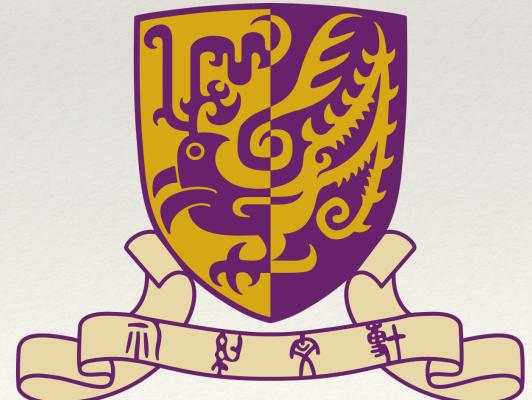


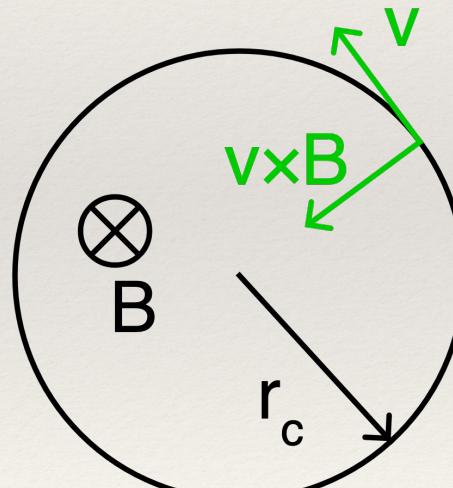
## 2.1 Cosmic ray propagation

- ❖ PHYS 5562 Topics in Theoretical Physics (Astroparticle Physics Module)
- ❖ Kenny CY Ng
- ❖ [kcyng@cuhk.edu.hk](mailto:kcyng@cuhk.edu.hk)
- ❖ Sci Cen North Black 345
- ❖ CUHK
- ❖ Course webpage: <https://blackboard.cuhk.edu.hk>



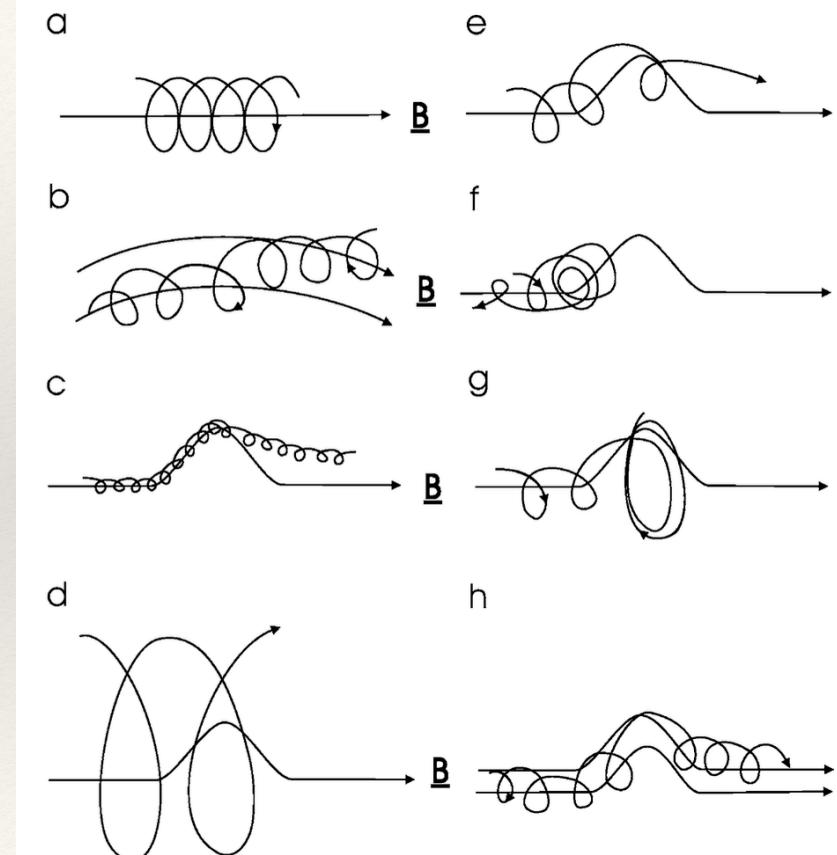
# Cosmic Ray arrival directions

- ❖ Cosmic rays arrive more or less isotropically
  - ❖ => their directions are “isotropized” during propagation
- ❖ Lorentz force
- ❖  $\frac{d\vec{p}}{dt} = q(\vec{E} + \vec{v} \times \vec{B})$
- ❖ Neutrality => Electric fields are zero
- ❖ Under constant and perpendicular B-field
- ❖ Lamor Radius (Gyro-radius)
- ❖  $r_g = \frac{p_\perp}{|q|B}$
- ❖  $r_g \simeq 3.3\text{m} \left( \frac{E}{\text{GeV}} \right) \left( \frac{e}{|q|} \right) \left( \frac{1\text{T}}{B} \right)$



# Magnetic Irregularities

- ❖ a) Particles moves in a spiral in uniform field.
- ❖ b) If there is a field gradient, particles may move across field line.
- ❖ Let's consider magnetic irregularities with scale length  $l_B$
- ❖ c) If  $r_g \ll l_B$ , the particle will mostly follow the field line, and have a chance to drift off.
- ❖ d) If  $r_g \gg l_B$ , the irregularities are ignored.
- ❖ e, f, g) If  $r_g \sim l_B$ , then it is possible that the particle's trajectories are significantly deflected.
- ❖ This will allow us to approximate cosmic-ray propagation as a diffusion problem.



