



**NTNU – Trondheim**  
Norwegian University of  
Science and Technology

# Functional Key Exchange in a Distributed Environment

**Anders Kofoed**

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Responsible professor: Colin Boyd, ITEM  
Supervisor: Colin Boyd, ITEM

Norwegian University of Science and Technology  
Department of Telematics



## Abstract

This project presents functional encryption using attribute-based and identity-based encryption as examples. The term functional key exchange is presented including attribute-based authenticated key exchange (AB-AKE) and identity-based authenticated key exchange (IB-AKE), these schemes are described with possible application areas discussed.

The project also introduce another AB-AKE scheme based on functional encryption, this is implemented in a distributed chat system. The system shows how functional key exchange can be utilized in real world applications to exchange keys and thus communicate securely, this without revealing the identities of the users. The system is constructed with the privacy of the users in mind, the access control is based on user attributes - characteristics describing who the user is. These attributes are used to build access trees regulating who is allowed to take part in the communication.

The system demonstrated shows how such a system looks and demonstrates how new session keys are computed every time a new user joins the chat room, as well as how the users and intermediate server interacts throughout the life cycle of the clients.

## **Preface**

This report is the result of the specialization project (TTM4531), completed in the 9th semester of the Master's Program in Communication Technology at the Department of Telematics (ITEM) - Norwegian University of Science and Technology (NTNU).

I would like to thank my supervisor Professor Colin Boyd for all the help and guidance in regards to the project and the report.

Trondheim, December 13th. 2014 Anders Kofoed

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# Acronyms

**AB-AKE** attribute-based authenticated key exchange.

**ABE** attribute-based encryption.

**AKE** authenticated key exchange.

**BDDH** bilinear decisional Diffie-Hellman problem.

**CA** certificate authority.

**CDH** computational Diffie-Hellman problem.

**CP-ABE** ciphertext-policy attribute-based encryption.

**DDH** decisional Diffie-Hellman problem.

**DEM** data encapsulation mechanism.

**EP-AB-KEM** encapsulation policy attribute-based key encapsulation mechanism.

**IB-AKE** identity-based authenticated key exchange.

**IBE** identity-based encryption.

**KEM** key encapsulation mechanism.

**KMS** key management service.

**LSSS** linear secret-sharing scheme.

**NTNU** Norwegian University of Science and Technology.

**PBKE** predicate-based key exchange.

**PKE** public key encryption.

**PKI** public key infrastructure.



# Chapter 1

## Introduction

The modern society is come to be built more and more around the Internet, and most, if not all, communication is sent through Internet channels. E-mail, online conferences, forums, chats and other distributed systems have become the general way we communicate and express ourself. Because of this the need for authentication has become more apparent. The issue then becomes; what if you do not want to use identify yourself because of privacy concerns? The question is then how can we authenticate users without the need to reveal personal data.

### 1.1 Motivation

The communication on the Internet is usually encrypted using encryption keys in either a symmetric or a asymmetric configuration, this is fine when the encryption is transparent to the user as in SSL, but it gets increasingly complicated when the users have to take part in the life cycle. Examples are public key encryption where users have to generate keys and encrypt manually if they want to encrypt in example emails or other files before sending them. Normal none expert users might feel that this process is hard to grasp. Keeping a updated database of public keys for all colleges or verifying signatures every time, to be able to encrypt in not feasible for most users - would it not be better if you could have one key with the capability of encrypting for everybody at your workplace or at your school? Identity-based encryption tries to achieve this by associating keys with identities, so that the identities of a user is their id, typically email or some other id. If you want to establish a secure communication channel to somebody by exchanging keys this could be done in a similar fashion. In distributed systems users might not want to publish their identity if it is not absolutely necessary,

which in most cases it is not. It is more important that the user is legitimate and has the correct privileges. If we could assure that all users participating in some protocol or application had the right privileges or simply the correct purpose, we could go without knowing the exact identities. Suppose you want to exchange keys and communicate securely with a group of people with the same interests as yourself, but you do not want to reveal your identity for some reason. To keep the discussion serious you would not want extraneous users to interrupt. Since nobody wants to authenticate using identities we need to make a decision based on something else - attributes identifying the characteristics of each user.

This can be achieved using attributes in the encryption or key exchange mechanisms. Attributes can be anything - personal attributes as birth year, gender or nationality, or affiliation to user groups providing access control, where only the group members would be allowed to communicate. Or attributes could be related to certifications or titles, this way you can be assured that the person you are communicating with has knowledge about something you need help with, without knowing the identity. This generalization can also be extended to include systems where the identity is one of, or the only, attribute. Thus achieving identity based systems without the need to generate, distribute and store huge amounts of public keys.

This project describe the term functional key exchange, covering key exchange mechanisms using the mentioned ideas to achieve dynamic group key exchange in a functional manner, focusing on the attribute-based configuration. Not exposing identities if not absolutely necessary is the main focus when discussing possible applications of these functional key exchange methods. There already exist several proposed schemes for both attribute- and identity-based key exchange, but there are few or none examples of systems applying the schemes in real applications. The goal of this project is to see how these schemes work in a real application environment.

## 1.2 Related Work

The work of Gorantla et al.[1] has a similar construction as the implementation showed in this project. They present a generic one-round AB-AKE protocol using a more complex structure. Gorantle et al. [2] has shown that key exchange can be done using multiple key encapsulation mechanisms (KEMs), which will be the approach used in this project as well.

Charm [3] is a framework for construction of cryptographic functions which also include a set of implemented schemes, these will be used as basis for the implementations in this project. The constructions of identity-based encryption (IBE) and attribute-based encryption (ABE) by Waters [4, 5] are implemented in Charm, with the latter being used directly in this project. The IBE implementation is used in the background to compare functional encryption to standard public key encryption mechanisms.

### 1.3 Scope and Objectives

The project will showcase functional encryption schemes, showing how these look when implemented, and present ideas on how these can be used to provide key exchange in various applications. The focus will be on applying an attribute-based key exchange scheme in a real application, based on a construction of attribute-based encryption from the Charm framework [3]. This implementation will be extended to a functional key exchange mechanism using a hybrid encryption variant with ABE as the key encapsulation mechanism and then using the keys from the encapsulations to compute session keys. Code examples from Charm will also be used in conjunction with mathematical definitions to demonstrate ABE and the Charm framework.

The main goals of the project is thus to:

- Study and present functional encryption schemes and show how these can be used to exchange keys - using Charm with the included implementations as a tool to demonstrate this.
- Construct and implement a attribute-based functional key exchange scheme based on ABE.
- Implement a AB-AKE scheme in a distributed, multi-user chat system, achieving secure communication based on attributes.

### 1.4 Limitations

The project will not try to solve problems related to key distribution and how to deploy a secure and trustworthy key management service. For the proposed system it will be assumed that this kind of service exists.

## 1.5 Method

The methods used in this project consists of using schemes from the Charm framework, showing how these work and compare the code to the definitions from the papers from which the implementations are based. This should give insight into how the schemes work as well as how Charm looks. Based on the designs and implementations discussed, a key exchange mechanism will be developed, before a working prototype of an application taking advantage of this will be implemented, to show how modern functional key exchange schemes can be utilized in real applications. While researching the different schemes, strengths and weaknesses will be discussed, as well as arguing the decisions made during design and implementation.

## 1.6 Outline

The background chapter will describe all the components that are essential to both functional encryption and key exchange, including secret sharing, pairing-based encryption and key encapsulation. Public key encryption and identity-based encryption will be compared to show the similarities and differences between functional and non-functional constructions.

The next chapter will first describe functional encryption and ABE, which is the chosen functional encryption scheme used in the project. The scheme will be presented and described using an implementation from Charm. From this the ideas behind functional key exchange and possible application areas will be presented and discussed.

Finally a prototype system will be implemented and presented, using attribute-based encryption and key encapsulation to exchange keys. The system will be a distributed chat room using attribute-based key exchange to achieve secure communication with users joining and leaving dynamically.

# Chapter 2

## Background

This chapter will present and discuss principles and schemes from which the project later develops. Charm is a framework providing the basis which the implementations later rely. Charm will be introduced first, before continuing on to important principles and constructions which are used in the key exchanges mechanisms later. Linear secret-sharing scheme (LSSS) are used to make attribute-based encryption possible, these ideas will be presented. Moving on with public key encryption (PKE), showing how this can be used in hybrid encryption schemes with KEMs. The project rely on using KEMs to exchange keys, so this and multiple KEMs will be described with ElGamal as an example. Moving on to pairing-based encryption which leads on to identity-based encryption. Finally key exchange and group key exchange built from multiple KEM will be discussed.

### 2.1 Charm

Charm [3] is a prototyping framework for cryptographic systems. It includes all the tools needed to implement most crypto systems, as well as keeping a collection of reusable code. The idea is to make it sufficiently easy to prototype systems which earlier only existed in research papers without actual implementations. It is of course possible to implement all schemes using other, lower level design methods, which may make for better and more efficient implementations. Most of the tools needed may be available but to combine and make good use of these is not easy.

Charm focuses on being readable and efficient to use. Several existing libraries are used to provide primitives needed, such as pairing groups and modular arithmetic. The design and implementation of Charm is described in detail in



"Charm: a framework for rapidly prototyping cryptosystems" [3]. This project use Charm mostly as a toolbox to ease the development of functional key exchange, this is practical since Charm include a set of implemented functional encryption schemes.

## 2.2 Linear Secret Sharing

In many cases it may be desirable to use more than one key when encrypting, and later require a subset of these when decrypting. This concept is called secret sharing or secret splitting - a secret is "divided" into  $n$  pieces which then is distributed. To recover the message  $k$  of these  $n$  pieces needs to be present. Secret sharing is often used to store highly sensitive keys, typically private keys of a certificate authority (CA) or other keys which should not be accessible by a single user alone. For the cause of this project only LSSS is described as this is a key component in many of the constructions used later.

### 2.2.1 Shamir Secret Sharing

Shamir's secret sharing scheme [6] was one of the first implementations of secret sharing, and acts as an example on how these schemes may look. The main idea behind the scheme is that given  $k$  points in a plane  $(x_1, y_1), \dots, (x_k, y_k)$  there is only one polynomial  $y(x)$  of degree  $k - 1$  such that  $y(x_i) = y_i$  for all  $i$ . We can thus chose a prime  $p$ , larger than the desired number of shares, and a random number  $a < p$ . Equation 2.1 is the polynomial used, with the secret  $m$ , with the shares  $(x, y(x), p)$ ,  $x = \{1, 2, \dots, n\}$  of which only  $k$  is needed to recover  $m$ . With  $k$  shares we obtain a set of  $k$  equations with  $k$  unknown which is solved unambiguously.

$$y(x) = m + a_1 * x + a_2 * x^2 + \dots + a_{k-1} * x^{k-1} \quad (2.1)$$

## 2.3 Public Key Encryption

Public key cryptography or asymmetric cryptography, as describe by ElGamal [7], allows encryption of messages without the parties sharing a secret key. Each user has a pair of keys, one public and one private key. The private key is used to decrypt and sign messages for authentication, while the public key - known publicly - is used to encrypt messages and verify signatures.

