

UNIT - III

ADVANCED COUNTING TECHNIQUES

STATING NUMBER OF SECOND KIND

Is the number of ways / partitions of set of size n into m non empty subsets.

OR

Is the number of possible ways to assign n objects into m identical places / boxes with no place kept empty.

Denoted by $S(m, n)$

- Properties of SNOOK

$$(1) S(m, m) = 1 \quad \forall m \geq 1$$

$$(2) S(m, 1) = 1 \quad \forall n \geq 1$$

RECURRANCE RELATION OF STERLING NUMBER OF SECOND KIND

If m objects are kept in n -identical places with $m \geq n$ then
sterling number of second kind in recurrence relation form is
 $S(m, n) = S(m-1, n-1) + n S(m-1, n)$

Proof

Let $a_1, a_2, a_3, \dots, a_n$ are m -distinct objects. Then
 $S(m, n)$ is the counts of no of ways in which these
 m -objects can be assigned to n -identical places (boxes).

Next, keeping a_m^{th} object in n^{th} place, we have
 $S(m-1, n-1)$ no of way of assigning $(m-1)$ objects in
 $(n-1)$ places (it includes the a_m^{th} object in n^{th} boxes)
And assigning a_1, a_2, \dots, a_{m-1} objects in n -places is
 $S(m-1, n)$ and assigning a_m^{th} object has n -possibilities.

$\therefore n S(m-1, n)$ way are there for this distribution.
 \therefore Total no of ways of assigning m -objects in n -places

$$S(m, n) = S(m-1, n-1) + n S(m-1, n)$$

TABLE CONSISTING OF STERLING NUMBER OF SECOND KIND

$m=1$

$$S(1, 1)$$

$m=2$

$$S(2, 1) \quad S(2, 2)$$

$m=3$

$$S(S_3, 1) \quad S(3, 2) \quad S(3, 3)$$

$m=4$

$$S(4, 1) \quad S(4, 2) \quad S(4, 3) \quad S(4, 4)$$

$m=5$

$$S(5, 1) \quad S(5, 2) \quad S(5, 3) \quad S(5, 4) \quad S(5, 5)$$

GENERAL FORMULA TO FIND STERLING NUMBER OF SECOND KIND

If m -objects are kept in n -identical places, we have the following formula to calculate, sterling number of second kind

$$S(m, n) = \frac{1}{n!} \sum_{k=0}^n {}^n C_k \frac{(n-k)^m}{k}$$

GENERAL FORMULA TO FIND NUMBER OF ONTO FUNCTIONS

Let A and B are two finite sets with $n(A)=m$ and $n(B)=n$, where $m \geq n$, then number of onto functions from A to B is denoted by $P(m, n)$ and given by

$$P(m, n) = n! S(m, n)$$

OR

$$P(m, n) = \sum_{k=0}^n (-1)^k {}^n C_k (n-k)^m$$

Examples

① Evaluate

(i) $S(6, 4)$

$$\rightarrow S(6, 4) = \frac{1}{4!} m=6, n=4$$

∴ By Sterling no of 2nd kind

$$S(m, n) = \frac{1}{n!} \sum_{k=0}^n (-1)^k {}^n C_k (n-k)^m$$

$$S(6, 4) = \frac{1}{4!} \sum_{k=0}^4 (-1)^k {}^4 C_k (4-k)^6$$

$$S(6, 4) = 65$$

(ii) $S(8, 5)$

$$\rightarrow m=8, n=5$$

By Sterling no of 2nd kind

$$S(8, 5) = \frac{1}{5!} \sum_{k=0}^5 (-1)^k {}^5 C_k (5-k)^8$$

$$S(8, 5) = 1050$$

② Find the number of ways of assigning 10 students in 4 identical classrooms.

$$\rightarrow \text{No of students} = 10$$

$$\therefore m=10$$

$$\text{No of identical classes} = 4$$

$$\therefore n=4$$

∴ No of ways of assigning 10 students in 4 identical classes is

$$S(10, 4) = \frac{1}{4!} \sum_{k=0}^4 (-1)^k {}^4 C_k (4-k)^{10}$$

$$= 34105$$

③ A chemist who has 5 assistants is engaged in a research project that calls for 9 compounds that must be synthesized. How many ways can chemist assign these to the 5 assistants so that each is working on at least one synthesis?

$$\rightarrow \text{No of Compounds need to be synthesized} = 9 \quad \therefore m=9 \\ \text{No of assistant are } 5 \quad \therefore n=5$$

\therefore By general formula for onto function

$$S(m,n) = \sum_{k=0}^n (-1)^k nC_k (n-k)^m$$

$$= \sum_{k=0}^5 (-1)^k 5C_k (5-k)^9$$

$$= 834120$$

④ There are 6 programmers who can assist 8 executives. In how many ways can the executive be assisted so that each programmer can assist atleast one executive.

$$\rightarrow m=8 \quad n=6$$

$$P(8,6) = \sum_{k=0}^6 (-1)^k 6C_k (6-k)^8$$

$$= 191520$$

⑤ If A and B are two finite sets with $n(A)=5$ and $n(B)=3$ then no of onto functions from A to B

$$\rightarrow n(A) = m = 5$$

$$n(B) = n = 3$$

By General formula for no of onto functions,

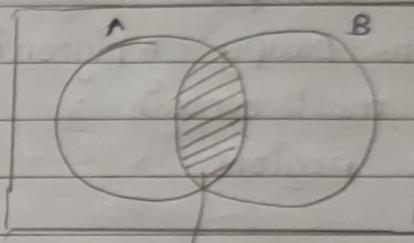
$$P(m,n) = \sum_{k=0}^n (-1)^k nC_k (n-k)^m$$

$$P(5,3) = \sum_{k=0}^3 \cdot (-1)^k \cdot 3^k (3-k)^5$$

$$= 150$$

PRINCIPLE OF INCLUSION & EXCLUSION

U



AnB

$$n(A \cup B) = n(A) + n(B) - n(A \cap B)$$

$$n(A \cup B \cup C) = n(A) + n(B) + n(C) - n(A \cap B) - n(B \cap C) - n(C \cap A) \\ + n(A \cap B \cap C)$$

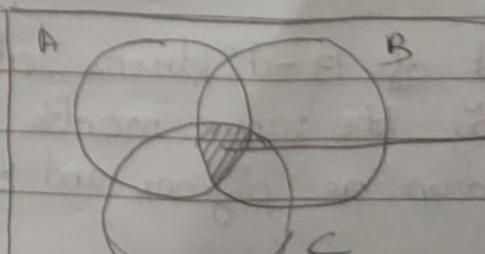
Let A and B be any two sets. Then the number of elements in union of A and B is the sum of the number of elements in A and B minus the number of elements in intersection of A and B.

$$\text{i.e } n(A \cup B) = n(A) + n(B) - n(A \cap B)$$

similarly, A, B and C are any 3 sets.

