

Quantum Field Theory and the Electroweak Standard Model



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1. Particles, fields and symmetries

- ▷ Basics: Poincaré symmetry
- ▷ Particle physics with quantum fields
- ▷ Global and gauge symmetries
 - Internal symmetries and the gauge principle
 - Quantization of gauge theories
 - Spontaneous Symmetry Breaking

2. The Standard Model

- ▷ Gauge group and field representations
- ▷ Electroweak interactions
 - One generation of quarks *or* leptons
 - Electroweak SSB: Higgs sector, gauge boson and fermion masses
 - Additional generations: fermion mixings (quarks *vs* leptons)

3. Electroweak phenomenology

★ *Appendices*: kinematics, loop calculations, ...

Exercises [ugr.es/~jillana/qft-ewsm-ex.pdf]

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1. Particles, fields and symmetries

Why Quantum Field Theory to describe Particle Physics?

- QFT is the (only) way to reconcile Quantum Mechanics and Special Relativity
- [−] Wave equations (relativistic or not) cannot account for changing # of particles.
And the relativistic versions suffer pathologies:
 - * negative probability densities
 - * negative-energy solutions
 - * violation of causality
- [+] Quantum fields:
 - * provide a natural framework *(Fock space of multiparticle states)*
 - * make sense of negative-energy solutions *(antiparticles)*
 - * solve causality problem *(Feynman propagator)*
 - * explains spin-statistics connection *(theorem)*
 - * arguably, solve the wave-particle duality puzzle *(no particles, only fields)*

Basics: Poincaré symmetry

Guided by symmetry

- **Relativistic fields** are *irreps* of Poincaré group (rotations, boosts, translations)

scalar $\phi(x)$, vector $V_\mu(x)$, tensor $h_{\mu\nu}(x)$, ...

Weyl $\psi_L(x)$, $\psi_R(x)$; Dirac $\psi(x)$, ...

- **Lagrangian** densities: **local** $\mathcal{L}(x) = \mathcal{L}(\phi, \partial_\mu \phi)$ (maybe several " ϕ_i ", ψ , V_μ , ...)

invariant under Poincaré transformations

– e.g. for a free Dirac field $\psi(x)$:

$$\mathcal{L}_0 = \bar{\psi}(i\partial - m)\psi \quad \partial \equiv \gamma^\mu \partial_\mu, \quad \bar{\psi} \equiv \psi^\dagger \gamma^0$$

★ Field **dynamics**

★ **Noether's** theorem: (continuous) symmetry implies **conservation laws**
(spacetime symmetries \Rightarrow *energy, momentum, angular momentum*)

- Principle of **least action**: $\delta S = 0$ where $S = \int d^4x \mathcal{L}(x)$
 \Rightarrow Field EoM (E-L equations)

$$\begin{aligned} \delta S &= \int d^4x \sum_i \left(\frac{\partial \mathcal{L}}{\partial \phi_i} \delta \phi_i + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \delta (\partial_\mu \phi_i) \right) \\ &= \int d^4x \sum_i \left(\frac{\partial \mathcal{L}}{\partial \phi_i} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \right) \delta \phi_i = 0 \quad , \quad \forall \phi_i \end{aligned}$$

(integrating by parts and assuming fields vanish at boundary)

– e.g. EoM of a free Dirac field is the **Dirac equation**

$$(i\not{\partial} - m)\psi(x) = 0$$

$$\rightsquigarrow \psi(x) = \int \frac{d^3p}{(2\pi)^3 \sqrt{2E_{\vec{p}}}} \sum_{s=1,2} \left(a_{\vec{p},s} u^{(s)}(\vec{p}) e^{-ipx} + b_{\vec{p},s}^* v^{(s)}(\vec{p}) e^{ipx} \right)$$

$$\text{with } p^2 = E_{\vec{p}}^2 - |\vec{p}|^2 = m^2, \quad (\not{p} - m)u(\vec{p}) = 0, \quad (\not{p} + m)v(\vec{p}) = 0.$$

- Impose **canonical quantization** rules:

commutation/anticommutation of fields with conjugate momenta $\Pi_i(x) = \frac{\partial \mathcal{L}}{\partial(\partial_0 \phi_i)}$

$$[\phi(t, \vec{x}), \Pi_\phi(t, \vec{y})] = i\delta^3(\vec{x} - \vec{y}), \quad \{\psi(t, \vec{x}), \Pi_\psi(t, \vec{y})\} = i\delta^3(\vec{x} - \vec{y})$$

so that the Hamiltonian ($\mathcal{H} = \sum_i \Pi_i \dot{\Phi}_i - \mathcal{L}$) is bounded from below.

– e.g for a free fermion field, *anticommutation* is enforced! implying

$$\{a_{\vec{p},r}, a_{\vec{k},s}^\dagger\} = \{b_{\vec{p},r}, b_{\vec{k},s}^\dagger\} = (2\pi)^3 \delta^3(\vec{p} - \vec{k}) \delta_{rs}, \quad \{a_{\vec{p},r}, a_{\vec{k},s}\} = \dots = 0$$

– After *normal ordering* :: (all creation to left of annihilation ops) to subtract zero-point energy,

$$H = \int d^3x : \mathcal{H}(x) : = \int \frac{d^3p}{(2\pi)^3} E_{\vec{p}} \sum_{s=1,2} (a_{\vec{p},s}^\dagger a_{\vec{p},s} + b_{\vec{p},s}^\dagger b_{\vec{p},s})$$

⇒ Fields become operators that annihilate/create **particles/antiparticles**

$$|0\rangle \text{ (vacuum)}, \quad a_{\vec{p},s}^\dagger |0\rangle \text{ (1 particle)}, \quad b_{\vec{p},s}^\dagger |0\rangle \text{ (1 antiparticle)}, \quad \dots$$

⇒ **Multiparticle states** symmetric/antisymmetric under exchange (**spin-statistics!**)

One-particle representations

- **One-particle** states are unitary irreps of the Poincaré group, so that

$$\langle \psi_1 | \psi_2 \rangle = \langle \psi_1 | \mathcal{P}^\dagger \mathcal{P} | \psi_2 \rangle \quad (\text{invariant matrix elements})$$

\mathcal{P} are represented by unitary operators in this space, and the generators J^i (rotations), K^i (boosts), P^μ (translations) by Hermitian operators.

$$J_{\mu\nu} = -J_{\nu\mu} \quad (J^i = \frac{1}{2}\epsilon^{ijk}J^{jk}, \quad K^i = J^{0i})$$

- Rotations form a compact subgroup (its finite dimensional irreps are unitary). But **Lorentz group** and **Poincaré group are non-compact**. Therefore:

The *unitary* representations of the Poincaré group are *infinite-dimensional* (differential operators acting on fields).

- Poincaré group has two **Casimir** operators (commute with all generators)

$$\boxed{m^2 = P_\mu P^\mu, \quad W_\mu W^\mu} \quad (W_\mu = \text{Pauli-Lubanski vector})$$

whose eigenvalues **label the irreps**. Lorentz invariant (choose convenient frame).

