

# A general implementation of the auxiliary field quantum Monte Carlo algorithm.

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# 1 Introduction

The auxiliary field quantum Monte Carlo approach has by now proven to be a key algorithm to simulate a variety of electron systems where correlations effects play a dominant role. This includes correlation effects in topological band structures, quantum phase transitions between semimetals and insulators, deconfined quantum critical points, topologically ordered phases, heavy fermion systems, nematic and magnetic quantum phase transitions in metals, superconductivity in the presence of spin orbit coupling. This list of ever growing phenomena is based on recent symmetry based insights, which allows one to find formulations that avoid the so called negative sign problem. The aim of this project is to introduce a general formulation of the auxiliary methods,

[placeholder for a general introduction, mentioning the purpose and the powers of the general QMC code.](#) This documentation is organized as follows. The general Hamiltonian operator is written down in Sec. 2, followed by a brief outline of the quantum Monte Carlo algorithm. In Sec. 3, we discuss the implementation of a model, introducing the `Operator` data structure which is the building block of the Hamiltonian. And we discuss the implementation of the lattice and the observables. Section ?? is about actually running the code. We describe input and output files, the analysis protocol and the compilation procedure. In Sec. 4 and 5 two detailed walkthroughs are performed: the  $SU(2)$ -symmetric Hubbard on a square lattice (Sec. 4) and the same model, but additionally coupled to a transverse field Ising model (Sec. 5).

## 2 Definition of the model Hamiltonian

The class of solvable models includes Hamiltonians  $\hat{\mathcal{H}}$  that have the following general form:

$$\hat{\mathcal{H}} = \hat{\mathcal{H}}_T + \hat{\mathcal{H}}_V + \hat{\mathcal{H}}_I + \hat{\mathcal{H}}_{0,I}, \text{ where} \quad (1)$$

$$\hat{\mathcal{H}}_T = \sum_{k=1}^{M_T} \sum_{s=1}^{N_{\text{fl}}} \sum_{\sigma=1}^{N_{\text{col}}} \sum_{x,y}^{N_{\text{dim}}} \hat{c}_{x\sigma s}^\dagger T_{xy}^{(ks)} \hat{c}_{y\sigma s} \equiv \sum_{k=1}^{M_T} \hat{T}^{(k)} \quad (2)$$

$$\hat{\mathcal{H}}_V = - \sum_{k=1}^{M_V} U_k \left\{ \sum_{s=1}^{N_{\text{fl}}} \sum_{\sigma=1}^{N_{\text{col}}} \left[ \left( \sum_{x,y}^{N_{\text{dim}}} \hat{c}_{x\sigma s}^\dagger V_{xy}^{(ks)} \hat{c}_{y\sigma s} \right) - \alpha_{ks} \right] \right\}^2 \equiv - \sum_{k=1}^{M_V} U_k \left( \hat{V}^{(k)} \right)^2 \quad (3)$$

$$\hat{\mathcal{H}}_I = \sum_{k=1}^{M_I} \hat{Z}_k \left\{ \sum_{s=1}^{N_{\text{fl}}} \sum_{\sigma=1}^{N_{\text{col}}} \left[ \sum_{x,y}^{N_{\text{dim}}} \hat{c}_{x\sigma s}^\dagger I_{xy}^{(ks)} \hat{c}_{y\sigma s} \right] \right\} \equiv \sum_{k=1}^{M_I} \hat{Z}_k \hat{I}^{(k)}. \quad (4)$$

The indices have the following meaning:

- The number of fermion *flavors* is set by  $N_{\text{fl}}$ . After the Hubbard-Stratonovich transformation, the action will be block diagonal in the flavor index.
- The number of fermion *colors* is set by  $N_{\text{col}}$ . The Hamiltonian is invariant under  $SU(N_{\text{col}})$  rotations. Note that in the code  $N_{\text{col}} \equiv N_{\text{spin}}$ .
- The indices  $x, y$  label lattice sites where  $x, y = 1, \dots, N_{\text{dim}}$ .  $N_{\text{dim}}$  is the total number of spacial vertices:  $N_{\text{dim}} = N_{\text{unit cell}} N_{\text{orbital}}$ , where  $N_{\text{unit cell}}$  is the number of unit cells of the underlying Bravais lattice and  $N_{\text{orbital}}$  is the number of (spacial) orbitals per unit cell [Check the definition of  \$N\_{\text{orbital}}\$  in the code.](#)
- Therefore, the matrices  $\mathbf{T}^{(ks)}$ ,  $\mathbf{V}^{(ks)}$  and  $\mathbf{I}^{(ks)}$  are of dimension  $N_{\text{dim}} \times N_{\text{dim}}$
- The number of interaction terms is labelled by  $M_V$  and  $M_I$ .  $M_T > 1$  would allow for a checkerboard decomposition.
- $\hat{Z}_k$  is an Ising spin operator which corresponds to the Pauli matrix  $\hat{\sigma}_z$ . It couples to a general one-body term.
- $\mathcal{H}_{0,I}$  gives the dynamics of the Ising spins. This term has to be specified by the user and is only relevant when the Monte Carlo update probability is computed in the code (see Sec. ??).

