

Quantum Field Theory and the Electroweak Standard Model



José Ignacio Illana

Departamento de Física Teórica y del Cosmos



UNIVERSIDAD
DE GRANADA

Outline

1. Particles, fields and symmetries

- ▷ Basics: Poincaré symmetry
- ▷ Particle physics with quantum fields
- ▷ Global and gauge symmetries
 - Internal symmetries and the gauge principle
 - Quantization of gauge theories
 - Spontaneous Symmetry Breaking

2. The Standard Model

- ▷ Gauge group and field representations
- ▷ Electroweak interactions
 - One generation of quarks *or* leptons
 - Electroweak SSB: Higgs sector, gauge boson and fermion masses
 - Additional generations: fermion mixings (quarks *vs* leptons)

3. Electroweak phenomenology

* Appendices: kinematics, loop calculations, ...



Exercises [ugr.es/~jillana/qft-ewsm-ex.pdf]

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1. Particles, fields and symmetries

Why Quantum Field Theory to describe Particle Physics?

- QFT is the (only) way to reconcile Quantum Mechanics and Special Relativity
- [−] Wave equations (relativistic or not) cannot account for changing # of particles.
And the relativistic versions suffer pathologies:
- * negative probability densities
 - * negative-energy solutions
 - * violation of causality
- [+] Quantum fields:
- * provide a natural framework *(Fock space of multiparticle states)*
 - * make sense of negative-energy solutions *(antiparticles)*
 - * solve causality problem *(Feynman propagator)*
 - * explains spin-statistics connection *(theorem)*
 - * arguably, solve the wave-particle duality puzzle *(no particles, only fields)*

Basics: Poincaré symmetry

Guided by symmetry

- Relativistic fields are *irreps* of Poincaré group (rotations, boosts, translations)
scalar $\phi(x)$, vector $V_\mu(x)$, tensor $h_{\mu\nu}(x)$, ...
Weyl $\psi_L(x), \psi_R(x)$; Dirac $\psi(x), \dots$
- Lagrangian densities: local $\mathcal{L}(x) = \mathcal{L}(\phi, \partial_\mu \phi)$ (maybe several “ ϕ_i ”, ψ , V_μ , ...)
invariant under Poincaré transformations
 - e.g. for a free Dirac field $\psi(x)$:

$$\mathcal{L}_0 = \bar{\psi} (\mathrm{i}\not{d} - m) \psi \quad \not{d} \equiv \gamma^\mu \partial_\mu, \quad \bar{\psi} \equiv \psi^\dagger \gamma^0$$

- ★ Field dynamics
- ★ Noether's theorem: (continuous) symmetry implies conservation laws
(spacetime symmetries \Rightarrow energy, momentum, angular momentum)

- Principle of least action: $\delta S = 0$ where $S = \int d^4x \mathcal{L}(x)$
 \Rightarrow Field EoM (E-L equations)

$$\begin{aligned}\delta S &= \int d^4x \sum_i \left(\frac{\partial \mathcal{L}}{\partial \phi_i} \delta \phi_i + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \delta (\partial_\mu \phi_i) \right) \\ &= \int d^4x \sum_i \left(\frac{\partial \mathcal{L}}{\partial \phi_i} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \right) \delta \phi_i = 0 \quad , \quad \forall \phi_i\end{aligned}$$

(integrating by parts and assuming fields vanish at boundary)

- e.g. EoM of a free Dirac field is the **Dirac equation**

$$(i\cancel{\partial} - m)\psi(x) = 0$$

$$\rightsquigarrow \psi(x) = \int \frac{d^3p}{(2\pi)^3 \sqrt{2E_{\vec{p}}}} \sum_{s=1,2} \left(a_{\vec{p},s} u^{(s)}(\vec{p}) e^{-ipx} + b_{\vec{p},s}^* v^{(s)}(\vec{p}) e^{ipx} \right)$$

$$\text{with } p^2 = E_{\vec{p}}^2 - |\vec{p}|^2 = m^2, \quad (\not{p} - m)u(\vec{p}) = 0, \quad (\not{p} + m)v(\vec{p}) = 0.$$

- Impose **canonical quantization** rules:

commutation/anticommutation of fields with conjugate momenta $\Pi_i(x) = \frac{\partial \mathcal{L}}{\partial(\partial_0\phi_i)}$

$$[\phi(t, \vec{x}), \Pi_\phi(t, \vec{y})] = i\delta^3(\vec{x} - \vec{y}), \quad \{\psi(t, \vec{x}), \Pi_\psi(t, \vec{y})\} = i\delta^3(\vec{x} - \vec{y})$$

so that the Hamiltonian ($\mathcal{H} = \sum_i \Pi_i \dot{\Phi}_i - \mathcal{L}$) is bounded from below.

- e.g for a free fermion field, *anticommutation* is enforced! implying

$$\{a_{\vec{p},r}, a_{\vec{k},s}^\dagger\} = \{b_{\vec{p},r}, b_{\vec{k},s}^\dagger\} = (2\pi)^3 \delta^3(\vec{p} - \vec{k}) \delta_{rs}, \quad \{a_{\vec{p},r}, a_{\vec{k},s}\} = \dots = 0$$

- After *normal ordering* :: (all creation to left of annihilation ops) to subtract zero-point energy,

$$H = \int d^3x : \mathcal{H}(x) : = \int \frac{d^3p}{(2\pi)^3} E_{\vec{p}} \sum_{s=1,2} (a_{\vec{p},s}^\dagger a_{\vec{p},s} + b_{\vec{p},s}^\dagger b_{\vec{p},s})$$

\Rightarrow Fields become operators that annihilate/create **particles/antiparticles**

$$|0\rangle \text{ (vacuum)}, \quad a_{\vec{p},s}^\dagger |0\rangle \text{ (1 particle)}, \quad b_{\vec{p},s}^\dagger |0\rangle \text{ (1 antiparticle)}, \quad \dots$$

\Rightarrow **Multiparticle states** symmetric/antisymmetric under exchange (**spin-statistics!**)

One-particle representations

- One-particle states are unitary irreps of the Poincaré group, so that

$$\langle \psi_1 | \psi_2 \rangle = \langle \psi_1 | \mathcal{P}^\dagger \mathcal{P} | \psi_2 \rangle \quad (\text{invariant matrix elements})$$

\mathcal{P} are represented by unitary operators in this space, and the generators J^i (rotations), K^i (boosts), P^μ (translations) by Hermitian operators.

$$\underbrace{J_{\mu\nu} = -J_{\nu\mu}} \qquad (J^i = \frac{1}{2}\epsilon^{ijk}J^{jk}, \quad K^i = J^{0i})$$

- Rotations form a compact subgroup (its finite dimensional irreps are unitary). But Lorentz group and Poincaré group are non-compact. Therefore:
The *unitary* representations of the Poincaré group are *infinite-dimensional* (differential operators acting on fields).
- Poincaré group has two Casimir operators (commute with all generators)

$$m^2 = P_\mu P^\mu, \quad W_\mu W^\mu \quad (W_\mu = \text{Pauli-Lubanski vector})$$

whose eigenvalues label the irreps. Lorentz invariant (choose convenient frame).

