# Sharing Memory Across Threads



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#### Inter-Thread Communication



Threads need to share their data

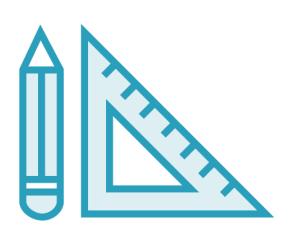
More involved than making values visible

Value must also be correct:

- Issues with caching [reading stale values]
- Problems with compiler 'trickery'



# Good Design Is Key



#### Important to be aware of this material

- Need to consider how to share data at the design stage
- Writing code without a plan will lead to difficulties

#### Bugs can be:

- Subtle
- Difficult to track down
- Only turn up occasionally



# You'll Gain an Appreciation of ...



Problems with incorrectly synchronized data over four examples

How to safely publish immutable objects to threads

Synchronization guarantees in Java Memory Model

 Java Language Specification (chapter 17)



## Thread-safe



Code executes correctly in a multithreaded environment



#### What Is Shared State?



#### Program data

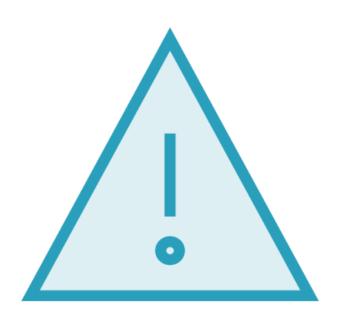
- Has been stored
- Can be updated

#### Potentially shareable:

- Visible to other threads
- Static variables, class data, composed class data, array items, collection items
- Not local variables