One-particle representations

Wigner classification

- Two cases, characterized by **mass m and spin j**
 - $m \neq 0$: choose $P^\mu = (m, 0, 0, 0) \Rightarrow W_\mu W^\mu = -m^2 j(j+1)$
 \Rightarrow **massive particles of spin j have $2j+1$ dof ($j_3 = -j, -j+1, \dots, j$)**
because $SU(2)$ is the *little group* (transformations leaving P^μ invariant)
 - $m = 0$: choose $P^\mu = (\omega, 0, 0, \omega) \Rightarrow W_\mu W^\mu = -\omega^2 [(J^1 + K^2)^2 + (J^2 - K^1)^2]$
 \Rightarrow **massless particles of spin j have 2 dof (helicity $h = \pm j$)**
because now $SO(2)$ is the *little group* (rotations in plane $\perp \vec{P}$)
- Embedding unitary reps of Poincaré group (particles) in a field theory not trivial.
Note: To construct a **unitary field theory with V_μ** (contains both spin 0 and 1)
one has to **choose carefully the Lagrangian** so that the *physical theory* **never excites**:
 - the spin-0 component
 - neither the longitudinal spin-1 component (if massless) \Leftrightarrow **gauge invariance**

Particle physics

- Observables (cross sections, decays widths) expressed in terms of **S-matrix elements** ($m \rightarrow n$ processes)

$${}_{\text{out}} \left\langle \vec{p}_1 \vec{p}_2 \cdots \vec{p}_n \left| \vec{k}_1 \vec{k}_2 \cdots \vec{k}_m \right. \right\rangle_{\text{in}}$$

(scalar fields/particles to simplify)

- Only free fields are related to particles/antiparticles ($a_{\vec{p}}^+$, $b_{\vec{p}}^+$).

We expect

$$\phi(x) \xrightarrow[t \rightarrow -\infty]{} Z_\phi^{1/2} \phi_{\text{in}}(x) , \quad \phi(x) \xrightarrow[t \rightarrow +\infty]{} Z_\phi^{1/2} \phi_{\text{out}}(x) ,$$

$\phi(x)$: interacting fields

$\phi_{\text{in}}(x)$, $\phi_{\text{out}}(x)$: free fields (before, after interaction)

Z_ϕ : *wave function* renormalization

- **LSZ reduction formula** relates S-matrix elements with the (Fourier transform of) vacuum expectation values of *time-ordered* field products (**correlators**):

$$\begin{aligned} & \left(\prod_{i=1}^m \frac{i\sqrt{Z_\phi}}{k_i^2 - m^2} \right) \left(\prod_{j=1}^n \frac{i\sqrt{Z_\phi}}{p_j^2 - m^2} \right) \text{out} \left\langle \vec{p}_1 \vec{p}_2 \cdots \vec{p}_n \left| \vec{k}_1 \vec{k}_2 \cdots \vec{k}_m \right. \right\rangle_{\text{in}} \\ &= \int \left(\prod_{i=1}^m d^4 x_i e^{-ik_i x_i} \right) \int \left(\prod_{j=1}^n d^4 y_j e^{+ip_j y_j} \right) \langle 0 | T \{ \underbrace{\phi(x_1) \cdots \phi(x_m) \phi(y_1) \cdots \phi(y_n)}_{\text{interacting fields}} \} | 0 \rangle \end{aligned}$$

The correlator = the Green's function of $m + n$ points $G(\vec{p}_1 \cdots \vec{p}_n; \vec{k}_1 \cdots \vec{k}_m)$

▷ Physical particles (asymptotic states) are on-shell ($p^2 - m^2 = 0$).

For on-shell incoming and outgoing particles, the rhs of LSZ formula (correlator) will have **poles** that cancel those in the prefactor of the lhs, yielding a regular S-matrix element [*residues* of the correlator].

- The correlators can be expressed in terms of free fields “ ϕ_I ” ([interaction picture](#)):

$$\langle 0 | T \{ \phi(x_1) \cdots \phi(x_n) \} | 0 \rangle = \frac{\langle 0 | T \left\{ \phi_I(x_1) \cdots \phi_I(x_n) \exp \left[i \int d^4x \mathcal{L}_{\text{int}}[\phi_I(x)] \right] \right\} | 0 \rangle}{\langle 0 | T \left\{ \exp \left[i \int d^4x \mathcal{L}_{\text{int}}[\phi_I(x)] \right] \right\} | 0 \rangle}$$

- In **perturbation theory** one expands the exponential and computes every correlator using *Wick's theorem* (all possible “contractions”)

$$\text{contraction} \equiv \overline{\phi_I(x) \phi_I(y)} = D_F(x - y) = \langle 0 | T \{ \phi_I(x) \phi_I(y) \} | 0 \rangle \quad \text{Feynman propagator}$$

- Feynman diagrams/rules** provide a systematic procedure to organize/compute the perturbative series in terms of *propagators* (and vertices)
- Note:** **functional quantization** (path integral) provides an *alternative* method

$$\langle 0 | T \{ \hat{\phi}(x_1) \cdots \hat{\phi}(x_n) \} | 0 \rangle = \frac{\int \mathcal{D}\phi \, \phi(x_1) \cdots \phi(x_n) e^{iS}}{\int \mathcal{D}\phi e^{iS}} \quad \begin{array}{l} \text{perturbatively} \\ \text{or not! (lattice)} \end{array}$$

- **Causality** requires $[\phi(x), \phi^\dagger(y)] = 0$ if $(x - y)^2 < 0$ (*spacelike* interval)

▷ Recall that a (free) field is a combination of **positive** and **negative** energy waves:

$$\phi(x) = \int \frac{d^3p}{(2\pi)^3 \sqrt{E_{\vec{p}}}} \left(a_{\vec{p}} e^{-ipx} + b_{\vec{p}}^\dagger e^{ipx} \right)$$

▷ From the commutation relations of creation and annihilation operators:

$$\begin{aligned} [\phi(x), \phi^\dagger(y)] &= \int \frac{d^3p}{(2\pi)^3 \sqrt{E_{\vec{p}}}} \int \frac{d^3q}{(2\pi)^3 \sqrt{E_{\vec{q}}}} \left(e^{-i(px - qy)} [a_{\vec{p}}, a_{\vec{q}}^\dagger] + e^{i(px - qy)} [b_{\vec{p}}^\dagger, b_{\vec{q}}] \right) \\ &= \Delta(x - y) - \Delta(y - x) \end{aligned}$$

where the first (second) contribution comes from **particles** (**antiparticles**) and

$$\Delta(x - y) = \int \frac{d^3p}{(2\pi)^3 E_{\vec{p}}} e^{-ip \cdot (x - y)}$$

▷ If $(x - y)^2 < 0$ choose frame where $x - y \equiv (0, \vec{r})$. Then

$$\Delta(x - y) = \Delta(y - x) \propto \frac{m}{r} e^{-mr} \neq 0, \quad \text{for } mr \gg 1$$

Therefore:

If only particles: $[\phi(x), \phi^\dagger(y)] = \Delta(x - y) \neq 0$ (!!)