- Two cases, characterized by mass m and spin j
 - $m \neq 0$: choose $P^\mu = (m, 0, 0, 0) \Rightarrow W_\mu W^\mu = -m^2 j(j+1)$
 \Rightarrow **massive particles of spin j** have $2j+1$ dof ($j_3 = -j, -j+1, \dots, j$)
because $SU(2)$ is the *little group* (transformations leaving P^μ invariant)
 - $m = 0$: choose $P^\mu = (\omega, 0, 0, \omega) \Rightarrow W_\mu W^\mu = -\omega^2[(J^1 + K^2)^2 + (J^2 - K^1)^2]$
 \Rightarrow **massless particles of spin j** have 2 dof (helicity $h = \pm j$)
because now $SO(2)$ is the *little group* (rotations in plane $\perp \vec{P}$)
- Embedding unitary reps of Poincaré group (particles) in a field theory not trivial.
Note: To construct a **unitary field theory** with V_μ (contains both spin 0 and 1)
one has to **choose carefully the Lagrangian** so that the *physical theory never excites*:
 - the spin-0 component
 - neither the longitudinal spin-1 component (if massless) \Leftrightarrow **gauge invariance**

Particle physics

- Observables (cross sections, decays widths) expressed in terms of **S-matrix elements** ($m \rightarrow n$ processes)

$$\text{out} \left\langle \vec{p}_1 \vec{p}_2 \cdots \vec{p}_n \middle| \vec{k}_1 \vec{k}_2 \cdots \vec{k}_m \right\rangle_{\text{in}}$$

(scalar fields/particles to simplify)

- Only free fields are related to particles/antiparticles ($a_{\vec{p}}^\dagger, b_{\vec{p}}^\dagger$).

We expect

$$\phi(x) \xrightarrow[t \rightarrow -\infty]{} Z_\phi^{1/2} \phi_{\text{in}}(x) , \quad \phi(x) \xrightarrow[t \rightarrow +\infty]{} Z_\phi^{1/2} \phi_{\text{out}}(x) ,$$

$\phi(x)$: interacting fields

$\phi_{\text{in}}(x), \phi_{\text{out}}(x)$: free fields (before, after interaction)

Z_ϕ : *wave function* renormalization

- LSZ reduction formula relates S-matrix elements with the (Fourier transform of) vacuum expectation values of *time-ordered* field products (**correlators**):

$$\left(\prod_{i=1}^m \frac{i\sqrt{Z_\phi}}{k_i^2 - m^2} \right) \left(\prod_{j=1}^n \frac{i\sqrt{Z_\phi}}{p_j^2 - m^2} \right) \text{out} \left\langle \vec{p}_1 \vec{p}_2 \cdots \vec{p}_n \middle| \vec{k}_1 \vec{k}_2 \cdots \vec{k}_m \right\rangle_{\text{in}}$$

$$= \int \left(\prod_{i=1}^m d^4 x_i e^{-ik_i x_i} \right) \int \left(\prod_{j=1}^n d^4 y_j e^{+ip_j y_j} \right) \langle 0 | T \underbrace{\{\phi(x_1) \cdots \phi(x_m) \phi(y_1) \cdots \phi(y_n)\}}_{\text{interacting fields}} | 0 \rangle$$

The correlator = the Green's function of $m + n$ points $G(\vec{p}_1 \cdots \vec{p}_n; \vec{k}_1 \cdots \vec{k}_m)$

- ▷ Physical particles (asymptotic states) are on-shell ($p^2 - m^2 = 0$).

For on-shell incoming and outgoing particles, the rhs of LSZ formula (correlator) will have **poles** that cancel those in the prefactor of the lhs, yielding a regular S-matrix element [*residues* of the correlator].

- The correlators can be expressed in terms of free fields “ ϕ_I ” (interaction picture):

$$\langle 0| T\{\phi(x_1) \cdots \phi(x_n)\} |0\rangle = \frac{\langle 0| T\left\{ \phi_I(x_1) \cdots \phi_I(x_n) \exp\left[i \int d^4x \mathcal{L}_{\text{int}}[\phi_I(x)]\right] \right\} |0\rangle}{\langle 0| T\left\{ \exp\left[i \int d^4x \mathcal{L}_{\text{int}}[\phi_I(x)]\right] \right\} |0\rangle}$$

- In **perturbation theory** one expands the exponential and computes every correlator using *Wick's theorem* (all possible “contractions”)

$$\text{contraction} \equiv \overline{\phi_I(x)\phi_I(y)} = D_F(x-y) = \langle 0| T\{\phi_I(x)\phi_I(y)\} |0\rangle \quad \text{Feynman propagator}$$

- Feynman diagrams/rules** provide a systematic procedure to organize/compute the perturbative series in terms of *propagators* (and vertices)
- Note:** **functional quantization** (path integral) provides an *alternative* method

$$\langle 0| T\{\hat{\phi}(x_1) \cdots \hat{\phi}(x_n)\} |0\rangle = \frac{\int \mathcal{D}\phi \phi(x_1) \cdots \phi(x_n) e^{iS}}{\int \mathcal{D}\phi e^{iS}} \quad \begin{matrix} \text{perturbatively} \\ \text{or not! (lattice)} \end{matrix}$$

- **Causality** requires $[\phi(x), \phi^\dagger(y)] = 0$ if $(x - y)^2 < 0$ (*spacelike interval*)
- ▷ Recall that a (free) field is a combination of **positive** and **negative** energy waves:

$$\phi(x) = \int \frac{d^3 p}{(2\pi)^3 \sqrt{E_{\vec{p}}}} \left(a_{\vec{p}} e^{-ipx} + b_{\vec{p}}^\dagger e^{ipx} \right)$$

- ▷ From the commutation relations of creation and annihilation operators:

$$\begin{aligned} [\phi(x), \phi^\dagger(y)] &= \int \frac{d^3 p}{(2\pi)^3 \sqrt{E_{\vec{p}}}} \int \frac{d^3 q}{(2\pi)^3 \sqrt{E_{\vec{q}}}} (e^{-i(px-qy)} [a_{\vec{p}}, a_{\vec{q}}^\dagger] + e^{i(px-qy)} [b_{\vec{p}}^\dagger, b_{\vec{q}}]) \\ &= \Delta(x - y) - \Delta(y - x) \end{aligned}$$

where the first (second) contribution comes from **particles** (**antiparticles**) and

$$\Delta(x - y) = \int \frac{d^3 p}{(2\pi)^3 E_{\vec{p}}} e^{-ip \cdot (x-y)}$$

- ▷ If $(x - y)^2 < 0$ choose frame where $x - y \equiv (0, \vec{r})$. Then

$$\Delta(x - y) = \Delta(y - x) \propto \frac{m}{r} e^{-mr} \neq 0, \quad \text{for } mr \gg 1$$

Therefore:

If only particles: $[\phi(x), \phi^\dagger(y)] = \Delta(x - y) \neq 0$ (!!)

