

# HWw10-1

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November 2020

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```
i=NIL
for j=1 to n
    if A[j]==v
        i=j
        break
return i
```

List invariant: the list  $A[1..j-1]$  does not contain  $v$ .

- Initialisation. When  $j = 1$ , the list  $A[1..0]$  i.e. the empty list does not contain  $v$ .
- Maintenance. Assume  $A[1..j-1]$  doesn't contain  $v$ . The next step of the algorithm will check if  $A[j] = v$ . If  $A[j] = v$ , then the algorithm returns  $i = j$ . If  $A[j] \neq v$  then  $A[1..j]$  does not contain  $v$  and hence loop invariance is preserved.
- Termination. If any of the  $A[j] = v$  then the loop breaks and the algorithm returns the correct index  $i$ . If none of the  $A[j] = v$  then  $i$  is not changed from NIL, and hence the algorithm returns  $i = \text{NIL}$  as desired.

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**a**

Insertion sort on a list of length  $k$  runs in  $\Theta(k^2)$  worst-case time. This is repeated  $\frac{n}{k}$  times, so

$$\Theta\left(k^2 \times \frac{n}{k}\right) = \Theta(nk)$$

**b**

We merge the  $\frac{n}{k}$  sublists of length  $k$  in pairs until we get the full list of length  $n$ . This takes  $\log\left(\frac{n}{k}\right)$  steps. Each step has  $\Theta(n)$  complexity since we compare  $n$  elements at each step, hence the final complexity is

$$\Theta\left(n \log\left(\frac{n}{k}\right)\right)$$

as required.

**c**

We want  $k \leq \log(n)$ . This is since the upper bound,  $k = \log(n)$ , means that the expression  $\Theta(nk + n \log(\frac{n}{k}))$  becomes

$$\Theta\left(n \log(n) + n \log\left(\frac{n}{\log(n)}\right)\right) = \Theta(2n \log(n) - n \log(\log(n))) = \Theta(n \log(n))$$

**d**

$k$  should be chosen such that insertion sort on lists of length  $k$  is faster than merge sort on lists of length  $k$ . If there are multiple  $k$  that satisfy this condition, we should choose the largest option.

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At least half of the  $\lceil \frac{n}{7} \rceil$  groups contribute at least 4 elements greater than  $x$ . Excluding the group containing  $x$  and the last of the groups, which might not have 7 elements, there are at least

$$4 \left( \left\lceil \frac{1}{2} \left\lceil \frac{n}{7} \right\rceil \right\rceil - 2 \right) \geq \frac{2n}{7} - 8$$

elements greater than  $x$ . Hence in the worst case, SELECT gets called on at most

$$n - \left( \frac{2n}{7} - 8 \right) = \frac{5n}{7} + 8$$

elements. Hence

$$T(n) = T\left(\left\lceil \frac{n}{5} \right\rceil\right) + T\left(\frac{5n}{7} + 8\right) + O(n)$$

for sufficiently large  $n$ . Assume  $T(n) \leq cn$  for all  $n < k$  for some constant  $c$ . Then for  $n \geq k$  we have

$$\begin{aligned} T(n) &\leq c \left( \left\lceil \frac{n}{7} \right\rceil \right) + c \left( \frac{5n}{7} + 8 \right) + an \\ &\leq c \left( \frac{6n}{7} + 9 \right) + an \\ &= cn + \left( -\frac{cn}{7} + 9c + an \right) \end{aligned}$$

so for  $T(n) \leq cn$  for all  $n > 0$  we require

$$\left( -\frac{cn}{7} + 9c + an \right) \leq 0 \iff c \frac{63 - n}{7} \leq -an \iff c \geq 7a \frac{n}{n - 63}$$

if  $n \geq 64$ . The largest  $\frac{n}{n-63}$  can be is  $\frac{64}{1}$ , so we get the requirement

$$c \geq 448a$$

Thus using groups of 7 also works in linear time providing we choose a  $c \geq 448a$ . However, for groups of 3, following the analysis above, we have

$$2 \left( \left\lceil \frac{1}{2} \left\lceil \frac{n}{3} \right\rceil \right\rceil - 2 \right) \geq \frac{n}{3} - 2$$

elements greater than  $x$ , and hence SELECT gets called on at most

$$\frac{2n}{3} + 4$$

elements. Following the above analysis, this yields

$$T(n) \leq c \left\lceil \frac{n}{3} \right\rceil + c \left( \frac{2n}{3} + 4 \right) + an \leq cn + 5c + an = (c + a)n + 5c$$

It is impossible for

$$(c + a)n + 5c \leq cn$$

since it would force

$$an \leq -5c$$

i.e. it would force  $a < 0$ . However, by definition  $a > 0$ , so this cannot be true and hence using groups of 3 will stop the algorithm running in linear time. Extending this, we guess  $T(n) \geq cn \log(n)$  for all  $n > 0$ . Then

$$\begin{aligned} T(n) &\geq c \left\lceil \frac{n}{3} \right\rceil \log \left( \left\lceil \frac{n}{3} \right\rceil \right) + c \left( \frac{2n}{3} + 4 \right) \log \left( \frac{2n}{3} + 4 \right) + an \\ &\geq c \frac{n}{3} \log \left( \frac{n}{3} \right) + c \frac{2n}{3} \log \left( \frac{2n}{3} + 4 \right) + an \\ &\geq c \frac{n}{3} \log \left( \frac{n}{3} \right) + c \frac{2n}{3} \log \left( \frac{2n}{3} \right) + an \\ &\geq cn \left( \frac{1}{3} \log(n) + \frac{2}{3} \log(n) \right) + cn \left( \frac{2}{3} \log(2) - \log(3) \right) + an \\ &\geq cn \log(n) \quad \text{as long as } cn \left( \frac{2}{3} \log(2) - \log(3) \right) + an \geq 0 \end{aligned}$$

So  $T(n)$  grows more quickly than linear providing we choose  $a \geq c \left( \log(3) - \frac{2}{3} \log(2) \right)$ .

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Use  $\text{SELECT}(A, \lceil \frac{n}{2} \rceil)$  to find the median  $m$  in  $O(n)$  time. Subtract  $m$  from each element of  $A$  (in  $O(n)$  time) and call the resulting list  $B$ . Use  $\text{SELECT}(B, k)$  to find  $x$ , the  $k^{\text{th}}$  smallest element in  $B$  in  $O(n)$  time. Finally, iterate through the array. At each step of the iteration, if  $B[i] \leq x$  then add it to the output. Clearly, this is also  $O(n)$  time, hence this algorithm is in  $O(n)$  time as required.