#### Published Data

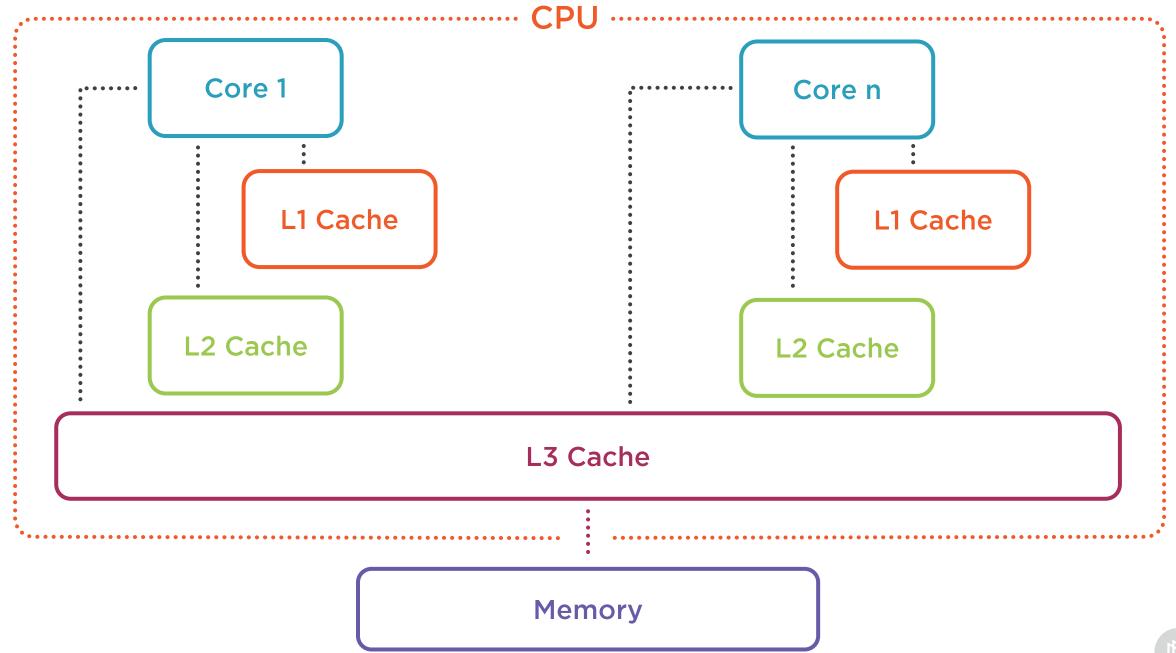


Data exposed to other threads

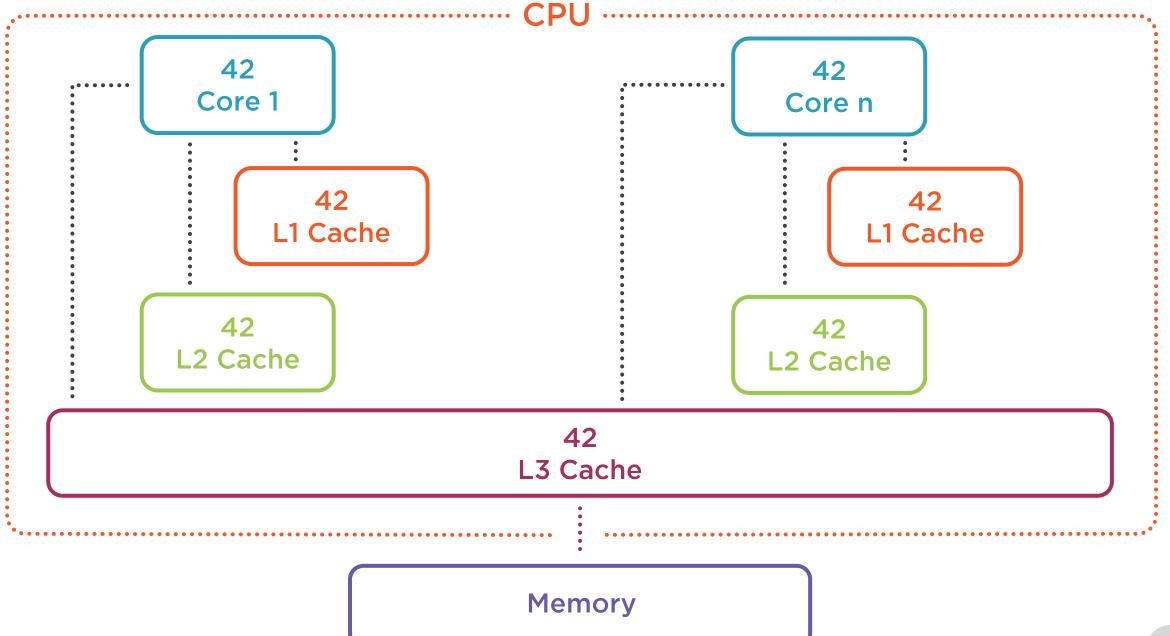
Must follow guidelines in the Java Memory Model

- Or suffer the consequences [subtle bugs]