If *both* particles and antiparticles: $[\phi(x), \phi^\dagger(y)] = \Delta(x - y) - \Delta(y - x) = 0$ (✓)

- In fact the **Feynman propagator** contains *both* contributions:

$$D_F(x - y) = \langle 0 | T \{ \phi(x) \phi^\dagger(y) \} | 0 \rangle = \theta(x^0 - y^0) \Delta(x - y) + \theta(y^0 - x^0) \Delta(y - x)$$

- Probability amplitude that particle created in y propagates to x , if $x^0 > y^0$
- Probability amplitude that antiparticle created in x propagates to y , if $y^0 > x^0$

$$D_F(x - y) = \int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2 - m^2 + i\varepsilon} e^{-ip \cdot (x - y)} \quad \text{where } \varepsilon \rightarrow 0^+ \text{ (usually omitted)}$$

- Quantum corrections to external legs (external propagators) can be resummed:

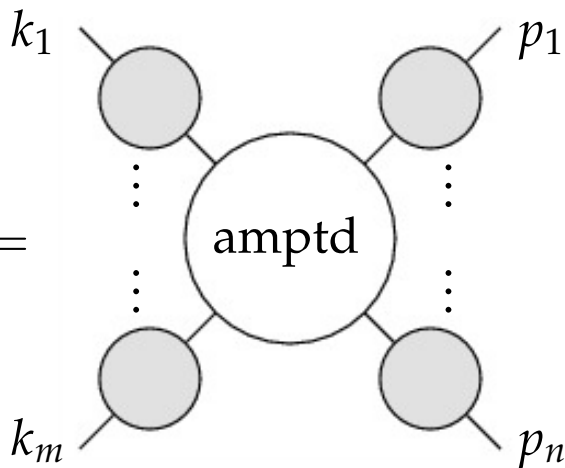
$$\begin{aligned}
 \text{---} \rightarrow \text{---} \circ \text{---} &= \text{---} \rightarrow \text{---} + \text{---} \rightarrow \text{---} \circ \text{---} + \text{---} \rightarrow \text{---} \circ \text{---} \circ \text{---} + \dots \\
 &= \frac{i}{p^2 - m_0^2} + \frac{i}{p^2 - m_0^2} [-iM^2(p^2)] \frac{i}{p^2 - m_0^2} + \dots \\
 &= \frac{i}{p^2 - m_0^2 - M^2(p^2)} \quad (m_0 = \text{mass in } \mathcal{L})
 \end{aligned}$$

and Taylor expanding about $p^2 = m^2$ (*physical* mass):

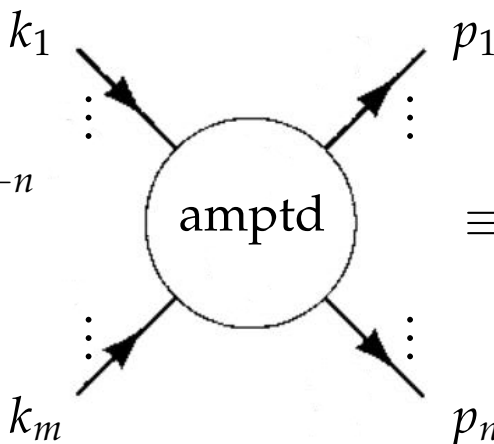
$$\begin{aligned}
 p^2 - m_0^2 - M^2(p^2) &= (p^2 - m^2) \left(1 - \left. \frac{dM^2}{dp^2} \right|_{p^2=m^2} \right) \\
 \Rightarrow \text{---} \rightarrow \text{---} \circ \text{---} &= \frac{iZ_\phi}{p^2 - m^2} + \text{regular near } p^2 = m^2 \\
 \text{with } m^2 &= m_0^2 + M^2(m^2), \quad Z_\phi = \left(1 - \left. \frac{dM^2}{dp^2} \right|_{p^2=m^2} \right)^{-1}
 \end{aligned}$$

(mass and wave function renormalization)

▷ Then we may factor out external legs from *amputated* diagrams:

$$G(\vec{p}_1 \cdots \vec{p}_n; \vec{k}_1 \cdots \vec{k}_m) =$$


and express the LSZ formula in a simpler form:

$$\text{out} \left\langle \vec{p}_1 \vec{p}_2 \cdots \vec{p}_n \left| \vec{k}_1 \vec{k}_2 \cdots \vec{k}_m \right. \right\rangle_{\text{in}} = \left(\sqrt{Z} \right)^{m+n}$$


$$\equiv (2\pi)^4 \delta^4 \left(\sum_i p_i - \sum_j k_j \right) i\mathcal{M}$$

- Feynman rules require integration over **loop** momenta resulting *sometimes* in divergent expressions.

$$\mathcal{M} = \mathcal{M}^{(0)} + \underbrace{\mathcal{M}^{(1)}}_{\text{divergent?}} + \dots$$

(the loop expansion is also an expansion in powers of \hbar : *quantum* corrections)

- Regularization** and **renormalization** needed to make sense of these divergences.
- ▷ One assumes that **fields** and **parameters** in the Lagrangian (*bare*) must be **redefined** order by order in terms of new ones (*renormalized*) so that physical predictions are finite

$$\mathcal{M} = \mathcal{M}^{(0)} + \underbrace{\widehat{\mathcal{M}}^{(1)}}_{\text{finite}} + \dots$$

▷ As a consequence, *coupling constants run* (depend on a scale)

e.g.

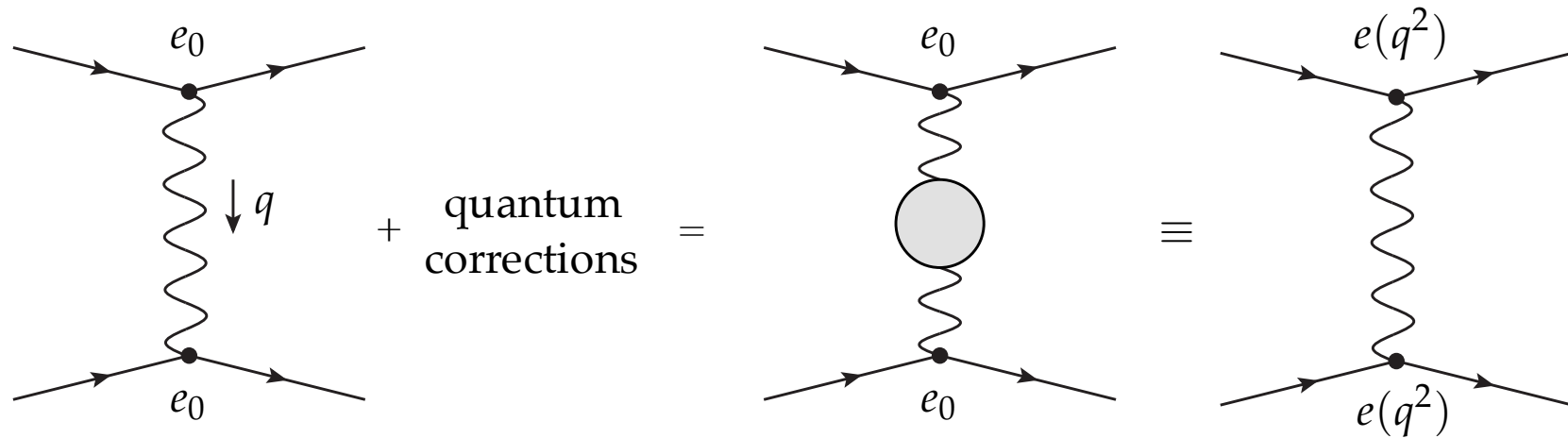
$$\frac{e_0^2}{1 - \Pi(q^2)} = \frac{e_R^2}{1 - [\Pi(q^2) - \Pi(0)]} \equiv e^2(q^2)$$