If both particles and antiparticles: $[\phi(x), \phi^\dagger(y)] = \Delta(x - y) - \Delta(y - x) = 0$ (✓)

- In fact the **Feynman propagator** contains *both* contributions:

$$D_F(x - y) = \langle 0 | T\{\phi(x)\phi^\dagger(y)\} | 0 \rangle = \theta(x^0 - y^0)\Delta(x - y) + \theta(y^0 - x^0)\Delta(y - x)$$

- Probability amplitude that particle created in y propagates to x , if $x^0 > y^0$
- Probability amplitude that antiparticle created in x propagates to y , if $y^0 > x^0$

$$D_F(x - y) = \int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2 - m^2 + i\varepsilon} e^{-ip \cdot (x-y)} \quad \text{where} \quad \varepsilon \rightarrow 0^+ \text{ (usually omitted)}$$

- Quantum corrections to external legs (external propagators) can be resummed:

$$\rightarrow \text{circle} = \text{line} + \text{line} \rightarrow \text{circle labeled "1PI"} + \text{line} \rightarrow \text{circle labeled "1PI"} + \text{line} \rightarrow \text{circle labeled "1PI"} + \dots$$

$$\begin{aligned} &= \frac{i}{p^2 - m_0^2} + \frac{i}{p^2 - m_0^2} [-iM^2(p^2)] \frac{i}{p^2 - m_0^2} + \dots \\ &= \frac{i}{p^2 - m_0^2 - M^2(p^2)} \quad (m_0 = \text{mass in } \mathcal{L}) \end{aligned}$$

and Taylor expanding about $p^2 = m^2$ (*physical mass*):

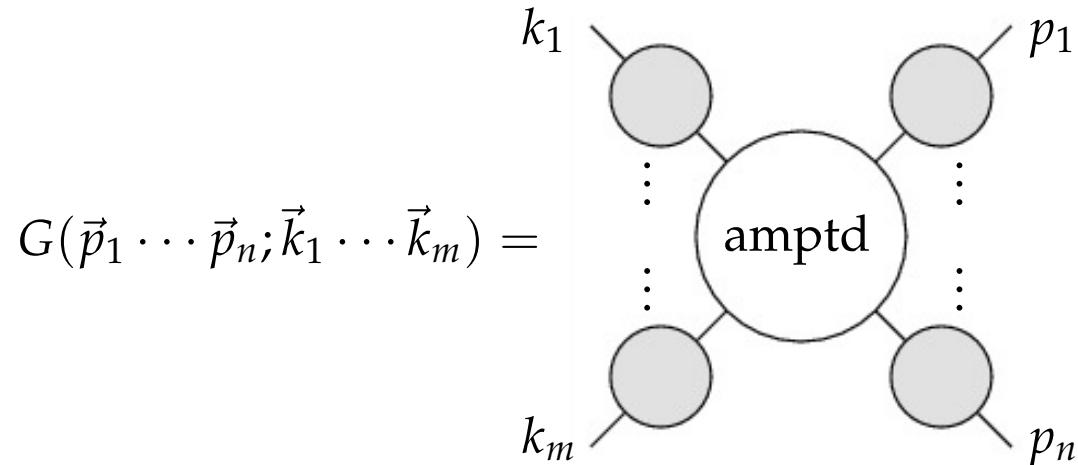
$$p^2 - m_0^2 - M^2(p^2) = (p^2 - m^2) \left(1 - \frac{dM^2}{dp^2} \Big|_{p^2=m^2} \right)$$

$$\Rightarrow \rightarrow \text{circle} = \frac{iZ_\phi}{p^2 - m^2} + \text{regular near } p^2 = m^2$$

$$\text{with } m^2 = m_0^2 + M^2(m^2), \quad Z_\phi = \left(1 - \frac{dM^2}{dp^2} \Big|_{p^2=m^2} \right)^{-1}$$

(mass and wave function renormalization)

- ▷ Then we may factor out external legs from *amputated* diagrams:



and express the LSZ formula in a simpler form:

$$\text{out} \left\langle \vec{p}_1 \vec{p}_2 \cdots \vec{p}_n \middle| \vec{k}_1 \vec{k}_2 \cdots \vec{k}_m \right\rangle_{\text{in}} = (\sqrt{Z})^{m+n} \equiv (2\pi)^4 \delta^4 \left(\sum_i p_i - \sum_j k_j \right) i\mathcal{M}$$

- Feynman rules require integration over **loop** momenta resulting *sometimes* in divergent expressions.

$$\mathcal{M} = \mathcal{M}^{(0)} + \underbrace{\mathcal{M}^{(1)}}_{\text{divergent?}} + \dots$$

(the loop expansion is also an expansion in powers of \hbar : *quantum* corrections)

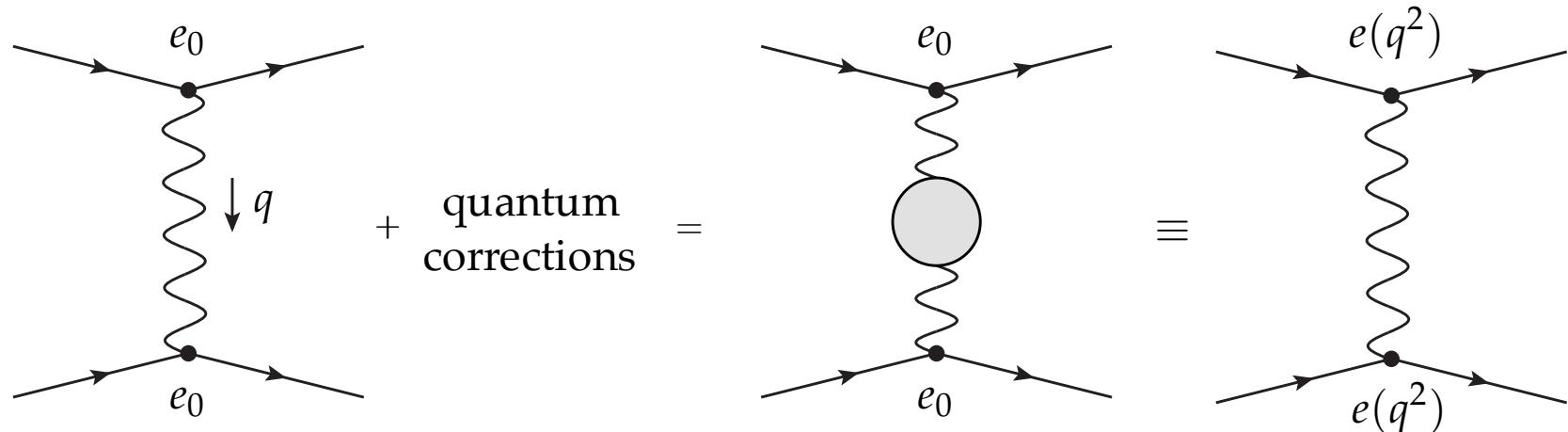
- **Regularization** and **renormalization** needed to make sense of these divergences.
 - ▷ One assumes that **fields** and **parameters** in the Lagrangian (*bare*) must be **redefined** order by order in terms of new ones (*renormalized*) so that physical predictions are finite

$$\mathcal{M} = \mathcal{M}^{(0)} + \widehat{\mathcal{M}}^{(1)} + \dots$$

finite

- ▷ As a consequence, *coupling constants run* (depend on a scale)

e.g.



$$\frac{e_0^2}{1 - \Pi(q^2)} = \frac{e_R^2}{1 - [\Pi(q^2) - \Pi(0)]} \equiv e^2(q^2)$$