Then the number of elements in union of A, B and C is

$$n(A \cup B \cup C) = n(A) + n(B) + n(C) - n(A \cap B) - n(B \cap C) - n(C \cap A) \\ + n(A \cap B \cap C)$$



$$(A \cap B \cap C)$$

PIGEONHOLE PRINCIPLE

Statement : If m -pigeon occupy n -pigeonhole and $m > n$
 OR then 2 or more pigeon occupy the same pigeonhole.
 If m -pigeon occupy n -pigeonhole and $m > n$ then atleast one pigeon hole must contain two or more pigeons in it.

Generalized Pigeonhole Principle.

Statement: If m -pigeons occupy n -pigeon holes then atleast one of the pigeonhole must contain :

$$\left[\frac{m-1}{n} \right] + 1 \text{ or more pigeons}$$

Where, $[]$ stands for greatest integer function. Step function

Proof

We will prove this theorem by the method of contradiction.
 Suppose each pigeonhole contains at most $\left[\frac{m-1}{n} \right]$ number of pigeons

\therefore Total number of pigeons in n -pigeonhole is,

$$n \cdot \left[\frac{m-1}{n} \right] \leq n \left(\frac{m-1}{n} \right) = m-1$$

\therefore Total no of pigeon in n -pigeonhole are less than or equal to $(m-1)$ pigeons.

This contradicts the fact that there are m -pigeon.

\therefore At least one pigeonhole must contain $\left[\frac{m-1}{n} \right] + 1$ or more pigeons.

This completes the proof.

① Prove that in a set of 13 - children atleast two have the birthday having the same month.

→ Consider, 13 - children as pigeons and 12-months as pigeonholes

$$m=13 \text{ and } n=12$$

By generalized pigeonhole principle, at least one month has $\lceil \frac{m-1}{n} \rceil + 1$ or more birthdays of children.

$$\therefore \lceil \frac{m-1}{n} \rceil + 1$$

$$= \lceil \frac{13-1}{12} \rceil + 1$$

$$= \lceil \frac{12}{12} \rceil + 1$$

$$= 2$$

- ② If 7 cars carry 26 passenger, then prove that at least one car must have 4 or more passenger.

Given that, $m=26, n=7$

\therefore By generalized pigeonhole principle, at least one car must carry $\lceil \frac{m-1}{n} \rceil + 1$ or more passenger.

$$\therefore \lceil \frac{m-1}{n} \rceil + 1$$

$$\Rightarrow \lceil \frac{26-1}{7} \rceil + 1 = \lceil \frac{25}{7} \rceil + 1 = \lceil 3.57 \rceil + 1 = 3 + 1 = 4$$

- ③ What should be the minimum number of students; that at least two students have their last name starts with the same English letter.

Given that there are 26 English alphabets.

$$\therefore n=26, m=?$$

Also given that at least 2 students last name starts with same English letter.

\therefore By generalized pigeonhole principle,
i.e $\lceil \frac{m-1}{n} \rceil + 1 = 2$

$$\left[\frac{m-1}{26} \right] \geq 2-1 \Rightarrow$$

$$m-1 \geq 26$$

$$m \geq 27$$

- ④ Find the least number of ways of choosing 3 different numbers from 1 to 10, so that all choices have the same sum.
- There are ${}^{10}C_3$ ways of choosing 3 different numbers from 1 to 10.

$$\therefore {}^{10}C_3 = \frac{10!}{3!(10-3)!} = \frac{10 \times 9 \times 8 \times 7!}{3 \times 2 \times 1 \times 7!} = 120$$

$$m=120$$

The smallest sum we get by choosing 1, 2, 3 = 6

and largest sum we get by choosing 8, 9, 10 = 27

- The sum of 3 numbers vary from 6 to 27 and there are 22 in number.
- i. n = 22

∴ By using generalized pigeonhole principle atleast one number [from 6 to 27] is the sum of atleast $\left[\frac{m-1}{n} \right] + 1$ or more choices.

$$\left[\frac{m-1}{n} \right] + 1 = \left[\frac{120-1}{22} \right] + 1 = \left[\frac{119}{22} \right] + 1 = \left[5.4 \right] + 1 = 5 + 1 = 6$$

∴ Atleast 6 choices have the same sum.

RECURRENCE RELATION

A recurrence relation for the sequence $\{a_n\}$ (or $a_0, a_1, a_2, \dots, a_n, \dots$) is an equation that expresses a_n in terms of one or more of the previous terms of the sequence, namely $a_0, a_1, a_2, \dots, a_{n-1}$ for all integers $n \geq 0$.

Solution of Recurrence Relation

A sequence $\{a_n\}$ (or $a_0, a_1, a_2, \dots, a_n, \dots$) is called solution of a recurrence relation, if its terms satisfy the recurrence relation.

Example:

The sequence $0, 1, 1, 2, 3, 5, \dots$ is the solution of recurrence relation

$$a_n = a_{n-1} + a_{n-2}$$

Note: A recurrence relation

$$a_{n+2} + 2a_{n+1} - 3a_n = 0$$

can also be written as

$$y_{n+2} + 2y_{n+1} - 3y_n = 0$$

OR

$$U_{n+2} + 2U_{n+1} - 3U_n = 0$$

OR

$$f(x+2) + 2f(x+1) - 3f(x) = 0$$

Order of a recurrence relation

The order of a recurrence relation is the difference between the largest and smallest subscript appearing in the recurrence relation.

Example: Order of a recurrence relation

$$a_{n+2} + 2a_{n+1} - 3a_n = 0 \text{ is } n+2 - n = 2$$

Linear Recurrence Relation with Constant Co-efficients.