Note that the matrices  $\mathbf{T}^{(ks)}$ ,  $\mathbf{V}^{(ks)}$  and  $\mathbf{I}^{(ks)}$  explicitly depend on the flavor index  $s$  but not on the color index  $\sigma$ . The color index  $\sigma$  only appears in the second quantized operators such that the Hamiltonian is manifestly  $\text{SU}(N_{\text{col}})$  symmetric. We also require the matrices  $\mathbf{T}^{(ks)}$ ,  $\mathbf{V}^{(ks)}$  and  $\mathbf{I}^{(ks)}$  to be hermitian.

## 2.1 Formulation of the QMC

The formulation of the Monte Carlo simulation is based on the following.

- We will discretize the imaginary time propagation:  $\beta = \Delta\tau L_{\text{Trotter}}$
- We will use the discrete Hubbard-Stratonovich transformation:

$$e^{\Delta\tau\lambda\hat{A}^2} = \sum_{l=\pm 1, \pm 2} \gamma(l) e^{\sqrt{\Delta\tau\lambda}\eta(l)\hat{A}} + \mathcal{O}(\Delta\tau^4), \quad (5)$$

where the fields  $\eta$  and  $\gamma$  take the values:

$$\begin{aligned} \gamma(\pm 1) &= 1 + \sqrt{6}/3, & \eta(\pm 1) &= \pm \sqrt{2(3 - \sqrt{6})}, \\ \gamma(\pm 2) &= 1 - \sqrt{6}/3, & \eta(\pm 2) &= \pm \sqrt{2(3 + \sqrt{6})}. \end{aligned} \quad (6)$$

- We will work in a basis where  $\hat{Z}_k$  is diagonal:  $\hat{Z}_k|s_j\rangle = s_k\delta_{kj}|s_k\rangle$ , where  $s_k = \pm 1$ .
- From the above it follows that the Monte Carlo configuration space  $C$  is given by the combined spaces of Ising spin configurations and of Hubbard-Stratonovich discrete field configurations:

$$C = \{s_{i,\tau}, l_{j,\tau} \text{ with } i = 1 \cdots M_I, j = 1 \cdots M_V, \tau = 1 \cdots L_{\text{Trotter}}\} \quad (7)$$

Here, the Ising spins take the values  $s_{i,\tau} = \pm 1$  and the Hubbard-Stratonovich fields take the values  $l_{j,\tau} = \pm 2, \pm 1$ .

With the above, the partition function of the model (1) can be written as follows.

$$\begin{aligned} Z &= \text{Tr} \left( e^{-\beta\hat{\mathcal{H}}} \right) \\ &= \text{Tr} \left[ e^{-\Delta\tau\hat{\mathcal{H}}_{0,I}} \prod_{k=1}^{M_T} e^{-\Delta\tau\hat{T}^{(k)}} \prod_{k=1}^{M_V} e^{\Delta\tau U_k (\hat{V}^{(k)})^2} \prod_{k=1}^{M_I} e^{-\Delta\tau\hat{\sigma}_k \hat{I}^{(k)}} \right]^{L_{\text{Trotter}}} \\ &= \sum_C \left( \prod_{j=1}^{M_V} \prod_{\tau=1}^{L_{\text{Trotter}}} \gamma_{j,\tau} \right) e^{-S_{0,I}(\{s_{i,\tau}\})} \times \\ &\quad \text{Tr}_F \left\{ \prod_{\tau=1}^{L_{\text{Trotter}}} \left[ \prod_{k=1}^{M_T} e^{-\Delta\tau\hat{T}^{(k)}} \prod_{k=1}^{M_V} e^{\sqrt{\Delta\tau U_k} \eta_{k,\tau} \hat{V}^{(k)}} \prod_{k=1}^{M_I} e^{-\Delta\tau s_{k,\tau} \hat{I}^{(k)}} \right] \right\} \end{aligned} \quad (8)$$

In the above, the trace  $\text{Tr}$  runs over the Ising spins as well as over the fermionic degrees of freedom, and  $\text{Tr}_F$  only over the fermionic Fock space.  $S_{0,I}(\{s_{i,\tau}\})$  is the action corresponding to the Ising Hamiltonian, and is only dependent on the Ising spins so that it can be pulled out of the fermionic trace. At this point, and since for a given configuration  $C$  we are dealing with a free propagation, we can integrate out the fermions to obtain a determinant:

$$\begin{aligned} &\text{Tr}_F \left\{ \prod_{\tau=1}^{L_{\text{Trotter}}} \left[ \prod_{k=1}^{M_T} e^{-\Delta\tau\hat{T}^{(k)}} \prod_{k=1}^{M_V} e^{\sqrt{\Delta\tau U_k} \eta_{k,\tau} \hat{V}^{(k)}} \prod_{k=1}^{M_I} e^{-\Delta\tau s_{k,\tau} \hat{I}^{(k)}} \right] \right\} = \\ &\prod_{s=1}^{N_{\text{fl}}} \left[ e^{-\sum_{k=1}^{M_V} \sum_{\tau=1}^{L_{\text{Trotter}}} \sqrt{\Delta\tau U_k} \alpha_{k,s} \eta_{k,\tau}} \right]^{N_{\text{col}}} \times \\ &\prod_{s=1}^{N_{\text{fl}}} \left[ \det \left( 1 + \prod_{\tau=1}^{L_{\text{Trotter}}} \prod_{k=1}^{M_T} e^{-\Delta\tau \mathbf{T}^{(ks)}} \prod_{k=1}^{M_V} e^{\sqrt{\Delta\tau U_k} \eta_{k,\tau} \mathbf{V}^{(ks)}} \prod_{k=1}^{M_I} e^{-\Delta\tau s_{k,\tau} \mathbf{I}^{(ks)}} \right) \right]^{N_{\text{col}}}. \end{aligned} \quad (9)$$

All in all, the partition function is given by:

$$\begin{aligned}
Z &= \text{Tr} \left( e^{-\beta \hat{\mathcal{H}}} \right) \\
&= \sum_C e^{-S_{0,I}(\{s_{i,\tau}\})} \left[ \prod_{k=1}^{M_V} \prod_{\tau=1}^{L_{\text{Trotter}}} \gamma_{k,\tau} \right] e^{-N_{\text{col}} \sum_{s=1}^{N_{\text{fl}}} \sum_{k=1}^{M_V} \sum_{\tau=1}^{L_{\text{Trotter}}} \sqrt{\Delta\tau U_k} \alpha_{k,s} \eta_{k,\tau}} \times \\
&\quad \prod_{s=1}^{N_{\text{fl}}} \left[ \det \left( 1 + \prod_{\tau=1}^{L_{\text{Trotter}}} \prod_{k=1}^{M_T} e^{-\Delta\tau \mathbf{T}^{(ks)}} \prod_{k=1}^{M_V} e^{\sqrt{\Delta\tau U_k} \eta_{k,\tau} \mathbf{V}^{(ks)}} \prod_{k=1}^{M_I} e^{-\Delta\tau s_{k,\tau} \mathbf{I}^{(ks)}} \right) \right]^{N_{\text{col}}}. \quad (10)
\end{aligned}$$

In the above, one notices that the weight factorizes in the flavor index. The color index raises the determinant to the power  $N_{\text{col}}$ . This corresponds to an explicit  $SU(N_{\text{col}})$  symmetry for each configuration. This symmetry is manifest in the fact that the single particle Green functions, again for a given configuration  $C$  are color independent.

### 3 Implementation of the model

In the code, the module `Hamiltonian` defines the model Hamiltonian, the lattice under consideration and the desired observables (table 1). The respective file name is `Hamiltonian_<Model Name>.f90`: for example, `Hamiltonian_Hub.f90` defines the plain Hubbard model on the two-dimensional square lattice. To implement a user-defined model, therefore only the module `Hamiltonian` has to be set up. Accordingly, this documentation focusses almost entirely on this module and the subprograms it includes. The remaining parts of the code may be treated as as a black box.

Name of subprogram	Description	Section
<code>Ham_Set</code>	Reads in model and lattice parameters from the file <code>parameters</code> . And it sets the Hamiltonian by calling <code>Ham_latt</code> , <code>Ham_hop</code> , and <code>Ham_V</code> .	
<code>Ham_hop</code>	Sets the hopping term $\hat{\mathcal{H}}_T$ by calling <code>Op_make</code> and <code>Op_set</code> .	3.1, 3.1.1
<code>Ham_V</code>	Sets the interaction terms $\hat{\mathcal{H}}_V$ and $\hat{\mathcal{H}}_I$ by calling <code>Op_make</code> and <code>Op_set</code> .	3.1, 3.1.1
<code>Ham_Latt</code>	Sets the lattice by calling <code>Make_Lattice</code> .	3.2
<code>SO</code>	A function which returns an update ratio for the Ising term $\hat{\mathcal{H}}_{I,0}$ .	5.2
<code>Alloc_obs</code>	Assigns memory storage to the observables	
<code>Init_obs</code>	Initializes the observables to zero.	
<code>Obser</code>	Computes the scalar observables and equal-time correlation functions.	3.3
<code>OBSERT</code>	Computes time-displaced correlation functions.	3.3
<code>Pr_obs</code>	Writes the observables to the disk by calling <code>Print_bin</code> .	

Table 1: Overview of the subprograms of the module `Hamiltonian` to define the Hamiltonian, the lattice and the observables.