introducing renormalization constants $Z_e = Z_A^{-1/2}$ [Ward-Takahashi identity]:

$$e_0 \equiv Z_e e_R = Z_A^{-1/2} e_R \quad \text{and} \quad Z_A = [1 - \Pi(0)]^{-1} \quad (\text{universal})$$

Note: both e_0 and $\Pi(q^2)$ are **infinite** but $e(q^2)$ is **finite**.

- ▷ As a consequence, *coupling constants run* (depend on a scale)
e.g.



$e_0 = \text{bare coupling}$

$e(q^2) = \text{running coupling}$

$e_R = \text{renormalized coupling } [= e(0)]$

$q^2 = \text{renormalization scale (at which } e \text{ is "measured")}$

Note: e is not an observable

Global symmetries and gauge invariance

Internal symmetries

free Lagrangian

- In addition to **spacetime** (Poincaré) symmetries, the free Lagrangian

$$(\text{Dirac}) \quad \boxed{\mathcal{L}_0 = \bar{\psi}(i\partial - m)\psi} \quad \partial \equiv \gamma^\mu \partial_\mu, \quad \bar{\psi} \equiv \psi^\dagger \gamma^0$$

\Rightarrow **Invariant** under **internal** global U(1) phase transformations:

$$\psi(x) \mapsto \psi'(x) = e^{-iQ\theta} \psi(x), \quad Q, \theta \text{ (constants)} \in \mathbb{R}$$

\Rightarrow By **Noether's** theorem, **divergentless current**:

$$\mathcal{J}^\mu = Q \bar{\psi} \gamma^\mu \psi, \quad \partial_\mu \mathcal{J}^\mu = 0$$

and a **conserved** «charge»

$$Q = \int d^3x \mathcal{J}^0, \quad \partial_t Q = 0$$

- For a free fermion **quantum** field:

⇒ The Noether **charge** is an **operator**.*

$$\mathcal{Q} = Q \int d^3x : \bar{\psi} \gamma^0 \psi : = Q \int \frac{d^3p}{(2\pi)^3} \sum_{s=1,2} \left(a_{\vec{p},s}^\dagger a_{\vec{p},s} - b_{\vec{p},s}^\dagger b_{\vec{p},s} \right)$$

$$Q a_{\vec{k},s}^\dagger |0\rangle = +Q a_{\vec{k},s}^\dagger |0\rangle \text{ (particle) }, \quad Q b_{\vec{k},s}^\dagger |0\rangle = -Q b_{\vec{k},s}^\dagger |0\rangle \text{ (antiparticle)}$$

* **normal ordering** prescription for fermionic operators

$$: a_{\vec{p},r} a_{\vec{q},s}^\dagger : \equiv -a_{\vec{q},s}^\dagger a_{\vec{p},r} , \quad : b_{\vec{p},r} b_{\vec{q},s}^\dagger : \equiv -b_{\vec{q},s}^\dagger b_{\vec{p},r}$$

The gauge principle

gauge symmetry dictates interactions

- To make \mathcal{L}_0 invariant under **local** \equiv **gauge** transformations of U(1):

$$\psi(x) \mapsto \psi'(x) = e^{-iQ\theta(x)} \psi(x), \quad \theta = \theta(x) \in \mathbb{R}$$

perform the **minimal substitution**:

$$\partial_\mu \rightarrow D_\mu = \partial_\mu + ieQA_\mu \quad (\text{covariant derivative})$$

where a **gauge field** $A_\mu(x)$ is introduced transforming as:

$$A_\mu(x) \mapsto A'_\mu(x) = A_\mu(x) + \frac{1}{e} \partial_\mu \theta(x) \quad \Leftrightarrow \quad \boxed{D_\mu \psi \mapsto e^{-iQ\theta(x)} D_\mu \psi} \quad \bar{\psi} \not{D} \psi \text{ inv. } \textcircled{1}$$

\Rightarrow The new Lagrangian contains **interactions** between ψ and A_μ :

$$\boxed{\mathcal{L}_{\text{int}} = -e Q \bar{\psi} \gamma^\mu \psi A_\mu} \propto \begin{cases} \text{coupling} & e \\ \text{charge} & Q \end{cases}$$

$$(\equiv -e \mathcal{J}^\mu A_\mu)$$

The gauge principle

gauge invariance dictates interactions

- Dynamics for the gauge field \Rightarrow add **gauge invariant** kinetic term:

$$(\text{Maxwell}) \quad \boxed{\mathcal{L}_1 = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu}} \quad \Leftarrow \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \mapsto F_{\mu\nu}$$

- The full U(1) gauge invariant Lagrangian for a fermion field $\psi(x)$ reads:

$$\mathcal{L}_{\text{sym}} = \bar{\psi}(i\not{D} - m)\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} \quad (= \mathcal{L}_0 + \mathcal{L}_{\text{int}} + \mathcal{L}_1) \quad (\text{QED})$$

- The same applies to a complex scalar field $\phi(x)$:

$$\mathcal{L}_{\text{sym}} = (D_\mu\phi)^\dagger D^\mu\phi - m^2\phi^\dagger\phi - \lambda(\phi^\dagger\phi)^2 - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} \quad (\text{sQED})$$

The gauge principle

non-Abelian gauge theories

- A general gauge symmetry group G is a *compact* N -dimensional Lie group

$$g \in G, \quad g(\vec{\theta}) = e^{-i T_a \theta^a}, \quad a = 1, \dots, N$$

$$\theta^a = \theta^a(x) \in \mathbb{R}, \quad T_a = \text{Hermitian generators}, \quad [T_a, T_b] = i f_{abc} T_c \quad (\text{Lie algebra})$$

$$\text{structure constants: } f_{abc} = 0 \quad \text{Abelian}$$

$$f_{abc} \neq 0 \quad \text{non-Abelian}$$

\Rightarrow *Unitary* finite-dimensional irreducible representations:

$g(\vec{\theta})$ represented by $U(\vec{\theta})$

$d \times d$ matrices : $U(\vec{\theta})$ [given by $\{T_a\}$ algebra representation]

$$d\text{-multiplet: } \Psi(x) \mapsto \Psi'(x) = U(\vec{\theta}) \Psi(x), \quad \Psi = \begin{pmatrix} \psi_1 \\ \vdots \\ \psi_d \end{pmatrix}$$

