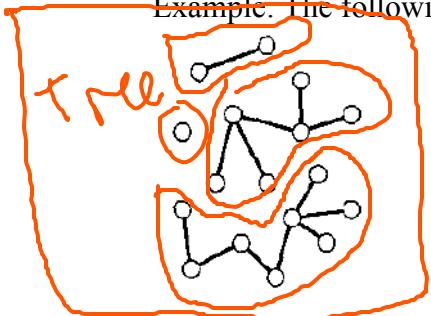
can have more  
than 1 component  
but has  
no cycles

tree  
↑  
forest

**Definition:** (Forest/Tree) Let  $G$  be a graph.

- a) If  $G$  contains no cycles, then  $G$  is said to be acyclic or a forest.
- b) If  $G$  is a connected, acyclic graph, then  $G$  is said to be a tree.

Example: The following graphs are examples of trees:



forest can be in tree  
 $\times$  tree  $\neq$  forest

efficient

vertex @ tips  
neighbor

**Theorem:** A graph  $T$  is a tree if and only if for any two vertices  $a$  and  $b$  in  $V(T)$ , there is a unique  $(a,b)$ -path.

**Theorem:** Let  $G$  be a connected graph. Then  $G$  is a tree if and only if every edge of  $G$  is a cut edge.

Leaves

iff  $\Rightarrow$  2 parts to prove  
(refer nov 24 notes)

**Definition:** (Leaf) Let  $G$  be a graph. If a vertex  $v$  of  $G$  has degree 1, then  $v$  is said to be a leaf.

→ **Theorem:** Every tree with at least two vertices has a leaf. **exception is just 1 vertex**

(but no leaves,  
b/c  $d=0$ )

← **Theorem:** Let  $T$  be a tree and  $v$  be a leaf of  $T$ . Then  $T - v$  is a tree.

→ **Theorem:** Let  $T$  be a tree with  $n \geq 1$  vertices. Then  $T$  has  $n-1$  edges.

most efficient

Proof: (By induction on  $n$ )

refer to  
video

- possible to remove a vertex &  
still keep it has a tree

→ when delete vertex, delete the edge w/ it

## 6.2 Spanning Trees and Rooted Trees

Motivating Question: Given a graph  $G$ , what is the minimum set of edges needed to connect all the vertices of  $G$ ?

**Definition:** (Spanning Subgraph) Let  $H$  be a subgraph of  $G$ . If  $V(H) = V(G)$  (edge deletion only), then  $H$  is called a spanning subgraph of  $G$ .

### Spanning Trees

**Definition:** Let  $T$  be a subgraph of  $G$ . Then  $T$  is called spanning tree of  $G$  if

- (a)  $T$  is a spanning subgraph of  $G$ .
- (b)  $T$  is a tree.

Follow up questions:

1. Does every graph  $G$  have a spanning tree  $T$ ?
2. Develop an algorithm to find a spanning tree  $T$  of  $G$ .

**Lemma:** Each finite connected graph  $G$  has a spanning tree. *it exists*

Proof: (Induction) Let  $c$  denote the number of cycles in  $G$ . We prove that  $G$  has a spanning tree  $T$  by strong induction on  $c$ :

1. (Base case) Assume that  $c = 0$ . Then  $G$  is a tree and clearly has a spanning tree, namely set  $T = G$  (itself).
2. (Inductive step) Assume that every graph with fewer than  $c$  cycles has a spanning tree. Choose a cycle and delete an edge of that cycle. Then the resulting subgraph  $G'$  has a spanning tree  $T$  since  $G'$  has fewer than  $c$  cycles. But then  $T$  is also a spanning tree of  $G$ . This completes the proof.

**Algorithm:** Constructing Spanning Tree  $T$  of  $G$  (connected) with edge set  $E$  and vertex set  $V$ :

1. Set  $E' = \{\}$  (empty) and  $S = \{x_0\}$  where  $x_0 \in V$ .  $|E'| = 0, S = \{x_0\}$
2. If  $S = V$ , then return (quit).
3. Else choose edge  $e \in E$  having one of its endpoints in  $S$  and the other endpoint  $x$  in  $V - S$  (such an edge exists since  $G$  is connected). Append  $e$  to  $E'$  and  $x$  to  $S$ . Go back to Step 2.