The linear recurrence relation with constant co-efficients of order k is of the form,

$$c_0 a_n + c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} = f(n) \quad \text{--- (1)}$$

where, $f(n)$ is the function of variable n only
 $c_0, c_1, c_2, \dots, c_k$ are the constants.

Homogeneous and Non-Homogeneous linear recurrence relation.

A linear recurrence relation,

$c_0 a_n + c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} = f(n)$ is said to be homogeneous if $f(n) = 0$ Otherwise non-homogeneous linear recurrence relation.

GENERATING FUNCTIONS

Let $\{a_n\} = (a_0, a_1, a_2, \dots)$ be a sequence of real numbers.

Then generating function of $\{a_n\}$ is denoted by $G(a, z)$ and defined by,

$$G(a, z) = a_0 + a_1 z + a_2 z^2 + \dots = \sum_{n=0}^{\infty} a_n z^n$$

where z is a variable.

Some Standard Generating Functions

(i) If $\{a_n\}$ is a sequence and $a_n = c + n \geq 0$ where c is a constant then find generating function of $\{a_n\}$

Let $\{a_n\} = \{a_0, a_1, a_2, \dots\}$

Given that $a_n = c + n \geq 0$

∴ By Generating function

$$G(a, z) = \sum_{n=0}^{\infty} a_n z^n$$

$$= \sum_{n=0}^{\infty} c z^n$$

$$= c \sum_{n=0}^{\infty} z^n$$

$$= c [1 + z + z^2 + \dots]$$

$$G(a, z) = \boxed{\frac{c}{1-z}}$$

$$\left[\because \frac{1}{1-x} = 1+x+x^2+\dots \right]$$

(ii) If $\{a_n\}$ is a sequence and $a_n = b^n \forall n \geq 0$, then find generating function of $\{a_n\}$

$$\rightarrow \text{let } \{a_n\} = \{a_0, a_1, a_2, \dots\}$$

Given that $a_n = b^n \forall n \geq 0$

By Generating function

$$G(a, z) = \sum_{n=0}^{\infty} a_n z^n$$

$$= \sum_{n=0}^{\infty} b^n z^n$$

$$= 1 + bz + b^2 z^2 + b^3 z^3 + \dots$$

$$= 1 + bz + (bz)^2 + (bz)^3 + \dots$$

$$G(a, z) = \boxed{\frac{1}{1-bz}}$$

Note: If $\{a_n\}$ is a sequence and $a_n = cb^n \forall n \geq 0$ then

$$G(a, z) = \boxed{\frac{c}{1-bz}}$$

(iii) If $\{a_n\}$ is a sequence and $a_n = n \forall n \geq 0$, then

find generating function of $\{a_n\}$

$$\rightarrow \text{Let } \{a_n\} = \{a_0, a_1, a_2, \dots\}$$

Given that $a_n = n \forall n \geq 0$

By Generating Function,

$$G(a, z) = \sum_{n=0}^{\infty} a_n z^n$$

$$= \sum_{n=0}^{\infty} nz^n.$$

$$= 0 + z + 2z^2 + 3z^3 + \dots$$

$$= z [1 + 2z + 3z^2 + \dots]$$

$$\left[\because \frac{1}{(1-z)^2} = 1 + 2z + 3z^2 + \dots \right]$$

$$G(a, z) = \frac{z}{(1-z)^2}$$

Note If $\{a_n\}$ is a sequence and $a_n = n+1, \forall n \geq 0$ then

$$G(a, z) = \frac{1}{(1-z)^2}$$

S No	Sequence $\{a_n\}$	Generating Function $G(a, z)$
1	$a_n = c, n \geq 0$	$\frac{c}{1-z}$
2	$a_n = b^n, n \geq 0$	$\frac{1}{1-bz}$
3	$a_n = cb^n, n \geq 0$	$\frac{c}{1-bz}$
4	$a_n = n, n \geq 0$	$\frac{z}{(1-z)^2}$
5	$a_n = n+1, n \geq 0$	$\frac{1}{(1-z)^2}$

Consider a homogeneous linear recurrence relation.

$$c_0 a_n + c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} = 0, \forall n \geq k \quad (1)$$

Solution of (1) involves following steps.

STEP 1: Multiply both side of equation (1) by z^n and take a summation from k to ∞

STEP 2: Write each term in the form of $G(a, z)$

STEP 3: Solve $G(a, z)$ by using standard generating functions for the sequence

Example

1 Solve the homogeneous linear recurrence relation $a_n = 3a_{n-1} - 2a_{n-2}$ for $n \geq 2$ given that $a_0 = 5$ $a_1 = 3$ by using generating function.

$$\rightarrow \text{let } a_n = 3a_{n-1} - 2a_{n-2} \quad \forall n \geq 2 \\ a_n - 3a_{n-1} + 2a_{n-2} = 0 \quad (1)$$

Put $n=2$ in (1) and use $a_1 = 1$ $a_2 = 3$.

$$a_2 - 3a_1 + 2a_0 = 0$$

$$2a_0 = a_2 - 3a_1$$

$$2a_0 = 3 - 3(5) - 3$$

$a_0 = 6$

$$2a_0 = 12$$

Multiply z^n on both the side of eqn (1) & take summation from $n=2$ to ∞

$$\sum_{n=2}^{\infty} (a_n - 3a_{n-1} + 2a_{n-2}) z^n = 0$$

$$\sum_{n=2}^{\infty} a_n z^n - 3 \sum_{n=2}^{\infty} a_{n-1} z^n + 2 \sum_{n=2}^{\infty} a_{n-2} z^n$$

$$\Rightarrow \sum_{n=2}^{\infty} a_n z^n + a_0 + a_1 z - a_0 - a_1 z$$

$$\Rightarrow -3 \sum_{n=2}^{\infty} a_{n-1} z^{n-1} \cdot z + 2 \sum_{n=2}^{\infty} a_{n-2} z^{n-2} z^2 = 0$$

$$\Rightarrow \sum_{n=0}^{\infty} a_n z^n - a_0 - a_1 z - 3z \left[\sum_{n=2}^{\infty} a_{n-1} z^{n-1} + a_0 - a_0 \right] + 2z^2 \sum_{n=2}^{\infty} a_{n-2} z^{n-2} = 0$$

$$\Rightarrow \sum_{n=0}^{\infty} a_n z^n - 6 - 5z - 3z \left[\sum_{n=0}^{\infty} a_n z^n - 6 \right] + 2z^2 \sum_{n=0}^{\infty} a_n z^n = 0$$

$$\Rightarrow G(a, z) - 6 - 5z - 3z [G(a, z) - 6] + 2z^2 G(a, z) = 0$$

$$\Rightarrow G(a, z) [1 - 3z + 2z^2] = 6 + 5z - 18z$$

$$\Rightarrow G(a, z) [2z^2 - 2z - z + 1] = 6 - 13z$$

$$\Rightarrow G(a, z) [2z(z-1) - 1(z-1)] = 6 - 13z$$

$$\Rightarrow G(a, z) = \frac{6 - 13z}{(2z-1)(z-1)} \quad \text{--- } ②$$

∴ By Partial Function.

