

# Documentation for the General QMC code

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# 1 Definition of the model Hamiltonian

The model Hamiltonians that we can simulate have the general form:

$$\mathcal{H} = \sum_{s=1}^{N_{fl}} \sum_{x,y} c_{xs}^\dagger T_{xy} c_{ys} - \sum_{k=1}^M U_k \left[ \sum_{s=1}^{N_{fl}} \sum_{x,y} \left( c_{xs}^\dagger V_{xy}^{(k)} c_{ys} - \alpha_k \right) \right]^2. \quad (1)$$

Note, that we introduced *two* different labels to address spin degrees of freedom:

- The number of spin flavours is set by  $N_{fl}$ .
- The number  $N_{sun}$  also labels spin states and specifically sets the symmetry group of the fermions, namely the dimension of the special unitary group  $SU(N_{sun})$ .

And the following labels specify the lattice sites:

- The indices  $x, y$  are multi-indices that label degrees of freedom, containing lattice sites and spin states:  $x = (i, \sigma)$ , where  $i = 1, \dots, N_{sites}$  and  $\sigma = 1, \dots, N_{sun}$ , so

$$\sum_{x,y} \equiv \sum_{i=1, j=1}^{N_{sites}} \sum_{\sigma=1, \sigma'=1}^{N_{sun}}. \quad (2)$$

- The number of correlated sites which is a subset of all sites, is labelled by  $M$  ( $M \leq N_{sites}$ ).
- Let us further define  $N_{dim} = N_{sun} N_{sites}$  such that the matrices  $\mathbf{T}$  and  $\mathbf{V}^{(k)}$  are of dimension  $N_{dim} \times N_{dim}$
- $N_{sites}$  is the total number of spacial vertices:  $N_{sites} = N_{unit\ cell} N_{orbital}$ , where  $N_{unit\ cell}$  is the number of unit cells of the underlying Bravais lattice and  $N_{orbital}$  is the number of (spacial) orbitals per unit cell **Check the definition of  $N_{orbital}$  in the code.**

## 1.1 Structure of the hopping matrix $\mathbf{T}$ and the interaction matrices $\mathbf{V}^{(k)}$

In general, the matrices  $\mathbf{T}$  and  $\mathbf{V}^{(k)}$  are sparse matrices. This property is used to minimize computational cost and storage. In the following, we discuss the implementation of the single-particle matrix representation  $\mathbf{V}^{(k)}$  of the interaction operator. The same logic applies for the hopping matrix  $\mathbf{T}$ .

We denote a subset of  $N_{eff}$  (**in the code,  $N_{eff}$  is called just  $N$** ) degrees of freedom by the set  $[z_1, \dots, z_{N_{eff}}]$  and define it to contain only vertices for which an interaction term is defined:

$$V_{xy}^{(k)} \neq 0 \quad \text{only if} \quad x, y \in [z_1^{(k)}, \dots, z_{N_{eff}}^{(k)}]. \quad (3)$$

We define the projection matrices  $\mathbf{P}_V^{(k)}$  of dimension  $N_{eff}^{(k)} \times N_{dim}$ :

$$(P_V^{(k)})_{i,z} = \delta_{z_i^{(k)}, z}, \quad (4)$$

where  $i \in [1, \dots, N_{eff}^{(k)}]$  and  $z \in [1, \dots, N_{dim}]$ . The matrix operator  $\mathbf{P}_V^{(k)}$  picks out the non-vanishing entries of  $\mathbf{V}^{(k)}$ , which are contained in the  $(N_{eff}^{(k)} \times N_{eff}^{(k)})$ -dimensional matrix  $\mathbf{O}_V^{(k)}$ :

$$\mathbf{V}^{(k)} = \mathbf{P}_V^{(k)T} \mathbf{O}_V^{(k)} \mathbf{P}_V^{(k)}, \quad (5)$$

and

$$V_{xy}^{(k)} = (P_V^{(k)})_{ix} [O_V^{(k)}]_{ij} (P_V^{(k)})_{jy} = \sum_{i,j}^{N_{eff}^{(k)}} \delta_{z_i^{(k)}, x} [O_V^{(k)}]_{ij} \delta_{z_j^{(k)}, y}. \quad (6)$$

Comment that the  $P$  matrices have only one non-vanishing entry per column. To set the interaction part, we therefore have to specify the following:

- the matrix elements  $[O_V^{(k)}]_{ij}$
- the set  $[z_1^{(k)}, \dots, z_{N_{eff}}^{(k)}]$
- the interaction strenghts  $U_k$
- the numbers  $\alpha_k$ .

Be more specific here what really has to be specified in the actual code. The same logic also applies to the implementation of the hopping interaction be more specific.

## 1.2 The Hubbard-Stratonovich decomposition

## 2 Implementation of a model Hamiltonian

We only have to write the subroutine for the Hamiltonian. A template is given by `Hamiltonian_template.f90`. Existing model subroutines are `Hamiltonian_Hubb.f90`.

### 2.1 The Operator variable

In the code implementation, we define a structure called `Operator`. This structure variable `Operator` bundles several components that are needed to define and use an operator matrix in the program. In Fortran a structure variable like this is called a derived type. The components it contains are:

- the projector  $P_V$ ,
- the matrix  $O_V$ ,
- the effective dimension  $N_{eff}$ ,
- and a couple of auxiliary matrices and scalars.

In general, we will not only have one structure variable `Operator`, but a whole array of these structures.