- **Key generation** - takes the security parameters, depending on the implementation, and outputs a public/private key pair.
- **Encryption** - takes the public key of the receiver as input together with the message, outputs a cipher text. Only the corresponding private key can decrypt.
- **Decryption** - uses the private key to decrypt the message.

This setup can also be used to achieve message authentication using digital signatures. Either by the use of the same algorithms as mentioned above or with separate ones alongside these. A common problem with public key crypto systems is how you are supposed to trust that a given public key used to sign something really belongs to the claimed owner. This issue is normally dealt with using a trusted CA issuing certificates binding a public key to a user identity. The CA has a public/private key pair, which is used to sign certificates on request. Initially the CA have to publish its public key together with a CA certificate. This is stored in the browsers of users trusting it. A user will typically send a signed certificate request to the CA, including the identity to be associated with the public key. The CA verifies the request signature and produces a certificate which is signed using the CAs own public key. When signing, the user will include this certificate as proof of his identity, which can be verified by anybody in possession of the CAs public key and CA certificate.

## 2.4 Hybrid Public key Encryption

A hybrid encryption scheme [8] consists of a public key encryption technique and a symmetric key encryption technique, from which the former, KEM, is used to encrypt some key  $K$ , and the latter, data encapsulation mechanism (DEM), encrypts the data. This setup can be applied using a variety of different cryptographic systems for both the KEM and DEM.

**Pgp/Gpg** [9, 10] is an extension to the classic public key scheme, combining the speed of symmetric key cryptography with the dynamic nature of public key systems. This is done by generating a random session key which is used to encrypt a message, this key is then encrypted using the public keys of each recipients and concatenated together with the cipher text. Pgp uses a hierarchy of trusted CAs as described in 2.3, but also what is called a "web of trust" where users can sign

the public keys of each other to assure authenticity. This way users build a net of users verifying their identity in addition to the trusted CAs.

### 2.4.1 Key Encapsulation Mechanism

KEM [11] is a technique where a random key  $K$  is generated together with its encryption  $C$  - the encapsulation. This is similar to public key encryption, except that the encryption function does not take a message as input, but only a public key. A random key is then generate, encrypted and returned from the encapsulation function. This is useful for distribution of symmetric keys that can be used again to generate session keys for two or several parties, depending on the encapsulation mechanism. A KEM consists of three algorithms:

- **Key generation** - generation of the symmetric key used by the DEM.
- **Encryption** - used to encrypt the generated key, usually using some public key.
- **Decryption** - reveals the symmetric key from the encapsulation.

### ElGamal Key Encapsulation [12]

ElGamal encryption is a public key encryption scheme as described in 2.3. This construction can be changed slightly to become a simple KEM. Next ElGamal KEM is presented as an example of a KEM. The security of ElGamal rely on the properties of the group from which the encryption are carried out. To be secure both the computational Diffie-Hellman problem (CDH) and decisional Diffie-Hellman problem (DDH) must hold in the underlying group, these properties are defined as follows. Let  $G$  be a group of prime order  $q$  with generator  $g$ . Chose  $x, z, y \in \mathbb{Z}_q$ . The *Discrete-logarithm* problem says that it is hard to obtain  $x$  from  $g^x$  and  $g$ . Further we have the *CDH* which extends this saying that it is hard to calculate  $g^{xy}$  from the tuple  $(g, g^x, g^y)$ . Finally we can say that it is hard to determine if  $z = xy$  given  $(g, g^x, g^y, g^z)$  - *DDH*.

#### Key generation

Let  $q$  be a prime and  $G$  a group of prime order  $q$ . Chose two randoms  $g \in G$  and  $x \in \mathbb{Z}_q$ . The public key  $pk = g^x$  is the public key, this is published together with  $q$  and  $G$ . The private secret key is  $sk = x$

**Encryption**

A random  $r \in \mathbb{Z}_q$  is chosen. The cipher text is then  $C = g^r \in G$  and the key is  $k = pk^r \in G$ . We say that  $C$  now encapsulates  $k$ , and  $C$ .

**Decryption**

Computes  $k$  from  $C$  as  $k = C^x \in G$ . This is correct since  $k = pk^r = g^{xr} = C^x$  and  $C = g^r$ .

**Multiple KEM**

Smart [13] proposed to extend the notion of KEMs to include multiple parties, he named such constructions mKEMs. Encapsulating a random generated key for multiple parties with only one encapsulation. In other words generating one single encapsulation which several users can decrypt with their private key, retrieving the symmetric key.

**2.5 Pairing-based Encryption**

The schemes explored in this project use pairings [14] of the traditional group assumptions. The idea behind this is to use a mapping between two cryptographic groups which allows the creation of new schemes based on the reduction of one of the problems from earlier. The most renowned pairing-based construction is the *bilinear map*.  $G_1$  and  $G_2$  are groups of prime order  $q$ . If  $e : G_1 \times G_1 \rightarrow G_2$  then the mapping  $e$  should have the following properties to be useful:

- Bilinearity - for all  $h, g \in G_1$  and all  $a, b \in \mathbb{Z}_q$ , then  $e(h^a, g^b) = e(h, g)^{ab}$
- Non-Degeneracy - if  $h \neq 0 \implies e(g, g) \neq 1$

The Weil and Tate pairing are the most used pairings where these properties hold. The pairings usually consist of one elliptic curve paired with a finite field. The point with all this is that problems in the first group may not all be hard in the pairing group. Discrete-logarithms are still hard since  $e(g, g), e(g, g^a) \in G_T$  is as hard as  $g, g^a \in G$  where  $G_T$  is the pairing group. For DDH though, we can see that to test if  $z = xy$  given  $g, g^x, g^y, g^z$ , we can just check if  $e(g, g^z) = e(g^x, g^y)$ . DDH is thus replaced by bilinear decisional Diffie-Hellman problem (BDDH) which is defined as - given  $h, g, g^x, g^y, e(h, g)^z$  it is hard to decide if  $xy = z$ . These definitions made it possible to implement IBE and ABE as described in the next section.

## 2.6 Identity-based Encryption

Public key systems rely on a trusted CA, issuing certificates assuring the binding between a public key and a claimed owner. The user generate their key pair themselves, then the public key has to be signed by a trusted certificate authority. Now the public key can be verified, assuring that nobody is forging it. Each user has to keep a large archive of keys belonging to whom he wants to communicate, or verify them with CAs every time. More problems arise when a user wants to declare his key invalid and revoke it. All this makes for a big infrastructure of CAs and revocation mechanisms.

IBE [15] introduce an approach where a users id act as the public key, this identity can typically be an e-mail address or a user name. There are no CAs, but instead each domain have a key management service (KMS) with a master public/secret key pair. The master public key can be used in conjunction with the id of the desired receiver to encrypt the message. The receiver can then decrypt the cipher text using his private key. This private key is extracted by the KMS from the identity of the specific user. The functions of a IBE scheme is as following:

### Setup

Taking some security parameters a master public/secret key pair  $(mpk, msk)$  are generated.

### Key delegation

Using  $mpk$ ,  $msk$  and some  $id$  generating a  $sk_{id}$  for the specific user.

### Encryption

Encrypts a message  $m$  using  $mpk$  and the  $id$  of the desired receiver.

### Decryption

Decrypts cipher text  $ct$  using  $sk_{id}$ , obtaining  $m$ .

The removal of the CAs introduces another problem, since the users no longer generate their own key pair, a lot of power is now in the hands of the KMS. This can be compared with the power of the CA, but algorithms using a KMS have to take into consideration that this service have complete control over all keys, and can in fact generate any key. The life cycle of a system implementing IBE consist of 4 algorithms. In this section two IBE systems will be presented, one

basic construction proposed by Boneh and Franklin [15], and one by Waters [4] which is also part of the Charm framework. Next follows the proposed scheme by Boneh and Franklin while figure 2.1 displays a demo of Water's [4] construction using an implementation from Charm.

Boneh and Franklin [15] have proposed a scheme implementing IBE, their construction is as following:

### Setup

Random prime  $q$  and groups  $G_1$  and  $G_2$  of prime order  $q$  are generated from the public parameters. The bilinear mapping  $e : G_1 \times G_1 \rightarrow G_2$  is also defined. A random element  $g \in G_1$  is chosen.

Next chose a random  $s \in \mathbb{Z}_q^*$ . The master public key is then  $mpk = g^s$ . And Master secret key  $msk = s$

$H_1 : \{0, 1\}^* \rightarrow G_1^*$  is a hash function. Another hash function  $H_2 : G_2 \rightarrow \{0, 1\}^n$  is chosen for a random  $n$ .

The system's public parameters are  $q, G_1, G_2, e, n, g, mpk, H_1, H_2$ , which can be published.

### Key generation

Generates a private key  $k_{id}$  from a given  $id \in \{0, 1\}^*$ , using  $msk = s$ , as following. Calculate  $h_{id} = H_1(id) \in G_1^*$ , and set private key  $sk_{id} = h_{id}^s$ . This is now the private key of the user with the corresponding id.

### Encryption

Encrypts  $M \in \{0, 1\}^n$  using the master public key and id of the desired receiver. First computes  $h_{id} = H_1(id) \in G_1^*$ . Next chose a random  $r \in \mathbb{Z}_q^*$ , the chiper text is then

$$ct = (g^r, M \oplus H_2(p_{id}^r)), \text{ with } p_{id} = e(h_{id}, mpk) \in G_2^*$$

### Decrypt

Decrypts a cipher text  $C$  using a private key corresponding to the decryptors id,  $sk_{id}$ . Let  $ct = (u, v)$ , which yields  $u = g^r$ , and  $v = M \oplus H_2(p_{id}^r)$ , as seen from the encryption function. Then the message is recovered as following:

$$\begin{aligned} & v \oplus H_2(e(sk_{id}, u)) \\ & (M \oplus H_2(p_{id}^r) \oplus H_2(e(sk_{id}, g^r))) = M \end{aligned}$$

This is correct since

$$\begin{aligned} e(sk_{id}, g^r) &= e(h_{id}^s, g^r) = e(h_{id}, g)^{sr} = \\ & e(h_{id}, g^s)^r = e(h_{id}, mpk)^r = p_{id}^r \end{aligned}$$

and it is clear that  $M$  is actually xored with the hash of  $p_{id}^r$  in both the encryption and decryption function.