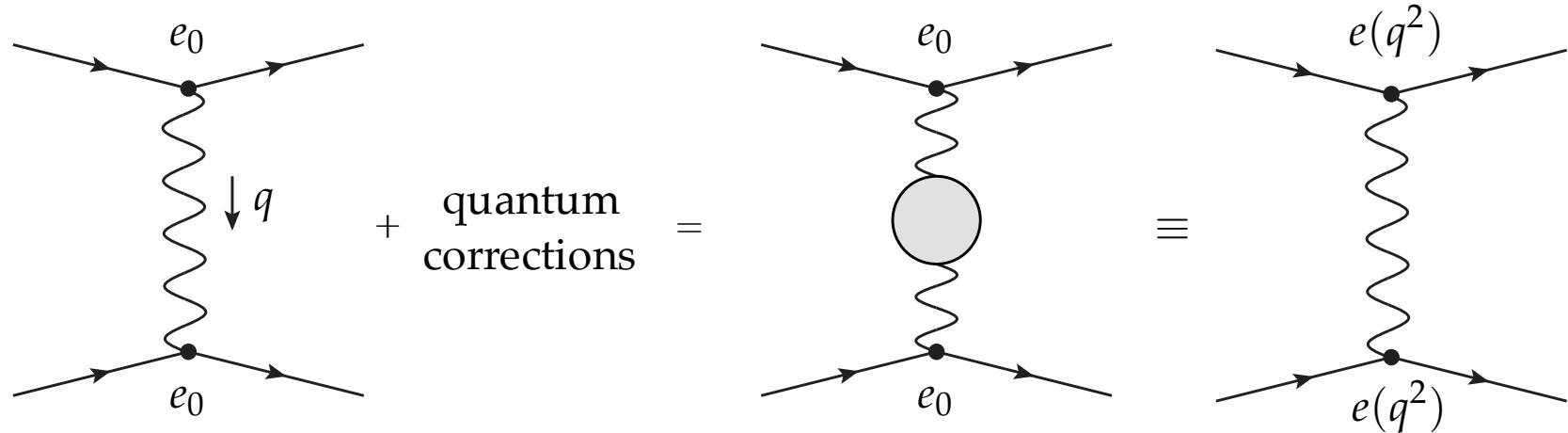
introducing renormalization constants $Z_e = Z_A^{-1/2}$ [Ward-Takahashi identity]:

$$e_0 \equiv Z_e e_R = Z_A^{-1/2} e_R \quad \text{and} \quad Z_A = [1 - \Pi(0)]^{-1} \quad (\text{universal})$$

Note: both e_0 and $\Pi(q^2)$ are infinite but $e(q^2)$ is finite.

- ▷ As a consequence, *coupling constants run* (depend on a scale)

e.g.



e_0 = bare coupling

$e(q^2)$ = running coupling

e_R = renormalized coupling [= $e(0)$]

q^2 = renormalization scale (at which e is “measured”)

Note: e is not an observable

Global symmetries and gauge invariance

- In addition to **spacetime** (Poincaré) symmetries, the free Lagrangian

(Dirac)

$$\mathcal{L}_0 = \bar{\psi}(\mathrm{i}\not{d} - m)\psi \quad \not{d} \equiv \gamma^\mu \partial_\mu, \quad \bar{\psi} \equiv \psi^\dagger \gamma^0$$

\Rightarrow Invariant under **internal** global U(1) phase transformations:

$$\psi(x) \mapsto \psi'(x) = e^{-iQ\theta} \psi(x), \quad Q, \theta \text{ (constants)} \in \mathbb{R}$$

\Rightarrow By Noether's theorem, divergentless current:

$$\mathcal{J}^\mu = Q \bar{\psi} \gamma^\mu \psi, \quad \partial_\mu \mathcal{J}^\mu = 0$$

and a conserved «charge»

$$Q = \int d^3x \mathcal{J}^0, \quad \partial_t Q = 0$$

- For a free fermion quantum field:

\Rightarrow The Noether charge is an operator:^{*}

$$\mathcal{Q} = Q \int d^3x : \bar{\psi} \gamma^0 \psi : = Q \int \frac{d^3p}{(2\pi)^3} \sum_{s=1,2} \left(a_{\vec{p},s}^\dagger a_{\vec{p},s} - b_{\vec{p},s}^\dagger b_{\vec{p},s} \right)$$

$$\mathcal{Q} a_{\vec{k},s}^\dagger |0\rangle = +Q a_{\vec{k},s}^\dagger |0\rangle \text{ (particle)} , \quad \mathcal{Q} b_{\vec{k},s}^\dagger |0\rangle = -Q b_{\vec{k},s}^\dagger |0\rangle \text{ (antiparticle)}$$

^{*} normal ordering prescription for fermionic operators

$$: a_{\vec{p},r} a_{\vec{q},s}^\dagger : \equiv -a_{\vec{q},s}^\dagger a_{\vec{p},r} , \quad : b_{\vec{p},r} b_{\vec{q},s}^\dagger : \equiv -b_{\vec{q},s}^\dagger b_{\vec{p},r}$$

The gauge principle

gauge symmetry dictates interactions

- To make \mathcal{L}_0 invariant under local \equiv gauge transformations of U(1):

$$\psi(x) \mapsto \psi'(x) = e^{-iQ\theta(x)}\psi(x), \quad \theta = \theta(x) \in \mathbb{R}$$

perform the minimal substitution:

$$\partial_\mu \rightarrow D_\mu = \partial_\mu + ieQA_\mu \quad (\text{covariant derivative})$$

where a gauge field $A_\mu(x)$ is introduced transforming as:

$$A_\mu(x) \mapsto A'_\mu(x) = A_\mu(x) + \frac{1}{e}\partial_\mu\theta(x) \quad \Leftarrow \quad D_\mu\psi \mapsto e^{-iQ\theta(x)}D_\mu\psi \quad \bar{\psi}D\psi \text{ inv. } \boxed{1}$$

\Rightarrow The new Lagrangian contains interactions between ψ and A_μ :

$$\mathcal{L}_{\text{int}} = -e Q \bar{\psi} \gamma^\mu \psi A_\mu \quad \propto \begin{cases} \text{coupling} & e \\ \text{charge} & Q \end{cases}$$
$$(= -e \mathcal{J}^\mu A_\mu)$$

The gauge principle

gauge invariance dictates interactions

- Dynamics for the gauge field \Rightarrow add **gauge invariant** kinetic term:

(Maxwell)

$$\mathcal{L}_1 = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu}$$

$$\Leftrightarrow F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \mapsto F_{\mu\nu}$$

- The full U(1) gauge invariant Lagrangian for a fermion field $\psi(x)$ reads:

$$\mathcal{L}_{\text{sym}} = \bar{\psi}(iD - m)\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} \quad (= \mathcal{L}_0 + \mathcal{L}_{\text{int}} + \mathcal{L}_1) \quad (\text{QED})$$

- The same applies to a complex scalar field $\phi(x)$:

$$\mathcal{L}_{\text{sym}} = (D_\mu\phi)^\dagger D^\mu\phi - m^2\phi^\dagger\phi - \lambda(\phi^\dagger\phi)^2 - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} \quad (\text{sQED})$$