#### 3.1 The Operator type

The fundamental data structure in the code is the derived data type `Operator`. This type is used to define the Hamiltonian (1). In general, the matrices  $\mathbf{T}^{(ks)}$ ,  $\mathbf{V}^{(ks)}$  and  $\mathbf{I}^{(ks)}$  are sparse Hermitian matrices. Consider the matrix  $\mathbf{X}$  of dimension  $N_{\text{dim}} \times N_{\text{dim}}$ , as an representative of each of the above three matrices. Let us denote with  $\{z_1, \dots, z_N\}$  a subset of  $N$  indices, for which

$$X_{x,y} = \begin{cases} X_{x,y} & \text{if } x, y \in \{z_1, \dots, z_N\} \\ 0 & \text{otherwise} \end{cases} \quad (11)$$

We define the  $N \times N_{\text{dim}}$  matrices  $\mathbf{P}$  as

$$P_{i,x} = \delta_{z_i,x}, \quad (12)$$

where  $i \in [1, \dots, N]$  and  $x \in [1, \dots, N_{\text{dim}}]$ . The matrix  $\mathbf{P}$  picks out the non-vanishing entries of  $\mathbf{X}$ , which are contained in the rank- $N$  matrix  $\mathbf{O}$ . Thereby:

$$\mathbf{X} = \mathbf{P}^T \mathbf{O} \mathbf{P}, \quad (13)$$

such that:

$$X_{x,y} = \sum_{i,j}^N P_{i,x} O_{i,j} P_{j,y} = \sum_{i,j}^N \delta_{z_i,x} O_{ij} \delta_{z_j,y}. \quad (14)$$

Since the  $\mathbf{P}$  matrices have only one non-vanishing entry per column, they can be stored as a vector  $\vec{P}$ :

$$P_i = z_i. \quad (15)$$

There are many useful identities which emerge from this structure. For example:

$$e^{\mathbf{X}} = e^{\mathbf{P}^T \mathbf{O} \mathbf{P}} = \sum_{n=0}^{\infty} \frac{(\mathbf{P}^T \mathbf{O} \mathbf{P})^n}{n!} = \mathbf{P}^T e^{\mathbf{O}} \mathbf{P} \quad (16)$$

since

$$\mathbf{P} \mathbf{P}^T = \mathbf{1}_{N \times N}. \quad (17)$$

In the code, we define a structure called `Operator` to capture the above. This type `Operator` bundles several components that are needed to define and use an operator matrix in the program.

### 3.1.1 Specification of the model

Name of variable in the code	Description
<code>Op_X%N</code>	effective dimension $N$
<code>Op_X%O</code>	matrix $\mathbf{O}$ of dimension $N \times N$
<code>Op_X%P</code>	projection matrix $\mathbf{P}$ encoded as a vector of dimension $N$ .
<code>Op_X%g</code>	coupling strength $g$
<code>Op_X%alpha</code>	constant $\alpha$
<code>Op_X%type</code>	integer parameter to set the type of HS transformation (1 = Ising, 2 = Discrete HS, for perfect square)
<code>Op_X%U</code>	matrix containing the eigenvectors of $\mathbf{O}$
<code>Op_X%E</code>	eigenvalues of $\mathbf{O}$
<code>Op_X%N_non_zero</code>	number of non-vanishing eigenvalues of $\mathbf{O}$

Table 2: Components of the `Operator` type. In the left column, the letter  $\mathbf{X}$  is a placeholder for the letters  $\mathbf{T}$  and  $\mathbf{V}$ , indicating hopping and interaction operators, respectively. The highlighted variables have to be specified by the user.

In order to specify the Hamiltonian (1), we will need several arrays of structure variables `Operator`. Its components are listed in Table 2. Since the implementation exploits the  $SU(N_{\text{col}})$  invariance of the Hamiltonian, we have dropped the color index  $\sigma$  in the following.

- Hopping Hamiltonian (2): In this case  $\mathbf{X} = \mathbf{T}^{(k,s)}$ . The corresponding array of structure variables `Op_T` is `Op_T( $M_T, N_{fl}$ )`. Precisely, a single variable `Op_T` describes the operator matrix:

$$\left( \sum_{x,y}^{N_{\text{dim}}} \hat{c}_x^\dagger T_{xy}^{(ks)} \hat{c}_y \right), \quad (18)$$

where  $k = [1, M_T]$  and  $s = [1, N_{\text{fl}}]$ . We have  $g = -\Delta\tau$ ,  $\alpha = 0$ , and the type variable `Op_T%type` is irrelevant.

- Interaction Hamiltonian (3): If the interaction is of perfect-square type, we set  $\mathbf{X} = \mathbf{V}^{(k,s)}$  and define the corresponding structure variables `Op_V` using the array `Op_V(M_V, N_fl)`. A single variable `Op_V` describes the operator matrix:

$$\left[ \left( \sum_{x,y}^{N_{\text{dim}}} \hat{c}_x^\dagger V_{x,y}^{(ks)} \hat{c}_y \right) - \alpha_{ks} \right], \quad (19)$$

where  $k = [1, M_V]$  and  $s = [1, N_{\text{fl}}]$ . For the perfect-square interaction,  $\alpha = \alpha_{ks}$  and  $g = \sqrt{\Delta\tau U_k}$ . The discrete Hubbard-Stratonovich decomposition is selected by setting the type variable to `Op_V%type=2`.