The construction of Boneh and Franklin can do the same job as the scheme by ElGamal in section 2.4.1, with the difference being that the IBE scheme uses a master public key and identities to encrypt messages. This scheme relies on the BDDH as described in section 2.5. ElGamal encryption could also be changed to be a KEM, similar to the one presented in 2.4.1, by having the message  $M$  be a randomly generated key, and changing the input of the encryption function to only be an id and the  $mpk$ .

```

1
2 >>> from charm.toolbox.pairinggroup import PairingGroup
   , GT
3 >>> from charm.schemes.ibenc.ibenc_waters09 import
   DSE09
4 >>> group = PairingGroup('SS512')
5 >>> ibe = DSE09(group)
6 >>> mpk, msk = ibe.setup()
7 >>> ID = "student@stud.ntnu.no"
8 >>> secret_key = ibe.keygen(mpk, msk, ID)
9 >>> msg = group.random(GT)
10 >>> ct = ibe.encrypt(mpk, msg, ID)
11 >>> decrypted_msg = ibe.decrypt(ct, secret_key)
12 >>> msg == decrypted_msg
13 True
14 >>>

```

**Figure 2.1:** Demo run of the identity-based encryption by Waters from Charm.

First the required dependencies are imported from the Charm toolbox, in this example the pairing group and the IBE class. On line 4 the pairing group is initiated with a elliptic curve with a 512-bit base - thus "SS512". Next the class object is initiated using the previously created group object. Line 6 to 13 demonstrate a IBE cycle with setup, keygen, encryption and decryption. Notice that anybody can encrypt a message for any user without having a public key

stored locally, we simply use the master public key together with the identity of the recipient. This removes a lot of overhead known from public key infrastructure (PKI), we now only need one public key for each domain. IBE is a somewhat more intuitive scheme, than normal public key encryption, since the identity of the recipients is used as the public key, thus no connection between different public keys and user identities have to be stored.

This project uses a generalization of IBE called ABE as the basis of the later presented construction. ABE is in short the same as IBE with the difference being that it allows several attributes to be used as the public key, instead of only an id as in IBE. ABE will be described in depth in the next chapter.

## 2.7 Key Exchange

A fundamental requirement in many cryptographic schemes is a way of establishing a common secret to achieve confidentiality or integrity. This is usually solved using a key exchange scheme, which can be between two or a group of parties. In this project the focus is on cases where several users must be allowed. In such group settings there are two types of environments, one where the users are known before the exchange are carried out and they stay the same throughout the life cycle, and one where users join and leave dynamically. Examples of the former are conference calls where the participants are known in advance, before setting up the call, while live chats may be the opposite. This section will first show how normal Diffie-Hellman can be utilized to do group key exchange. Before discussing how KEMs can be used to do multi-party key exchange.

### 2.7.1 Group Diffie-Hellman Key Exchange

The Diffie-Hellman key exchange algorithm in its original form allows two parties to establish a common secret key which later can be used to encrypt traffic. Since the introduction of the 2-party Diffie-Hellman researchers have tried to extend it to support groups of parties [16, 17]. These configurations allow several parties, typically in a multicast group or similar network, to establish a common session key.

In the 2-party Diffie-Hellman a group  $G$  of prime order  $p$  is chosen carefully. Then each party chose a random number,  $a$  and  $b$ , then  $g^a$  and  $g^b$  can be exchanged and the common secret key  $g^{ab}$  computed, by both. The group configuration

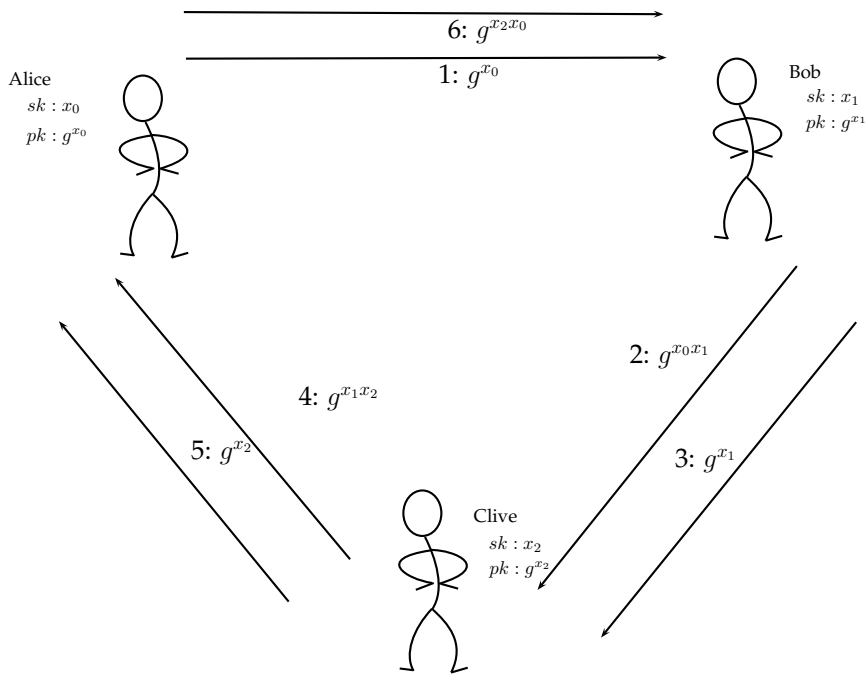


of the scheme uses the same principle only with several participants as shown in Figure 2.2. The configuration is the same for  $n$  players. The scheme starts off with the first player raising  $g$  to the power of his private key and sends this value to the next player in the chain. He then raises the received value to the power of his private key and sends it, plus the intermediate values, on to the next player, this continues until the last player receives the set, he can now compute the session key  $g^{x_1, x_2, x_3, \dots, x_n}$ . An attacker would have been able to see all the sent combinations, but none of these combine into the session key. New players cannot easily join or leave since all previous players would have to update their set.

It should be noted that this setup has the limitation of not being authenticated, the users participating does not authenticate themselves in any way, so it is impossible to know who you are communicating with. This is why this project's approach rely on a KEM providing implicit authentication. Public key schemes as presented in 2.3 will assure you about who you are communicating with, since only the intended user will be able to decrypt.

### 2.7.2 Key Exchange from Key Encapsulation

Gorantla et al. [2] have shown that mKEMs, can be used to establish secure shared keys. It is thus a feasible approach to use a functional encryption scheme as a mKEM to build a functional key exchange scheme. The idea is that the users exchange encapsulations which all the users will be able to decrypt, obtaining a set of symmetric keys, which in turn can be combined to a shared secret key. This secret key can then be used by the DEM to encrypt the communication between the users. The implementation presented later will use this approach, using ABE, extended to a mKEM, to exchange a secret key between multiple users.



**Figure 2.2:** D-H group key exchange



# Chapter 3

## Functional Key Exchange

When communicating on the Internet it is important to control what entities have access to the messages. In most cases it is important that the users can trust that their communication cannot be stolen or eavesdropped on. Encryption is used to secure communication, to do this efficiently a shared key is usually needed. Functional key exchange is in our context defined as a set of key exchange mechanisms using some function to decide if a participant should be allowed to take part in, or be allowed access to, the key exchange. The functions will use some arguments as input and based on these decide if the session key should be output or not. This chapter will explain some proposed schemes trying to adapt this idea, then further explore possibly useful application areas and ideas.

IB-AKE and AB-AKE are both examples of functional key exchange, with the latter being a generalization of the former. Since AB-AKE will be used in the implementation later, this chapter will only introduce the basic ideas and principles with the implementation providing a more in depth description. AB-AKE is based on the functional encryption scheme ABE, and the key exchange uses this as the mKEM to exchange symmetric keys, as described in 2.7.2. In the section about functional encryption, ABE will be described using the implementation from Charm which is used later in the implementation of AB-AKE. After this the concepts of functional key exchange will be introduced and possible applications of the schemes discussed.

The implementation of AB-AKE is created using ABE as the mKEM as described in 2.7.2, ABE will therefore be explained and demonstrated in the beginning of this chapter. The ABE implementation from Charm, as used later in the implementation, is described and demonstrated in the beginning of the

chapter, before moving on to the key exchange schemes. The theory of ABE is first described, then the implementation of it is used to showcase how it works in practice.

### 3.1 Functional Encryption

Before public key cryptography was introduced, secure communication was only achieved when two parties possessed the same secret key which could be used to encrypt and decrypt messages between them. This was changed with the introduction of public key cryptography as mentioned in 2.3. Now, many years later, this is no longer sufficient to cover the new notion of the Internet - with distributed systems and cloud-based services. Standard public key encryption schemes focus on fully encrypting or decrypting plain texts - especially when decrypting you either have the correct key, which would allow you to recover the plain text, or you can not. But what if you would like to allow certain keys to decrypt only a part of the plain text, or output some information about it to anybody? It could in example be useful to allow the mail service to know some meta data, while still not allowing it to decrypt the whole thing, the private key of the server could be allowed to decrypt specific parts deciding if a e-mail is spam or not.

Functional encryption, as described by Boneh et al. [18], describes an idea where different keys produce different plain text - a function of the cipher text. One key might be used to decrypt only a specified part of the cipher text - a "function" or output a function of it. Functional schemes also make it possible for several different keys to decrypt a message if they satisfy a policy, completely or partially. This allows us to encrypt a message for a certain group of users, and later grant new users access to it without having to decrypt it. A KMS issues keys based on some characteristics, which can be used to decrypt messages encrypted previously.

The term "Functional Encryption" has come to describe a wide spectrum of modern cryptography techniques, including identity-based encryption and attribute-based encryption, which will be described and demonstrated in the following sections. These schemes are functional encryption schemes since they allow several independent keys to decrypt, or uses some function to decrypt without the need of *one* specific key, as in symmetric key encryption.

### 3.1.1 Attribute-based Encryption

Attribute-based encryption as explained by Goyal et al. [19] introduce an encryption scheme based on user attributes, from which the secret key is generated. This is similar to IBE, but with the possibility of more than one attribute instead of just id. Messages are encrypted using a access policy of several attributes, and only keys satisfying the access structure can decrypt the cipher text. This is typically useful in cases where the encryptor does not care about who decrypts as long as they satisfy the correct attributes or a set of them. Each user will have a private key corresponding to his set of attributes, in each domain. When encrypting, the policy is specified, this is typically a access tree where the attributes required are leaf nodes and internal nodes are "AND" or "OR"-gates. Different combinations of attributes may therefore be able to decrypt. The logical gates can be used to construct threshold requirements, where we require  $k$  out of  $n$  attributes. The encryptor can in example encrypt a message with the policy

("NTNU" and "5th year" and Telematics dpmt.) or  
("Professor" and "Telematics dpmt.") or "admin"

Now a user with either the "admin"-attribute or a set including "NTNU", "5th year" and "Telematics dpmt" would be able to decrypt. A user can create access structures allowing his id or some combination of other attributes to decrypt without having the attributes himself. It is worth noticing that ABE is a generalization of IBE since an identity can be used as an attribute. The algorithm have a similar structure as the one in IBE seen in section 2.6.

- **Setup** - Taking some security parameters a master public/secret key pair  $(mpk, msk)$  are generated.
- **Key generation** - Using  $mpk$ ,  $msk$  and some  $S$  describing the set of attributes - generates a  $sk$  for the specific attribute combination.
- **Encryption** - Encrypts a message  $m$  using  $mpk$  and an access structure  $A$  describing the policy of the encryption. The attributes in the access structure define who will be able to decrypt.
- **Decryption** - Decrypts cipher text  $ct$  using  $sk$  corresponding to an attribute set  $S$ , obtaining  $m$ .