$$\frac{6 - 13z}{(2z-1)(z-1)} = \frac{A}{z-1} + \frac{B}{2z-1} \quad \text{--- } ③$$

$$6 - 13z = (2z-1)A + (z-1)B \quad \text{--- } ④$$

Put $z = 1$ in ④.

$$6 - 13 = (2-1)A$$

$$\boxed{A = -7}$$

Put $z = \frac{1}{2}$ in ⑤

$$\frac{6 - 13}{2} = \left(\frac{1}{2} - 1\right)B$$

$$-\frac{B}{2} = -\frac{1}{2}$$

$$\boxed{B = 1}$$

∴ Equation ② becomes

$$G(a, z) = \frac{-7}{z-1} + \frac{1}{2z-1}$$

$$\begin{aligned} G(a, z) &= \frac{-7}{-(1-z)} + \frac{1}{-(1-2z)} \\ &= \frac{7}{1-z} - \frac{1}{1-2z} \end{aligned}$$

∴ By standard generating function

$G(a, z)$.

$$\boxed{a_n = 7 - 2^n}$$

2 Solve homogeneous linear recurrence relation $a_n = 2a_{n-1} - a_{n-2}$

Given that $a_1 = 1$ & $a_2 = 4$ by generating function

→ let $a_n = 2a_{n-1} - a_{n-2}$ — ①

$$a_n - 2a_{n-1} + a_{n-2} = 0 \quad \text{— ①}$$

put $n=2$ in ①, and $a_1 = 1$ & $a_2 = 4$

$$a_2 - 2a_1 + a_0 = 0$$

$$a_0 = 2a_1 - a_2$$

$$a_0 = 2 - 4$$

$$\boxed{a_0 = -2}$$

Multiply z^n on both the sides of equation ① and take summation from $n=2$ to ∞

$$\sum_{n=2}^{\infty} (a_n - 2a_{n-1} + a_{n-2}) z^n = 0$$

$$\sum_{n=2}^{\infty} a_n z^n - \sum_{n=2}^{\infty} 2a_{n-1} z^n + \sum_{n=2}^{\infty} a_{n-2} z^n = 0$$

$$\sum_{n=2}^{\infty} a_n z^n - 2 \sum_{n=2}^{\infty} a_{n-1} z^{n-1} + \sum_{n=2}^{\infty} a_{n-2} z^{n-2} z^2 = 0$$

$$\sum_{n=2}^{\infty} a_n z^n - 2z \sum_{n=2}^{\infty} a_{n-1} z^{n-1} + z^2 \sum_{n=2}^{\infty} a_{n-2} z^{n-2} = 0$$

$$\underline{\sum_{n=2}^{\infty} a_n z^n} + a_0 + a_1 z - a_0 - a_1 z - 2z \left[\sum_{n=2}^{\infty} a_{n-1} z^{n-1} + a_0 - a_0 \right] + z^2 \sum_{n=2}^{\infty} a_{n-2} z^{n-2}$$

$$\sum_{n=0}^{\infty} a_n z^n - a_0 - a_1 z - 2z \left[\sum_{n=0}^{\infty} a_n z^n - a_0 \right] + z^2 \sum_{n=0}^{\infty} a_n z^n = 0$$

$$\sum_{n=0}^{\infty} a_n z^n + 2 - z - 2z \left[\sum_{n=0}^{\infty} a_n z^n + 2 \right] + z^2 \sum_{n=0}^{\infty} a_n z^n = 0$$

$$\sum_{n=0}^{\infty} a_n z^n \left[1 - 2z + z^2 \right] + 2 - z - 4z = 0$$

$$\sum_{n=0}^{\infty} a_n z^n \left[1 - 2z + z^2 \right] = 5z - 2$$

$$\sum_{n=0}^{\infty} a_n z^n \left[(z-1)^2 \right] = 5z - 2$$

$$\sum_{n=0}^{\infty} a_n z^n = \frac{5z - 2}{(z-1)^2} \quad \text{--- } ②$$

\therefore By Partial Fraction

$$\frac{5z - 2}{(z-1)^2} = \frac{A + Bz}{(1-z)^2} + \frac{B}{(1-z)^2} \quad \text{--- } ③$$

$$= 5z - 2 = (1-z)BA + B \quad \text{--- } ④$$

put $z = 1$ in ⑤.

$$5 - 2 = B$$

$$\boxed{B = 3}$$

put $z = 0$ in ⑤.

$$-2 = A + B$$

$$A = -2 - 3$$

$$\boxed{A = -5}$$

∴ Eqn ② becomes.

$$\sum_{n=0}^{\infty} a_n z^n = \frac{-5}{(1-z)} + \frac{3}{(1-z)^2}$$

By standard generating function,

$$a_n = -5 + 3(n+1)$$

$$a_n = -5 + 3n + 3$$

$$\boxed{a_n = 3n - 2}$$

SOLUTION OF NON-HOMOGENEOUS LINEAR RECURRENCE RELATION BY USING GENERATING FUNCTIONS

① Solve non-homogeneous linear recurrence relation

$a_{n+2} - 2a_{n+1} + a_n = 2^n \quad \forall n \geq 0$ given that $a_0 = 2$ and,