- A general gauge symmetry group G is a *compact N-dimensional Lie group*

$$g \in G , \quad g(\vec{\theta}) = e^{-i T_a \theta^a} , \quad a = 1, \dots, N$$

$$\theta^a = \theta^a(x) \in \mathbb{R} , \quad T_a = \text{Hermitian generators} , \quad [T_a, T_b] = i f_{abc} T_c \quad (\text{Lie algebra})$$

structure constants: $f_{abc} = 0$ Abelian

$f_{abc} \neq 0$ non-Abelian

⇒ *Unitary finite-dimensional irreducible representations:*

$g(\vec{\theta})$ represented by $U(\vec{\theta})$

$d \times d$ matrices : $U(\vec{\theta})$ [given by $\{T_a\}$ algebra representation]

$$d\text{-multiplet} : \quad \Psi(x) \mapsto \Psi'(x) = U(\vec{\theta})\Psi(x) , \quad \Psi = \begin{pmatrix} \psi_1 \\ \vdots \\ \psi_d \end{pmatrix}$$

- Examples:

G	N	Abelian
U(1)	1	Yes
SU(n)	$n^2 - 1$	No $(n \times n$ unitary matrices with $\det = 1)$

– U(1): 1 generator (q), one-dimensional irreps only

– SU(2): 3 generators

$$f_{abc} = \epsilon_{abc} \text{ (Levi-Civita symbol)}$$

* Fundamental irrep ($d = 2$): $T_a = \frac{1}{2}\sigma_a$ (3 Pauli matrices)

* Adjoint irrep ($d = N = 3$): $(T_a^{\text{adj}})_{bc} = -i f_{abc}$

– SU(3): 8 generators

$$f^{123} = 1, f^{458} = f^{678} = \frac{\sqrt{3}}{2}, f^{147} = f^{156} = f^{246} = f^{247} = f^{345} = -f^{367} = \frac{1}{2}$$

* Fundamental irrep ($d = 3$): $T_a = \frac{1}{2}\lambda_a$ (8 Gell-Mann matrices)

* Adjoint irrep ($d = N = 8$): $(T_a^{\text{adj}})_{bc} = -i f_{abc}$

(for SU(n): f_{abc} totally antisymmetric)

The gauge principle

non-Abelian gauge theories

- To make \mathcal{L}_0 invariant under local \equiv gauge transformations of G :

$$\mathcal{L}_0 = \bar{\Psi}(\mathrm{i}\partial - m)\Psi , \quad \Psi(x) \mapsto \Psi'(x) = U(\vec{\theta})\Psi(x) , \quad \vec{\theta} = \vec{\theta}(x) \in \mathbb{R}$$

substitute the covariant derivative:

$$\partial_\mu \rightarrow D_\mu = \partial_\mu - \mathrm{i}g\tilde{W}_\mu , \quad \tilde{W}_\mu \equiv T_a W_\mu^a$$

where a gauge field $W_\mu^a(x)$ per generator is introduced, transforming as:

$$\tilde{W}_\mu(x) \mapsto \tilde{W}'_\mu(x) = \underbrace{U\tilde{W}_\mu(x)U^\dagger}_{\text{adjoint irrep}} - \frac{\mathrm{i}}{g}(\partial_\mu U)U^\dagger \quad \Leftarrow \quad \boxed{D_\mu\Psi \mapsto UD_\mu\Psi} \quad \overline{\Psi}D\Psi \text{ inv. } \textcolor{pink}{(1)}$$

\Rightarrow The new Lagrangian contains interactions between Ψ and W_μ^a :

$$\boxed{\mathcal{L}_{\text{int}} = g \bar{\Psi} \gamma^\mu T_a \Psi W_\mu^a} \quad \propto \begin{cases} \text{coupling} & g \\ \text{charge} & T_a \end{cases}$$

$$(= g \mathcal{J}_a^\mu W_\mu^a)$$

- Dynamics for the gauge fields \Rightarrow add gauge invariant kinetic terms:

(Yang-Mills)

$$\mathcal{L}_{\text{YM}} = -\frac{1}{2} \text{Tr} \left\{ \tilde{W}_{\mu\nu} \tilde{W}^{\mu\nu} \right\} = -\frac{1}{4} W_{\mu\nu}^a W^{a,\mu\nu}$$

$$\begin{aligned} \tilde{W}_{\mu\nu} &\equiv T_a W_{\mu\nu}^a \equiv D_\mu \tilde{W}_\nu - D_\nu \tilde{W}_\mu = \partial_\mu \tilde{W}_\nu - \partial_\nu \tilde{W}_\mu - ig [\tilde{W}_\mu, \tilde{W}_\nu] \Leftrightarrow \tilde{W}_{\mu\nu} \mapsto U \tilde{W}_{\mu\nu} U^\dagger \\ &\Rightarrow W_{\mu\nu}^a = \partial_\mu W_\nu^a - \partial_\nu W_\mu^a + g f_{abc} W_\mu^b W_\nu^c \end{aligned}$$

2

$\Rightarrow \mathcal{L}_{\text{YM}}$ contains cubic and quartic self-interactions of the gauge fields W_μ^a :

$$\begin{aligned} \mathcal{L}_{\text{kin}} &= -\frac{1}{4} (\partial_\mu W_\nu^a - \partial_\nu W_\mu^a) (\partial^\mu W^{a,\nu} - \partial^\nu W^{a,\mu}) \\ \mathcal{L}_{\text{cubic}} &= -\frac{1}{2} g f_{abc} (\partial_\mu W_\nu^a - \partial_\nu W_\mu^a) W^{b,\mu} W^{c,\nu} \\ \mathcal{L}_{\text{quartic}} &= -\frac{1}{4} g^2 f_{abef} f_{cde} W_\mu^a W_\nu^b W^{c,\mu} W^{d,\nu} \end{aligned}$$

Quantization

propagators

(particle interpretation of field correlators)

- The (Feynman) propagator of a **scalar field**:

$$D_F(x - y) = \langle 0 | T\{\phi(x)\phi^\dagger(y)\} | 0 \rangle = \int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2 - m^2 + i\varepsilon} e^{-ip \cdot (x-y)}$$

(Feynman prescription $\varepsilon \rightarrow 0^+$)

is a Green's function of the Klein-Gordon operator:

$$(\square_x + m^2) D_F(x - y) = -i\delta^4(x - y) \quad \Leftrightarrow \quad \tilde{D}_F(p) = \frac{i}{p^2 - m^2 + i\varepsilon}$$

- The propagator of a **fermion field**:

$$S_F(x - y) = \langle 0 | T\{\psi(x)\bar{\psi}(y)\} | 0 \rangle = (i\partial_x + m) \int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2 - m^2 + i\varepsilon} e^{-ip \cdot (x-y)}$$

is a Green's function of the Dirac operator:

$$(i\partial_x - m) S_F(x - y) = i\delta^4(x - y) \quad \Leftrightarrow \quad \tilde{S}_F(p) = \frac{i}{p - m + i\varepsilon}$$

Quantization of gauge theories

propagators

- **HOWEVER** a gauge field propagator cannot be defined unless \mathcal{L} is modified:

(e.g. modified Maxwell)
$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{1}{2\xi}(\partial^\mu A_\mu)^2$$

Euler-Lagrange:
$$\frac{\partial \mathcal{L}}{\partial A_\nu} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu A_\nu)} = 0 \quad \Rightarrow \quad \left[g^{\mu\nu} \square - \left(1 - \frac{1}{\xi}\right) \partial^\mu \partial^\nu \right] A_\mu = 0$$

- In momentum space the propagator is the inverse of:

$$-k^2 g^{\mu\nu} + \left(1 - \frac{1}{\xi}\right) k^\mu k^\nu \quad \Rightarrow \quad \tilde{D}_{\mu\nu}(k) = \frac{i}{k^2 + i\epsilon} \left[-g_{\mu\nu} + (1 - \frac{1}{\xi}) \frac{k_\mu k_\nu}{k^2} \right]$$

\Rightarrow Note that $(-k^2 g^{\mu\nu} + k^\mu k^\nu)$ is singular!