Charm include an implemented ABE scheme based on Waters[5], which can be used to describe how the algorithms work. This construction will later be used

in the implementation of a prototype AB-AKE application. The implementations are written in python and should be easily readable. The implementation and a sample run of the methods are included for each of the algorithms (the memory references are removed to make it more compact). Figure 3.1 describes the ciphertext-policy attribute-based encryption (CP-ABE) scheme of Waters[5] from which the implementation is based. The mathematical definition in figure 3.1 and the Charm descriptions in figures 3.2, 3.4, 3.6 and 3.8, can be followed in parallel and it can be seen that they in fact define the same thing. Figures 3.3, 3.5, 3.7 and 3.9 shows demonstration runs of the corresponding code blocks. The mathematical presentation might be easier to follow as the symbols are more clear, while the python code use programmatic variable names. The important point to emphasis is that the code is based directly on the mathematical definition, which is provided to make the code easier to follow.

**Setup** - Group  $G$  of prime order  $p$  is chosen from generator  $g$ .  $\alpha, a \in \mathbb{Z}_p$  are generated at random.  $H : \{0, 1\}^* \rightarrow G$  is a hash function. The master public key is then  $mpk = g, e(g, g)^\alpha, g^a$ , where  $e()$  is a pairing function as described in 2.2. The master secret key is  $msk = g^\alpha$ .

**Key generation** - takes  $msk$  and a set  $S$  of attributes as arguments and randomly chose  $t \in \mathbb{Z}_p$ . The constructed private key is then

$$K = g^\alpha g^{at} \quad L = g^t \quad \forall x \in S, K_x = H(x)^t \quad .$$

**Encryption** - Takes  $mpk$  and a message  $m$  as arguments, together with a access structure  $(M, p)$  where  $M$  is an  $l \times n$  matrix and  $p$  is a function associating rows in  $M$  with attributes. A random vector  $\vec{v} = (s, y_2, \dots, y_n) \in \mathbb{Z}_p^n$  is chosen, this will be used to share the encryption exponent  $s$ . Now calculate  $\lambda_i = \vec{v} M_i$ , for  $i = 1$  to  $l$ , where  $M_i$  is the  $i$ th row of the matrix  $M$ .  $r_1, \dots, r_l \in \mathbb{Z}_p$ . The cipher text is then defined as

$$C = me(g, g)^{\alpha s}, C' = g^s, \\ (C_1 = g^{\alpha \lambda_1} H(p(1))^{-r_1}, D_1 = g^{r_1}, \dots, C_n = g^{\alpha \lambda_n} H(p(n))^{-r_n}, D_n = g^{r_n}) \quad .$$

The access structure  $(M, p)$  is attached to the cipher text as well.

**Decryption** - Recovers the plain text from a cipher text for a access structure, using private key corresponding to attribute set  $S$ . Let  $I \subset \{1, 2, \dots, l\}$  be defined as  $I = \{i : p(i) \in S\}$ . Then, chose  $\{\omega \in \mathbb{Z}_p\}_{i \in I}$  so that if  $\{\lambda_i\}$  are valid shares of a secret with access matrix  $M$ , then  $\sum_{i \in I} \omega_i \lambda_i = s$ . Now the algorithm computes

$$\frac{C' K}{\prod_{i \in I} (e(C_i, L) e(D_i, K_{p(i)}))^{\omega_i}} = \frac{e(g, g)^{\alpha s} e(g, g)^{a s t}}{(\prod_{i \in I} e(g, g)^{t a \lambda_i \omega_i})} = e(g, g)^{\alpha s}$$

We have from the encryption method that  $C = me(g, g)^{\alpha s}$ , B  
and  $m$  can thus be divided out.

**Figure 3.1:** Attribute-based encryption by Waters.



**Setup**

```

def setup(self):
    g1, g2 = group.random(G1), group.random(G2)
    alpha, a = group.random(), group.random()
    e_gg_alpha = pair(g1,g2) ** alpha
    msk = {'g1^alpha':g1 ** alpha, 'g2^alpha':g2 ** alpha}
    pk = {'g1':g1, 'g2':g2, 'e(gg)^alpha':e_gg_alpha,
          'g1^a':g1 ** a, 'g2^a':g2 ** a}
    return (msk, pk)

```

**Figure 3.2:** Attribute-based encryption setup function

```

>>> from charm.toolbox.pairinggroup import PairingGroup,ZR
>>> G1,G2,GT,pair
>>> from charm.schemes.abenc.abenc_waters09 import CPabe09
>>> groupObj = PairingGroup('SS512')
>>> cpabe = CPabe09(groupObj)
>>> (msk, mpk) = cpabe.setup()
>>> print msk
{'g1^alpha': <pairing.Element object at 0x>,
 'g2^alpha': <pairing.Element object at 0x>}
>>> print mpk
{'g1^a': <pairing.Element object at 0x>,
 'g2^a': <pairing.Element object at 0x>,
 'g2': <pairing.Element object at 0x>,
 'g1': <pairing.Element object at 0x>,
 'e(gg)^alpha': <pairing.Element object at 0x>}
>>>

```

**Figure 3.3:** Demo running the setup function

The class in figure 3.2 is demonstrated in figure 3.3. The environment from which the methods are run have defined an elliptic curve with bilinear mapping. The pairing  $e(g_1, g_2)$  correspond to  $e(g, g)$  in 3.1, with  $g_1$  and  $g_2$  being from two different groups. The master public and private key pair is stored locally at a server acting as a KMS. After initializing the protocol we can generate a secret key using a defined set of attributes. For this we have the key generation method

seen next. How these keys are distributed is a separate concern which is not dealt with in this project. It is demonstrated how the keys are generated but not how they are distributed to the correct users. It is assumed that each user can receive their key securely from the KMS, and trust it to be reliable.

### Key Generation

```
def keygen(self, pk, msk, attributes):
    t = group.random()
    K = msk['g2^alpha'] * (pk['g2^a'] ** t)
    L = pk['g2'] ** t
    k_x = [group.hash(unicode(s), G1) ** t for s in attributes]

    K_x = {}
    for i in range(0, len(k_x)):
        K_x[ attributes[i] ] = k_x[i]

    attributes = [unicode(a) for a in attributes]

    key = { 'K':K, 'L':L, 'K_x':K_x, 'attributes':attributes }
    return key
```

**Figure 3.4:** Attribute-based encryption key generation function

```
>>> attr_list = [ 'THREE', 'ONE', 'TWO' ]
>>> secret_key = cpabe.keygen(pk, msk, attr_list)
>>> print secret_key
{'K_x': { 'TWO': <pairing.Element object at 0x>,
          'THREE': <pairing.Element object at 0x>,
          'ONE': <pairing.Element object at 0x>},
 'K': <pairing.Element object at 0x0>,
 'L': <pairing.Element object at 0x>,
 'attributes': [u'THREE', u'ONE', u'TWO']}
>>>
```

**Figure 3.5:** Demo running the key generation function

The secret key includes a list of all the attributes with a corresponding hash value raised to the power of a random value  $t \in \mathbb{Z}_p$ . Additionally a list of the clear text representations of the attributes are added - this will later be used when decrypting, to check if a given key comply with the policy given in the cipher text. The list of attributes for the secret key are compared with the attributes in the access structure before decrypting, this way we avoid actually trying to decrypt if the key doesn't contain the correct attributes. The public parameters in  $pk$  must be published together with the secret keys, so that each user has a key pair consisting of their personal secret key, and the master public key.

A major problem when doing ABE is preventing collusion attacks, where a group of users combine their attributes trying to satisfy a more restrictive access structure than what their individual sets of attributes allow. The construction avoids this by randomizing each key with a generated exponent  $t$ . When decrypting, each share will have this  $t$  in the exponent, which is supposed to bind the components of each key together. Combining two keys would have the value of  $t$  different so they would not work together. During decryption these shares are only relevant to the particular key used in that exact run of the decryption algorithm.

## Encryption

```

def encrypt(self, pk, M, policy_str):
    # Extract the attributes as a list
    policy = util.createPolicy(policy_str)
    p_list = util.getAttributeList(policy)
    s = group.random()
    C_tilde = (pk['e(gg)^alpha'] ** s) * M
    C_0 = pk['g1'] ** s
    C, D = {}, {}
    secret = s
    shares = util.calculateSharesList(secret, policy)

    # ciphertext
    for i in range(len(p_list)):
        r = group.random()
        if shares[i][0] == p_list[i]:
            attr = shares[i][0].getAttribute()
            C[ p_list[i] ] = ((pk['g1^a'] ** shares[i][1]) *
                             (group.hash(attr, G1) ** -r))
            D[ p_list[i] ] = (pk['g2'] ** r)

    return { 'C0':C_0, 'C':C, 'D':D , 'C_tilde':C_tilde,
            'policy':unicode(policy_str), 'attribute':p_list }

```

Figure 3.6: Attribute-based encryption function

```

>>> policy = '((ONE or THREE) and (TWO or FOUR))'
>>> msg = group.random(GT)
>>> cipher_text = cpabe.encrypt(master_public_key, msg,
    policy)
>>> print msg
>>> print cipher_text
{'C': {
    u'TWO': <pairing.Element object at 0x>,
    u'FOUR': <pairing.Element object at 0x>,
    u'THREE': <pairing.Element object at 0x>,
    u'ONE': <pairing.Element object at 0x>,
  'D': {
    u'TWO': <pairing.Element object at 0x>,
    u'FOUR': <pairing.Element object at 0x>,
    u'THREE': <pairing.Element object at 0x>,
    u'ONE': <pairing.Element object at 0x>,
    'attribute ': [u'ONE', u'THREE', u'TWO', u'FOUR'],
    'C_tilde ': <pairing.Element object at 0x>,
    'policy ': u'((ONE or THREE) and (TWO or FOUR))',
    'C0': <pairing.Element object at 0x>}
  }
}

```

**Figure 3.7:** Demo running the encryption function

Before encrypting, a policy is specified, this will be the access structure used in the encryption. Since the protocol relies on pairings, only pairing elements can be used, a random message  $m$  is thus generated from the group to be used in the demonstration. If we were to encrypt some kind of readable message we would need an adapter on top, mapping messages to pairing elements. This project focus on applications where this is not needed - random group elements is sufficient for the constructions presented later, the group elements can be hashed to transform it into a random string if needed. The encryption method starts off by extracting the attributes from the policy provided, then a random group object is generated and used together with the public key and the message to calculate a internal cipher text. This secret is then split into shares using LSSS as described in 2.2. Each share is associated with one attribute and a subset of these will be require to obtain  $s$  when decrypting, according to the policy.