# Diffusion equation (1D)

Longair

❖ Consider  $n = \frac{dN}{dxdE}$

❖  $\frac{d}{dt} (ndxdE) = -(\phi_{x+dx} - \phi_x) dE - (\phi_{E+dE} - \phi_E) dx + (\text{Source})dxdE$

❖  $\phi_x$  : “number of particles / (dE)/(time)” flowing from (x) to (x+dx)

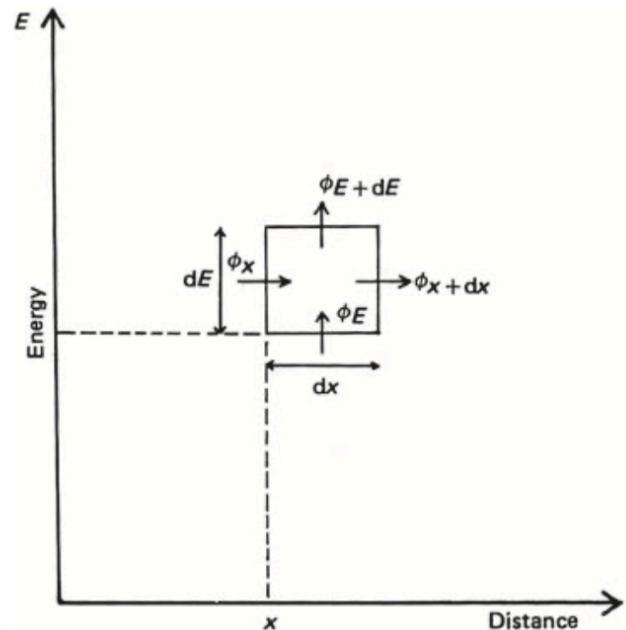
❖  $\phi_E$  : “number of particle / (dx)/(time)” flowing from (E) to (E+dE)

❖  $\phi_x = V \frac{dN}{dxdE}$ , this is our 1D differential “flux”

❖ “V” is the **net** speed of the particles

❖ This is macroscopic flux flowing through volume

## 7.5 The diffusion-loss equation for high energy particles



# Diffusion equation (1D -> 3D)

- ❖ Consider  $n = \frac{dN}{dxdE}$

- ❖  $\frac{d}{dt} (ndxdE) = -(\phi_{x+dx} - \phi_x) dE - (\phi_{E+dE} - \phi_E) dx + (\text{Source})dxdE$

- ❖  $\frac{d}{dt} (ndxdE) = -\frac{\partial \phi_x}{\partial x} dxdE - \frac{\partial \phi_E}{\partial E} dxdE + (\text{Source})dxdE$

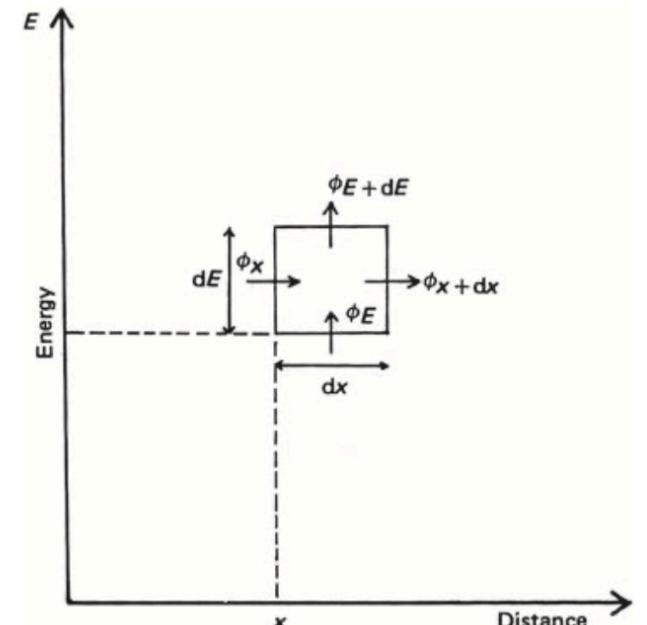
- ❖  $dx, dE$  are just coordinates

- ❖  $\frac{d}{dt} n = -\frac{\partial \phi_x}{\partial x} - \frac{\partial \phi_E}{\partial E} + Q$

- ❖  $\frac{\partial}{\partial t} n = -\nabla \cdot \vec{\phi} - \frac{\partial \phi_E}{\partial E} + Q$  generalising to 3D,  $n = \frac{dN}{d^3xdE}$

You may recognise the look of a continuity equation

## 7.5 The diffusion-loss equation for high energy particles



# Diffusion equation

❖ Let's look at  $\phi_x$  ,  $\phi_y$  ,  $\phi_z$

❖ Now goes to microscopic picture

❖ (Feynman lecture: diffusion)

❖ Particles travel a “**mean free path [ l ]**”, then scatter

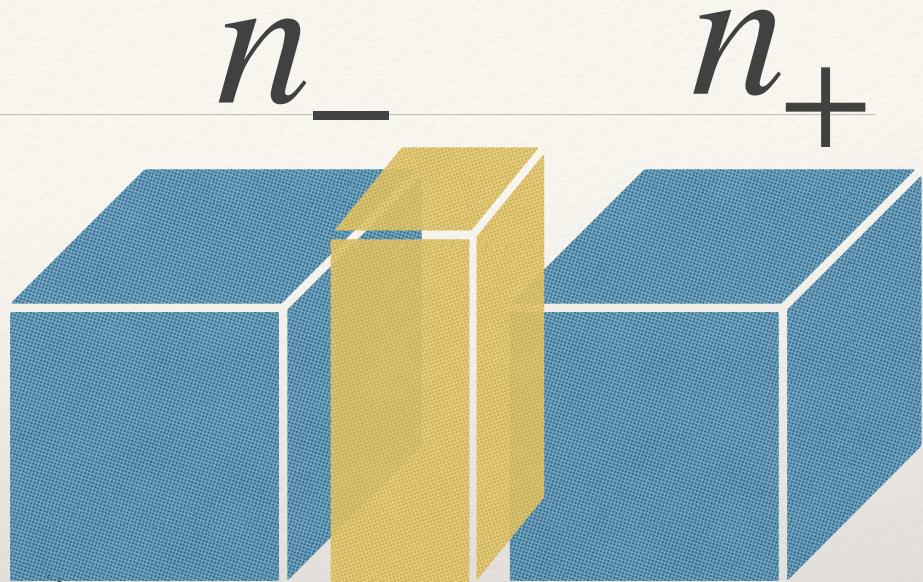
❖ There can only be non-zero  $\phi_x$  , when there is a difference in number density

❖ Net flow of particles  $\phi_x = (n_- - n_+) v$

❖ “v” is the microscopic particle velocity

❖  $\phi_x = (n_- - n_+) v \simeq -\frac{dn}{dx} \Delta x v \simeq -\frac{dn}{dx} \ell v \equiv -D \frac{dn}{dx}$  , *Phenomenologically, Fick's law*

❖  $\vec{\phi} = -D \vec{\nabla} n$  (3D)

