DAISY: Data Adaptive Self-Supervised Early Exit for Speech Representation Models

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Abstract

Self-supervised speech models have shown to be useful for various tasks, but their large size limits the use in devices with low computing power and memory. In this work, we explore early exit, an approach for reducing latency by exiting the forward process of a network early. Most approaches of early exit need a separate early exit model for each task, with some even requiring fine-tuning of the entire pretrained model. We introduce Data Adaptive Self-Supervised Early Exit (DAISY), an approach that decides when to exit based on the self-supervised loss, eliminating the need for multiple round of training and fine-tuning. DAISY matches the performance of HuBERT on the MiniSUPERB benchmark, but with much faster inference times. Our analysis on the adaptivity of DAISY shows that the model exits early (using fewer layers) on clean data while exits late (using more layers) on noisy data, dynamically adjusting the computational cost of inference based on the noise level of each sample.

Index Terms: Self-Supervised Learning, Model Compression, Early Exit

1. Introduction

Self-supervised speech models [1, 2, 3, 4, 5, 6] have demonstrated impressive capabilities in feature extraction. The feature extracted by speech SSL models could generalize well across various downstream datasets [7, 8, 9]. However, to effectively learn contextualized information from unsupervised data, these models are typically large [10], leading to substantial computational costs during inference. This significantly restricts the use of speech SSL models on low-resource devices.

To solve this issue, many studies have focused on compressing self-supervised speech models. Prior work has explored knowledge distillation [11, 12, 13] to train a smaller student model to mimic the behavior of a larger teacher model. Some use either unstructured [14] or structured [15, 16] pruning to remove redundant parameters in self-supervised models, and some apply quantization methods [17] to store the models at lower bitwidths. Early exit [18], unlike the other methods mentioned, does not reduce the number of parameters in the model, but instead aims to stop the forward process to make predictions in earlier layers, thereby reducing inference latency.

In this work, we will focus on the early exit approach. Most studies on early exit for self-supervised models are for processing texts [19, 20, 21, 22, 23, 24], with only a few applied to speech [25]. Typically, these methods involve attaching early exit branches to each layer and fine-tuning these branches on specific downstream dataset. During inference, the decision to exit at a particular layer is made by assessing the entropy or confidence of the branch [18]. Existing approaches require training

early exit branches for every downstream tasks, and many require fine-tuning the self-supervised models for specific downstream tasks as well, which significantly increases the computational cost. Moreover, the effectiveness of early exit has not been shown other than ASR [25].

In this work, inspired by the finding that the self-supervised loss aligns well with downstream performance [26], we propose Data Adaptive Self-Supervised Early Exit (DAISY), a novel and effective method using the entropy of the self-supervised loss to make decisions for early exit. Our approach can be divided into three stages. In the first stage, we attach an early exit branch to each hidden layer and optimize each branch with a self-supervised objective (e.g., predicting quantized representation as in HuBERT [4]). In the second stage, we fix the early exit branches, and train classifiers for downstream tasks using the weighted sum of features following SUPERB [7]. Different from typical early exit methods that only perform early exit at the inference phase, we incorporate early exits during training of the downstream classifier. In the third stage, all models are frozen for inference with early exit. Note that the selfsupervised model is frozen during all three stages. Compared to previous early exit methods, our approach requires training early exit branches only once in the first stage, and the early exit branches are then used for all downstream tasks. Our approach does not require fine-tuning the self-supervised model either, significantly more efficient in training than other approaches.

Since our approach no longer depends on the downstream tasks, when and where a branch decides to exit solely depends on properties of the input data. In other words, regardless of the task, once the input data is given, the model will exit at the same layer. What decides when to exit is not the task, but the characteristics of the data set on which the task is performed. This prompts us to study the data adaptivity of our approach. Based on our analysis with different noise levels, models tend to forward to deeper layers on data with low signal-to-noise ratio. This allows it to achieve a favorable performance and speed-up trade-off on datasets with varying degrees of noise.

2. Related Work

The concept of early exit was first proposed by BranchyNet [18], where they proposed adding early exit branches to the intermediate layers of the model. During inference, it is possible to exit early via these branches when labels can be inferred with high confidence.

DeeBERT [19] introduced the concept of early exit to selfsupervised models. Similar to BranchyNet, DeeBERT attaches branches to intermediate layers of BERT and fine-tunes these branches for specific downstream tasks. During inference, it determines whether to perform an early exit by assessing the confidence of the predictions. CALM [23] proposed to use tokenlevel early exit to accelerate the process of language model generation. They validate the feasibility of early exit in generation tasks. However, they still need to train the early exit branches for different downstream tasks.