## Decryption

```
def decrypt(self, pk, sk, ct):
    policy = util.createPolicy(ct['policy'])
    pruned = util.prune(policy, sk['attributes'])
    if pruned == False:
        return False
    coeffs = util.getCoefficients(policy)
    numerator = pair(ct['CO'], sk['K'])

    # create list for attributes in order...
    k_x, w_i = {}, {}
    for i in pruned:
        j = i.getAttributeAndIndex()
        k = i.getAttribute()
        k_x[ j ] = sk['K_x'][k]
        w_i[ j ] = coeffs[j]

    C, D = ct['C'], ct['D']
    denominator = 1
    for i in pruned:
        j = i.getAttributeAndIndex()
        denominator *= ( pair(C[j] ** w_i[j], sk['L']) *
            pair(k_x[j] ** w_i[j], D[j]) )
    return ct['C_tilde'] / (numerator / denominator)
```

**Figure 3.8:** Attribute-based decryption function

```
>>> decrypted = cpabe.decrypt(master_public_key,
    secret_key, cipher_text)
>>> decrypted == msg
True
>>>
```

**Figure 3.9:** Demo running the decryption function

Decryption is done using the public parameters and a secret key corresponding to a set of attributes. First step in the decryption is to compare the access structure and the attributes present in the secret key. If the policy is not fulfilled the method can return straight away. The prune method performs this validation and returns a "pruned" list of attributes. This is the minimal subset of the attributes satisfying the policy - in example a set including both childes of a "OR" node would be pruned to only include one of these. Finally the secrets are combined and used to recover the message. The calculations can be recognized from the decryption method in figure 3.1.

From the scheme described it is noticeable from the encryption method that anybody can in fact encrypt for any set of attributes, as long as they have the master public key. The authentication is not mutual, the encryptor doesn't have to have any specific attributes to be able to encrypt. The protocol only provide assurances that nobody without the correct attribute set can decrypt the message, this is sufficient when used as a public key encryption mechanism, but might not hold in cases where mutual authentication is required.

### 3.2 Attribute-based Authenticated Key Exchange

Gorantla et al. [1] introduced the concept of AB-AKE using a attribute-based key encapsulation mechanism. In short this is a KEM with ABE as the encryption mechanism. The idea is that several users can exchange keys and thus communicate without knowing the identities of all the users. Any user satisfying the specified policy should be able to participate in the communication. AB-AKE establish a common session key between the users which can be used to communicate securely. Goyal et al. [19] introduced the notion of CP-ABE where the private key of each user are associated with attributes and the cipher text has an attached access policy. The construction by Gorantla et al. [1] uses this approach to create what they call an encapsulation policy attribute-based key encapsulation mechanism (EP-AB-KEM), where the attributes are associated to the private key of a user and the access policy is attached to the encapsulation. The encapsulation is a randomly generated symmetric key encrypted with with a master public key and an access policy. To generate the common session key each user has to upload an encapsulation and receive encapsulations from all other users. The session key is then obtained by decrypting the encapsulations and combining the symmetric keys of all participants to a common session key.

AB-AKE will be described in more detail in the next chapter where a system implementing a variation of AB-AKE is presented.

### 3.3 Identity-based Authenticated Key Exchange

IBE as described in 2.6, can also be utilized to provide two-party mutually authenticated key exchange (AKE) as shown by Kolesnikow et al. [20]. The approach is based on a Diffie-Hellman key exchange using an elliptic curve. Each party chose random points  $a, b$ . The values  $p^a, p^b$  are then encrypted using the other parties public key and then exchanged in succession. B will include  $p^a$  which he recieved from a, this is done so that A can verify that B actually was able to decrypt what he sent. B actually adds to what he receives from A by decrypting and adding his contribution and then encrypting again. After decrypting, the session key is the product  $p^{ab}$ , which both can calculate. After exchanging secrets, A has to authenticate himself in the same way as B did, by sending the secret he got from B back, to show that he was able to decrypt what B sent. This technique provides mutual implicit authentication between the participants, since only the users with the correct identity can decrypt. Both parties can thus be sure that no other user than the one possessing the private key corresponding to the identity, can produce the session key. Protocol 1 shows the procedure as described by Kolesnikov et al. [20].

#### Protocol 1.

A - given curve and point p	B - given curve and point p
chose random point a	
	$\xrightarrow{IBEnc_B(p^a)}$
	chose random point b
	$\xleftarrow{IBEnc_B(p^a, p^b)}$
verify $p^a$ after decrypting using private key	
	$\xrightarrow{IBEnc_B(p^b)}$
	verify $p^b$ to authenticate that A actually decrypted the message
	sk = $p^{ab}$
	sid = $(p^a, p^b)$



This implementation demonstrates a scheme for key exchange between two parties with the focus on assuring authenticity of the identities of the participants. This is mostly a more effective implementation of public key crypto systems, the main difference from previously popular systems is the removal of PKI by switching from CAs to KMSs. Point being that the main idea is still to encrypt some message or symmetric key for *one* specific user. Another point in favor of IB-AKE is that it may make encryption using public key crypto more feasible for non technical users, since it is easier to understand that you can encrypt using, in example the id of the desired receiver, rather than understanding the concept of public keys.

### 3.4 Applications

Key exchange schemes as discussed up till this point makes it feasible to exchange secret keys, and thus allow secure communication between users without them having to reveal their identities or to simply make it more feasible to use public key encryption. Identities may also be chosen differently depending on what domain or context the communication is being carried out in. The most general and intuitive case is simply using email or some other public identifier, but there may be cases where other identities is more useful. Within a company, working titles such as CEO or CTO could be used instead, to make it more usable in large companies where not everybody necessarily know the name of all their co-workers. In this case AB-AKE would make it even more useful, since the CEO could have attributes including both his email and "CEO", allowing both identities to be used.

Being able to communicate securely even without revealing identities is clearly useful for applications where users want to stay anonymous. Typically messaging services and forums can take advantage of such characteristics, users are able to exchange keys without any previous knowledge to eachother, while still knowing enough to trust them. The Internet is full of sites where users can upload questions which then can be answered by qualified superusers, but these services has the weakness that the users have to be willing to expose their message and possibly identity to be able to get an answer. After agreeing to this, they have to trust that the administrators of the system ensure the confidentiality of your message and only allow certain users to read it. The same goes for other applications where you want only specific types of users to be able to participate.

By using functional key exchange you can specify in detail who is allowed to take part in the communication, this can range from very wide policies allowing a certain age group or gender, down to very specific characteristics such as degrees or military ranks. The most specific policy you can use is thus the identity itself as discussed earlier. This can be used in a variety of applications where access control of some degree is necessary, a good example being a room based chat system.

There are several such applications where users are only allowed to join rooms if they satisfy some conditions, but usually the access control to the rooms rely on a server controlling this, so that when granted access, you have to trust that the service wont grant access to users without the correct attributes. Using functional key exchange, you as a user, would be able to ensure that nobody outside of the ongoing chat session will ever be able to read what is written. By the use of a session key relying on keying material from all participating parties.

With this approach the system could inherit a hierarchy of user types, so that you have to prove your seriousness and knowledge to be able to achieve the higher rankings. This is a common way of administrating forums and chat room to avoid frivolous users who are there only to destroy the discussions. This can now be embedded directly in the encryption by adding group names as attributes in the key exchange policy. Functional key exchange can thus help prevent off-topic messaging and extraneous posting by users there with bad intentions.

In the next chapter a simple version of such a chat system will be presented to show how AB-AKE can be used to keep the communication secure by the use of fresh session keys, renewed every time a user joins.



# Chapter 4

## Design and Implementation

This chapter suggests a prototype implementation of one possible application, based on the principles and ideas in the previous two sections. The protocol structure will be displayed, together with examples from the code and test runs using the system. The chosen functional key exchange scheme used is AB-AKE as described in the previous chapter, and it will be applied in a distributed chat environment.

### 4.1 System Specifications

As mentioned in 3.4 there are several scenarios where functional key exchange can provide security and privacy. This section will describe the structure and specifications for a chat system utilizing AB-AKE as described in 3.2 and supported by Wang et al.[21]. The system consists of a set of clients running a client application and a broadcast server. It could easily have been altered to support peer-to-peer, since the server only acts as a intermediate for broadcasting, caching of encapsulations and policy management. The system shown is meant to be a proof of concept for how this kind of application could look, it is thus simplified to some extent. Most of the data used are static to abstract away difficulties with administration of rooms and related policies, as well as key management. The implementation will not address key distribution, therefore a key is given to the users on connection.

**The most important feature** of the system is to provide encrypted communication between users who satisfy the room policy. The users should obtain a shared session key through AB-AKE. This way we assure implicit authentication

of all users taking part in the conversation. A user should be able to participate in the exchange without ever having to provide an identity. It is assumed that all users have registered with some KMS prior to the key exchange - a user would typically register a set of attributes which would have to be approved by the system authority before issuing the key. When new users join, they should be able to upload their contribution and receive the rest of the keying material from the server; the users will then have to compute the new session key from the encapsulations. After exchanging keys, the users should be able to use it to encrypt the chat messages. It should be noted that anybody can in fact upload a contribution and receive encapsulations, but only the ones with the correct attributes can decapsulate and produce the session key. The server could require that new users prove that they have the correct attributes before being able to upload, to avoid flooding of the storage. This extension would simply mean the server challenging the new user with an encrypted nonce which the user would have to decrypt and send back. This mechanism was not included in this implementation as this project focus on demonstrating the key exchange process, and since this possible weakness not pose any threats to the integrity of the system it is not prioritized.

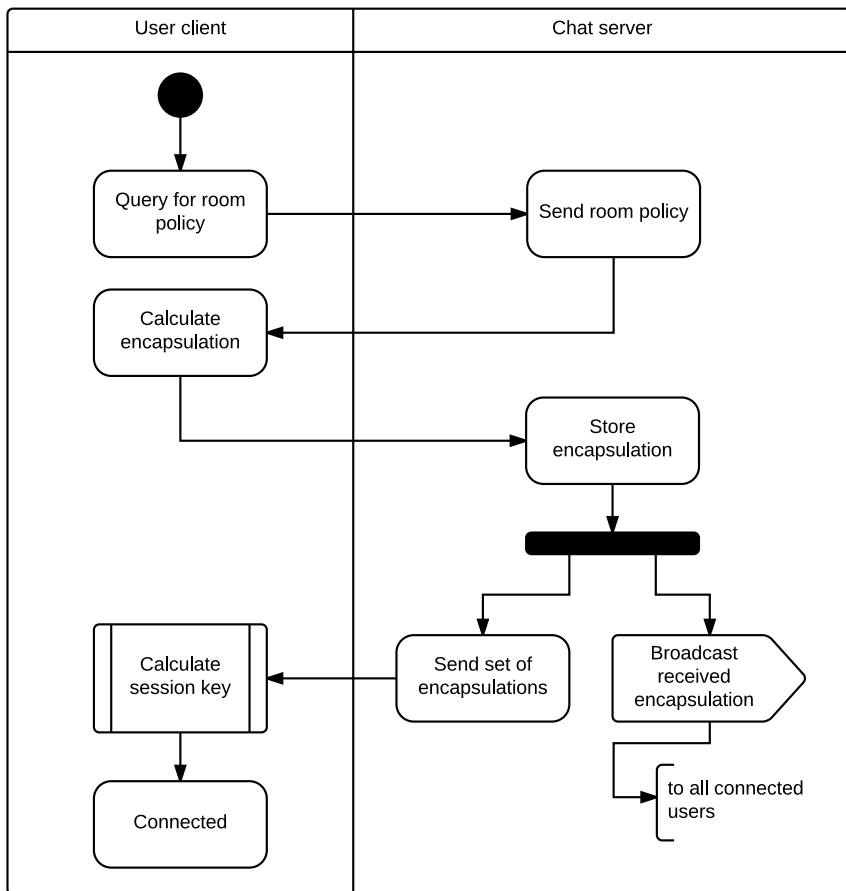
## 4.2 Models and Construction

The high level construction of the key exchange used in the system is based on the generic one-round AB-AKE protocol presented by Gorantla et al. [1], the main differences being the encapsulation function used. The implementation described in this project is constructed based on the ABE scheme implemented in Charm, as described in 3.1.1 and by Waters et al.[5], while Gorantla et al.[1] introduce their own encapsulation policy attribute-based key encapsulation mechanism (EP-AB-KEM). This project propose a complete system utilizing the key exchange, so after obtaining a shared key, users encrypt their messages using a standard symmetric key encryption algorithm. The messages are broadcast through the same broadcast server used for key exchange.