- Ising interaction Hamiltonian (4): In this case,  $\mathbf{X} = \mathbf{I}^{(k,s)}$  and we define the array `Op_V(M_I, N_fl)`. A single variable `Op_V` then describes the operator matrix:

$$\left( \sum_{x,y}^{N_{\text{dim}}} \hat{c}_x^\dagger I_{xy}^{(ks)} \hat{c}_y \right), \quad (20)$$

where  $k = [1, M_I]$  and  $s = [1, N_{\text{fl}}]$ . The Ising interaction is specified by setting the type variable `Op_V%type=1`,  $\alpha = 0$  and  $g = -\Delta\tau$ .

- In case of a full interaction [perfect-square term (3) and Ising term (4)], we define the corresponding doubled array `Op_V(M_V+M_I, N_fl)` and set the variables separately for both ranges of the array according to the above.

## 3.2 The Lattice

We have a lattice module which generate a two dimensional Bravais lattice. The user has to specify unit vectors  $\vec{a}_1$  and  $\vec{a}_2$  as well as the size of the lattice. The size is characterized by two vectors  $\vec{L}_1$  and  $\vec{L}_2$  and the lattice is placed on a torus:

$$\hat{c}_{\vec{i}+\vec{L}_1} = \hat{c}_{\vec{i}+\vec{L}_2} = \hat{c}_{\vec{i}} \quad (21)$$

The call `Call Make_Lattice( L1, L2, a1, a2, Latt )` will generate the lattice `Latt` of type `Lattice`. Note that the structure of the unit cell has to be provided by the user.

- The modules `lattice_v3` is for a general square lattice.
- what about additional orbitals?
- other dimensions?
- mention the pre-defined lattices

## 3.3 The Observables

We have three types of observables: scalar observables, equal-time correlation functions, and imaginary time-displaced correlation functions.

[mention bins, sweeps We have to add some more details.](#)

### 3.3.1 Scalar observables

Several scalar observables are measured and accumulated in the array `Obs_scal` during the simulation (see table 4).

### 3.3.2 Equal-time correlation functions

Let  $\hat{O}_{\vec{i},\alpha}$  be a local observable, with  $\vec{i}$  labelling the unit cell and  $\alpha$  labelling the orbital or bone emanating from the unit cell. The program will compute:

$$S_{\alpha,\beta}(\vec{k}) = \frac{1}{N_{\text{unit cells}}} \sum_{\vec{i},\vec{j}} e^{i\vec{k}\cdot(\vec{i}-\vec{j})} \left( \langle \hat{O}_{\vec{i},\alpha} \hat{O}_{\vec{j},\beta} \rangle - \langle \hat{O}_{\vec{i},\alpha} \rangle \langle \hat{O}_{\vec{j},\beta} \rangle \right) \quad (22)$$

Name of variable in the code	Description
Latt%N, Latt%Ns	number of lattice points, $N_{unit\ cell}$ <a href="#">why N and Ns</a>
Latt%list	maps each lattice point $i = 1, \dots, N_{unit\ cell}$ to a real space vector <code>list(i,1)</code> $\vec{a}_1 + \text{list}(i,2) \vec{a}_2$
Latt%invlist	maps each real space vector to the corresponding lattice point $i$
Latt%nnlist	maps each lattice point to its four nearest neighbors
Latt%listk	maps each reciprocal lattice point $k = 1, \dots, N_{unit\ cell}$ to a reciprocal space vector <code>listk(k,1)</code> $\vec{b}_1 + \text{listk}(k,2) \vec{b}_2$
Latt%invlistk	maps each reciprocal space vector to the corresponding reciprocal lattice point $k$
Latt%imj	<a href="#">between two lattice site vectors</a>
Latt%a1_p, Latt%a2_p	unit vectors $\vec{a}_1, \vec{a}_2$
Latt%b1_p, Latt%b1_p	unit vectors $\vec{b}_1, \vec{b}_2$ of the reciprocal lattice
Latt%BZ1_p, Latt%BZ2_p	vectors that span the Brillouin zone
Latt%L1_p, Latt%L2_p	vectors $\vec{L}_1, \vec{L}_2$ that span the real space lattice
Latt%b1_perp_p, Latt%b2_perp_p	<a href="#">vectors</a>

Table 3: Components of the `Lattice` type for two-dimensional lattices using as example the default lattice name `Latt`. The highlighted variables have to be specified by the user.