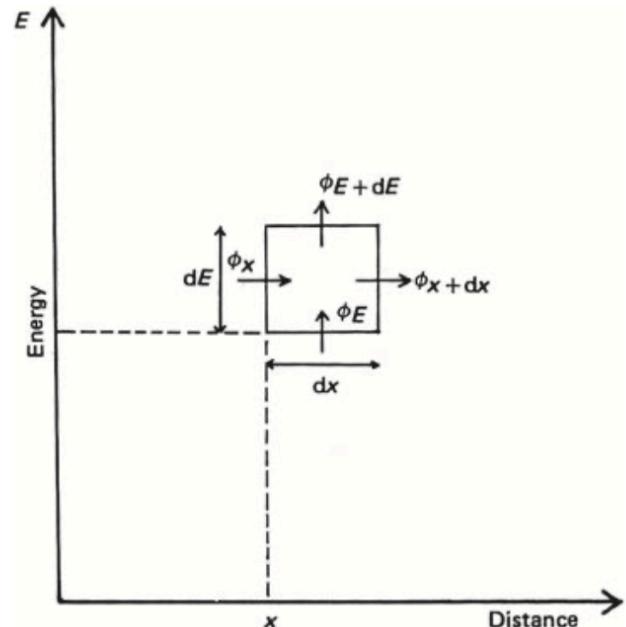


# Diffusion equation

- ❖  $\frac{\partial}{\partial t}n = -\nabla \cdot \vec{\phi} - \frac{\partial \phi_E}{\partial E} + Q$
- ❖  $\phi_E$ : “number of particle /  $(dx)/(time)$ ” flowing from  $(E)$  to  $(E+dE)$
- ❖ For each particle with energy  $E$ , if their energy change with time “continuously”
  - ❖ (The continuous energy loss approximation)
- ❖  $\phi_E = \frac{dN}{dxdE} \frac{dE}{dt} = (3D) = n \frac{dE}{dt}$

 This is like energy wind

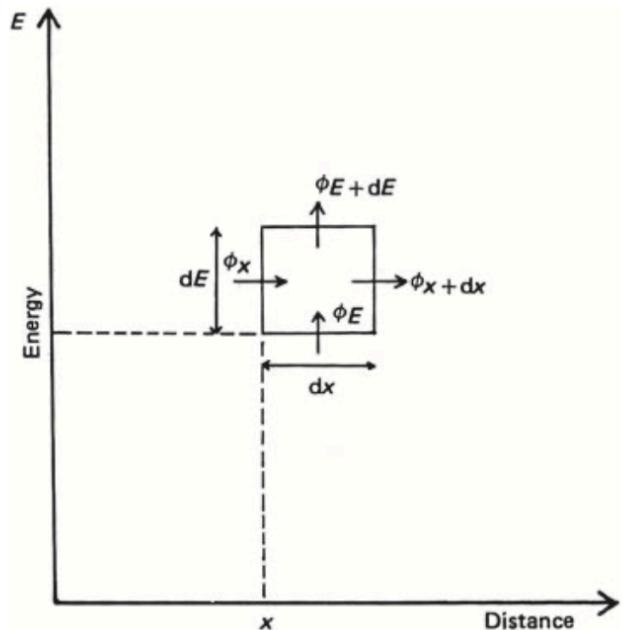
## 7.5 The diffusion-loss equation for high energy particles



# Diffusion equation

- ❖  $\frac{\partial}{\partial t} n = - \nabla \cdot \vec{\phi} - \frac{\partial \phi_E}{\partial E} + Q$
- ❖  $\vec{\phi} = - D \vec{\nabla} n$
- ❖  $\phi_E = n \frac{dE}{dt} = n \dot{E}$
- ❖  $\frac{\partial}{\partial t} n = \nabla \cdot (D \vec{\nabla} n) - \frac{\partial}{\partial E} (n \dot{E}) + Q,$  if  $D$  is position independent
- ❖  $\frac{\partial}{\partial t} n = D \nabla^2 n - \frac{\partial}{\partial E} (n \dot{E}) + Q$
- ❖ **Diffusion-loss equation** (or I like to call “them” Boltzmann eqn.)
- ❖ We have considered (spatial diffusion), (continuously energy loss), (source / particle injection)
- ❖ Add more terms when there are more effects

## 7.5 The diffusion-loss equation for high energy particles



# Diffusion-Convection equation

- ❖  $\frac{\partial}{\partial t} n = - \nabla \cdot \vec{\phi} - \frac{\partial \phi_E}{\partial E} + Q$
- ❖ Cosmic rays can experience convection due to moving magnetic fields
  - ❖ (Solar modulation as an example)
- ❖  $\vec{\phi} = -D \vec{\nabla} n + \vec{V} n$ , where  $\vec{V}$  here is the convection speed. (See page 4)
- ❖  $\frac{\partial}{\partial t} n = \nabla \cdot (D \vec{\nabla} n - \vec{V} n) - \frac{\partial}{\partial E} (n \dot{E}) + Q,$

If you are curious, see here  
<https://articles.adsabs.harvard.edu/pdf/1978Ap%26SS..58...21G>

- ❖ There is also an momentum loss term due to convection, which we state without proof.
  - ❖ Imagine the particles are moving in a cell away from you

$$\dot{p} = -\frac{1}{3}(\vec{\nabla} \cdot \vec{V})p$$

# Cosmic Ray propagation

- ❖ In practice, solved numerically with many effects, empirical relations taken into account, and by matching observations.