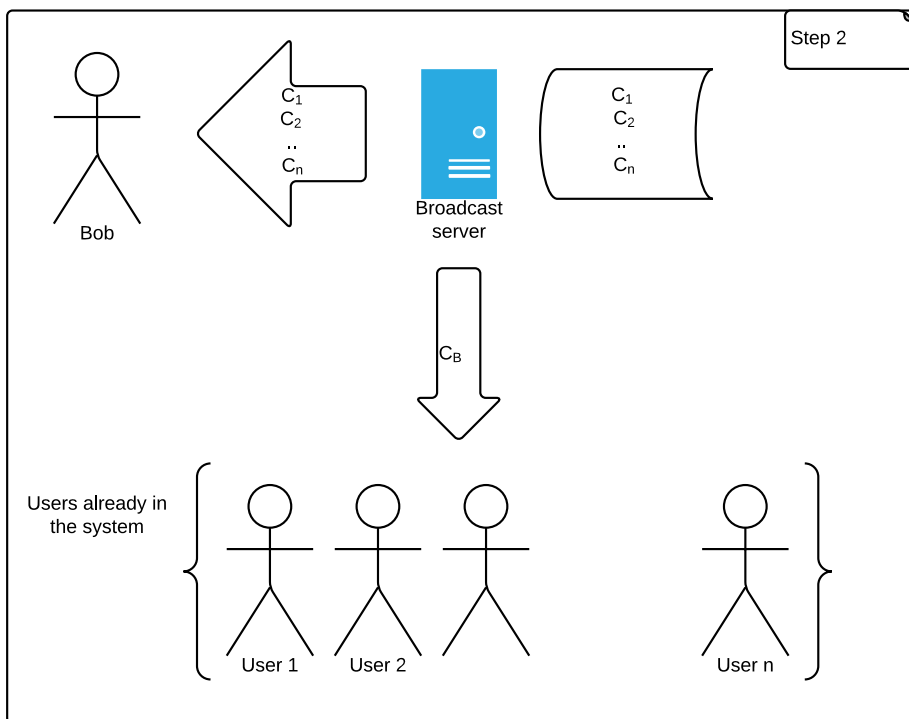
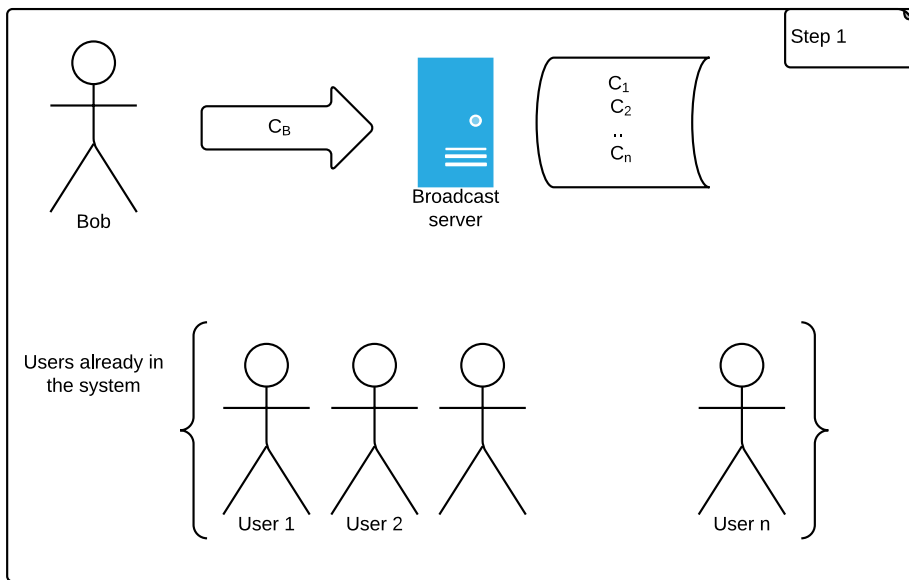
When a new user joins, the message exchanges are paused until the new key is calculated by all users. Figure 4.1 shows the system flow when a new user connects. A client will first query the server for the room policy, before an encapsulation is generated from the received policy and the public parameters. The encapsulation is sent to the server which distributes it so that all users have all contributions. Now the users will start using the session key to encrypt the chat messages and

the server will go back to being a pure broadcast server for the now encrypted chat messages.

Figure 4.2 illustrates the encapsulation distribution process. Bob is a new user, so he uploads his contribution to the server, there are  $n$  users already in the system. Next the server broadcast Bob's encapsulation to the current users, before sending the set of active encapsulations to Bob. After obtaining all encapsulations, all users initiate the key generation procedure, as shown in figure 4.3, which consist of decapsulating the encapsulations, then using the symmetric keys with a pseudo random function on a session id, finally combining them using bitwise xor. For the pseudo random function keyed hmac from the python library is used. The session id is the concatenation of the socket addresses of each user, as for the presented implementation these addresses are sent from the server together with the encapsulations, but if the system was to be extended to a peer-to-peer configuration, each encapsulation would be sent individually from different addresses and could be obtained by the users directly.



**Figure 4.1:** System flow.



**Figure 4.2:** Distribution of encapsulations



Let  $U_i = \{u_1, u_2, \dots, u_n\}$  be the set of users participating in the key exchange.  $Sk_i$  is the corresponding user's secret keys.  $C_j = c_1, c_2, \dots, c_n$  is the set of encapsulations.  $A_j$  is the network address of each user.

**Decapsulation** -  $k_j$  is the symmetric key of encapsulation  $c_j$ . Each user,  $u_i$ , decapsulates all encapsulations as follows.  
 For  $j \neq i$   $k_j \leftarrow \text{Decapsulate}(Sk_i, C_j)$

**Session id** -  $Sid = A_1 \parallel A_2 \parallel \dots \parallel A_n$

**Session key computation** -  $K = \text{hmac}_{k_1}(Sid) \oplus \dots \oplus \text{hmac}_{k_n}(Sid)$

**Figure 4.3:** Key generation procedure

### 4.3 Security Concerns

For a system as the one presented in this project there are some security properties which should be assessed. First of all the key agreement protocol have to be secure against adversaries eavesdropping on the communication channel. A seemingly legitimate user with access to the communication should not be able to compute the session key from the keying material exchanged without actually being a part of the session. This includes replaying packets stolen from another session. The forward and backward secrecy of the keying material as well as the session keys is a relevant problem, which may be important. Notions of forward and backwards secrecy is used differently according to the context, where the original definition assume a long-term key with a session key established using this and that future exposure of the long-term key would not reveal the session key. Alzaid et al. [22] discuss how this is not always how the term is used nowadays, and that the current use in the context of group key communication is that a session key should not be revealed if an older key gets compromised. After establishing a session key the communication channel needs to be secured using this, preferably using authenticated encryption. The last concern for systems using this kind of key exchange mechanism is how to securely issue private keys to the users, since they can't generate these themselves.

The focus of this project is on the security of the session keys used to secure

the communication and because these are generated from the encapsulations of the users it makes sense to consider both security of the generated session key and the encapsulations. To avoid confusion with the terminology related to forward/backward secrecy and other similar terms, a description of how the terms should be interpreted in this report are provided.

**Forward secrecy** Exposure of a private long-term key of a user should not reveal previous session keys established using this key.

**Old encapsulation secrecy** Revelation of the symmetric keys from previous key exchanges should not compromise the current session key.

**Session key secrecy** Compromising a session key, former or current, should not reveal any other session keys.

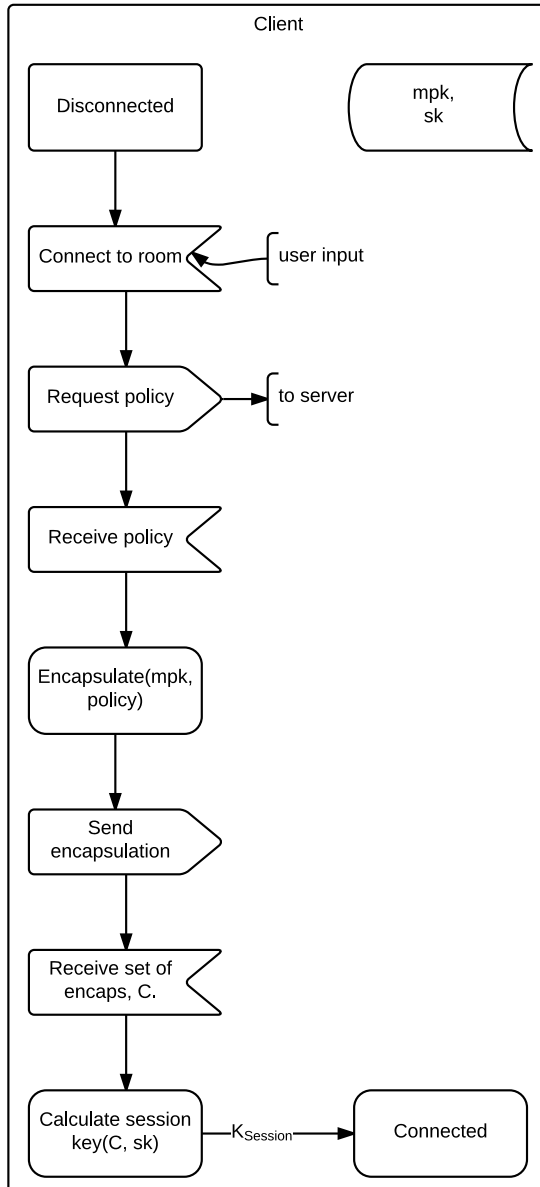
This system does not provide forward secrecy, since any user with the correct attributes can obtain all previous session keys by decapsulating and combining the correct set of encapsulations. The addition of forward secrecy is definitively a relevant extension, as discussed by Gorantla et al. [1]. What the system does ensure is old encapsulation secrecy, meaning that a set of encapsulations from previous sessions will not reveal the current one. New session keys are calculated every time a new user joins, the users can be sure of this since they actually provide parts of the key material, the new material will not be part of previous encapsulation sets. This property is important because without it a new user could never be sure that a previous key might be compromised and reveal all the messages encrypted by him.