The gauge principle

non-Abelian gauge theories

• **Examples:**

G	N	Abelian
U(1)	1	Yes
SU(n)	$n^2 - 1$	No

($n \times n$ unitary matrices with $\det = 1$)

– U(1): 1 generator (q), one-dimensional irreps only

– SU(2): 3 generators

$f_{abc} = \epsilon_{abc}$ (Levi-Civita symbol)

* Fundamental irrep ($d = 2$): $T_a = \frac{1}{2}\sigma_a$ (3 Pauli matrices)

* Adjoint irrep ($d = N = 3$): $(T_a^{\text{adj}})_{bc} = -if_{abc}$

– SU(3): 8 generators

$f^{123} = 1, f^{458} = f^{678} = \frac{\sqrt{3}}{2}, f^{147} = f^{156} = f^{246} = f^{247} = f^{345} = -f^{367} = \frac{1}{2}$

* Fundamental irrep ($d = 3$): $T_a = \frac{1}{2}\lambda_a$ (8 Gell-Mann matrices)

* Adjoint irrep ($d = N = 8$): $(T_a^{\text{adj}})_{bc} = -if_{abc}$

(for SU(n): f_{abc} totally antisymmetric)

The gauge principle

non-Abelian gauge theories

- To make \mathcal{L}_0 invariant under **local** \equiv **gauge** transformations of G :

$$\mathcal{L}_0 = \bar{\Psi}(i\not{\partial} - m)\Psi, \quad \Psi(x) \mapsto \Psi'(x) = U(\vec{\theta})\Psi(x), \quad \vec{\theta} = \vec{\theta}(x) \in \mathbb{R}$$

substitute the **covariant derivative**:

$$\partial_\mu \rightarrow D_\mu = \partial_\mu - ig\tilde{W}_\mu, \quad \tilde{W}_\mu \equiv T_a W_\mu^a$$

where a **gauge field** $W_\mu^a(x)$ per generator is introduced, transforming as:

$$\tilde{W}_\mu(x) \mapsto \tilde{W}'_\mu(x) = \underbrace{U\tilde{W}_\mu(x)U^\dagger}_{\text{adjoint irrep}} - \frac{i}{g}(\partial_\mu U)U^\dagger \quad \Leftarrow \quad \boxed{D_\mu \Psi \mapsto U D_\mu \Psi} \quad \bar{\Psi}\not{D}\Psi \text{ inv.} \quad \textcircled{1}$$

\Rightarrow The new Lagrangian contains **interactions** between Ψ and W_μ^a :

$$\boxed{\mathcal{L}_{\text{int}} = g \bar{\Psi} \gamma^\mu T_a \Psi W_\mu^a} \propto \begin{cases} \text{coupling} & g \\ \text{charge} & T_a \end{cases}$$

$$(\equiv g \mathcal{J}_a^\mu W_\mu^a)$$

The gauge principle

non-Abelian gauge theories

- **Dynamics** for the gauge fields \Rightarrow add **gauge invariant** kinetic terms:

$$\text{(Yang-Mills)} \quad \mathcal{L}_{\text{YM}} = -\frac{1}{2} \text{Tr} \left\{ \tilde{W}_{\mu\nu} \tilde{W}^{\mu\nu} \right\} = -\frac{1}{4} W_{\mu\nu}^a W^{a,\mu\nu}$$

$$\begin{aligned} \tilde{W}_{\mu\nu} &\equiv T_a W_{\mu\nu}^a \equiv D_\mu \tilde{W}_\nu - D_\nu \tilde{W}_\mu = \partial_\mu \tilde{W}_\nu - \partial_\nu \tilde{W}_\mu - ig[\tilde{W}_\mu, \tilde{W}_\nu] \Leftrightarrow \tilde{W}_{\mu\nu} \mapsto U \tilde{W}_{\mu\nu} U^\dagger \\ \Rightarrow W_{\mu\nu}^a &= \partial_\mu W_\nu^a - \partial_\nu W_\mu^a + g f_{abc} W_\mu^b W_\nu^c \end{aligned}$$

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$\Rightarrow \mathcal{L}_{\text{YM}}$ contains **cubic** and **quartic** **self-interactions** of the gauge fields W_μ^a :

$$\begin{aligned} \mathcal{L}_{\text{kin}} &= -\frac{1}{4} (\partial_\mu W_\nu^a - \partial_\nu W_\mu^a) (\partial^\mu W^{a,\nu} - \partial^\nu W^{a,\mu}) \\ \mathcal{L}_{\text{cubic}} &= -\frac{1}{2} g f_{abc} (\partial_\mu W_\nu^a - \partial_\nu W_\mu^a) W^{b,\mu} W^{c,\nu} \\ \mathcal{L}_{\text{quartic}} &= -\frac{1}{4} g^2 f_{abe} f_{cde} W_\mu^a W_\nu^b W^{c,\mu} W^{d,\nu} \end{aligned}$$

- The (Feynman) propagator of a **scalar field**:

$$D_F(x-y) = \langle 0 | T \{ \phi(x) \phi^\dagger(y) \} | 0 \rangle = \int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2 - m^2 + i\varepsilon} e^{-ip \cdot (x-y)}$$

(Feynman prescription $\varepsilon \rightarrow 0^+$)

is a Green's function of the Klein-Gordon operator:

$$(\square_x + m^2) D_F(x-y) = -i\delta^4(x-y) \quad \Leftrightarrow \quad \tilde{D}_F(p) = \frac{i}{p^2 - m^2 + i\varepsilon}$$

- The propagator of a **fermion field**:

$$S_F(x-y) = \langle 0 | T \{ \psi(x) \bar{\psi}(y) \} | 0 \rangle = (i\not{\partial}_x + m) \int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2 - m^2 + i\varepsilon} e^{-ip \cdot (x-y)}$$

is a Green's function of the Dirac operator:

$$(i\not{\partial}_x - m) S_F(x-y) = i\delta^4(x-y) \quad \Leftrightarrow \quad \tilde{S}_F(p) = \frac{i}{\not{p} - m + i\varepsilon}$$

- **HOWEVER** a gauge field propagator cannot be defined unless \mathcal{L} is modified:

(e.g. modified Maxwell)
$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{1}{2\zeta}(\partial^\mu A_\mu)^2$$

Euler-Lagrange:
$$\frac{\partial \mathcal{L}}{\partial A_\nu} - \partial_\mu \frac{\partial \mathcal{L}}{\partial(\partial_\mu A_\nu)} = 0 \quad \Rightarrow \quad \left[g^{\mu\nu} \square - \left(1 - \frac{1}{\zeta}\right) \partial^\mu \partial^\nu \right] A_\mu = 0$$

– In momentum space the propagator is the inverse of:

$$-k^2 g^{\mu\nu} + \left(1 - \frac{1}{\zeta}\right) k^\mu k^\nu \quad \Rightarrow \quad \tilde{D}_{\mu\nu}(k) = \frac{i}{k^2 + i\epsilon} \left[-g_{\mu\nu} + (1 - \zeta) \frac{k_\mu k_\nu}{k^2} \right]$$

\Rightarrow Note that $(-k^2 g^{\mu\nu} + k^\mu k^\nu)$ is singular!