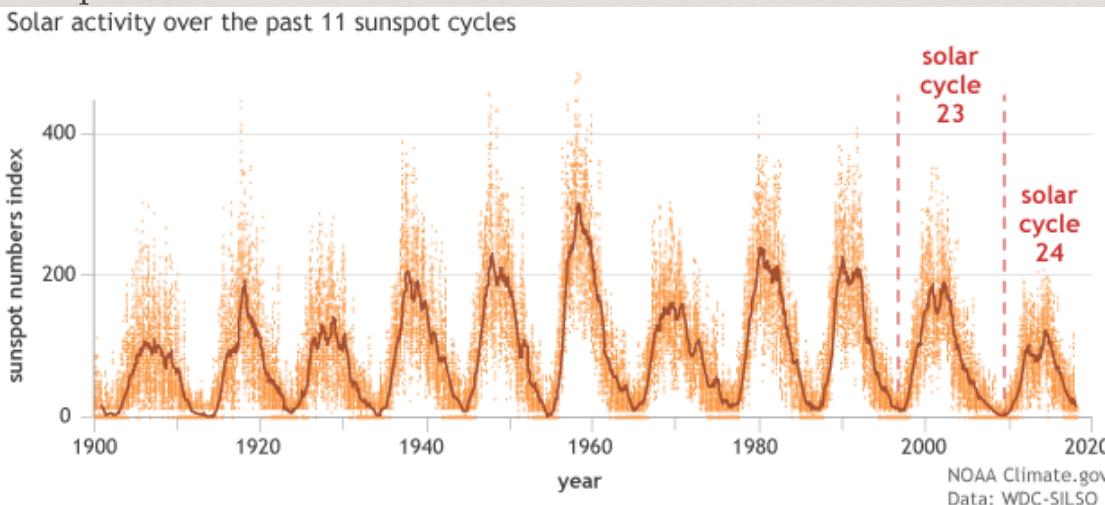
The screenshot shows the homepage of the GALPROP website. At the top, there's a navigation bar with links for CODE, WEBRUN, FORUM, RESOURCES, PUBLICATIONS, CONTACTS, and BUGS?. Below the navigation bar, there's a search bar and a login/register section. The main content area features a banner for 'studies of cosmic rays and galactic diffuse gamma-ray emission' and a section titled 'The GALPROP code for cosmic-ray transport and diffuse emission production'. This section contains a detailed description of the code's purpose and capabilities, mentioning its use for calculating the propagation of relativistic charged particles and diffuse emissions. A news box on the right side of this section displays a message about the release of version 57 of the code.

The screenshot shows the GitHub profile page for the 'cosmicrays' organization. It features a dark theme. At the top, there's a 'Sign up' button and a GitHub logo. Below that, the organization name 'cosmicrays' is displayed next to a small icon. The main content area shows a repository named 'DRAGON' with a colorful logo. The repository details include 'Diffusion Reacceleration and Advection of Galactic cosmic rays: an Open New code', 9 followers, a link to the GitHub page (<https://github.com/cosmicrays/>), and an email address (daniele.gaggero@uam.es). Below the repository details, there are tabs for Overview, Repositories (4), Projects, Packages, People (6), Popular repositories, and People.

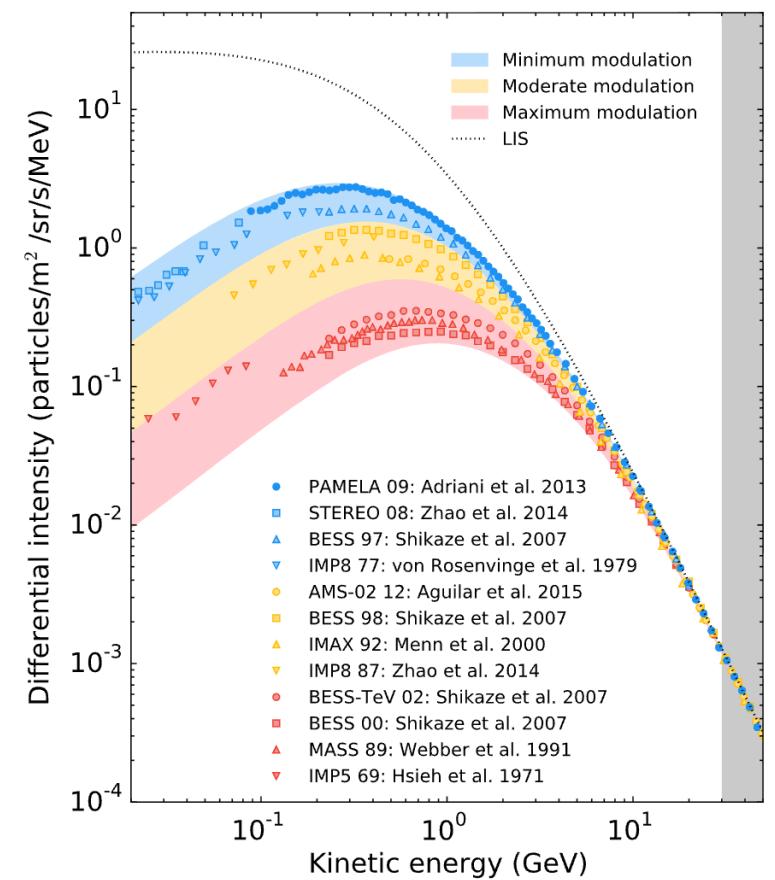
# Cosmic Rays in the solar system Solar Modulation

# Solar modulation of cosmic rays

- ❖ For galactic cosmic rays, it is well known that they are affected by the Sun below 10 GeV.
- ❖ The Sun has a  $\sim 11$  year cycle.
- ❖ More cosmic rays arrive when the Sun is less active
- ❖ Less cosmic rays arrive when the Sun is more active
- ❖ LIS = Local Interstellar Spectrum,
- ❖ CR spectrum without solar modulation