Session key secrecy is also important since new keys are generated all the time, by users joining, there will thus exist a lot of old session keys for each room. This property is achieved by computing a new session key whenever a user joins, this property also implies implicit authentication since only users with the correct attributes can obtain the session key. As for users leaving a decision was made not to generate new keys when users leave. If users trusted all the users currently in a room, the removal of one of these would not change the trust, and no new key needs to be generated, saving time and resources. Especially since the system presented here uses only one server doing all the work, it is good to generate new keys as infrequently as possible, since the communication is paused during key exchange. In this case some might also argue that creating a new session key on

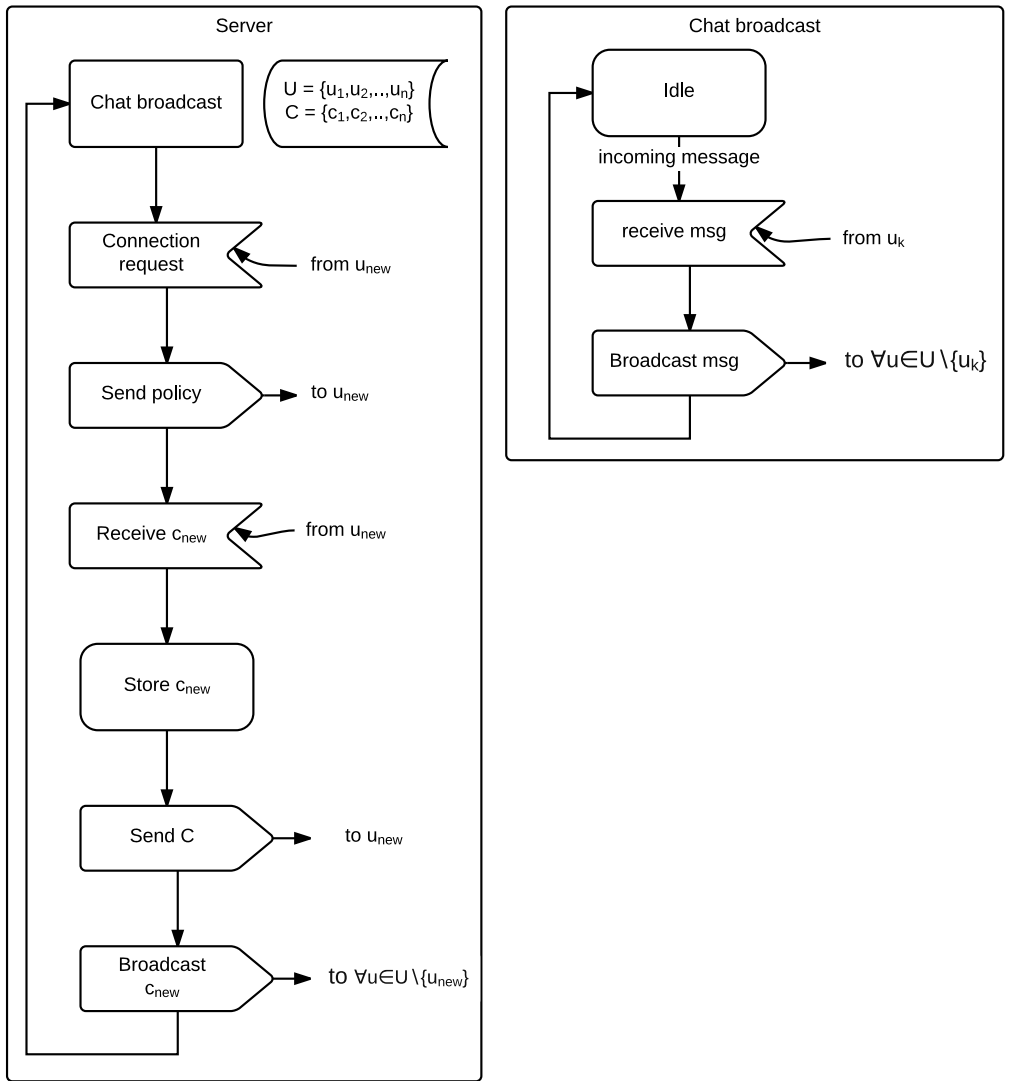
user leave would also be preferable. In addition to this, much of the security of the system rely on the KMS not going rogue, but in the applications discussed, we can assume that the users trust the KMS, this is reasonable since each system or domain, would require users to register and thus agree to the terms and conditions of the system.

## 4.4 Implementation

The application will consist of two components, one client class and one server class. The implementations of these follow the state diagrams 4.4 and 4.5 respectively. This section will describe how these two classes are implemented, the complete implementation including the code is attached in appendix A and B. The state diagrams represents exactly how the programs work together, the send and receive actions in the diagram represent sockets in the application, and the loop binding it together is equal to the main loops of each class. This eventually mean that a send in the figure has a corresponding `socket.send()` in the code.



**Figure 4.4:** Internal flow of the client class



**Figure 4.5:** Internal flow of the server class

## 4.5 System Demonstration

This section will demonstrate how the system looks in practice, figure 4.6, 4.7 and 4.8 shows how the system acts from the perspective of the server and from the clients, respectively.

- First the server is started with a preset policy as highlighted in 4.6, then two users join in succession, it can be seen that for each new user encapsulations are broadcast. The clients that connect can be observed in 4.7 and 4.8 - when starting the client program, it will automatically try connecting to the server on address given as argument to the program.
- After connecting, the server will start by sending the room policy and setup the user with a attribute key and the public parameters, as discussed earlier this is done to abstract away the problem of key distribution. It would also be more complicated to keep the keys persistent, since it would have to be stored somewhere locally, on a deployed system these keys would be in the user data of each registered user.
- After receiving the policy, the client uploads his encapsulation and we can see that he receives the current set from the server - which in the case of one user only is his own, which he already have.
- When the second user joins it can be seen that the first user generates a new key which is the same as the one generated at the second user. The messages are now encrypted using this key.

```
andkof@anders-s9:~/code/attr-chat$ python server.py
Chat server started on port 5000
Current room policy: ((ONE or THREE) and (TWO or FOUR))

Client Anonymous 1, on ('127.0.0.1', 43578) connected
Send encapsulation number 1 of 1
Users in the room: ['Anonymous 1', '']

Client Anonymous 2, on ('127.0.0.1', 43580) connected
Send encapsulation number 1 of 2
Send encapsulation number 2 of 2
Users in the room: ['Anonymous 2', 'Anonymous 1', '']

Client Anonymous 2 left the room.
Clients in the room: ['Anonymous 1', '']
```

**Figure 4.6:** Server interface

```

andkof@anders-s9:~/code/attr-chat$ python client.py
localhost 5000
Chat name: (leave blank to be completely anonymous)

Received room policy from server:
((ONE or THREE) and (TWO or FOUR))
Key attributes: [u'THREE', u'ONE', u'TWO']
Connected to remote host. Uploading and downloading
encapsulations

Encapsulations received: 0. Generating session key.
Generated session key: 96cc1a71acf66c2c
<You>
Encapsulations received: 1. Generating session key.
Generated session key: 75f2>f141k54c7jf
<You> Anonymous 2 entered room
<You> Hello, I'm #1.
[Anonymous 2] Hi there, this is #2.
<You> Client Anonymous 2 is offline
<You> Clients in the room: ['Anonymous 1', '']
<You>

```

**Figure 4.7:** Client 1 UI



```
andkof@anders-s9:~/code/attr-chat$ python client.py
localhost 5000
Chat name: (leave blank to be completely anonymous)

Received room policy from server:
((ONE or THREE) and (TWO or FOUR))
Key attributes: [u'THREE', u'TWO', u'FOUR']
Connected to remote host. Uploading and downloading
encapsulations

Encapsulations received: 1. Generating session key.
Generated session key: 75f2>f141k54c7jf
[Anonymous 1] Hello, I'm #1.
<You> Hi there, this is #2.

KeyboardInterrupt
```

**Figure 4.8:** Client 2 UI

# Chapter 5

## Conclusion and Further Work

This report has presented and taken advantage of functional encryption schemes to define functional key exchange, which has been compared to standard group key exchange with the conflict between authentication and privacy being a central theme. It has been discussed these schemes can be utilized and what limitations such a setup has.

### 5.1 Conclusion

This project has fulfilled the goals given in section 1.3 of the introduction by :

- Showing how functional encryption can be used to exchange keys securely, and applied the techniques of functional key exchange in a distributed chat room. Chapter 3 introduced functional encryption and presented ABE in detail using implementations from the Charm toolbox. Next Two functional key exchange schemes was described in sections 3.2 and 3.3, before possible applications was discussed in section 3.4.
- Proposing an attribute-based key exchange scheme using ABE as mKEM to exchange keys between multiple users, in chapter 4.
- Implementing a system realizing and taking advantage of AB-AKE to exchange keys, this in form of a chat room. The features of the system and models describing it are provided in sections 4.1 and 4.2. While the security requirements are discussed in section 4.3. Finally the prototype is demonstrated in section 4.5 with two users exchanging keys and comput-

ing a common session key which is used to encrypt and authenticate the communication.

With these results the project has shown that functional key exchange can be used in real systems where privacy is an important concern, and that implementing such systems is possible without too severe problems.

## 5.2 Further Work

The extended system would include a separate KMS which the users would register with to obtain their key. The best solution would probably be to have a separate virtual or physical server doing each task; attribute and key management, storage and distribution of keying material, broadcasting of chat messages/cipher texts. Figure 5.1 shows how the extended system would look on a high level. Other features like being able to create your own rooms and administrate these would also be logical, and would have to be stored and handled somewhere. The prototype presented here will be a single room with a static policy.

Another interesting extension to the system would be to remove the broadcast servers completely and apply a peer-to-peer setup, where the users distribute the encapsulations and the chat messages with the help of a broadcast server. The users would have to broadcast their encapsulations individually, the structure would look much like the one in group Diffie-Hellman as described in 2.7.1. The users would need to have some way of knowing where to send their encapsulations, this could be solved by using multicast groups, which new users subscribes to, allowing them to receive new encapsulations, this would essentially be the same as the configuration used in this project. This setup would also require the users to negotiate the policy of the room without an intermediate server keeping track of this. To avoid pauses in the communication, the key exchange could be done in parallel while still using the old key, change to the new one when finished.

What is not clear is how this and similar systems behaves when the load of users increase, and lots of new keys has to be generated. This could be tested and acted upon, by setting up a testing environment and systematically test for different cases with users joining and leaving rapidly.