$a_1 = 1$ by generating function

→ Let- $a_{n+2} - 2a_{n+1} + a_n = 2^n \quad \forall n \geq 0$ — ①

Multiply 2^n on both sides of eqn ① & take summation from

$n=0$ to ∞

$$\sum_{n=0}^{\infty} (a_{n+2} - 2a_{n+1} + a_n) 2^n = \sum_{n=0}^{\infty} 2^n z^n$$

$$\sum_{n=0}^{\infty} a_{n+2} z^n - 2 \sum_{n=0}^{\infty} a_{n+1} z^n + \sum_{n=0}^{\infty} a_n z^n = \frac{1}{1-2z}$$

$$\sum_{n=0}^{\infty} a_{n+2} z^{n+2} z^{-2} - 2 \sum_{n=0}^{\infty} a_{n+1} z^{n+1} z^{-1} + \sum_{n=0}^{\infty} a_n z^n = \frac{1}{1-2z}$$

$$\Rightarrow \frac{1}{z^2} \left[\sum_{n=0}^{\infty} a_{n+2} z^{n+2} + a_0 + a_1 z - a_0 - a_1 z \right]$$

$$- \frac{2}{z} \left[\sum_{n=0}^{\infty} a_{n+1} z^{n+1} + a_0 - a_0 \right] + \sum_{n=0}^{\infty} a_n z^n = \frac{1}{1-2z}$$

$$\Rightarrow \frac{1}{z^2} \left[\sum_{n=0}^{\infty} a_n z^n - a_0 - a_1 z \right] - \frac{2}{z} \left[\sum_{n=0}^{\infty} a_n z^n - a_0 \right] + \sum_{n=0}^{\infty} a_n z^n = \frac{1}{1-2z}$$

$$\Rightarrow \frac{1}{z^2} \left[\sum_{n=0}^{\infty} a_n z^n - 2 - z \right] - \frac{2}{z} \left[\sum_{n=0}^{\infty} a_n z^n - 2 \right] + \sum_{n=0}^{\infty} a_n z^n = \frac{1}{1-2z}$$

$$\Rightarrow \left[\sum_{n=0}^{\infty} a_n z^n - 2 - z \right] - 2z \left[\sum_{n=0}^{\infty} a_n z^n - 2 \right] + z^2 \sum_{n=0}^{\infty} a_n z^n = \frac{z^2}{1-2z}$$

$$\Rightarrow G(a, z) - 2 - z - \frac{2}{z} z G(a, z) + 4z + z^2 G(a, z) = \frac{z^2}{1-2z}$$

$$\Rightarrow G(a, z) [1-2z+z^2] - 2 + 3z = \frac{z^2}{1-2z}$$

$$\Rightarrow G(a, z) [1-2z+z^2] = \frac{z^2 + 2-3z}{1-2z}$$

$$\Rightarrow G(a, z) = \frac{z^2}{1-2z} [(z-1)^2] = \frac{z^2}{1-2z} + (1-2z)(2-3z)$$

$$\Rightarrow G(a, z) (z-1)^2 = \frac{z^2 + 2-3z + 4z + 6z^2}{1-2z}$$

$$\Rightarrow G(a, z) (z-1)^2 = \frac{7z^2 - 2z + 2}{1-2z}$$

$$\Rightarrow G(a, z) = \frac{7z^2 - 7z + 2}{(z-1)^2 (1-2z)} \quad \text{--- (2)}$$

By Partial Fraction

$$\frac{7z^2 - 7z + 2}{(z-1)^2 (1-2z)} = \frac{A}{(1-2z)} + \frac{Bz}{(1-z)} + \frac{C}{(1-z)^2} \quad \text{--- (3)}$$

~~put~~

$$7z^2 - 7z + 2 = (1-z)^2 A + (1-z)B + C \quad \text{--- (3)}$$

$$7z^2 - 7z + 2 = (1-z)^2 A + (1-z)(1-2z)(1-z)B + (1-2z)C \quad \text{--- (4)}$$

put $z = 1$

$$\text{put } z = \frac{1}{2}$$

$$\frac{7}{4} - \frac{7}{4} + 2 = \frac{A}{4}$$

$$\text{put } z = 1$$

$$7 - 7 + 2 = (-1)C$$

$$C = -2$$

$$A = 7 - 14 + 8$$

$$A = 1$$

$$\text{put } z = 0 \text{ in (4)}$$

$$2 = A + B + C$$

$$B = 2 - A - C$$

$$B = 2 - 1 + 2$$

$$B = -3$$

\therefore Equation (2) becomes

$$\frac{1}{1-2z} + \frac{3}{1-z} - \frac{2}{(1-z)^2} = \sum_{n=0}^{\infty} a_n z^n$$

By standard generating function

$$a_0 = 2^n + 3 - 2(n+1)$$

$$a_n = 2^n + 3 - 2n - 2$$

$$a_n = 2^n - 2n + 1$$

SOLUTION OF HOMOGENEOUS LINEAR RECURRENCE RELATIONS BY CHARACTERISTIC ROOT METHOD

Consider a homogeneous linear recurrence relation of order k

$$c_0 a_n + c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} = 0 \quad \text{--- (1)}$$

Characteristic equation or auxiliary equations of degree k

is obtained by replacing a_n by r^n , a_{n-1} by r^{n-1}

$$c_0 r^n + c_1 r^{n-1} + c_2 r^{n-2} + \dots + c_k r^{n-k} = 0$$

$$r^{n-1} [c_0 r^k + c_1 r^{k-1} + c_2 r^{k-2} + \dots + c_k] = 0.$$

$$c_0 r^k + c_1 r^{k-1} + c_2 r^{k-2} + \dots + c_k = 0 \quad \text{--- (2)}$$

Roots of equation (2) are $\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_k$.

Solution of relation (1) has following four cases:

case 1: If roots are real and unequal the general solution of (1) is

$$a_n = A_1 \alpha_1^n + A_2 \alpha_2^n + A_3 \alpha_3^n + \dots + A_k \alpha_k^n$$

case 2: If two roots are real and equal ($\alpha_1 = \alpha_2$).
The general solution of (1) is

$$a_n = (A_1 + n A_2) \alpha_1^n + A_3 \alpha_3^n + \dots + A_k \alpha_k^n$$

case 3: If roots are complex. The general solution of (1) is

$$a_n = A_1 (\alpha_1 + i \beta_1)^n + A_2 (\alpha_2 - i \beta_2)^n + \dots$$

If two complex roots are equal i.e. $\alpha_1 + i \beta_1 = \alpha_2 - i \beta_2$
The general solution of (1) is

$$a_n = (A_1 + n A_2) (\alpha_1 + i \beta_1)^n + (A_3 + n A_4) (\alpha_2 - i \beta_2)^n + \dots$$

where A_1, A_2, A_3 are auxiliary constants.