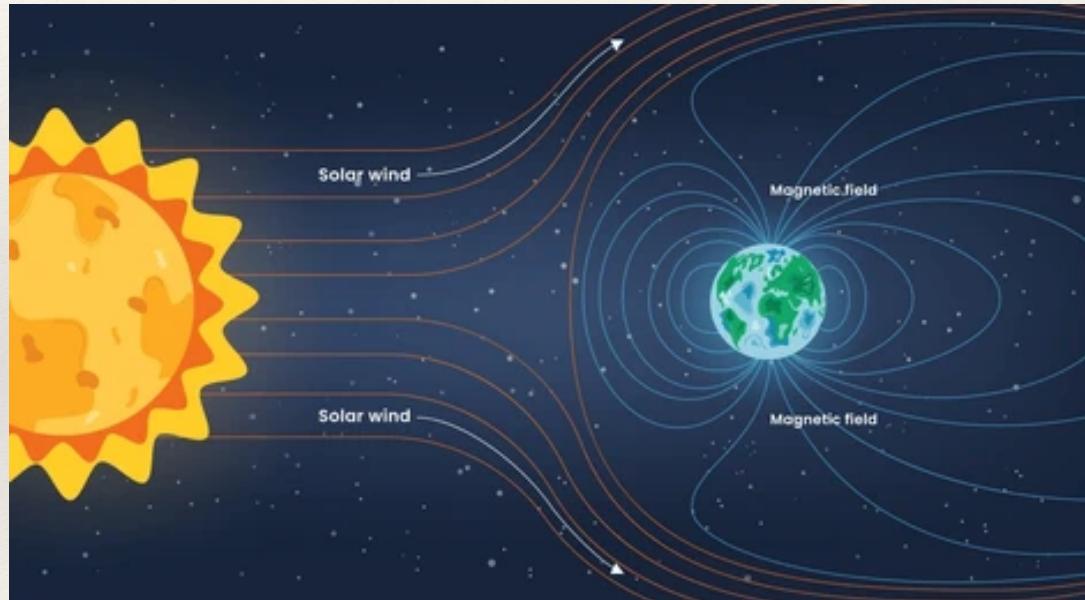


THE ASTROPHYSICAL JOURNAL, 815:119 (8pp), 2015 December 20



# Solar Wind

- ❖ Streams of charged particles flowing from the Sun
  - ❖ (Low energy solar cosmic rays)
- ❖ They carry magnetic fields together with them
  - ❖ (Imagine magnetic fields in super conductors)



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# Rigidity

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- ❖ Recall
  - ❖ Lamor Radius (Gyro-radius)
  - ❖  $r_g = \frac{p_\perp}{|q|B}$
  - ❖  $r_g \simeq 3.3\text{m} \left( \frac{E}{\text{GeV}} \right) \left( \frac{e}{|q|} \right) \left( \frac{1\text{T}}{B} \right)$
- ❖ Because magnetic propagation only cares about momentum/charge
- ❖ It is convenient to define rigidity as
  - ❖  $R = \frac{p}{q}$
- ❖ In this variable, different particle species have the same trajectory

# cosmic rays propagating in the solar system

$$\diamond \frac{\partial}{\partial t} n = \nabla \cdot (D \vec{\nabla} n - \vec{V} n) - \frac{\partial}{\partial E} (n \dot{E}) + Q$$

$$\diamond \dot{p} = -\frac{1}{3}(\vec{\nabla} \cdot \vec{V})p$$

❖ First change to momentum space, by redefining “n”

$$\diamond n = \frac{dN}{d^3x dp}$$

$$\diamond \frac{\partial}{\partial t} n = \nabla \cdot (D \vec{\nabla} n - \vec{V} n) - \frac{\partial}{\partial p} (n \dot{p}) + Q$$

$$\diamond \dot{p} = -\frac{1}{3}(\vec{\nabla} \cdot \vec{V})p$$

❖ Simply our assumptions

❖ Steady state solution

$$\diamond \frac{\partial}{\partial t} n = 0$$

❖ No sources / sinks

❖ Only consider Galactic CR

$$\diamond Q = 0$$

❖  $D$  is position independent.

# Flux, Phase Space density, number density

- ❖ Number of particles per phase space

$$\diamond f = \frac{dN}{d^3pd^3x}$$

- ❖ Differential number density of particles, e.g.,

$$\diamond n = \frac{dN}{dpd^3x} = 4\pi p^2 f$$

- ❖ Note that sometimes “n” is simply the number density, need to pay attention to context. Writing  $n(p), n(E), n(KE)$  definitely means differential
- ❖ Differential Flux/Intensity: number of particles per area per time per solid angle per energy
- ❖ Consider particles moving isotropically in a region, and you are sitting in the middle.

❖  $I = \frac{vn}{4\pi}$ , where “v” is the speed of the particles. For relativistic particles, it is the speed of light.

Updated Sept 14

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$$\diamond 0 = \nabla \cdot (D \vec{\nabla} n - \vec{V} n) - \frac{\partial}{\partial p} (n \dot{p})$$

$$\diamond \dot{p} = -\frac{1}{3}(\vec{\nabla} \cdot \vec{V})p$$

$$\diamond \Rightarrow \nabla \cdot (D \vec{\nabla} n - \vec{V} n) + \frac{\partial}{\partial p} \left( \frac{1}{3}(\vec{\nabla} \cdot \vec{V})pn \right) = 0$$

$\diamond$  Now consider the “Phase space density”

$$\diamond f = \frac{dN}{d^3p d^3x} = \frac{1}{4\pi p^2} \frac{dN}{dp d^3x} = \frac{1}{4\pi p^2} n \Rightarrow n = 4\pi^2 p^2 f$$

$\diamond$

$$\diamond 0 = \nabla \cdot (D \vec{\nabla} n - \vec{V} n) - \frac{\partial}{\partial p} (n \dot{p})$$

$$\diamond \dot{p} = -\frac{1}{3}(\vec{\nabla} \cdot \vec{V})p$$

$$\diamond \Rightarrow \nabla \cdot (D \vec{\nabla} n - \vec{V} n) + \frac{\partial}{\partial p} \left( \frac{1}{3}(\vec{\nabla} \cdot \vec{V})pn \right) = 0$$

$\diamond$  Now consider the "Phase space density"

$$\diamond f = \frac{dN}{d^3pd^3x} = \frac{1}{4\pi p^2} \frac{dN}{dpd^3x} = \frac{1}{4\pi p^2} n \Rightarrow n = 4\pi^2 f$$

$$\diamond \Rightarrow \nabla \cdot (D \vec{\nabla} p^2 f - \vec{V} p^2 f) + \frac{\partial}{\partial p} \left( \frac{1}{3}(\vec{\nabla} \cdot \vec{V})p^3 f \right) = 0$$

$$\diamond \Rightarrow \nabla \cdot (D \vec{\nabla} p^2 f) - (\nabla \cdot \vec{V})p^2 f - \vec{V} \cdot p^2 \vec{\nabla} f + (\nabla \cdot \vec{V})p^2 f + \frac{1}{3}(\vec{\nabla} \cdot \vec{V})p^3 \frac{\partial f}{\partial p} = 0$$

$$\diamond \Rightarrow \nabla \cdot (D \vec{\nabla} p^2 f) - \vec{V} \cdot p^2 \vec{\nabla} f + \frac{1}{3}(\vec{\nabla} \cdot \vec{V})p^3 \frac{\partial f}{\partial p} = 0$$

$$\diamond \Rightarrow \nabla \cdot (D \vec{\nabla} f) - \vec{V} \cdot \vec{\nabla} f + \frac{1}{3}(\vec{\nabla} \cdot \vec{V})p \frac{\partial f}{\partial p} = 0$$