\Rightarrow One may argue that \mathcal{L} above will not lead to Maxwell equations ...

unless we fix a (Lorenz) gauge where: (remove redundancy)

$$\partial^\mu A_\mu = 0 \quad \Leftarrow \quad A_\mu \mapsto A'_\mu = A_\mu + \partial_\mu \Lambda \text{ with } \partial^\mu \partial_\mu \Lambda \equiv -\partial^\mu A_\mu$$

- The extra term is called **Gauge Fixing**:

$$\mathcal{L}_{\text{GF}} = -\frac{1}{2\xi}(\partial^\mu A_\mu)^2$$

\Rightarrow modified \mathcal{L} equivalent to Maxwell Lagrangian just in the gauge $\partial^\mu A_\mu = 0$

\Rightarrow the ξ -dependence always cancels out in physical amplitudes

- Several choices for the gauge fixing term (simplify calculations): R_ξ gauges

('t Hooft-Feynman gauge) $\xi = 1 :$ $\tilde{D}_{\mu\nu}(k) = -\frac{i g_{\mu\nu}}{k^2 + i\varepsilon}$

(Landau gauge) $\xi = 0 :$ $\tilde{D}_{\mu\nu}(k) = \frac{i}{k^2 + i\varepsilon} \left[-g_{\mu\nu} + \frac{k_\mu k_\nu}{k^2} \right]$

- For a non-Abelian gauge theory, the gauge fixing terms:

$$\mathcal{L}_{\text{GF}} = - \sum_a \frac{1}{2\xi_a} (\partial^\mu W_\mu^a)^2$$

allow to define the propagators:

$$\tilde{D}_{\mu\nu}^{ab}(k) = \frac{i\delta_{ab}}{k^2 + i\varepsilon} \left[-g_{\mu\nu} + (1 - \xi_a) \frac{k_\mu k_\nu}{k^2} \right]$$

HOWEVER, unlike the Abelian case, this is not the end of the story ...

Quantization of gauge theories

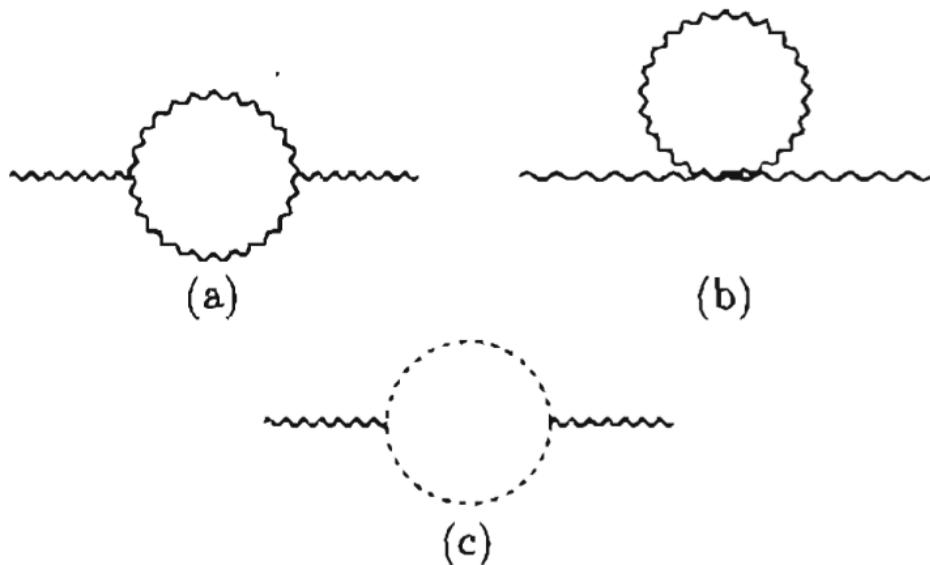
Faddeev-Popov ghosts

- Add Faddeev-Popov *ghost fields* $c_a(x)$, $a = 1, \dots, N$: ('t Hooft-Feynman gauge)

$$\mathcal{L}_{\text{FP}} = (\partial^\mu \bar{c}_a) (D_\mu^{\text{adj}})_{ab} c_b = (\partial^\mu \bar{c}_a) (\partial_\mu c_a - g f_{abc} c_b W_\mu^c) \quad \Leftarrow \quad D_\mu^{\text{adj}} = \partial_\mu - i g T_c^{\text{adj}} W_\mu^c$$

Computational trick: *anticommuting* scalar fields, just in loops as virtual particles
 \Rightarrow Faddeev-Popov ghosts needed to preserve gauge symmetry:

3



Self Energy

$$= \Pi_{\mu\nu} = i(k^2 g_{\mu\nu} - k_\mu k_\nu) \Pi(k^2)$$

4

Ward identity: $k^\mu \Pi_{\mu\nu} = 0$

with

$$\tilde{D}_{ab}(k) = \frac{i\delta_{ab}}{k^2 + i\varepsilon}$$

[(-1) sign for closed loops! (like fermions)]

Quantization of gauge theories

Full quantum Lagrangian

- Then the full **quantum** Lagrangian is

$$\mathcal{L}_{\text{sym}} + \mathcal{L}_{\text{GF}} + \mathcal{L}_{\text{FP}}$$

⇒ Note that in the case of a **massive** vector field

$$(\text{Proca}) \quad \mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}M^2A_\mu A^\mu$$

it is **not gauge invariant!!!**



What about the gauge principle???

– The propagator is:

5

$$\tilde{D}_{\mu\nu}(k) = \frac{i}{k^2 - M^2 + i\varepsilon} \left(-g_{\mu\nu} + \frac{k^\mu k^\nu}{M^2} \right)$$

Spontaneous Symmetry Breaking

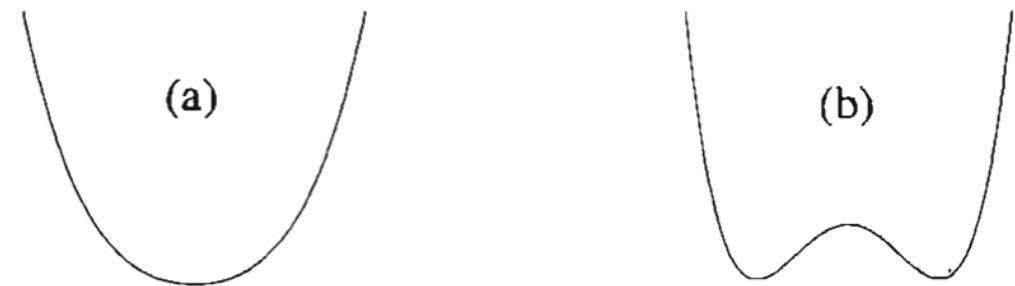
discrete symmetry

- Consider a real scalar field $\phi(x)$ with Lagrangian:

$$\mathcal{L} = \frac{1}{2}(\partial_\mu\phi)(\partial^\mu\phi) - \frac{1}{2}\mu^2\phi^2 - \frac{\lambda}{4}\phi^4 \quad \text{invariant under } \phi \mapsto -\phi$$

$$\Rightarrow \mathcal{H} = \frac{1}{2}(\dot{\phi}^2 + (\nabla\phi)^2) + V(\phi)$$

$$V = \frac{1}{2}\mu^2\phi^2 + \frac{1}{4}\lambda\phi^4$$



$\mu^2, \lambda \in \mathbb{R}$ (Real/Hermitian Hamiltonian) and $\lambda > 0$ (existence of a ground state)