① Solve $a_{n+2} - 3a_{n+1} + 2a_n = 0$ given that $a_0=1$ $a_1=3$ by characteristic root method.

$$\rightarrow \text{let } a_{n+2} - 3a_{n+1} + 2a_n = 0 \quad \dots \textcircled{1}$$

Characteristic equation of ① can be written by replacing a_{n+2} by γ^{n+2} , a_{n+1} by γ^{n+1} and a_n by γ^n

$$\gamma^{n+2} - 3\gamma^{n+1} + 2\gamma^n = 0$$

$$\gamma^n [\gamma^2 - 3\gamma + 2] = 0$$

$$\Rightarrow \gamma^2 - 3\gamma + 2 = 0 \quad \dots \textcircled{2}$$

$$\Rightarrow \gamma^2 - 2\gamma - \gamma + 2 = 0$$

$$\Rightarrow \gamma(\gamma-2) + 1(\gamma-2) = 0$$

$$\Rightarrow (\gamma+1)(\gamma-2)$$

$$\Rightarrow \gamma+1=0 \text{ and } \gamma-2=0$$

$$\gamma=-1 \quad \text{and} \quad \gamma=2$$

\therefore Roots are $\gamma_1=1$ and $\gamma_2=2$

\therefore General solution of ① is

$$a_n = A_1 \gamma_1^n + A_2 \gamma_2^n$$

$$a_n = A_1 (1)^n + A_2 (2)^n$$

$$a_n = A_1 + A_2 2^n \quad \dots \textcircled{3}$$

Put $n=0$ in ③ and use $a_0=1$.

$$1 = A_1 + A_2 \quad \dots \textcircled{4}$$

Put $n=1$ in ③ and $a_1=3$

$$3 = A_1 + A_2 2^1$$

$$3 = A_1 + 2A_2 \quad \dots \textcircled{5}$$

Solving equation ④ and ⑤ we get

$$A_1 = -1 \quad \text{and} \quad A_2 = 2$$

∴ Particular solution of ① is

$$a_n = -1 + 2 \cdot 2^n$$

$$a_n = 2^{n+1} - 1$$

② Solve $a_n - 8a_{n-1} + 16a_{n-2} = 0$ given that $a_2 = 6, a_3 = 80$

by characteristic root method

$$\rightarrow \text{Let } a_n - 8a_{n-1} + 16a_{n-2} = 0 \quad \text{--- ①}$$

Characteristic equation of ① can be written by replacing a_{n-2} by r^{n-2} , a_{n-1} by r^{n-1} and a_n by r^n

$$\therefore r^n - 8r^{n-1} + 16r^{n-2} = 0$$

$$\Rightarrow r^{n-2}[r^2 - 8r + 16] = 0 \quad [r^2 - 8r + 16] = 0$$

$$\Rightarrow r^2 - 8r + 16 = 0$$

$$\Rightarrow (r-4)^2 = 0$$

$$r=4 \text{ and } r=-4$$

∴ Roots are $\lambda_1 = \lambda_2 = 4$

General solution of ① is

$$a_n = (A_1 + nA_2) 4^n$$

$$a_n = (A_1 + nA_2) 4^n \quad \text{--- ③}$$

Put $n=2$ in ③ and use $a_2 = 6$

$$6 = (A_1 + 2A_2) 4^2$$

$$A_1 + 2A_2 = \frac{3}{8} \quad \text{--- ④}$$

Put $n=3$ in ③ and use $a_3 = 80$

$$80 + \div (A_1 + 3A_2) 4^3$$

$$A_1 + 3A_2 = \frac{5}{4} \quad \text{--- (5)}$$

Solving equation (4) and (5) we get

$$A_1 = -\frac{11}{8} \quad A_2 = \frac{7}{8}$$

Particular solution of (1) is

$$a_n = \left(-\frac{11}{8} + n \frac{7}{8} \right) 4^n$$

$$a_n = (7n - 11) \frac{4^n}{8}$$

$$\boxed{a_n = (7n - 11) \frac{4^{n-1}}{2}}$$

SOLUTION OF NON-HOMOGENEOUS LINEAR RECURRENCE RELATION BY CHARACTERISTIC ROOT METHOD.

Consider a non-homogeneous linear recurrence relation of order k .

$$c_0 a_n + c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} = f(n) \quad (1)$$

General solution of recurrence relation (1) is

$$a_n = a_n^{(h)} + a_n^{(p)} \quad (2)$$

where $a_n^{(h)}$ is the general solution of homogeneous part of recurrence relation (1) and $a_n^{(p)}$ is particular solution of relation (1).

The following are the cases of to find $a_n^{(p)}$

Case 1: If $f(n)$ is a polynomial of degree q and 1 is not a root of characteristic equation of homogeneous part

of ① then, $a_n^{(P)}$ is as follows:

$$a_n^{(P)} = B_0 + B_1 n + B_2 n^2 + \dots + B_q n^q$$

case 2: If $f(n)$ is a polynomial of degree q and 1 is the root of multiplicity m of characteristic equation of homogeneous part of ① then, $a_n^{(P)}$ is as follows

$$a_n^{(P)} = n^m (B_0 + B_1 n + B_2 n^2 + \dots + B_q n^q)$$

case 3: If $f(n) = \alpha b^n$, where α and b are constants, and b is not a root of characteristic equation of homogeneous part of ①, then $a_n^{(P)}$ is as follows.

$$a_n^{(P)} = B \alpha b^n$$

case 4: If $f(n) = \alpha b^n$, where α and b are constant and b is the root of multiplicity m of characteristic equation of homogeneous part of ① then $a_n^{(P)}$ is as follows

$$a_n^{(P)} = B_0 n^m b^n$$

where $B_0, B_1, B_2, \dots, B_q$ are constants to be evaluated by the fact that $a_n = a_n^{(P)}$ satisfies recurrence relation ①

① Solve the recurrence relation $a_n + 4a_{n-1} + 4a_{n-2} = 8 \quad \forall n \geq 2$
and given that $a_0 = 1$ & $a_1 = 2$