$\diamond$  (Historical Force Field approximation), trying to get the total divergence

$$\diamond \Rightarrow \nabla \cdot (D \vec{\nabla} f) - \vec{V} \cdot \vec{\nabla} f + \frac{1}{3}(\vec{\nabla} \cdot \vec{V})p \frac{\partial f}{\partial p} + \frac{1}{3}\vec{V}p \frac{\partial}{\partial p} \nabla f = 0$$

$$\diamond \Rightarrow \nabla \cdot (D \vec{\nabla} f) + \vec{\nabla} \cdot \left( \frac{1}{3}\vec{V}p \frac{\partial f}{\partial p} \right) = 0$$

L.J. Gleeson, W.I. Axford, *Astrophys. J.* **154**, 1011 (1968)  
 L.J. Gleeson, I.A. Urch, *Astrophys. Space Sci.* **11**, 288 (1971)  
 L.J. Gleeson, I.A. Urch, *Astrophys. Space Sci.* **25**, 387 (1973)  
 L.J. Gleeson, G.M. Webb, *Astrophys. Space Sci.* **58**, 21 (1978)

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$$\diamond \Rightarrow \nabla \cdot (D \vec{\nabla} f) + \vec{\nabla} \cdot (\frac{1}{3} V p \frac{\partial f}{\partial p}) = 0$$

$\diamond$  Integrate both sides, and set integration constant to be zero

$\diamond$  Consider Spherical coordinate, and  $V = V_r$

$$\diamond D \frac{\partial f}{\partial r} + \frac{Vp}{3} \frac{\partial f}{\partial p} = 0$$

$\diamond$  This implies

$$\diamond df(r, p) = \frac{\partial f}{\partial r} dr + \frac{\partial f}{\partial p} dp = 0, \text{ with } \frac{dp}{dr} = \frac{Vp}{3D}$$

---

❖  $df(r, p) = \frac{\partial f}{\partial r}dr + \frac{\partial f}{\partial p}dp = 0$ , with  $\frac{dp}{dr} = \frac{Vp}{3D}$

- ❖ This means that  $f(r_1, p_1) = f(r_2, p_2)$ , the phase space density is the same in two places.
- ❖ Recall  $n = 4\pi p^2 f$ , where  $n$  is the number density, and flux  $I = vn/(4\pi) = vp^2 f$ .
- ❖ Here  $v$  is the particle velocity
- ❖ Consider cosmic rays travel from the interstellar space, let's call it the
  - ❖ Local Interstellar Spectrum (LIS), we have

❖  $f(r_{Sun}, p) = f(r_{lis}, p_{lis}) = f(p_{lis})$ , thus

❖ 
$$\frac{I(p)}{vp^2} = \frac{I(p_{lis})}{v_{lis}p_{lis}^2}$$

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- ❖  $f(r_{Sun}, p) = f(r_{lis}, p_{lis}) = f(p_{lis})$ , thus

$$\frac{I(p)}{vp^2} = \frac{I(p_{lis})}{v_{lis}p_{lis}^2}$$

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- ❖ Consider  $\frac{dp}{dr} = \frac{Vp}{3D}$

- ❖ Historically, it is assumed that  $D = \beta D_r(r) D_p(p)$  is separable, and  $\beta = v/c$

- ❖ Then we have

- ❖  $\int_{P_1}^{P_2} \frac{\beta D_p}{p} dp = \int_{r_1}^{r_2} \frac{V(r)}{3D_r} dr \equiv \phi$

- ❖ If we further assume  $D_p \propto p$  and  $\beta \simeq 1$

- ❖ Then  $\phi = P_2 - P_1$

- ❖  $\phi$  is called the modulation potential, and is considered as the momentum (or rigidity) loss as charged particles propagate in solar system from interstellar space to us, against the solar wind.

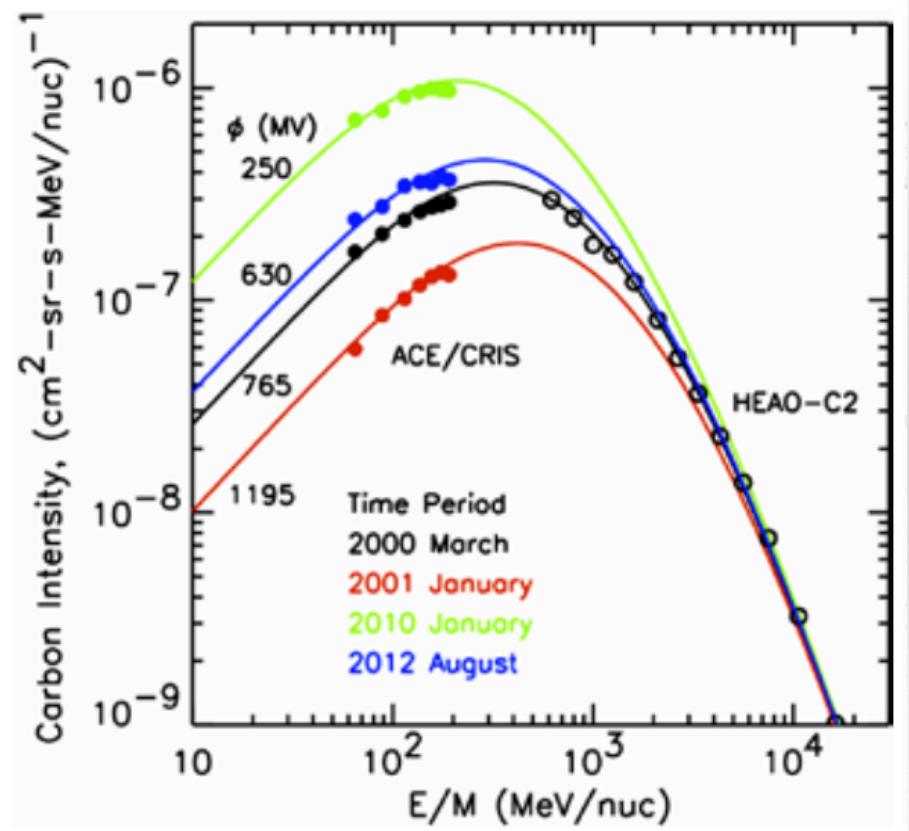
- ❖  $f_{sun}(p) = f(p_{lis} = p + \phi)$

$$\frac{I(p)}{vp^2} = \frac{I_{LIS}(p + \phi)}{v_{lis}(p + \phi)^2}$$

- ❖ If you change variable to energy, a more common form (Homework)