The resulting graph  $T = (E', V)$  is a tree.

**Run-time:**  $O(v e)$ , where  $v = |V|$  and  $e = |E|$ .

**Theorem:** Let  $G$  be a connected graph with  $n \geq 1$  vertices. Then  $G$  is a tree if and only if  $G$  has exactly  $n - 1$  edges.

Proof: ( $\Rightarrow$ ) Proven in a previous theorem.

( $\Leftarrow$ ) Let  $G$  be a graph with  $n$  vertices and  $n - 1$  edges. By the lemma above,  $G$  has a spanning tree  $T$  with  $V(T) = V(G) = n$ . It follows that  $T$  and  $G$  also have the same number of edges:

$$\text{true} \iff e = v - 1 \quad |E(T)| = |V(T)| - 1 = n - 1 = |E(G)|$$

Thus,  $G = T$  and proves that  $G$  is a tree.

## Rooted Trees

### Definition:

- (a) A *rooted tree* is a tree with a selected vertex  $x_0$  (called the root).
- (b) Vertices adjacent to the root are called *children*; in that case, the root is called the *parent*.
- (c) By induction, given a vertex  $x$ , any vertex  $y$  that is adjacent to  $x$  (except for the parent of  $x$ ) is called a child of  $x$ ; in that case,  $x$  is called the parent of  $y$ .
- (d) If there is a (unique) path between two vertices  $x$  and  $y$ , and the path contains the parent of  $y$ , then  $y$  is called a *descendant* of  $x$ . Moreover,  $x$  is called an *ancestor* of  $y$ .
- (e) A vertex with no children is called an *external* (or *leaf*) vertex. Vertices with children are called *internal* vertices.

**Lemma:** Every vertex has at most one parent.

## Binary Trees

### Definition 1: (Inductive)

1. The smallest binary tree is the empty rooted tree  $T_0 = (\emptyset, \emptyset)$  with no vertices and no edges.
2. Let  $T^L = (V^L, E^L)$  and  $T^R = (V^R, E^R)$  be two binary trees with roots  $x^L$  and  $x^R$  (assuming they exist). Define the rooted tree  $T = T^L \oplus x \oplus T^R = (V, E)$ , where  $x$  is a root which ties  $T^L$  and  $T^R$  together so that  $V = \{x\} \cup V^L \cup V^R$  and  $E = \{xx^L, xx^R\} \cup E^L \cup E^R$ . Then  $T$  is a binary tree.

Examples: We use the definition above to inductively construct larger binary trees.

- a)  $T_1 = T_0 \oplus x_1 \oplus T_0 = (\{x_1\}, \emptyset)$
- b)  $T_2 = T_1 \oplus x_2 \oplus T_1 = \{x_2, x_1^L, x_1^R\}, \{x_2x_1^L, x_2x_1^R\}$
- c)  $T_{1,0} = T_1 \oplus x_2 \oplus T_0^R = (\{x_2, x_1^L\}, \{x_2x_1^L\})$  and  $T_{0,1} = T_0 \oplus x_2 \oplus T_1^R = (\{x_2, x_1^R\}, \{x_2x_1^R\})$
- d)  $T_4 = T_2 \oplus x_4 \oplus T_2^R = (\{x_4\} \cup V_2^L \cup V_2^R, \{x_2x_1^L, x_2x_1^R\} \cup E_2^L \cup E_2^R)$

**Definition 2:** A *binary tree*  $T$  is a rooted tree where each vertex, viewed as a parent, has at most two children. If each vertex has exactly 2 children (or none, in which case it is called a leaf or an external vertex), then  $T$  is called a *full binary tree*.

Exercise: Let  $T$  be a full binary tree.

- a) What do you notice about the number of vertices of  $T$ ? Prove your answer.
- b) How does the number of internal vertices of  $T$  compare to the number of external vertices? Prove your answer.

HINT: To prove both parts use induction by removing the root vertex.