→ Let $a_n + 4a_{n-1} + 4a_{n-2} = 8 \quad \text{--- } ①$

characteristic equation of homogeneous part of ① is

$$\gamma^n + 4\gamma^{n-1} + 4\gamma^{n-2} = 0$$

$$\gamma^{n-2} [\gamma^2 + 4\gamma + 4] = 0$$

$$\gamma^2 + 4\gamma + 4 = 0 \quad \text{--- (2)}$$

$$(\gamma + 2)^2 = 0$$

$$\gamma = -2 \quad \text{or} \quad \gamma = -2$$

Roots are $\alpha_1 = \alpha_2 = -2$

∴ Solution of homogeneous part of (1) is,

$$a_n^{(h)} = (A_1 + nA_2) \gamma_1^n$$

$$a_n^{(h)} = (A_1 + nA_2) (-2)^n \quad \text{--- (3)}$$

Next, $f(n)=8$ is a polynomial of degree zero and 1 is not a root of equation (2), then particular solution of (1) is,

$$a_n^{(p)} = B_0 \quad \text{--- (4)}$$

Considering the fact that $a_n = a_n^{(p)} = B_0$ satisfying relation (1)

$$\therefore B_0 + 4B_0 + 4B_0 = 8$$

$$9B_0 = 8$$

$$\boxed{B_0 = \frac{8}{9}}$$

$$\therefore \boxed{a_n^{(p)} = \frac{8}{9}} \quad \text{--- (5)}$$

∴ General solution of (1) is

$$a_n = a_n^{(h)} + a_n^{(p)}$$

$$a_n = (A_1 + nA_2) (-2)^n + \frac{8}{9} \quad \text{--- (6)}$$

Put $n=0$ in (6) and use $a_0=1$

$$a_n = \left(-\frac{5}{3}\right)(-2)^n + \left(\frac{1}{9}\right)(-1)^n + \frac{3^n}{20}$$

$$1 = A_1 (-2)^0 + \frac{8}{9}$$

$$A_1 = 1 - \frac{8}{9}$$

$$A_1 = \frac{1}{9}$$

Put $n=1$ in ⑥ and use $a_1=2$ and $1/9=A_1$

$$2 = \cancel{\left(\frac{1}{9} + 2A_2\right)}(-2)^2 + \frac{8}{9} \quad 2 = \left(\frac{1}{9} + A_2\right)(-2) + \frac{8}{9}$$

~~$$2 = \left(\frac{1}{9} + 2A_2\right)(4) + \frac{8}{9}$$~~

$$2 = -\frac{2}{9} + -2A_2 + \frac{8}{9}$$

~~$$2 = \frac{5}{9} + 8A_2 + \frac{8}{9}$$~~

$$2 = -2A_2 + \frac{6}{9}$$

~~$$4 = \frac{12}{9} + 8A_2$$~~

$$-2A_2 = \frac{6}{9} - 2$$

~~$$8A_2 = 4 - \frac{5}{3}$$~~

$$A_2 = -\frac{12}{9 \times 2}$$

~~$$A_2 = \frac{8}{3 \times 8}$$~~

$$A_2 = -\frac{2}{3}$$

~~$$A_2 = \frac{1}{3}$$~~

Solution ⑤ becomes

$$a_n = \left(\frac{1}{9} - \frac{2}{3}\right)(-2)^n + \frac{8}{9}$$

- ② Solve the recurrence relation $a_{n+2} + 3a_{n+1} + 2a_n = 3^n \forall n \geq 0$
and $a_0=0$ and $a_1=1$

$$\text{let } a_{n+2} + 3a_{n+1} + 2a_n = 3^n \quad \text{--- (1)}$$

Characteristic eqⁿ of homogeneous part of (1) is

$$\gamma^{n+2} + 3\gamma^{n+1} + 2\gamma^n = 0$$

$$\gamma^n [\gamma^2 + 3\gamma + 2] = 0$$

$$\gamma^2 + 3\gamma + 2 = 0 \quad \text{--- (2)}$$

$$\gamma^2 + \gamma + 2\gamma + 2 = 0$$

$$\gamma(\gamma+1) + 2(\gamma+1) = 0$$

$$(\gamma+1)(\gamma+2) = 0$$

$$\gamma = -1 \text{ and } \gamma = -2$$

Solution for part (1)

$$a_n^{(h)} = A_1 \gamma_1^n + A_2 \gamma_2^n$$

$$a_n^{(h)} = A_1(-1)^n + A_2(-2)^n \quad \text{--- (3)}$$

Next $f(n) = 3^n$ and 3 is not a root of eqn (2) the particular solution of (1) is

$$a_n^{(p)} = B_0 3^n \quad \text{--- (4)}$$

Consider the fact that $a_n = a_n^{(p)} = B_0 3^n$ satisfying relation (1)

$$\therefore B_0 3^{n+2} + 3 B_0 3^{n+1} + 2 B_0 3^n = 3^n$$

$$3^n B_0 [3^2 + 3 \cdot 3^1 + 2] = 3^n$$

$$B_0 [20] = 1$$

$$B_0 = \frac{1}{20}$$

$$a_n^{(p)} = \frac{1}{20} 3^n \quad \text{--- (5)}$$

∴ General solution (1) is

$$a_n = a_n^{(h)} + a_n^{(p)}$$

$$= A_1(-1)^n + A_2(-2)^n + \frac{3^n}{20} \quad \text{--- (6)}$$

Put $n=0$ in (6) and use $a_0 = 0$

Put $n=1$ in (6) and use $a_1 = 1$

$$a_0 = A_1(-1)^0 + A_2(-2)^0 + \frac{3^0}{20}$$

$$a_1 = A_1(-1)^1 + A_2(-2)^1 + \frac{3^1}{20}$$

$$0 = A_1 + A_2 + \frac{1}{20}$$

$$1 = -A_1 - 2A_2 + \frac{3}{20}$$

$$A_1 + A_2 = \frac{-1}{20} \quad \text{--- (7)}$$

$$A_1 + 2A_2 = \frac{3}{20} \quad \text{--- (8)}$$

$$A_1 + 2A_2 = \frac{-17}{20} \quad \text{--- (8)}$$

By solving (7) and 8

$$A_1 = \frac{3}{4} \quad A_2 = \frac{-17}{20}$$

Solution (6) becomes

$$a_n = \frac{3}{4}(-1)^n - \frac{17}{20}(-2)^n + \frac{3}{20}$$

DIVIDE AND CONQUER ALGORITHM

It works recursively breaking down a given problem P into two or more sub problems [P₁, P₂, etc.] of the same or related type, until this becomes simple enough to be solved directly. The solutions to these sub problems are then combined to get the solution for original problem P.