Name of variable in the code	Definition	Description
Obs_scal(1)	$\rho = \sum_{k=1}^{M_T} \sum_{s=1}^{N_{\text{fl}}} \sum_{\sigma=1}^{N_{\text{col}}} \sum_x^{N_{\text{dim}}} \langle \hat{c}_{x\sigma s}^\dagger \hat{c}_{x\sigma s} \rangle$	electronic density
Obs_scal(2)	$E_{\text{kin}} = \sum_{k=1}^{M_T} \sum_{s=1}^{N_{\text{fl}}} \sum_{\sigma=1}^{N_{\text{col}}} \sum_{x,y}^{N_{\text{dim}}} \langle \hat{c}_{x\sigma s}^\dagger T_{xy}^{(ks)} \hat{c}_{y\sigma s} \rangle$	kinetic energy
Obs_scal(3)	$E_{\text{pot}} = \sum_{x,y}^{N_{\text{dim}}} \prod_{s=1}^{N_{\text{fl}}} \langle \hat{c}_{x\sigma s}^\dagger \hat{c}_{x\sigma s} \rangle$	potential energy <a href="#">need input here</a>
Obs_scal(4)	$E_{\text{tot}} = E_{\text{kin}} + E_{\text{pot}}$	total energy
Obs_scal(5)	$\langle \text{phase} \rangle$	phase of MC update probability

Table 4: Scalar observables that are stored in the array `Obs_scal`.  $E_{\text{pot}}$  is for  $U_{\text{spin}}(1)$  symmetric Hubbard; we would need the general expression for  $E_{\text{pot}}$ , if possible. It is not the expectation value of the perfect-square term, right? The  $\alpha$ 's are missing.

### 3.3.3 Time-displaced correlation functions

This has a very similar structure than above but now with an additional time index.

$$S_{\alpha,\beta}(\vec{k}, \tau) = \frac{1}{N_{unit\ cells}} \sum_{\vec{i}, \vec{j}} e^{i\vec{k} \cdot (\vec{i} - \vec{j})} \left( \langle \hat{O}_{\vec{i},\alpha}(\tau) \hat{O}_{\vec{j},\alpha} \rangle - \langle \hat{O}_{\vec{i},\beta} \rangle \langle \hat{O}_{\vec{j},\beta} \rangle \right) \quad (23)$$

## 4 Walkthrough: the $SU(2)$ -Hubbard model on a square lattice

To implement a Hamiltonian, the user has to provide a module which specifies the lattice, the model, as well as the observables he/she wishes to compute. In this section, we describe the module `Hamiltonian_Hub.f90` which is an implementation of the Hubbard model on the square lattice. The Hamiltonian reads

$$\mathcal{H} = \sum_{\sigma=1}^2 \sum_{x,y=1}^{N_{unit\ cells}} c_{x\sigma}^\dagger T_{x,y} c_{y\sigma} + \frac{U}{2} \sum_x \left[ \sum_{\sigma=1}^2 (c_{x\sigma}^\dagger c_{x\sigma} - 1/2) \right]^2. \quad (24)$$

We can make contact with the general form of the Hamiltonian by setting:  $N_{fl} = 1$ ,  $N_{col} \equiv N_{SU_n} = 2$ ,  $M_T = 1$ ,  $T_{xy}^{(ks)} = T_{x,y}$ ,  $M_V = N_{unit\ cells}$ ,  $U_k = -\frac{U}{2}$ ,  $V_{xy}^{(ks)} = \delta_{x,y} \delta_{x,k}$ ,  $\alpha_{ks} = \frac{1}{2}$  and  $M_I = 0$ .

## 4.1 Setting the Hamiltonian. Ham\_set

The main program will call the subroutine `Ham_set` in the module `Hamiltonian_Hub.f90`. This subroutine defines the public variables

```
Type (Operator), dimension(:,,:), allocatable :: Op_V
Type (Operator), dimension(:,,:), allocatable :: Op_T
Integer, allocatable :: nsigma(:, :)
Integer :: Ndim, N_FL, N_SUN, Ltrot
```

which specify the model. This routine will first read the parameter file, then set the lattice, Call `Ham_latt`, set the hopping Call `Ham_hop` and set the interaction call `Ham_V`. The parameters are read in from the file `parameters`:

```
=====
! Variables for the Hubb program
!-----
&VAR_lattice
L1=4           ! Length in direction a_1
L2=4           ! Length in direction a_2
Lattice_type = "Square" ! a_1 = (1,0) and a_2=(0,1)
Model = "Hubbard_SU2"  ! Sets Nf = 1, N_sun = 2
/
&VAR_Hubbard      ! Variables for the Hubbard model
ham_T = 1.D0
ham_chem=0.D0
ham_U = 4.D0
Beta = 5.D0
dtau = 0.1D0      ! Thereby Ltrot=Beta/dtau
/
&VAR_QMC          ! Variables for the QMC run
Nwrap = 10        ! Stabilization. Green functions will be computed from scratch
! after each time interval Nwrap*Dtau
NSweep = 500      ! Number of sweeps
NBin = 2          ! Number of bins
Ltau = 1          ! 1 for calculation of time displaced. 0 otherwise
LOBS_ST = 1       ! Start measurments at time slice LOBS_ST
LOBS_EN = 50      ! End measurments at time slice LOBS_EN
CPU_MAX= 0.1      ! Code will stop after CPU_MAX hours.
! If not specified, code will stop after Nbin bins.
/
```

Here we have three name lists relevant for defining the lattice, model parameters as well as the Monte Carlo run. Thereby,  $Ltrot=Beta/dtau$ .