\Rightarrow One may argue that \mathcal{L} above will not lead to Maxwell equations ...

unless we fix a (Lorenz) gauge where: (remove redundancy)

$$\partial^\mu A_\mu = 0 \quad \Leftarrow \quad A_\mu \mapsto A'_\mu = A_\mu + \partial_\mu \Lambda \quad \text{with} \quad \partial^\mu \partial_\mu \Lambda \equiv -\partial^\mu A_\mu$$

- The extra term is called **Gauge Fixing**:

$$\mathcal{L}_{\text{GF}} = -\frac{1}{2\tilde{\zeta}}(\partial^\mu A_\mu)^2$$

\Rightarrow modified \mathcal{L} equivalent to Maxwell Lagrangian just in the gauge $\partial^\mu A_\mu = 0$

\Rightarrow the $\tilde{\zeta}$ -dependence always cancels out in physical amplitudes

- Several choices for the gauge fixing term (simplify calculations): $R_{\tilde{\zeta}}$ gauges

('t Hooft-Feynman gauge) $\tilde{\zeta} = 1$: $\tilde{D}_{\mu\nu}(k) = -\frac{i g_{\mu\nu}}{k^2 + i\epsilon}$

(Landau gauge) $\tilde{\zeta} = 0$: $\tilde{D}_{\mu\nu}(k) = \frac{i}{k^2 + i\epsilon} \left[-g_{\mu\nu} + \frac{k_\mu k_\nu}{k^2} \right]$

- For a non-Abelian gauge theory, the gauge fixing terms:

$$\mathcal{L}_{\text{GF}} = - \sum_a \frac{1}{2\tilde{\zeta}_a} (\partial^\mu W_\mu^a)^2$$

allow to define the propagators:

$$\tilde{D}_{\mu\nu}^{ab}(k) = \frac{i\delta_{ab}}{k^2 + i\varepsilon} \left[-g_{\mu\nu} + (1 - \tilde{\zeta}_a) \frac{k_\mu k_\nu}{k^2} \right]$$

HOWEVER, unlike the Abelian case, this is not the end of the story ...

Quantization of gauge theories

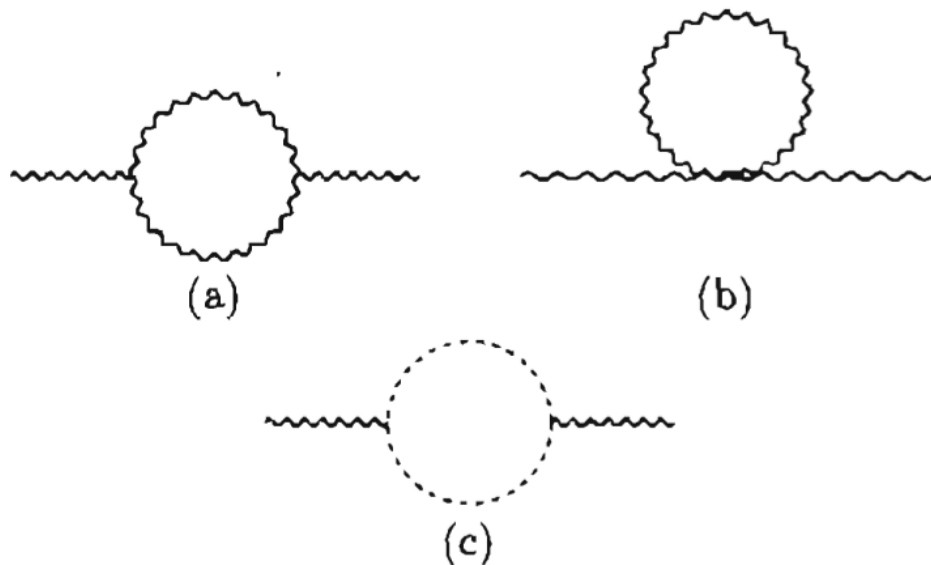
Faddeev-Popov ghosts

- Add **Faddeev-Popov ghost fields** $c_a(x)$, $a = 1, \dots, N$: ('t Hooft-Feynman gauge)

$$\mathcal{L}_{\text{FP}} = (\partial^\mu \bar{c}_a)(D_\mu^{\text{adj}})_{ab} c_b = (\partial^\mu \bar{c}_a)(\partial_\mu c_a - g f_{abc} c_b W_\mu^c) \Leftrightarrow D_\mu^{\text{adj}} = \partial_\mu - ig T_c^{\text{adj}} W_\mu^c$$

Computational trick: *anticommuting* scalar fields, just in loops as virtual particles
 \Rightarrow Faddeev-Popov ghosts needed **to preserve gauge symmetry:**

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Self Energy

$$= \Pi_{\mu\nu} = i(k^2 g_{\mu\nu} - k_\mu k_\nu) \Pi(k^2)$$

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Ward identity: $k^\mu \Pi_{\mu\nu} = 0$

with

$$\tilde{D}_{ab}(k) = \frac{i\delta_{ab}}{k^2 + i\epsilon}$$

$[(-1)$ sign for closed loops! (like fermions)]

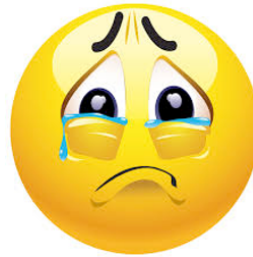
- Then the full **quantum** Lagrangian is

$$\mathcal{L}_{\text{sym}} + \mathcal{L}_{\text{GF}} + \mathcal{L}_{\text{FP}}$$

⇒ Note that in the case of a **massive** vector field

$$\text{(Proca)} \quad \mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}M^2 A_\mu A^\mu$$

it is **not gauge invariant!!!**



What about the gauge principle???

– The propagator is:

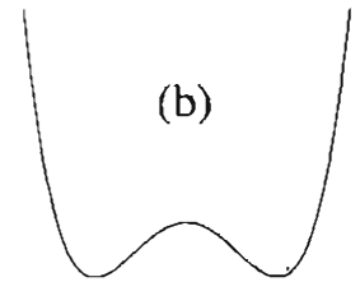
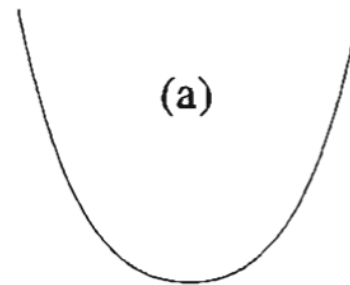
$$\tilde{D}_{\mu\nu}(k) = \frac{i}{k^2 - M^2 + i\epsilon} \left(-g_{\mu\nu} + \frac{k^\mu k^\nu}{M^2} \right)$$

- Consider a real scalar field $\phi(x)$ with Lagrangian:

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi)(\partial^\mu \phi) - \frac{1}{2}\mu^2 \phi^2 - \frac{\lambda}{4}\phi^4 \quad \text{invariant under } \phi \mapsto -\phi$$

$$\Rightarrow \mathcal{H} = \frac{1}{2}(\dot{\phi}^2 + (\nabla \phi)^2) + V(\phi)$$

$$V = \frac{1}{2}\mu^2 \phi^2 + \frac{1}{4}\lambda \phi^4$$



$\mu^2, \lambda \in \mathbb{R}$ (Real/Hermitian Hamiltonian) and $\lambda > 0$ (existence of a ground state)

(a) $\mu^2 > 0$: min of $V(\phi)$ at $\phi = 0$

(b) $\mu^2 < 0$: min of $V(\phi)$ at $\phi = v \equiv \pm \sqrt{\frac{-\mu^2}{\lambda}}$, in QFT $\langle 0 | \phi | 0 \rangle = v \neq 0$ (VEV)

– A **quantum** field **must** have $v = 0$

$$a |0\rangle = 0$$

$$\Rightarrow \phi(x) \equiv v + \eta(x), \quad \langle 0 | \eta | 0 \rangle = 0$$

Spontaneous Symmetry Breaking

discrete symmetry

- At the quantum level, the **same** system is described by $\eta(x)$ with Lagrangian:

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \eta)(\partial^\mu \eta) - \lambda v^2 \eta^2 - \lambda v \eta^3 - \frac{\lambda}{4} \eta^4 + \frac{1}{4} \lambda v^4 \quad \text{not invariant under } \eta \mapsto -\eta$$

$$(m_\eta = \sqrt{2\lambda} v)$$

⇒ Lesson:

$\mathcal{L}(\phi)$ has the symmetry but the parameters can be such that the ground state of the Hamiltonian is not symmetric (Spontaneous Symmetry Breaking)

⇒ Note:

One may argue that $\mathcal{L}(\eta)$ exhibits an explicit breaking of the symmetry. However this is not the case since the coefficients of terms η^2 , η^3 and η^4 are determined by just two parameters, λ and v (remnant of the original symmetry)

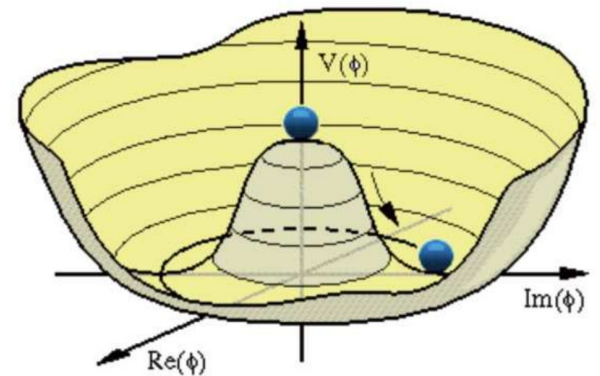
- Consider a complex scalar field $\phi(x)$ with Lagrangian:

$$\mathcal{L} = (\partial_\mu \phi^\dagger)(\partial^\mu \phi) - \mu^2 \phi^\dagger \phi - \lambda(\phi^\dagger \phi)^2 \quad \text{invariant under U(1): } \phi \mapsto e^{-iQ\theta} \phi$$

$$\lambda > 0, \mu^2 < 0: \quad \langle 0 | \phi | 0 \rangle \equiv \frac{v}{\sqrt{2}}, \quad |v| = \sqrt{\frac{-\mu^2}{\lambda}}$$

Take $v \in \mathbb{R}^+$. In terms of quantum fields:

$$\phi(x) \equiv \frac{1}{\sqrt{2}}[v + \eta(x) + i\chi(x)], \quad \langle 0 | \eta | 0 \rangle = \langle 0 | \chi | 0 \rangle = 0$$



$$\mathcal{L} = \frac{1}{2}(\partial_\mu \eta)(\partial^\mu \eta) + \frac{1}{2}(\partial_\mu \chi)(\partial^\mu \chi) - \lambda v^2 \eta^2 - \lambda v \eta(\eta^2 + \chi^2) - \frac{\lambda}{4}(\eta^2 + \chi^2)^2 + \frac{1}{4}\lambda v^4$$

Note: if $ve^{i\alpha}$ (complex) replace η by $(\eta \cos \alpha - \chi \sin \alpha)$ and χ by $(\eta \sin \alpha + \chi \cos \alpha)$

\Rightarrow The actual quantum Lagrangian $\mathcal{L}(\eta, \chi)$ is not invariant under U(1)

U(1) broken \Rightarrow one scalar field remains massless: $m_\chi = 0, m_\eta = \sqrt{2\lambda} v$

- Another example: consider a real scalar SU(2) triplet $\Phi(x)$

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \Phi^\top)(\partial^\mu \Phi) - \frac{1}{2}\mu^2 \Phi^\top \Phi - \frac{\lambda}{4}(\Phi^\top \Phi)^2 \quad \text{inv. under SU(2): } \Phi \mapsto e^{-iT_a \theta^a} \Phi$$

that for $\lambda > 0$, $\mu^2 < 0$ acquires a VEV $\langle 0 | \Phi^\top \Phi | 0 \rangle = v^2$ ($\mu^2 = -\lambda v^2$)

Assume $\Phi(x) = \begin{pmatrix} \varphi_1(x) \\ \varphi_2(x) \\ v + \varphi_3(x) \end{pmatrix}$ and define $\varphi \equiv \frac{1}{\sqrt{2}}(\varphi_1 + i\varphi_2)$

$$\mathcal{L} = (\partial_\mu \varphi^\dagger)(\partial^\mu \varphi) + \frac{1}{2}(\partial_\mu \varphi_3)(\partial^\mu \varphi_3) - \lambda v^2 \varphi_3^2 - \lambda v(2\varphi^\dagger \varphi + \varphi_3^2)\varphi_3 - \frac{\lambda}{4}(2\varphi^\dagger \varphi + \varphi_3^2)^2 + \frac{1}{4}\lambda v^4$$

\Rightarrow Not symmetric under SU(2) but invariant under U(1):

$$\varphi \mapsto e^{-iQ\theta} \varphi \quad (Q = \text{arbitrary}) \quad \varphi_3 \mapsto \varphi_3 \quad (Q = 0)$$

SU(2) broken to U(1) $\Rightarrow 3 - 1 = 2$ broken generators

\Rightarrow 2 (real) scalar fields (= 1 complex) remain massless: $m_\varphi = 0$, $m_{\varphi_3} = \sqrt{2\lambda} v$

⇒ **Goldstone's theorem:**

[Nambu '60; Goldstone '61]

*The number of massless particles (**Nambu-Goldstone bosons**) is equal to the number of spontaneously broken generators of the symmetry*

Hamiltonian symmetric under group $G \Rightarrow [T_a, H] = 0, \quad a = 1, \dots, N$

By definition: $H|0\rangle = 0 \Rightarrow H(T_a|0\rangle) = T_a H|0\rangle = 0$

– If $|0\rangle$ is such that $T_a|0\rangle = 0$ for all generators

⇒ non-degenerate minimum: *the* vacuum

– If $|0\rangle$ is such that $T_{a'}|0\rangle \neq 0$ for some (broken) generators a'

⇒ degenerate minimum: chose one (*true* vacuum) and $e^{-iT_{a'}\theta^{a'}}|0\rangle \neq |0\rangle$

⇒ excitations (particles) from $|0\rangle$ to $e^{-iT_{a'}\theta^{a'}}|0\rangle$ cost no energy: massless!