A typical divide and conquer algorithm solves a problem using following steps

Step 1 : Divide

- Breaks or divides a given problem P into sub problems (P₁, P₂, P₃, ... etc.) of the same type

Step 2 : Conquer

- Recursively solve the subproblems (P₁, P₂, P₃, ... etc.) to get a solutions (S₁, S₂, S₃, ... etc.)

Step 3 - combine

→ combine the solution of sub-problem (s_1, s_2, \dots etc.)
to get solution of original problem P .

Idea behind Divide and Conquer Algorithm

Given a problem P of size $n = 2^k$.

Algorithm DAC (P)

If ' n ' is small solve it.

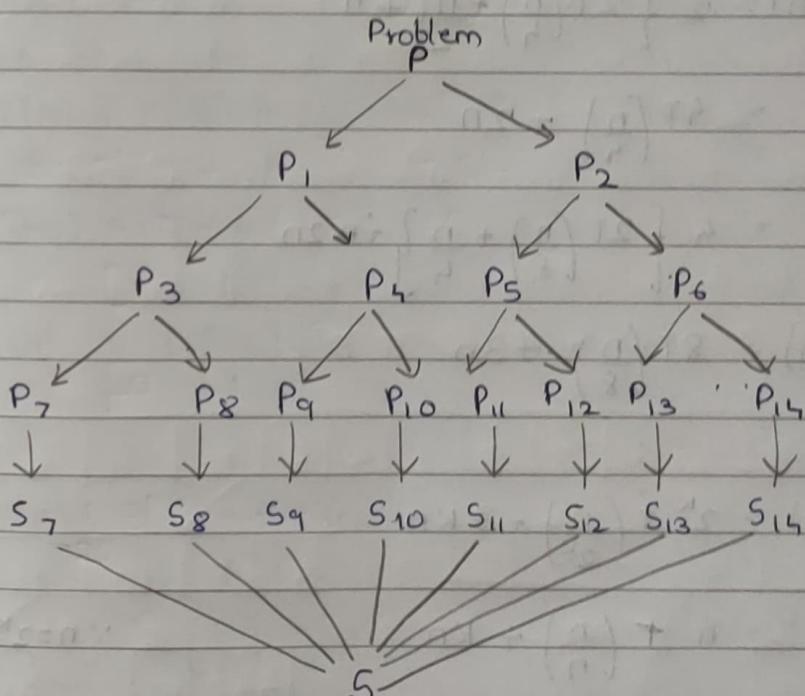
else

divide a problem P into subproblems. P_1, P_2, \dots etc.

$DAC(P_1)$

$DAC(P_2)$

Combine the solution of sub-problems P_1, P_2, \dots etc.



Time required to solve problem P .

$T(n) = T\left(\frac{n}{2}\right) + f(n)$

$$T(n) = T\left(\frac{n}{2}\right) + T\left(\frac{n}{2}\right) + f(n)$$

where $f(n)$ is called additional cost of combining the solutions.

$$T(n) = 2T\left(\frac{n}{2}\right) + f(n)$$

In general,

$$T(n) = bT\left(\frac{n}{a}\right) + f(n)$$

It is the recurrence relation for divide and conquer algorithm.

① Solve $T(n) = 2T\left(\frac{n}{2}\right) + n$, using iteration or substitution method by taking $n = 2^k$

→ Go let $T(n) = 2T\left(\frac{n}{2}\right) + n \quad \dots \text{①}$

$$\begin{aligned} T(n) &= 2 \left\{ 2T\left(\frac{n}{4}\right) + \frac{n}{2} \right\} + n \\ &= 4T\left(\frac{n}{4}\right) + 2n \\ &= 4 \left\{ 2T\left(\frac{n}{8}\right) + \frac{n}{4} \right\} + 2n \end{aligned}$$

$$T(n) = 8T\left(\frac{n}{8}\right) + 3n$$

⋮ ⋮ ⋮

$$T(n) = 2^k T\left(\frac{n}{2^k}\right) + 3kn$$

$$= n T\left(\frac{n}{n}\right) + kn$$

$\because n = 2^k$

$$= n \cdot T(1) + kn$$

$T(1)$ is negligibly small $T(1) \approx 0$

$$T(n) = kn$$

$$\cdot T(n) =$$

Since $n = 2^k$

$$\log n = k \log 2$$

$$k = \frac{\log n}{\log 2}$$

$$[k = \log_2 n]$$

$$\therefore [T(n) = n \log n]$$

: Complexity is $O(n \log n)$

- ② In an algorithm we divide large problems into 3 equal parts and discard two of them, in a constant time. What is the complexity of this algorithm, for the size $n = 3^k$.

→ Given that :

$$T(n) = T\left(\frac{n}{3}\right) + c \quad \text{--- (1)}$$

$$T(n) = T\left(\frac{n}{9}\right) + 2c$$

$$T(n) = T\left(\frac{n}{27}\right) + 3c$$

$$T(n) = T\left(\frac{n}{3^k}\right) + kc$$

$$= T\left(\frac{n}{n}\right) + kc$$

$$n = 3^k$$

$$T(n) = T(1) + kc$$

$T(1)$ is negligibly ..

$$T(n) = kc$$

Since $n = 3^k$

$$\log n = k \log 3$$

$$k = \log_3 n$$

$$T(n) = \log_3 n \cdot c$$

Complexity is $O(c \log_3 n)$

MERGE SORT ALGORITHM

Merge sort keeps on dividing given list of numbers into equal halves until it can no more be divided, then merge sort combine smaller sorted list keeping the new list sorted.

Algorithm

- Step 1: If list has only one element then it is sorted, it returns.
- Step 2: Divide the list recursively into two halves until it can no more be divided.
- Step 3: Merge the smaller lists into new list in sorted order.

- ① Consider the following list and sort it by mergesort algorithm.

9, 2, 5, 7, 3, 1, 4, 8, 10, 11, 6