### 6.3 Eulerian and Hamiltonian Graphs

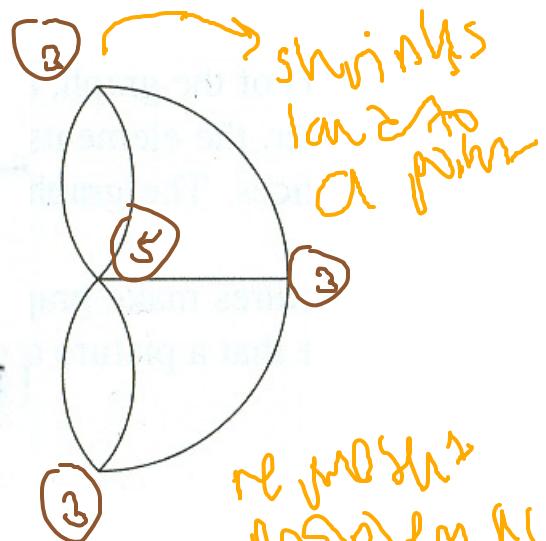
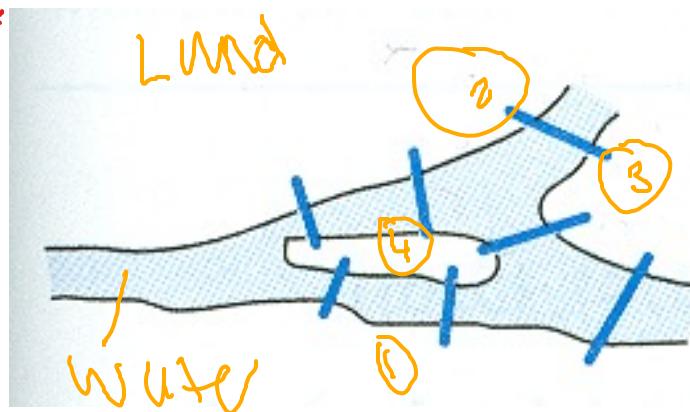
Seven Bridges of Konigsburg (Leonard Euler, 1736)

no tour  
no trail

The town of Konigsburg in Russia has seven bridges shown below. Its residents enjoy taking strolls across each of the bridges. A natural question was posed by its residents: Is it possible to take a stroll along a path that starts and ends at the same point and crosses each bridge exactly once. Can you find such a path?

walk

trial: don't go home  
tour: go home



#### Eulerian Tours and Trails

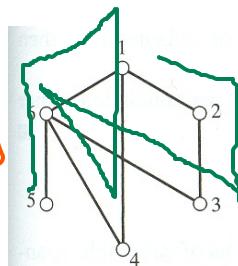
**Definition:** (Eulerian trail/tour) Let  $G$  be a graph.

- A walk in  $G$  that traverses every edge exactly once is called an Eulerian trail.
- If a walk traverses every edge exactly once, but begins and ends at the same vertex, then it is said to be an Eulerian tour (or circuit).
- If  $G$  has an Eulerian tour, then  $G$  is simply said to be Eulerian.

Example:

- The walk  $W = 1 \sim 2 \sim 3 \sim 6 \sim 4 \sim 1 \sim 6 \sim 5$  is an Eulerian trail of the graph  $G$  below. However,  $G$  has no Eulerian tour.

allows to repeat vertices



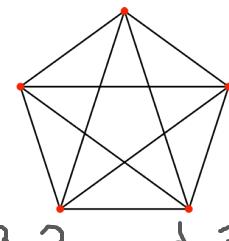
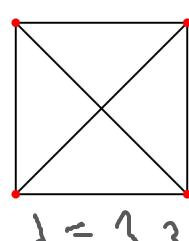
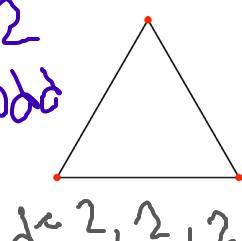
hit every edge exactly once

$$\delta = 1, 2, 2, 2, 3, 4$$

- The graphs  $K_3$  and  $K_5$  are Eulerian, but  $K_4$  is not.