### 4.1.1 The lattice. Call Ham\_latt

The choice `Lattice_type = "Square"` sets  $\vec{a}_1 = (1, 0)$  and  $\vec{a}_2 = (0, 1)$  and for an  $L_1 \times L_2$  lattice  $\vec{L}_1 = L_1 \vec{a}_1$  and  $\vec{L}_2 = L_2 \vec{a}_2$ . The call to `Call Make_Lattice( L1, L2, a1, a2, Latt)` will generate the lattice `Latt` of type `Lattice` such that  $N_{dim} = N_{unit\ cell} \equiv Latt \% N$ .

### 4.1.2 Hopping term. Call Ham\_hop

The hopping matrix is implemented as follows. We allocate an array of dimension  $1 \times 1$  of type operator called `Op_T` and set the dimension for the hopping matrix to  $N = N_{dim}$ . One allocates and initializes this type by a single call to the subroutine `Op_make`:



```
call Op_make(Op_T(1,1),Ndim)
```

Since the hopping does not break down into small blocks  $\mathbf{P} = \mathbf{1}$  and

```
Do i= 1,Latt%N
  Op_T(1,1)%P(i) = i
Enddo
```

We set the hopping matrix with

```
DO I = 1, Latt%N
  Ix = Latt%nnlist(I,1,0)
  Iy = Latt%nnlist(I,0,1)
  Op_T(1,1)%O(I ,Ix) = cmplx(-Ham_T, 0.d0,kind(0.D0))
  Op_T(1,1)%O(Ix,I ) = cmplx(-Ham_T, 0.d0,kind(0.D0))
  Op_T(1,1)%O(I ,Iy) = cmplx(-Ham_T, 0.d0,kind(0.D0))
  Op_T(1,1)%O(Iy, I ) = cmplx(-Ham_T, 0.d0,kind(0.D0))
  Op_T(1,1)%O(I ,I ) = cmplx(-Ham_chem,0.d0,kind(0.D0))
ENDDO
```

Here, the integer function `j= Latt%nnlist(I,n,m)` is defined in the lattice module and returns the index of the lattice site  $\vec{I} + n\vec{a}_1 + m\vec{a}_2$ . Note that periodic boundary conditions are already taken into account. The hopping parameter, `Ham_T` as well as the chemical potential `Ham_chem` are read from the parameter file.

Note that although a checkerboard decomposition is not used here, it can be implemented by considering a larger number of sparse Hopping matrices.

#### 4.1.3 Interaction term. Call Ham\_V

To implement this interaction, we allocate an array of `Operator` type. The array is called `Op_V` and has dimensions  $N_{dim} \times N_{fl} = N_{dim} \times 1$ . We set the dimension for the interaction term to  $N = 1$ , and allocate and initialize this array of type `Operator` by repeatedly calling the subroutine `Op_make`:

```
do i = 1,Latt%N
  call Op_make(Op_V(i,1),1)
enddo
```

For each lattice site  $i$ , the matrices  $\mathbf{P}$  are of dimension  $1 \times N_{dim}$  and have only one non-vanishing entry. Thereby we can set:

```
Do i = 1,Latt%N
  Op_V(i,1)%P(1) = i
  Op_V(i,1)%O(1,1) = cmplx(1.d0,0.d0, kind(0.D0))
  Op_V(i,1)%g = sqrt(cmplx(-dtau*ham_U/(dble(N_SUN)),0.D0,kind(0.D0)))
  Op_V(i,1)%alpha = cmplx(-0.5d0,0.d0, kind(0.D0))
  Op_V(i,1)%type = 2
Enddo
```

so as to completely define the interaction term.

## 4.2 Observables

At this point, all the information for the simulation to start has been provided. The code will sequentially go through the operator list `Op_V` and update the fields. Between time slices `LOBS_ST` and `LOBS_EN` the main program will call the routine `Obser(GR,Phase,Ntau)` which is also provided by the user. For each configuration of the fields Wicks theorem holds so that it suffices to know the single particle Green function so as to

compute any observable. The main program provides the equal time Green function: `GR(Ndim,Ndim,N_FL)`, the phase `PHASE` and the time slice on which the measurement is being carried out `Ntau`. The Green function is defined as:

$$GR(x, y, \sigma) = \langle c_{x,\sigma} c_{y,\sigma}^\dagger \rangle \quad (25)$$

Space for observables is allocated in the subroutine `Call Alloc_obs`. At the beginning of each bin, the observables are set to zero `Call Init_obs` and at the end of each bin the observables are written out on disc `Call Pr_obs`.