HuBERT-EE [25] utilizes early exit to accelerate the inference process of HuBERT. However, they only validate their approach on ASR, and similar to all previous early exit methods, their method requires training branches for different downstream tasks. In addition, they need to fine-tune the entire HuBERT model first before training early exit branches.

3. Methodology

In essence, early exit amounts to learning a function (a branch) f^k for layer k such that, when taking the k-th hidden layer h^k as input, if $f^k(h^k) = 1$, the forward process stops and the model exits early; otherwise, if $f^k(h^k) = 0$, the forward process continues. In this section, we will introduce our approach, DAISY, and discuss how each early exit branch is trained. There are three distinct phases of DAISY, and they are summarized in Figure 1

3.1. Training early exit branches

Instead of training early exit branches with downstream tasks, we propose to train them with a self-supervised loss. We choose a simplified variant of the HuBERT loss, measuring the cross entropy between a quantized frame and the output of a linear classifier. Formally, for every layer k, we train a linear classifier W^k with

$$\frac{1}{T} \sum_{t=1}^{T} CE(W^k h_t^k, y_t), \tag{1}$$

where h_t^k is the hidden vector at layer k and time t, and y_t is the target at time t. The target y_t should ideally be the targets used for training HuBERT, but the targets are never released. We instead create targets by running HuBERT and taking the argmax from the last linear layer. This variant of HuBERT loss have been shown to be as effective as the original HuBERT loss [26] and is computationally more efficient [27].

After training the early exit branches, given a data sample, we compute the entropy of the k-th branch

$$E^{k} = \frac{1}{T} \sum_{t=1}^{T} \sum_{c=1}^{C} -p_{t,c}^{k} ln(p_{t,c}^{k}),$$
 (2)

where p_{tc}^k is the probability of the class c at layer k and time t obtained with the linear layer W^k and a softmax. The entropy is by no means the perfect measure of uncertainty or confidence (see [28] and the citations therein), but it is simple to implement and, as we will see, works surprising well. The final exit decision is based on a simple threshold τ on the entropy. Specifically, $f^k(h^k)$ is 1 when $E^k < \tau$, and is otherwise 0.

3.2. Training downstream tasks

To give a sense of how the entropy values vary across layers and across datasets, we show the average entropy of each layer in HuBERT BASE on four datasets from MiniSUPERB [29] in Figure 2. We observe that the entropy is smaller for deeper layers, and the entropy as a function of the layer is surpringly linear.¹

The linear relationship between the entropy and the layers prompts us to design the following heuristic for choosing the threshold. Given a dataset, we compute the average entropy of each layer and measure the maximum and minimum average entropy E_{max} and E_{min} , respectively. The threshold τ is decided by a linear scaling of the mean

$$\tau = \frac{E_{max} + E_{min}}{2} \rho \tag{3}$$

where ρ is a ratio hyperparameter in [0,1]. The ratio ρ is left to the user to decide; the smaller the ρ , the later the model exits and the more compute is required.

When training downstream models, given a threshold τ , the model decides whether to exit at layer k by evaluating $E^k < \tau$ for each data sample. If early exit happens at layer \hat{k} , we apply Layer Normalization [30] on all hidden vectors below the \hat{k} -th layer,

$$\bar{h}^{1:\hat{k}} = LayerNorm(h^{1:\hat{k}}). \tag{4}$$

Following SUPERB [7], the features to the downstream classifier is the weighted sum of the hidden vectors $\sum_{k=1}^{\hat{k}} w_k \bar{h}^{\hat{k}}$. The weights and the subsequent linear classifiers are trained for the downstream task.

3.3. Exit strategies at inference time

Though the hyperparameter ρ needs to be fixed when training downstream models, it still can be changed during inference depending on how much compute the user is willing to spend, much like the spirit of anytime inference [31]. In fact, any additional constraints can be added at inference time, and below we explore three spans, constraining where the model can exit. If during inference no layers within the span decides to exit early, we simply force the model to exit at the deepest layer within the defined span.

mean This constraint forces the model to exit at a layer that is the average number of layers used during training. Formally, if the average exit layer is μ during downstream training, we only allow the model to exit at layer k if $\lfloor \mu \rfloor \le k \le \lceil \mu \rceil$.

threshold This constraint only allows the model to exit at layers that have sufficiently been used as exit points during downstream training. Formally, suppose the exit happens at the k-th layer r_k of the time during downstream training. We only allow the model to exit at layer k, say, when $r_k > 15\%$.

min-max This constraint imposes the minimum and maximum number of layers, and the two are determined based on the minimum and maximum used during downstream training. Formally, suppose the minimum exit layer is k_{\min} and the maximum is k_{\max} during downstream training. We only allow the model to exit at layer k if $k_{\min} \le k \le k_{\max}$.