tour: all degrees even

trail: exactly 2 degrees odd

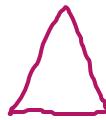


$$\delta = 2, 2, 2$$

$$\delta = 3, 3, 3, 3$$

$$\delta = 4, 4, 4, 4, 4$$

*cannot be  
both at the same  
time*



*G is Eulerian  
b/c no edges  
connect to vertices*

### Necessary Conditions for Eulerian Trails/Tours

*Lemma: necessary*

a) If  $G$  is Eulerian, then  $G$  has at most one nontrivial component.

b) If  $G$  has an Eulerian trail, then it has at most two vertices of odd degree.

c) If  $G$  has an Eulerian trail that begins at a vertex  $x$  and ends at a vertex  $y$  ( $x \neq y$ ), then vertices  $x$  and  $y$  have odd degree. (See converse below) *(video)*

d) If  $G$  is Eulerian, then all vertices of  $G$  have even degree. (See converse below)

e) If  $G$  is a connected Eulerian graph, then  $G$  has an Eulerian tour that begins and ends at any vertex.

### Necessary and Sufficient Conditions for Eulerian Trails/Tours

*Theorem: A graph  $G$  has a Eulerian tour if and only if it is connected and every vertex has even degree.*

*Assuming no isolated edges*

Proof: (p. 392) The previous lemma proves the forward direction  $\Rightarrow$ . We prove the reverse direction  $\Leftarrow$  (converse):

1. Construct a closed walk  $C = x_0 \sim x_1 \sim \dots \sim x_N$  that starts and ends at a vertex  $x_0$  but does not repeat any edge. This is possible since every vertex has even degree.
2. Delete the edges of  $C$  from  $G$  to obtain a graph  $G' = (V, E')$  of smaller size.
3. Let  $K_0, K_1, \dots, K_k$  be the connected components of  $G'$ . Every vertex in each component  $K_i$  has even degree. Moreover,  $K_i$  contains a vertex  $x_{n_i}$  of  $C$ . If there is more than one such vertex, choose  $n_i$  to be the smallest index. Moreover, assume  $x_0 = x_{n_0}$  belongs to  $K_0$ .
4. By (strong) induction, each  $K_i$  has a Eulerian tour  $T_i$  that starts and ends at  $x_{n_i}$ .
5. A Eulerian tour  $T$  of  $G$  can now be constructed as follows:
  - (i) Start at  $x_0$  and take the Eulerian tour of the connected component containing  $x_0$ . Walk to  $x_1$  (along  $C$ ).
  - (ii) If  $x_1$  is NOT in the connected component of  $x_0$  (with respect to  $G'$ ), then take the Eulerian tour of the connected component containing  $x_1$ . Walk to  $x_2$  (along  $C$ ).
  - (iii) Repeat for each vertex  $x_n$ . If  $x_n$  is not any of the connected components containing  $x_0, x_1, \dots, x_{n-1}$ , then take the Eulerian tour of the connected component containing  $x_n$ . Walk to  $x_{n+1}$  (along  $C$ ).
  - (iv) Stop when we reach  $x_0$  again.
6. We claim that  $T$  includes every edge of  $G$  but does not repeat any edge.

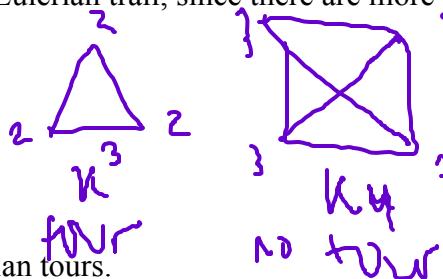
**Theorem:** A graph  $G$  has a Eulerian trail that begins at vertex  $x$  and ends at vertex  $y$  if and only if all vertices have even degree except for  $x$  and  $y$ , which have odd degree.

Proof: (p. 393) We prove only reverse direction  $\Leftarrow$  (converse) since the forward direction  $\Rightarrow$  was proven in the previous lemma.

1. Add the edge  $e' = xy$  to  $G$  to obtain a graph  $G'$ . Then every vertex of  $G'$  has even degree.
2. By the previous theorem,  $G'$  has a Eulerian tour  $T'$  that starts and ends at say  $x$ .
3. Now delete the edge  $e'$  from  $T'$  to obtain a Eulerian trail  $T$  of  $G$ .