## 5 Walkthrough: the $SU(2)$ -Hubbard model on a square lattice coupled to a transverse Ising field

The model we consider here is very similar to the above, but has an additional coupling to a transverse field.

$$\mathcal{H} = \sum_{\sigma=1}^2 \sum_{x,y} c_{x\sigma}^\dagger T_{x,y} c_{y\sigma} + \frac{U}{2} \sum_x \left[ \sum_{\sigma=1}^2 (c_{x\sigma}^\dagger c_{x\sigma} - 1/2) \right]^2 + \xi \sum_{\sigma, \langle x,y \rangle} \hat{Z}_{\langle x,y \rangle} (c_{x\sigma}^\dagger c_{y\sigma} + h.c.) + h \sum_{\langle x,y \rangle} \hat{X}_{\langle x,y \rangle} \quad (26)$$

We can make contact with the general form of the Hamiltonian by setting:  $N_{fl} = 1$ ,  $N_{col} \equiv N_{SU_n} = 2$ ,  $M_T = 1$ ,  $T_{xy}^{(ks)} = T_{x,y}$ ,  $M_V = N_{Unit\ cells} \equiv N_{dim}$ ,  $U_k = -\frac{U}{2}$ ,  $V_{xy}^{(ks)} = \delta_{x,y} \delta_{x,k}$ ,  $\alpha_{ks} = \frac{1}{2}$  and  $M_I = 2N_{Unit\ cells}$ . The modifications required to generalize the Hubbard model code to the above model are two-fold. Firstly, one has to specify the function `Real (Kind=8) function S0(n,nt)` and secondly modify the interaction `Call Ham_V`.

### 5.1 Interaction term. `Call Ham_V`

The dimension of `Op_V` is now  $(M_V + M_I) \times N_{fl} = (3 * N_{dim}) \times 1$ . We set the effective dimension for the Hubbard term to  $N = 1$  and to  $N = 2$  for the Ising term. The allocation of this array of operators reads:

```
do i = 1,Ndim
  call Op_make(Op_V(i,1),1)
enddo
do i = Ndim+1, 3*Ndim
  call Op_make(Op_V(i,1),2)
enddo
```

As for the Hubbard case, the first `Ndim` operators read:

```
Do i = 1,Ndim
  Op_V(i,1)%P(1) = i
  Op_V(i,1)%O(1,1) = cmplx(1.d0, 0.d0, kind(0.D0))
  Op_V(i,1)%g = sqrt(cmplx(-dtau*ham_U/(DBLE(N_SUN)), 0.D0, kind(0.D0)))
  Op_V(i,1)%alpha = cmplx(-0.5d0,0.d0, kind(0.D0))
  Op_V(i,1)%type = 2
Enddo
```

The next  $2*N_{dim}$  operators run through the  $2N$  bonds of the square lattice and are given by:

```
Do nc = 1,N_coord ! Coordination number = 2
  Do i = 1,Ndim
    j = i + nc*Ndim
    Op_V(j,1)%P(1) = i
    If (nc == 1) Op_V(j,1)%P(2) = Latt%nnlist(i,1,0)
```

```

    If (nc == 2) Op_V(j,1)%P(2) = Latt%nnlist(i,0,1)
    Op_V(j,1)%O(1,2) = cmplx(1.d0 ,0.d0, kind(0.D0))
    Op_V(j,1)%O(2,1) = cmplx(1.d0 ,0.d0, kind(0.D0))
    Op_V(j,1)%g      = cmplx(-dtau*ham_xi, 0.D0, kind(0.D0))
    Op_V(j,1)%alpha  = cmplx(0d0,0.d0, kind(0.D0))
    Op_V(j,1)%type   = 1
Enddo
Enddo

```

Here, `ham_xi` defines the coupling strength between the Ising and fermion degree of freedom.

## 5.2 The function `Real (Kind=8) function S0(n,nt)`

As mentioned above, a configuration is given by

$$C = \{s_{i,\tau}, l_{j,\tau} \text{ with } i = 1 \cdots M_I, j = 1 \cdots M_V, \tau = 1, L_{Trotter}\} \quad (27)$$

and is stored in the integer array `nsigma(M_V + M_I, Ltrot)`. With the above ordering of Hubbard and Ising interaction terms, and a for a given imaginary time, the first `Ndim` fields corresponds to the Hubbard interaction and the next `2*Ndim` ones to the Ising interaction. The first argument of the function `S0`, `n`, corresponds to the index of the operator string `Op_V(n,1)`. If `Op_V(n,1)%type = 2`, `S0(n,nt)` returns 1. If `Op_V(n,1)%type = 1` then function `S0` returns

$$\frac{e^{-S_{0,I}(s_{1,\tau}, \dots, -s_{m,\tau}, \dots, s_{M_I,\tau})}}{e^{-S_{0,I}(s_{1,\tau}, \dots, s_{m,\tau}, \dots, s_{M_I,\tau})}} \quad (28)$$

That is, `S0(n,nt)` returns the ratio of the new to old weight of the Ising Hamiltonian upon flipping a single Ising spin  $s_{m,\tau}$ . Note that in this specific case  $m = n - Ndim$