(a) $\mu^2 > 0$: min of $V(\phi)$ at $\phi = 0$

(b) $\mu^2 < 0$: min of $V(\phi)$ at $\phi = v \equiv \pm \sqrt{\frac{-\mu^2}{\lambda}}$, in QFT $\langle 0 | \phi | 0 \rangle = v \neq 0$ (VEV)

- A **quantum** field **must** have $v = 0$

$$a |0\rangle = 0$$

$$\Rightarrow \phi(x) \equiv v + \eta(x), \quad \langle 0 | \eta | 0 \rangle = 0$$

Spontaneous Symmetry Breaking

discrete symmetry

- At the quantum level, the **same** system is described by $\eta(x)$ with Lagrangian:

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \eta)(\partial^\mu \eta) - \lambda v^2 \eta^2 - \lambda v \eta^3 - \frac{\lambda}{4} \eta^4 + \frac{1}{4} \lambda v^4 \quad \text{not invariant under } \eta \mapsto -\eta$$
$$(m_\eta = \sqrt{2\lambda} v)$$

⇒ Lesson:

$\mathcal{L}(\phi)$ has the symmetry but the parameters can be such that the ground state of the Hamiltonian is not symmetric
(Spontaneous Symmetry Breaking)

⇒ Note:

One may argue that $\mathcal{L}(\eta)$ exhibits an explicit breaking of the symmetry. However this is not the case since the coefficients of terms η^2 , η^3 and η^4 are determined by just two parameters, λ and v **(remnant of the original symmetry)**

Spontaneous Symmetry Breaking

continuous symmetry

- Consider a complex scalar field $\phi(x)$ with Lagrangian:

$$\mathcal{L} = (\partial_\mu \phi^\dagger)(\partial^\mu \phi) - \mu^2 \phi^\dagger \phi - \lambda(\phi^\dagger \phi)^2 \quad \text{invariant under U(1): } \phi \mapsto e^{-iQ\theta} \phi$$

$$\lambda > 0, \mu^2 < 0 : \quad \langle 0 | \phi | 0 \rangle \equiv \frac{v}{\sqrt{2}}, \quad |v| = \sqrt{\frac{-\mu^2}{\lambda}}$$

Take $v \in \mathbb{R}^+$. In terms of quantum fields:

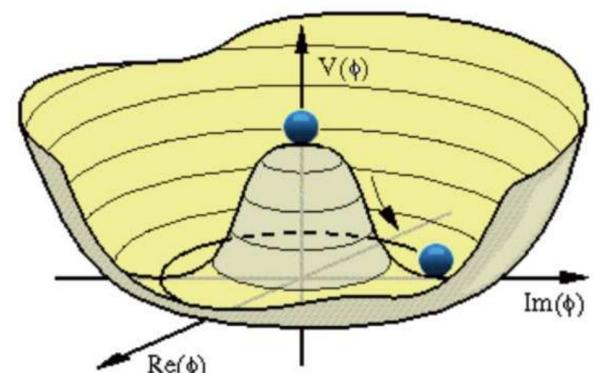
$$\phi(x) \equiv \frac{1}{\sqrt{2}}[v + \eta(x) + i\chi(x)], \quad \langle 0 | \eta | 0 \rangle = \langle 0 | \chi | 0 \rangle = 0$$

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \eta)(\partial^\mu \eta) + \frac{1}{2}(\partial_\mu \chi)(\partial^\mu \chi) - \lambda v^2 \eta^2 - \lambda v \eta (\eta^2 + \chi^2) - \frac{\lambda}{4}(\eta^2 + \chi^2)^2 + \frac{1}{4}\lambda v^4$$

Note: if $v e^{i\alpha}$ (complex) replace η by $(\eta \cos \alpha - \chi \sin \alpha)$ and χ by $(\eta \sin \alpha + \chi \cos \alpha)$

\Rightarrow The actual quantum Lagrangian $\mathcal{L}(\eta, \chi)$ is not invariant under U(1)

U(1) broken \Rightarrow one scalar field remains massless: $m_\chi = 0, m_\eta = \sqrt{2\lambda}v$



Spontaneous Symmetry Breaking

continuous symmetry

- Another example: consider a real scalar SU(2) triplet $\Phi(x)$

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \Phi^\top)(\partial^\mu \Phi) - \frac{1}{2}\mu^2 \Phi^\top \Phi - \frac{\lambda}{4}(\Phi^\top \Phi)^2 \quad \text{inv. under SU(2): } \Phi \mapsto e^{-iT_a \theta^a} \Phi$$

that for $\lambda > 0$, $\mu^2 < 0$ acquires a VEV $\langle 0 | \Phi^\top \Phi | 0 \rangle = v^2$ ($\mu^2 = -\lambda v^2$)

Assume $\Phi(x) = \begin{pmatrix} \varphi_1(x) \\ \varphi_2(x) \\ v + \varphi_3(x) \end{pmatrix}$ and define $\varphi \equiv \frac{1}{\sqrt{2}}(\varphi_1 + i\varphi_2)$

$$\mathcal{L} = (\partial_\mu \varphi^\dagger)(\partial^\mu \varphi) + \frac{1}{2}(\partial_\mu \varphi_3)(\partial^\mu \varphi_3) - \lambda v^2 \varphi_3^2 - \lambda v(2\varphi^\dagger \varphi + \varphi_3^2)\varphi_3 - \frac{\lambda}{4}(2\varphi^\dagger \varphi + \varphi_3^2)^2 + \frac{1}{4}\lambda v^4$$

\Rightarrow Not symmetric under SU(2) but invariant under U(1):

$$\varphi \mapsto e^{-iQ\theta} \varphi \quad (Q = \text{arbitrary}) \qquad \qquad \varphi_3 \mapsto \varphi_3 \quad (Q = 0)$$

SU(2) broken to U(1) $\Rightarrow 3 - 1 = 2$ broken generators

\Rightarrow 2 (real) scalar fields (= 1 complex) remain massless: $m_\varphi = 0$, $m_{\varphi_3} = \sqrt{2\lambda}v$

Spontaneous Symmetry Breaking

continuous symmetry

⇒ Goldstone's theorem:

[Nambu '60; Goldstone '61]

The number of massless particles (*Nambu-Goldstone bosons*) is equal to the number of spontaneously broken generators of the symmetry

Hamiltonian symmetric under group G ⇒ $[T_a, H] = 0$, $a = 1, \dots, N$

By definition: $H |0\rangle = 0$ ⇒ $H(T_a |0\rangle) = T_a H |0\rangle = 0$

– If $|0\rangle$ is such that $T_a |0\rangle = 0$ for all generators

⇒ non-degenerate minimum: *the vacuum*

– If $|0\rangle$ is such that $T_{a'} |0\rangle \neq 0$ for some (broken) generators a'

⇒ degenerate minimum: chose one (*true* vacuum) and $e^{-iT_{a'}\theta^{a'}} |0\rangle \neq |0\rangle$

⇒ excitations (particles) from $|0\rangle$ to $e^{-iT_{a'}\theta^{a'}} |0\rangle$ cost no energy: massless!