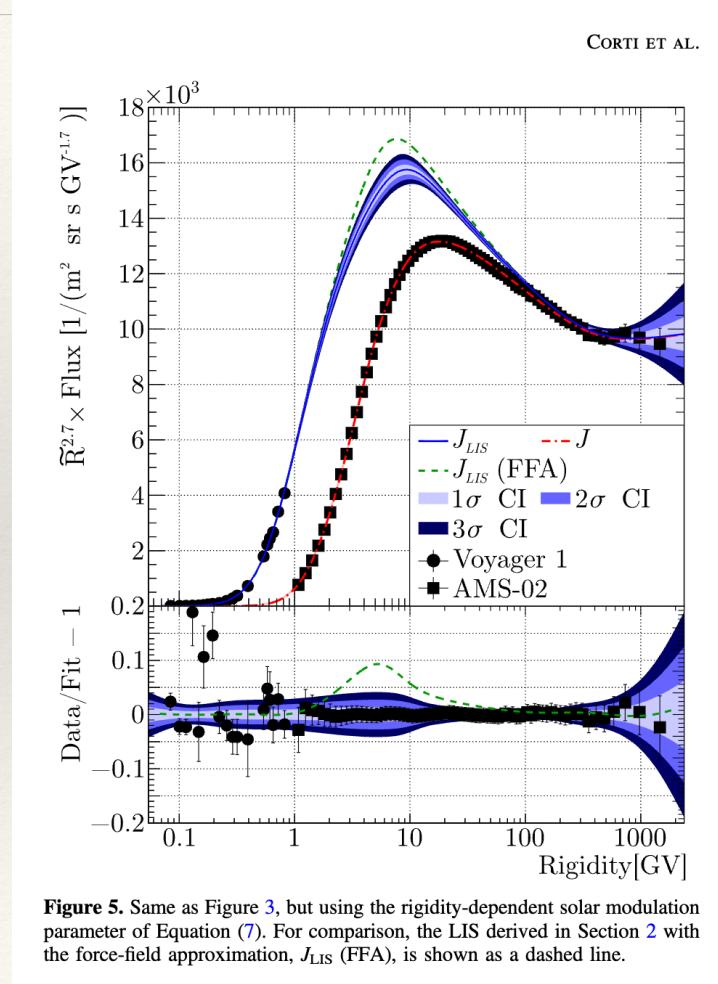
$$J_{Earth}(E) = J_{LIS}(E + \Phi) \frac{(E^2 - m^2)}{(E + \Phi)^2 - m^2},$$

- ❖ (Note  $\Phi$  here is energy loss)
- ❖ Extremely simple model, but works quite well
- ❖ Of course, for extremely, precise application, people would solve the full propagation equation.