Example: The theorem above shows that the Seven Bridges of Konisburg problem has no solution, namely there is no Eulerian tour and no Eulerian trail, since there are more than 2 vertices of odd degree.

Exercise: For which values of  $n$  is  $K_n$  Eulerian?



### Finding Eulerian Tours

Here is a constructive algorithm for finding Eulerian tours.

ALGORITHM for finding an Eulerian Tour of a Graph  $G(V, E, x_0)$  that starts and ends at  $x_0$ .

// Assumptions:  $G$  is a connected graph whose vertices all have even degree.

- ```

(1)   y = any vertex adjacent to  $x_0$ 
(2)   W = CreateWalk( $x_0, y$ )
(3)   RemoveEdge( $x_0, y, E$ )
(4)   While ( $y \neq x_0$ )
      (5)       x = y
      (6)       y = any vertex adjacent to x
      (7)       AppendToWalk(W, y)
      (8)       RemoveEdge(x, y, E)
    (9)   W1 = W
(10)  For (x in W)
    (11)    While (Degree(x, E) > 0)
        (12)      W2 = FindEulerianTour(V, E, x)
        (13)      SpliceWalks(W1, x, W2)
(14)  return W1

```

### Hamiltonian Paths and Cycles

**Definition:** (Hamiltonian paths/cycles) Let  $G$  be a graph.

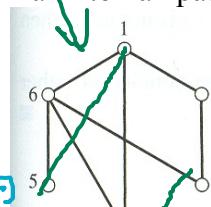
- d) A path in  $G$  that traverses every vertex exactly once is called a *Hamiltonian path*.
- e) A cycle in  $G$  that traverses every vertex exactly once is called a *Hamiltonian cycle*. *when circuit begins & ends at same vertex*
- f) If  $G$  has a Hamiltonian cycle, then  $G$  is simply said to be *Hamiltonian*.

Simple  
vertex  
16

cycle:  $5 \sim 1 \sim 2 \sim 3 \sim 4 \sim 6 \sim 5$

To find cycle, it's  
inefficient

Example: Does the graph below have a Hamiltonian path or a Hamiltonian cycle?



Not required to visit every edge  
status  
here since I didn't

$5 \sim 6 \sim 4 \sim 1 \sim 2 \sim 3$   
→ not a cycle  
but 5 has 1 line

Exercise: For which values of  $n$  does the complete graph  $K_n$  have a Hamiltonian path? Which ones have a Hamiltonian cycle?

**Dirac's Theorem:** Let  $G$  be a simple graph with  $v$  vertices where  $v \geq 3$ . If every vertex of  $G$  has degree at least  $v/2$ , then  $G$  has a Hamiltonian cycle.

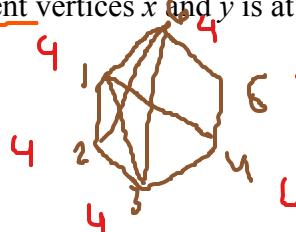
Proof: (p. 398) (Contradiction)