Spontaneous Symmetry Breaking

gauge symmetry

- Consider a U(1) gauge invariant Lagrangian for a complex scalar field $\phi(x)$:

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + (D_\mu\phi)^\dagger(D^\mu\phi) - \mu^2\phi^\dagger\phi - \lambda(\phi^\dagger\phi)^2, \quad D_\mu = \partial_\mu + ieQA_\mu$$

inv. under $\phi(x) \mapsto \phi'(x) = e^{-iQ\theta(x)}\phi(x), \quad A_\mu(x) \mapsto A'_\mu(x) = A_\mu(x) + \frac{1}{e}\partial_\mu\theta(x)$

If $\lambda > 0, \mu^2 < 0$, the \mathcal{L} in terms of quantum fields η and χ with null VEVs:

$$\phi(x) \equiv \frac{1}{\sqrt{2}}[v + \eta(x) + i\chi(x)], \quad \mu^2 = -\lambda v^2$$

Comments:

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}(\partial_\mu\eta)(\partial^\mu\eta) + \frac{1}{2}(\partial_\mu\chi)(\partial^\mu\chi)$$

(i) $m_\eta = \sqrt{2\lambda}v$
 $m_\chi = 0$

$$-\lambda v^2\eta^2 - \lambda v\eta(\eta^2 + \chi^2) - \frac{\lambda}{4}(\eta^2 + \chi^2)^2 + \frac{1}{4}\lambda v^4$$

(ii) $M_A = |eQv|$ (!)

$$+ eQvA_\mu\partial^\mu\chi + eQA_\mu(\eta\partial^\mu\chi - \chi\partial^\mu\eta)$$

(iii) Term $A_\mu\partial^\mu\chi$ (?)

$$+ \frac{1}{2}(eQv)^2A_\mu A^\mu + \frac{1}{2}(eQ)^2A_\mu A^\mu(\eta^2 + 2v\eta + \chi^2)$$

(iv) Add \mathcal{L}_{GF}

Spontaneous Symmetry Breaking

gauge symmetry

- Removing the cross term and the (new) gauge fixing Lagrangian:

$$\mathcal{L}_{\text{GF}} = -\frac{1}{2\xi}(\partial_\mu A^\mu - \xi M_A \chi)^2$$

$$\begin{aligned} \Rightarrow \quad \mathcal{L} + \mathcal{L}_{\text{GF}} &= -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}M_A^2 A_\mu A^\mu - \frac{1}{2\xi}(\partial_\mu A^\mu)^2 + \overbrace{M_A[\partial_\mu A^\mu \chi + A_\mu \partial^\mu \chi]}^{\text{total deriv.}} \\ &\quad + \frac{1}{2}(\partial_\mu \chi)(\partial^\mu \chi) - \frac{1}{2}\xi M_A^2 \chi^2 + \dots \end{aligned}$$

and the propagators of A_μ and χ are:

$$\begin{aligned} \widetilde{D}_{\mu\nu}(k) &= \frac{i}{k^2 - M_A^2 + i\varepsilon} \left[-g_{\mu\nu} + (1 - \xi) \frac{k_\mu k_\nu}{k^2 - \xi M_A^2} \right] \\ \widetilde{D}(k) &= \frac{i}{k^2 - \xi M_A^2 + i\varepsilon} \end{aligned}$$

$\Rightarrow \chi$ has a gauge-dependent mass: actually it is not a physical field!

Spontaneous Symmetry Breaking

gauge symmetry

- A more transparent parameterization of the quantum field ϕ is

$$\phi(x) \equiv e^{iQ\zeta(x)/v} \frac{1}{\sqrt{2}}[v + \eta(x)] , \quad \langle 0 | \eta | 0 \rangle = \langle 0 | \zeta | 0 \rangle = 0$$

$$\phi(x) \mapsto e^{-iQ\zeta(x)/v} \phi(x) = \frac{1}{\sqrt{2}}[v + \eta(x)] \quad \Rightarrow \quad \zeta \text{ gauged away!}$$

$$\begin{aligned} \mathcal{L} = & -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}(\partial_\mu\eta)(\partial^\mu\eta) \\ & - \lambda v^2\eta^2 - \lambda v\eta^3 - \frac{\lambda}{4}\eta^4 + \frac{1}{4}\lambda v^4 \\ & + \frac{1}{2}(eQv)^2A_\mu A^\mu + \frac{1}{2}(eQ)^2A_\mu A^\mu(2v\eta + \eta^2) \end{aligned}$$

Comments:

- (i) $m_\eta = \sqrt{2\lambda}v$
- (ii) $M_A = |eQv|$
- (iii) No need for \mathcal{L}_{GF}

\Rightarrow This is the **unitary gauge** ($\xi \rightarrow \infty$): just physical fields

$$\tilde{D}_{\mu\nu}(k) \rightarrow \frac{i}{k^2 - M_A^2 + i\varepsilon} \left[-g_{\mu\nu} + \frac{k_\mu k_\nu}{M_A^2} \right] \quad \text{and} \quad \tilde{D}(k) \rightarrow 0$$

Spontaneous Symmetry Breaking

gauge symmetry

⇒ Brout-Englert-Higgs mechanism:

[Anderson '62]

[Higgs '64; Englert, Brout '64; Guralnik, Hagen, Kibble '64]

The gauge bosons associated with the spontaneously broken generators become massive, the corresponding would-be Goldstone bosons are unphysical and can be absorbed, the remaining massive scalars (Higgs bosons) are physical (the smoking gun!)

- The would-be Goldstone bosons are ‘eaten up’ by the gauge bosons (‘get fat’) and disappear (gauge away) in the unitary gauge ($\xi \rightarrow \infty$)
⇒ Degrees of freedom are preserved
 - Before SSB: 2 (massless gauge boson) + 1 (Goldstone boson)
 - After SSB: 3 (massive gauge boson) + 0 (absorbed would-be Goldstone)
- For loops calculations, ’t Hooft-Feynman gauge ($\xi = 1$) is more convenient:
 - ⇒ Gauge boson propagators are simpler, but
 - ⇒ Goldstone bosons must be included in internal lines

Spontaneous Symmetry Breaking

gauge symmetry

- Comments:
 - After SSB the FP **ghost fields** (unphysical) **acquire** a gauge-dependent **mass**, due to interactions with the scalar field(s):

$$\tilde{D}_{ab}(k) = \frac{i\delta_{ab}}{k^2 - \xi_a M_{W^a}^2 + i\epsilon}$$

- Gauge theories with SSB are **renormalizable** [**'t Hooft, Veltman '72**]

UV divergences appearing at loop level can be removed by renormalization of parameters and fields of the classical Lagrangian \Rightarrow predictive!