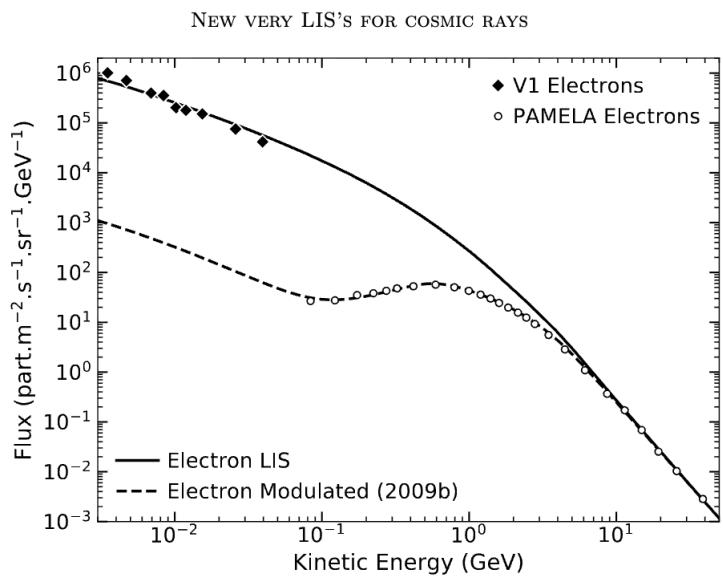
# Local Interstellar spectrum

- ❖ De-modulating to get the actual CR spectrum in space

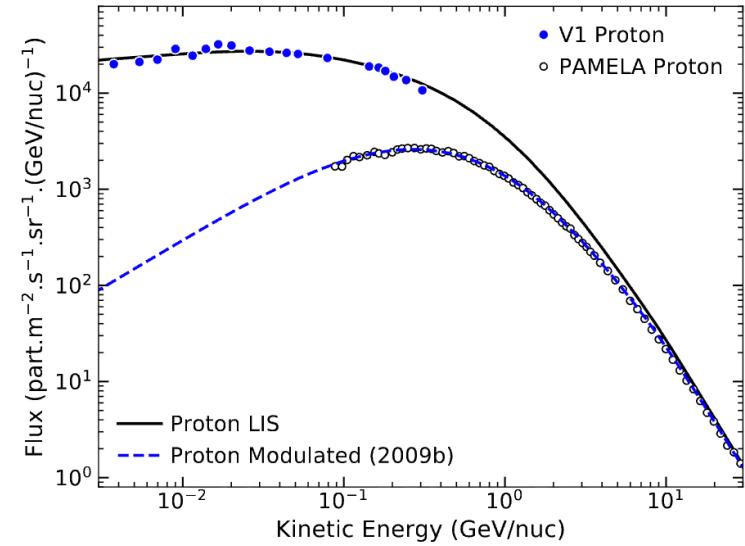


# Local Interstellar spectrum

- ❖ De-modulating to get the actual CR spectrum in space



7

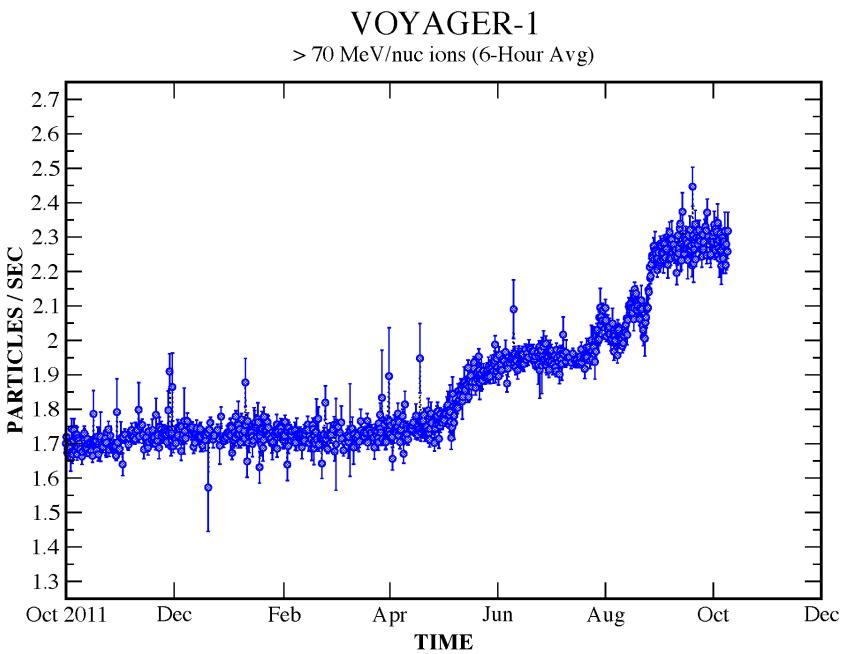


**Figure 3.** Computed electron LIS (solid black curve) and the corresponding modulated electron spectrum at the Earth (dashed black curve) compared to the V1 electron observations at 122 AU ([Cummings et al. 2016](#)) and PAMELA observations at the Earth (1AU) for the second half of 2009 ([Adriani et al. 2015](#)).

**Figure 5.** Computed proton LIS (solid black curve) and the computed modulated proton spectrum at the Earth (dashed black curve) compared to the V1 ([Stone et al. 2013](#)) and PAMELA observations for 2009b ([Adriani et al. 2013b](#)).

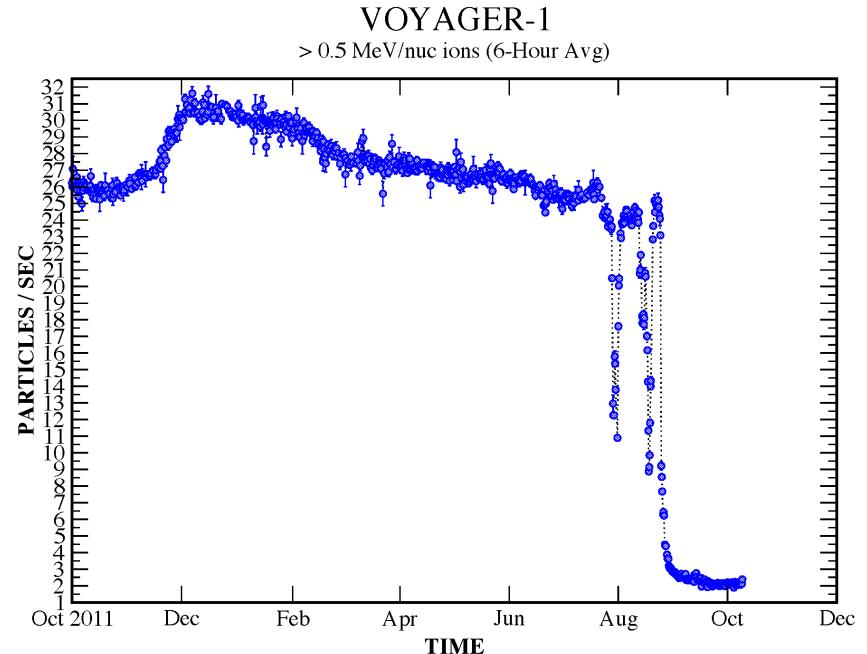
# Voyager 1

❖ Cosmic rays



Generated:  
Wed Oct 10 14:16:23 2012

❖ Solar winds



Generated:  
Wed Oct 10 14:16:24 2012

# Cosmic Rays in the Milky Way

## The leaky box

# Let's try to solve it

- ❖ By aggressively simplify the problem.

$$\frac{\partial}{\partial t} n = D \nabla^2 n - \frac{\partial}{\partial E} (n \dot{E}) + Q$$

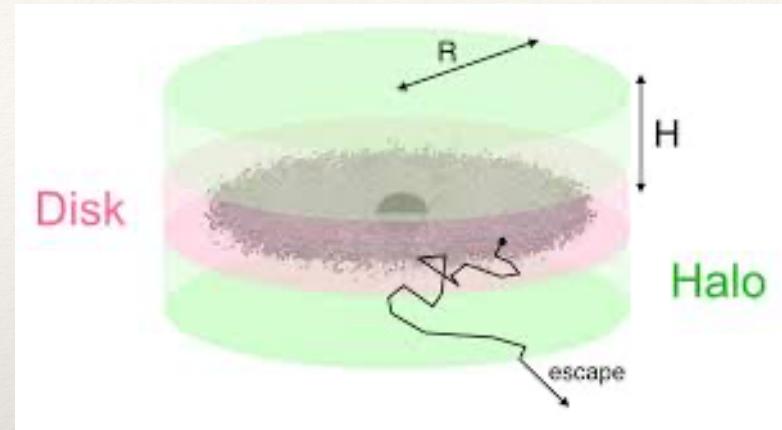
$$\text{❖ Equilibrium } \left( \frac{\partial}{\partial t} n = 0 \right)$$

- ❖ No energy loss,  $\dot{E} = 0$

- ❖ This is a good approximation for cosmic ray protons

$$\text{❖ } D \nabla^2 n \rightarrow - \frac{n}{T_{esc}(E)} \text{ [ Leaky Box approximation ]}$$

- ❖ Assume a cosmic-ray halo, such that cosmic rays are sufficiently diffused, and have a constant density inside the halo
  - ❖ + cosmic rays slowly leak out of the halo



# Leaky Box Model

- ❖ By aggressively simplify the problem.

$$\frac{\partial}{\partial t} n = D \nabla^2 n - \frac{\partial}{\partial E} (n \dot{E}) + Q$$

❖ =>

$$\frac{n}{T_{esc}} = Q$$

❖ =>

$$n = Q(E) \times T_{esc}(E)$$

❖ So a source term of  $Q(E) = Q_0 E^{-\alpha}$  and escape term  $T_{esc} = T_0 E^{-\delta}$

❖ Would be sufficient to explain the cosmic-ray data.

❖ **But both the normalization and spectral index are degenerate**