- Suppose on the contrary that there exists a graph  $G_1$  with no Hamiltonian cycle and each vertex has degree at least  $v/2$ .
- Observe that if we start adding edges to  $G_1$  one at a time, then the degree of each vertex can only increase. Moreover, at some point we will obtain a graph that does have a Hamiltonian cycle. This is true because we will eventually reach a complete graph.
- Suppose we now add edges one at a time in such a way that we obtain a graph  $G_2$  with the following property: adding ANY further edge to  $G_2$  gives a graph that has Hamiltonian cycle, but  $G_2$  itself does NOT have a Hamiltonian cycle. We call  $G_2$  maximal in this regard.
- Let  $x = x_1$  and  $y = x_v$  be two non-adjacent vertices in  $G_2$ . Then adding the edge  $x_1x_v$  to  $G_2$  yields a Hamiltonian cycle, say  $x_1 \sim x_2 \sim x_3 \sim \dots \sim x_v \sim x_1$ . But then  $x_1 \sim x_2 \sim x_3 \sim \dots \sim x_v$  is a Hamiltonian path for  $G_2$ .
- Given any vertex  $x_i$  adjacent to  $x_1$ , we claim that  $x_{i-1}$  is NOT adjacent to  $x_v$ . Otherwise, the walk  $x_1 \sim x_2 \sim x_{i+1} \sim \dots \sim x_v \sim x_{i-1} \sim x_{i-2} \sim \dots \sim x_1$  would be a Hamiltonian cycle for  $G_2$ .
- By assumption,  $x = x_1$  has degree  $d(x) \geq v/2$ , which means it is adjacent to at least  $v/2$  of the vertices  $x_2, x_3, \dots, x_{v-1}$ .
- It follows that  $y = x_v$  is non-adjacent to at least  $v/2$  vertices, but if we include  $y$  itself, then  $v/2 + 1$ . Thus, the maximum number of vertices that  $y$  is adjacent to equals
$$d(y) = v - (v/2 + 1) = v/2 - 1 < v/2$$
- But this contradicts the fact every vertex of  $G_2$ , including  $y$ , has degree  $d(y) \geq v/2$ .

NOTE: Dirac's Theorem can be extended by only requiring that the degrees of  $x$  and  $y$  sum to  $v$ .

**Ore's Theorem:** Let  $G$  be a simple graph with  $v$  vertices where  $v \geq 3$ . If  $G$  has the property that the sum of the degrees of any two non-adjacent vertices  $x$  and  $y$  is at least  $v$ , i.e.  $d(x) + d(y) \geq v$ , then  $G$  has a Hamiltonian cycle.

6 doesn't meet Dirac  
but satisfies Ore's



$$d(5) + d(2)$$

$$4 + 2 = 6 \geq 6$$

## Other Topics in Graph Theory

### 1. Finding Shortest Paths (Spanning Tree)

Dijkstra's Algorithm: [https://en.wikipedia.org/wiki/Dijkstra's\\_algorithm](https://en.wikipedia.org/wiki/Dijkstra's_algorithm)

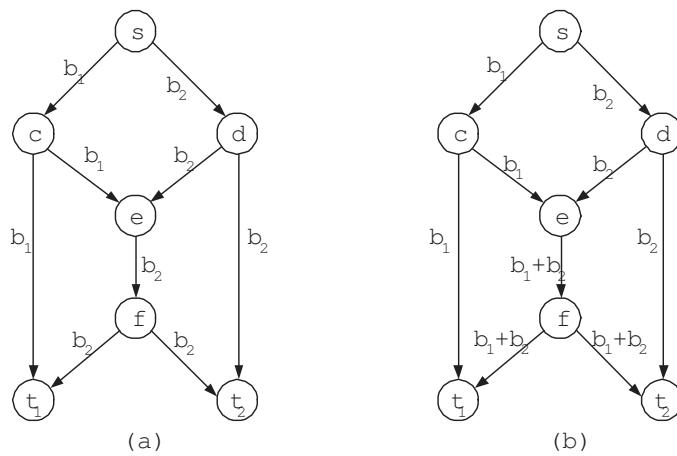
Example: <http://www.di-mgt.com.au/docs/dijkstra.pdf>

how Google Maps work  
(see handout 15)

### 2. Network Coding

<http://iest2.ie.cuhk.edu.hk/~whyeung/post/thesis/Ngai.pdf>

Butterfly network



### 3. Traveling Salesman Problem

Wikipedia: The **travelling salesman problem (TSP)** asks the following question: Given a list of cities and the distances between each pair of cities, what is the shortest possible route that visits each city exactly once and returns to the origin city?

difficulty: NP-hard problem

shortest Hamiltonian cycle

- Nearest-neighbor (greedy) algorithm: yields a path that is 25% longer on average than the shortest path
- Christofides algorithm: yields a path that is less than 1.5 times the shortest path (no more than 50%)

doesn't always work (somewhat often)

Recent progress:

Jan 2013: [Computer Scientists Find New Shortcuts for Infamous Traveling Salesman Problem](#)

marginal improvements