Name of variable in the code	Description
<code>Op_V%N</code>	effective dimension $N_{eff}$
<code>Op_V%O</code>	matrix $O_V$
<code>Op_V%U</code>	matrix containing the eigenvectors of $O_V$
<code>Op_V%E</code>	eigenvalues of $O_V$
<code>Op_V%P</code>	projection matrix $P_V$
<code>Op_V%N_non_zero</code>	number of non-vanishing eigenvalues of $O_V$
<code>Op_V%g</code>	coupling strength in Hubbard-Stratonovich transformation
<code>Op_V%alpha</code>	constant, to set particle-hole symmetry <b>correct?</b>

Table 1: Components of the `Operator` structure variable `Op_V`.

## 2.2 The observables

## 2.3 The lattice

# 3 Input and output files

# 4 Walkthrough: the $SU(2)$ -Hubbard model on a square lattice

The  $SU(2)$  symmetric Hubbard model is given by

$$\mathcal{H} = -t \sum_{\langle i,j \rangle, \sigma} \left( c_{i,\sigma}^\dagger c_{j,\sigma} + \text{H.c.} \right) + \frac{U}{2} \sum_i \left[ \sum_\sigma \left( c_{i\sigma}^\dagger c_{i\sigma} - 1/2 \right) \right]^2. \quad (7)$$

To bring Eq. (7) in the general form (1), we set

$$\begin{aligned} N_{fl} &= 1 \\ N_{sun} &= 2 \\ T_{xy} &= -t \delta_{\langle i,j \rangle} \delta_{\sigma, \sigma'} \\ M &= N_{sites} \\ U_k &= -U/2 \\ V_{xy}^{(k)} &= \delta_{x,y} \delta_{i,k} = \delta_{i,j} \delta_{\sigma, \sigma'} \delta_{i,k} \\ \alpha_k &= 1/(N_{sites} N_{sun})^2 = 1/(2N_{sites})^2. \end{aligned} \quad (8)$$

In the following, we skip the  $N_{sun}$ -spin degree of freedom which is present in the multi-indices  $x, y$  of the matrices  $\mathbf{T}$  and  $\mathbf{V}^{(k)}$ . So  $N_{dim} = N_{sites}$ .

What is the role of the  $N_{sun}$  index in the code?

- it appears in the coupling  $g$  in the **Operator** structure.
- it appears as a normalization constant in the definition of observables
- it appears as exponent in the calculation of the phase factor and the update ratio

Is the code limited to  $SU(N)$  symmetric models with respect to the  $N_{sun}$  degree of freedom?

Note that in this example  $N_{dim} = Latt \% N$  since there is only one spacial orbital per unit cell of the underlying Bravais lattice.

## 4.1 Hopping term

The hopping matrix is implemented as follows. We allocate an array of dimension  $1 \times 1$ , called **Op\_T**. It therefore contains only a single **Operator** structure. We set the effective dimension (here,  $N_{eff} = N_{dim}$ ), and allocate and initialize this structure by a single call to the subroutine **Op\_make**:

```
call Op_make(Op_T(1,1), Ndim)
```

Since the effective dimension is identical to the total dimension, it follows trivially, that  $\mathbf{P}_T = \mathbb{1}$  and  $\mathbf{O}_T = \mathbf{T}$ . Although a checkerboard decomposition is not yet used for the Hubbard model, in principle it can be implemented.

## 4.2 Interaction term

To implement this interaction, we allocate an array of `Operator` structures. The array is called `Op_V` and has dimensions  $N_{dim} \times N_{fl} = N_{sites} \times 1$ . We set the effective dimension,  $N_{eff} = 1$ , and allocate and initialize this array of structures by repeatedly calling the subroutine `Op_make`:

```
N_sites = Latt%N
N_fl = 1
N_eff = 1

do nf = 1, N_FL
do i = 1, Latt%N
call Op_make(Op_V(i,nf),N_eff)
enddo
enddo
```

For each lattice site  $i$ , the projection matrices  $P_V^{(i)}$  are of dimension  $1 \times N_{dim}$  and have one non-vanishing entry:  $(P_V^{(i)})_{1j} = \delta_{ij}$ . The effective matrices are again trivial:  $O_V^{(i)} = 1$ .

Name of variable in the code	Description
<code>Ndim</code>	Spatial dimension of the lattice (total number of sites) <i>what about the <math>N_{sun}</math>?</i>
<code>Latt%N</code>	Number of unit cells of the underlying Bravais lattice
<code>Op_T</code>	Array of structure variables that bundles all variables needed to define the hopping operator.
<code>Op_V</code>	Array of structure variables that bundles all variables needed to define the two-particle interaction operator.
<code>N_sun</code>	Number of spin states of the $SU(N_{sun})$ -symmetric fermions
<code>N_fl</code>	Number of spin flavors

Table 2: Common variables that are set in the Hamiltonian, operator and lattice modules of the code.

## 4.3 Definition of the square lattice

This is set in the subroutine `Ham_latt`. The square lattice is already implemented. In principle, one can specify other lattice geometries and use them by specifying the keyword `Lattice_type` in the parameter file.

## 4.4 Observables for the Hubbard model

To do next:

- dicuss the measurements: what observables exit and how do I add a new one?
- discuss the implementation of the lattice.
- discuss the Hubbard-Stratonovich decompositions (this is related to the coupling in the operator structure), discuss also the spin-symmetry-breaking HS-decomposition for the Hubbard model.

# 5 Tutorial: set up a model Hamiltonian

based on the (not yet existing) template `Hamiltonian_template.f90`.