4. Experiments

We train the early exit branches on the full Librispeech 960 hours [32]. We fix all the other parameters and train the branches with a batch size of 32 and a learning rate of 5e-5. It takes 88000 steps to converge, about 10 hours on a single 32GB V100 GPU. We do not apply dropout and layer drop [33] during training. All the other hyperparameters remain the same as HuBERT. We evaluate our models on MiniSUPERB [29], a lightweight benchmark that efficiently evaluate self-supervised

¹The linear relationship between the entropy and the layer is itself an interesting finding and warrants further study.

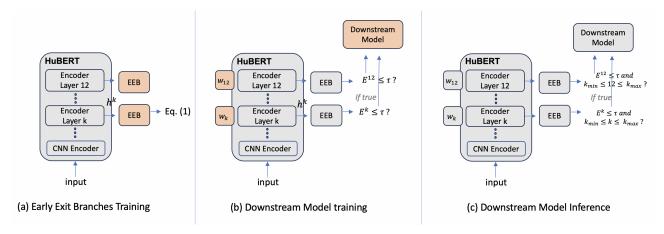


Figure 1: Three stages of DAISY: (a) the training of early exit branches, (b) the training of downstream models, and (c) early exit at inference time. EEB is used to denote early exit branches, where the linear classifier and entropy computation happen. Model parameters are frozen when the boxes are in gray; model parameters are being trained when the boxes are in orange.

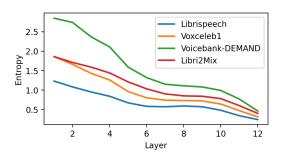


Figure 2: The average entropy of each early exit branch on four datasets of MiniSUPERB [29].

speech models. There are four downstream tasks in MiniSU-PERB, including automatic speech recognition (ASR), speaker identification (SID), speech enhancement (SE), and speech separation (SS). We use learning rate of 1e-4, 1e-1, 1e-4, and 1e-4 respectively for ASR, SID, SE, and SS.

4.1. Downstream performance and speed-up

The downstream performance and the amount of forward time saved on MiniSUPERB [29] are shown in Table 1. In general, when using a smaller ratio ρ (0.7), DAISY can achieve close or even better performance to HuBERT BASE on all four downstream tasks, while saving inference time. To highlight, on SID, it can improve accuracy by 1.21% and save 23.36% of forwarding time. On SE, it can improve PESO by 0.02 and save 20.51% of forwarding time. When using a larger ratio ρ (1.0), DAISY is able to save even more while still maintaining performance on SID, SE, and SS. To highlight, on SID, it can save 31.5% of the forward time with less than 1% accuracy degradation. On SE, it can save 29.56% of forwarding time and improve PESQ by 0.02. On SS, it can save 24.75% of forwarding time and significantly improve SI-SDRi by 0.19. Compared to the other three tasks, DAISY finds it more challenging to save a substantial amount of forwarding time on ASR without sacrificing performance. This might be related to the distribution of layerwise information in self-supervised speech models, where phonetic information is predominantly stored in relatively deep

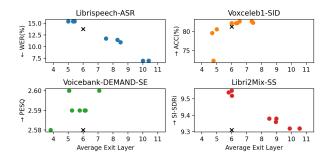


Figure 3: The comparison of DAISY and the early exit baseline at a fixed layer. The colored dots represent DAISY, while the black crosses represent early exit at the 6th layer. For each downstream task, we present the results of three different ρ values (0.7, 0.76, 1.0) combined with the three exit strategy at inference time, resulting in a total of 9 dots.

layers [34].

Comparing the three exit strategies at inference time, both mean and threshold methods can achieve a good trade-off between performance and forward time. We find that the min-max approach exhibits worse performance in a few cases.

As Table 1 shows, users can decide the value of ρ based on the amount of computational resources they have. When resources are limited, one can choose a larger ρ . In Figure 3, we further demonstrate the results of DAISY compared to exiting early at the 6th layer. We observe that DAISY is capable of achieving better performance than early exit at a fixed layer. This shows the advantage of DAISY to dynamically determine the early exit layer. In addition, using different ρ 's and different exit strategy provides a trade-off at inference time.