- Consider a U(1) gauge invariant Lagrangian for a complex scalar field $\phi(x)$:

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + (D_\mu\phi)^\dagger(D^\mu\phi) - \mu^2\phi^\dagger\phi - \lambda(\phi^\dagger\phi)^2, \quad D_\mu = \partial_\mu + ieQA_\mu$$

inv. under $\phi(x) \mapsto \phi'(x) = e^{-iQ\theta(x)}\phi(x)$, $A_\mu(x) \mapsto A'_\mu(x) = A_\mu(x) + \frac{1}{e}\partial_\mu\theta(x)$

If $\lambda > 0$, $\mu^2 < 0$, the \mathcal{L} in terms of quantum fields η and χ with null VEVs:

$$\phi(x) \equiv \frac{1}{\sqrt{2}}[v + \eta(x) + i\chi(x)], \quad \mu^2 = -\lambda v^2$$

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}(\partial_\mu\eta)(\partial^\mu\eta) + \frac{1}{2}(\partial_\mu\chi)(\partial^\mu\chi)$$

$$\boxed{-\lambda v^2\eta^2} - \lambda v\eta(\eta^2 + \chi^2) - \frac{\lambda}{4}(\eta^2 + \chi^2)^2 + \frac{1}{4}\lambda v^4$$

$$\boxed{+ eQvA_\mu\partial^\mu\chi} + eQA_\mu(\eta\partial^\mu\chi - \chi\partial^\mu\eta)$$

$$\boxed{+ \frac{1}{2}(eQv)^2 A_\mu A^\mu} + \frac{1}{2}(eQ)^2 A_\mu A^\mu(\eta^2 + 2v\eta + \chi^2)$$

Comments:

(i) $m_\eta = \sqrt{2\lambda}v$
 $m_\chi = 0$

(ii) $M_A = |eQv|$ (!)

(iii) Term $A_\mu\partial^\mu\chi$ (?)

(iv) Add \mathcal{L}_{GF}

- Removing the cross term and the (new) gauge fixing Lagrangian:

$$\mathcal{L}_{\text{GF}} = -\frac{1}{2\tilde{\zeta}}(\partial_\mu A^\mu - \tilde{\zeta}M_A\chi)^2$$

$$\begin{aligned} \Rightarrow \quad \mathcal{L} + \mathcal{L}_{\text{GF}} = & -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}M_A^2 A_\mu A^\mu - \frac{1}{2\tilde{\zeta}}(\partial_\mu A^\mu)^2 + \overbrace{M_A[\partial_\mu A^\mu \chi + A_\mu \partial^\mu \chi]}^{\text{total deriv.}} \\ & + \frac{1}{2}(\partial_\mu \chi)(\partial^\mu \chi) - \frac{1}{2}\tilde{\zeta}M_A^2 \chi^2 + \dots \end{aligned}$$

and the propagators of A_μ and χ are:

$$\begin{aligned} \tilde{D}_{\mu\nu}(k) &= \frac{i}{k^2 - \textcolor{red}{M}_A^2 + i\epsilon} \left[-g_{\mu\nu} + (1 - \tilde{\zeta}) \frac{k_\mu k_\nu}{k^2 - \tilde{\zeta}M_A^2} \right] \\ \tilde{D}(k) &= \frac{i}{k^2 - \textcolor{blue}{\tilde{\zeta}}M_A^2 + i\epsilon} \end{aligned}$$

$\Rightarrow \chi$ has a gauge-dependent mass: actually it is not a physical field!

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- A more transparent parameterization of the quantum field ϕ is

$$\phi(x) \equiv e^{iQ\zeta(x)/v} \frac{1}{\sqrt{2}} [v + \eta(x)] , \quad \langle 0 | \eta | 0 \rangle = \langle 0 | \zeta | 0 \rangle = 0$$

$$\phi(x) \mapsto e^{-iQ\zeta(x)/v} \phi(x) = \frac{1}{\sqrt{2}} [v + \eta(x)] \Rightarrow \zeta \text{ gauged away!}$$

$$\begin{aligned} \mathcal{L} = & -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} (\partial_\mu \eta) (\partial^\mu \eta) \\ & - \lambda v^2 \eta^2 - \lambda v \eta^3 - \frac{\lambda}{4} \eta^4 + \frac{1}{4} \lambda v^4 \\ & + \frac{1}{2} (eQv)^2 A_\mu A^\mu + \frac{1}{2} (eQ)^2 A_\mu A^\mu (2v\eta + \eta^2) \end{aligned}$$

Comments:

(i) $m_\eta = \sqrt{2\lambda} v$

(ii) $M_A = |eQv|$

(iii) No need for \mathcal{L}_{GF}

\Rightarrow This is the **unitary gauge** ($\zeta \rightarrow \infty$): just physical fields

$$\tilde{D}_{\mu\nu}(k) \rightarrow \frac{i}{k^2 - M_A^2 + i\epsilon} \left[-g_{\mu\nu} + \frac{k_\mu k_\nu}{M_A^2} \right] \quad \text{and} \quad \tilde{D}(k) \rightarrow 0$$

⇒ Brout-Englert-Higgs mechanism:

[Anderson '62]

[Higgs '64; Englert, Brout '64; Guralnik, Hagen, Kibble '64]

The *gauge bosons* associated with the spontaneously broken generators become *massive*, the corresponding *would-be Goldstone bosons* are *unphysical* and can be absorbed, the remaining massive scalars (*Higgs bosons*) are *physical* (the smoking gun!)

- The would-be Goldstone bosons are 'eaten up' by the gauge bosons ('get fat') and disappear (gauge away) in the unitary gauge ($\xi \rightarrow \infty$)

⇒ Degrees of freedom are preserved

Before SSB: 2 (massless gauge boson) + 1 (Goldstone boson)

After SSB: 3 (massive gauge boson) + 0 (absorbed would-be Goldstone)

- For loops calculations, 't Hooft-Feynman gauge ($\xi = 1$) is more convenient:
 - ⇒ Gauge boson propagators are simpler, but
 - ⇒ Goldstone bosons must be included in internal lines

- Comments:
 - After SSB the **FP ghost fields** (unphysical) **acquire** a gauge-dependent **mass**, due to interactions with the scalar field(s):

$$\tilde{D}_{ab}(k) = \frac{i\delta_{ab}}{k^2 - \zeta_a M_{W^a}^2 + i\epsilon}$$

- Gauge theories with SSB are **renormalizable** [’t Hooft, Veltman ’72]

UV divergences appearing at loop level can be removed by renormalization of parameters and fields of the classical Lagrangian \Rightarrow predictive!