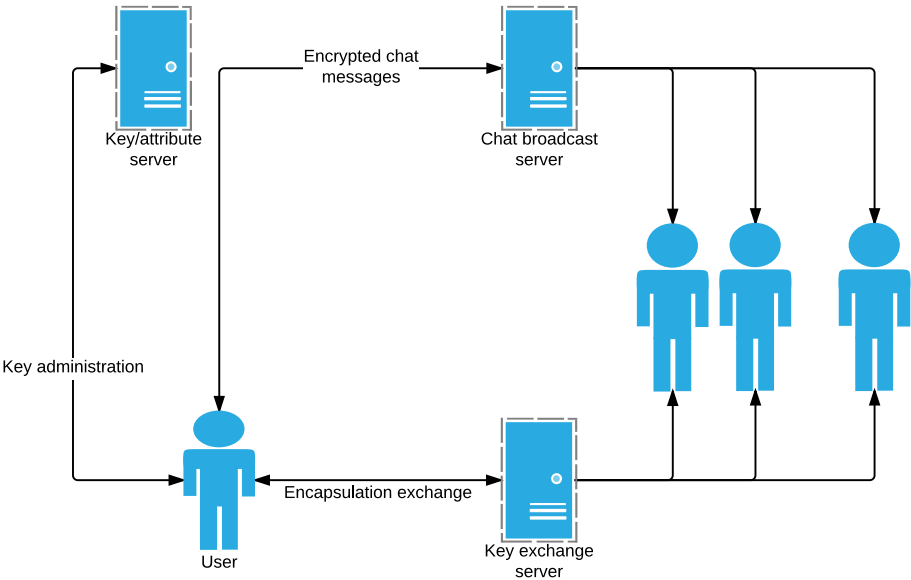


Figure 5.1: Improved system architecture



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## Appendix

# Client.py

```
import sys, socket, select, json, time
from charm.toolbox.pairinggroup import PairingGroup, ZR, G1, G2, GT, pair
from charm.schemes.abenc import abenc_waters09
from charm.core.engine.util import objectToBytes, bytesToObject

#Function to broadcast chat messages to all connected clients
def broadcast(sock, message):
    #Do not send the message to master socket and the client who has send us the message
    for socket in userdata.keys():
        if socket != server_socket and socket != sock :
            try :
                time.sleep(0.1)
                socket.send(message)
            except :
                # broken socket connection may be, chat client pressed ctrl+c for example
                socket.close()
                if socket in userdata:
                    removeUser(sock)

def handleNewConnection():
    sockfd, addr = server_socket.accept()
    userdata[sockfd] = 'Anonymous_{}'.format(len(userdata))
    #send the room policy
    sockfd.send(roomPolicy)
```

```

#send pk and group
sockfd.send(objectToBytes(pk,groupObj))
#send key using a attr set
sockfd.send(objectToBytes(cpabe.keygen(pk, msk, attr_dict[i
    ]),groupObj))
#receive the encapsulation
encap = bytesToObject(sockfd.recv(RECV_BUFFER), groupObj)
#save it
encapsulations.append(encap)
entities.append(addr)
broadcastEncapList(sockfd, addr)
broadcast(sockfd, "[server]{}_{}entered_room\n".format(
    userdata[sockfd]))
print 'Users_in_the_room:{}_{}\n\n'.format(str(userdata.
    values()))

def broadcastEncapList(sockfd, addr):
    broadcast(sock, "1000001"+str(len(encapsulations)))
    time.sleep(1)
    print "Client{}_{}_on{}_{}connected".format(userdata[sockfd],
        addr)
    for j in xrange(0,len(encapsulations)):
        time.sleep(0.1)
        broadcast(sock, objectToBytes(encapsulations[j],
            groupObj))
        print('Send_encapsulation_number{}_{}_of{}_{}'.format(j+1,
            len(encapsulations)))

def removeUser(sock):
    broadcast(sock, "[server]{}_Client{}_{}_is_offline\n".format(
        str(userdata[sock])))
    print "Client{}_{}_left_the_room.".format(userdata[sock])
    del userdata[sock]
    broadcast(sock, "[server]{}_Clients_in_the_room:{}_{}\n".
        format(str(userdata.values())))
    print "Clients_in_the_room:{}_{}\n\n".format(str(userdata.
        values()))

def processData():
    try:

```

```

    # receiving data from the socket.
    data = sock.recv(RECV_BUFFER)
    if data:
        # there is something in the socket
        broadcast(sock, "\r" + '[' + userdata[sock] + ']' +
            + data)
        return True
    else:
        # remove the socket that's broken
        if sock in userdata.keys():
            removeUser(sock)
        return True
except:
    return False

def disconnected():
    print "Unexpected error:", sys.exc_info()[0]
    print 'Users in the room: {}'.format(str(userdata.values()))
    broadcast(sock, "[server] Client {} is offline\n".format(
        userdata[sock]))

if __name__ == '__main__':
    attr_dict = [
        ['THREE', 'ONE', 'TWO'],
        ['THREE', 'TWO', 'FOUR'],
        ['ONE', 'THREE', 'FOUR'],
        ['ONE', 'TWO', 'FIVE']]

    i=0
    groupObj = PairingGroup('SS512')
    #Init the abe class
    cpabe = abenc_waters09.CPabe09(groupObj)
    (msk, pk) = cpabe.setup()
    #Run setup method
    roomPolicy = '((ONE or THREE) and (TWO or FOUR))'

    userdata = {} #list of sockets and chat names.
    encapsulations = []
    entities = []
    RECV_BUFFER = 4096
    PORT = 5000

```

```

server_socket = socket.socket(socket.AF_INET, socket.
    SOCK_STREAM)
server_socket.setsockopt(socket.SOL_SOCKET, socket.
    SO_REUSEADDR, 1)
server_socket.bind(("0.0.0.0", PORT))
server_socket.listen(10)

# Add server socket to the list of readable connections
userdata[server_socket] = ''

print "Chat_server_started_on_port" + str(PORT)
print "Current_room_policy:{ }\n\n".format(roomPolicy)

#Start the server main loop
while 1:
    read_sockets, write_sockets, error_sockets = select.
        select(userdata, [], [])
    for sock in read_sockets:
        if sock == server_socket: #New connection
            handleNewConnection()
            i+=1
        else: #Incoming message from a client
            processData() or disconnected()
server_socket.close()

```

# Appendix B

## Server.py

```
import socket, select, string, sys, os, base64
from charm.toolbox.pairinggroup import PairingGroup,ZR,G1,G2,GT
    ,pair
from charm.schemes.abenc import abenc_waters09
from charm.core.engine.util import objectToBytes,bytesToObject
from Crypto.Cipher import AES
from Crypto import Random
from charm.core.math.pairing import hashPair as sha1

def prompt() :
    sys.stdout.write('<You>_')
    sys.stdout.flush()

def encapsulate(cpkey):
    key = groupObj.random(GT)
    return key, cpabe.encrypt(pk, key, policy)

def xorString(s1,s2):
    return ''.join(chr(ord(a) ^ ord(b)) for a,b in zip(s1,s2))

def generateSessionKey(encaps):
    key=sha1(cpabe.decrypt(pk, cpkey, encaps.pop()))
    for encap in encaps:
        key = xorString(key,sha1(cpabe.decrypt(pk, cpkey, encap
        )))
    return key

#padding functions for the encryption.
```

```

BS = 16
pad = lambda s: s + (BS - len(s) % BS) * chr(BS - len(s) % BS)
unpad = lambda s: s[0:-ord(s[-1])]

#AES encryption functions
def encrypt(key, raw):
    raw = pad(raw)
    iv = Random.new().read( AES.block_size )
    cipher = AES.new(key, AES.MODE_CBC, iv )
    return base64.b64encode( iv + cipher.encrypt( raw ) )

def decrypt( self, enc ):
    enc = base64.b64decode(enc)
    iv = enc[:16]
    cipher = AES.new(key, AES.MODE_CBC, iv )
    return unpad( cipher.decrypt( enc[16:] ) )

def connect(server, port):
    try:
        server.connect((host, port))
        policy = server.recv(4096)
        print( 'Received_room_policy_from_server:{' }.format(
            policy))
        pk = bytesToObject(server.recv(4096), groupObj)
        cpkey = bytesToObject(server.recv(4096), groupObj)
        print( 'Key_attributes:{' }.format(cpkey[ 'attributes' ])
        )
        return pk, cpkey, policy
    except:
        print 'Unable_to_connect'
        sys.exit()

def receiveEncapsulations():
    #sys.stdout.write("\n\nReceived encapsulations ")
    encapsulations = []
    for i in xrange(0,int(data[7])):
        try:
            encapsulations.append(bytesToObject(server.recv
                (4096), groupObj))
            #sys.stdout.write(str(i+1) + ", ")
        except:

```

```

        print("RECEIVE_ENCAP_EXCEPTION_ON_RUN#{},\n
              RETRYING_ONCE".format(i))
        encapsulations.append(bytesToObject(server.recv(
            4096), groupObj))
        continue
    print('\nEncapsulations received: {}. Generating session\
          key.'.format(len(encapsulations)-1))
    return encapsulations

def printMessage(data):
    sender = data.split(" ", 1)[0] + " "
    msg = data.split(" ", 1)[1]
    if sender != '[server]':
        msg = sender + " " + decrypt(key, msg)
    sys.stdout.write(msg)
    sys.stdout.flush()
    prompt()

#main function
if __name__ == '__main__':
    groupObj = PairingGroup('SS512')
    cpabe = abenc_waters09.CPabe09(groupObj)
    policy = ''
    if (len(sys.argv) < 3):
        print('Usage: python telnet.py hostname port')
        sys.exit()

    host = sys.argv[1]
    port = int(sys.argv[2])

    server = socket.socket(socket.AF_INET, socket.SOCK_STREAM)
    server.settimeout(2)
    print("Chat name: (leave blank to be completely anonymous)\n")
    nickName = sys.stdin.readline().strip()

    # connect to remote host
    pk, cpkey, policy = connect(server, port)
    print('Connected to remote host. Uploading and downloading\
          encapsulations')
    key, encap = encapsulate(cpkey)

```



```

server.send(objectToBytes(encap, groupObj))

key = None
AESobj = None

#Start main loop
while 1:
    socket_list = [sys.stdin, server]
    read_sockets, write_sockets, error_sockets = select.select(socket_list, [], [])
    for sock in read_sockets:
        #incoming message from remote server
        if sock == server:
            data = sock.recv(4096)
            if not data:
                print '\nDisconnected from chat server'
                sys.exit()
            elif data[:7] == "1000001": #unique tag
                notifying about a new client
                encapsulations = receiveEncapsulations()
                key = generateSessionKey(encapsulations)
                [-16:]
                print('Generated session key: {}'.format(key))
                AESobj = AES.new(key, AES.MODE_CBC, 'This is an IV456')
                prompt()
            else:
                printMessage(data)

        #user entered a message
        else:
            msg = sys.stdin.readline()
            server.send(encrypt(key, msg.encode('utf-8')))
            prompt()

```