4.2. Noise adaptivity of DAISY

From the results of the previous section, we can already see that DAISY is capable of dynamically deciding what samples require more layers to process and what samples do not. However, it is unclear what properties of the data drive the early exit decision. There might be many properties that determines when to exit. In this section, we only focus on the noise level of the

Table 1: Downstream performance and the percentage of saving forward time of DAISY on four different downstream tasks of MiniSU-PERB [29]. ASR denotes automatic speech recognition, SID denotes speaker identification, SE denotes speech enhancement, and SS denotes speech separation. *The performance of HuBERT base 12 layer is copied from SUPERB benchmark [7]

			ASR		SID		SE		SS	
			WER↓	Time Saved ↑	ACC ↑	Time Saved ↑	PESQ / STOI ↑	Time Saved ↑	SI-SDRi ↑	Time Saved ↑
DAISY	$\rho = 1.0$	mean	15.45%	21.63%	79.55%	31.51%	2.60 / 94.0	27.23%	9.54	22.78%
		threshold	15.45%	31.54%	80.53%	31.50%	2.60 / 94.0	29.56%	9.55	24.75%
		min-max	15.43%	18.99%	72.36%	28.64%	2.58 / 93.9	29.22%	9.52	20.93%
	$\rho = 0.7$	mean	6.96%	4.98%	82.63%	19.42%	2.60 / 94.0	20.51%	9.32	2.23%
		threshold	6.98%	7.71%	82.63%	23.36%	2.59 / 94.0	25.03%	9.32	2.50%
		min-max	6.96%	4.74%	82.26%	15.19%	2.59 / 94.0	23.72%	9.32	1.25%
*HuBERT Base 12 layer [4]			6.42%	0.00%	81.42%	0.00%	2.58 / 93.9	0.00%	9.36	0.00%

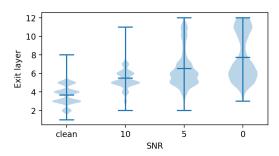


Figure 4: Violin plot of the early exit probability at each layer when applying different levels of MUSAN noise [35] to the Librispeech test-clean set. The horizontal line represents the maximum, average, and minimum of the early exit layer, respectively.

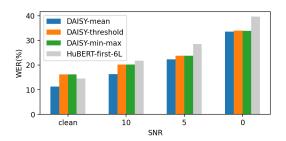


Figure 5: The word error rate of DAISY on samples with different level of noises. HuBERT-first-6L represents the results of statically early exiting at 6th layer.

recordings, as the noise level can be controlled by adding noise to clean speech. Specifically, we add different levels of noise to the Librispeech test clean dataset, including SNR values of 10, 5, and 0. We then measure the early exit results for each level of noise. The noise recordings were randomly sampled from the MUSAN dataset [35]. The results are presented in Figure 4. Overall, DAISY tends to use more layers as the SNR decreases. Our findings is consistent with Ravuri et al. [36], where they found that the entropy of self-supervised speech models correlate well with their prediction (in their case, MOS scores). In addition to general background noise, we also experiment with adding music and speech, and the results are consistent with adding background noise.

4.3. Applications of noise adaptativity

The above analysis provides some evidence, supporting DAISY's ability to adapt to noise. In particular, DAISY would have an advantage when the noise level has a large variance. Unfortunately but also not surprisingly, most of the publicly available datasets have a relatively homogeneous noise level among data point. To test the adaptivity of DAISY, we create a dataset with a range of noise levels. We add MUSAN noise recordings to LibriSpeech 100 hours, dev clean, and test clean subsets, in which 40% remain clean, 30% of SNR 10, 20% of SNR 5, and 10% of SNR 0. We then perform ASR on this dataset. The result is shown in Figure 5. Comparing to early exit at a fixed layer (the 6th), our approach performs better across all noise levels, and the gap becomes more pronounced when the level of noise increases. The average WER across all noise levels is 20.67, 23.44, 23.32, and 26.1 for DAISY-mean, DAISY-threshold, DAISY-min-max, and HuBERT-first-6L, respectively. This result clearly shows that DAISY is able to identify the noisy samples, and, based on the noise level, allocate the appropriate amount of compute for this task.

Since most of the time we cannot precisely know how noisy a dataset is, and sometimes a dataset may contain data with varying degrees of noise, it becomes challenging to predetermine the appropriate layer for early exit apriori. In such cases, DAISY can dynamically adjust the amount of layer needed based on how noisy each sample is, thereby achieving better performance.

5. Conclusion

In this work, we propose DAISY, a novel and effective approach for early exit based on a self-supervised loss. We use the entropy of the prediction to decide whether to exit early. Unlike previous early exit approaches, DAISY does not require training early exit branches for different downstream tasks and does not require any fine-tuning of the self-supervised model, making the training process much more efficient. On four downstream tasks of MiniSUPERB, DAISY achieves comparable or even better performance than HuBERT, while saving inference time. Lastly, we analyze the noise adaptivity property of DAISY, showing that noisy samples tend to require more layers to process. We then show that in a setting where the range of noise level is large, DAISY performs better comparing to early exit at a fixed layer, even though the amortized compute is the same. The early exit approach provides a different avenue to model compression at achieving speed-up at inference time.

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