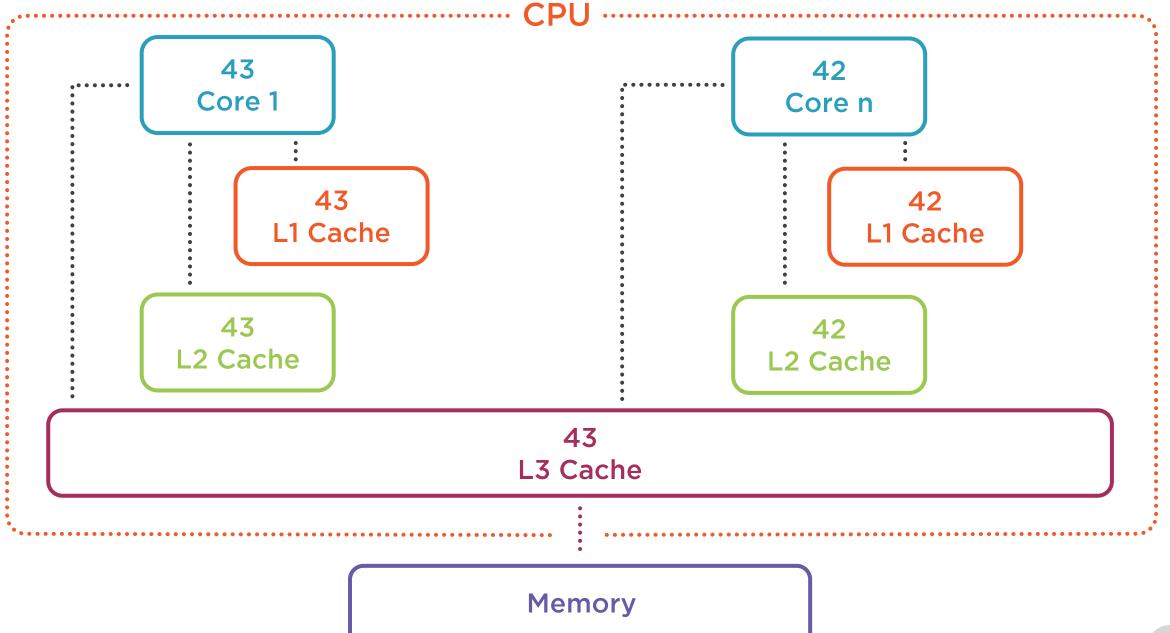














# Is Data Correctly Published?



#### Final fields safe?

- Yes, but see caveat later

#### Knowing data is published correctly

- Need to inspect the program
- All contained data from references must be correctly published

# Java Memory Model



#### Listen to the definition:

- Does it leave you a little confused?



# Java Memory Model

'The Java memory model describes how threads in the Java programming language interact through memory.'

- Wikipedia

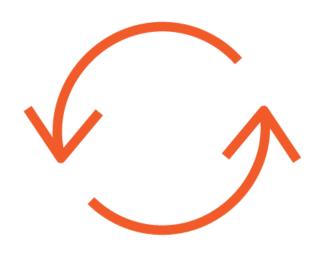




'The Java Memory Model defines a set of guarantees which, when applied to a program, ensure memory interactions between threads occur in a specified deterministic fashion.'



# Data Synchronization



#### When we write a value on one thread

- We want to know the value will be correctly visible when read by another

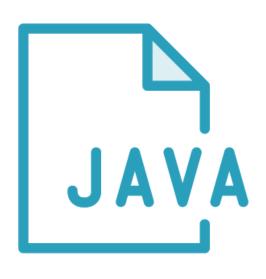
#### Data correctly synchronized

- Implies correct visibility

Not to be confused with synchronized keyword



#### Pseudocode Convention



#### **Examples:**

- L1 local variable L1
- S2 shared variable S2
- S2.X field X of S2
- 1.2 thread 1, statement 2

Variables start with default values



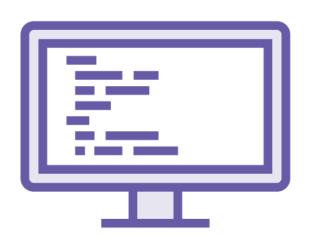
- 1.1 WHILE (!S1) {}
- 1.2 PRINT "HELLO!"

#### Thread Two

- 2.1 S1=TRUE
- 2.2 PRINT "HI!"



#### Execution Order



In single threaded code, just one
In multithreaded code, execution order depends on:

- Scheduler
- Processor
- Interactions between threads

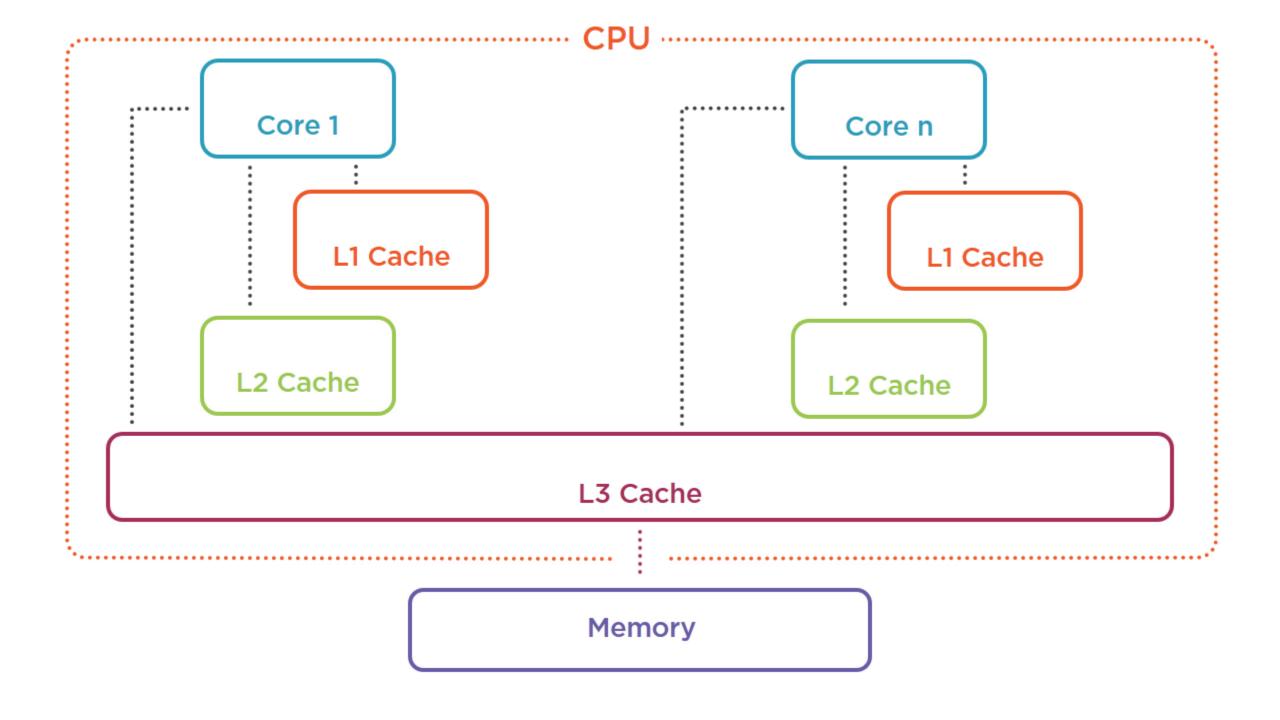


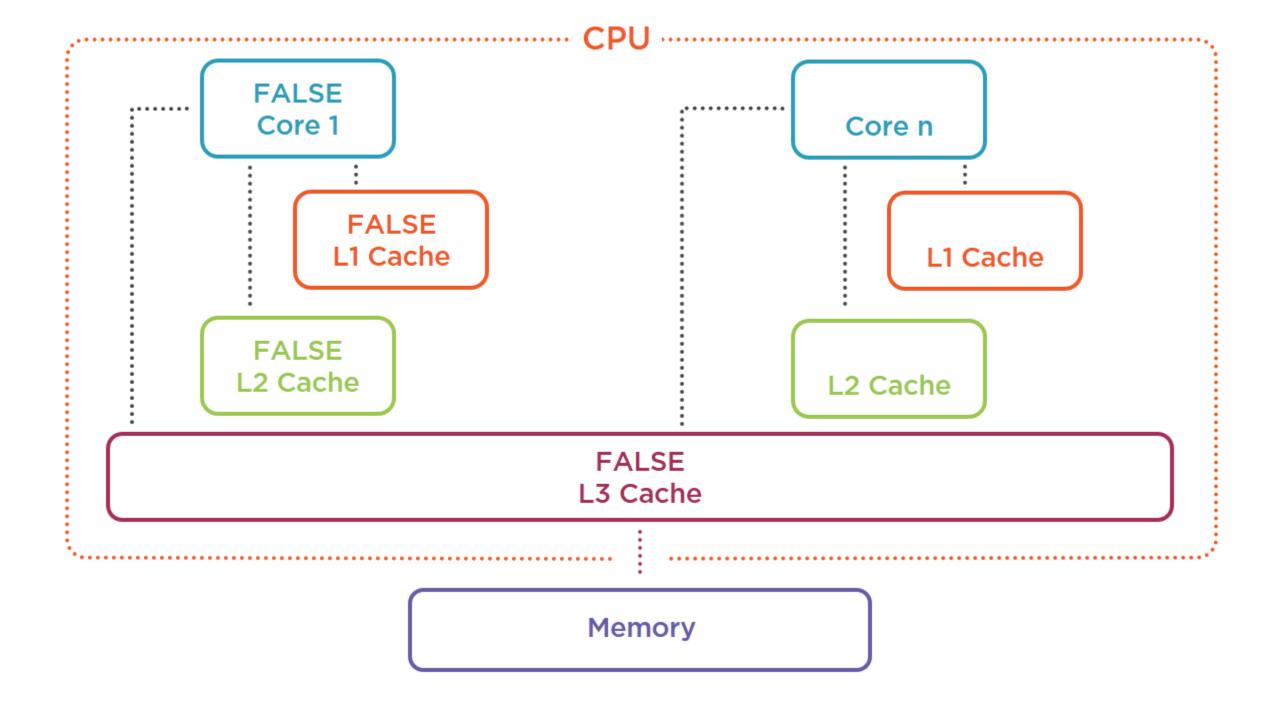
- 1.1 WHILE (!S1) {}
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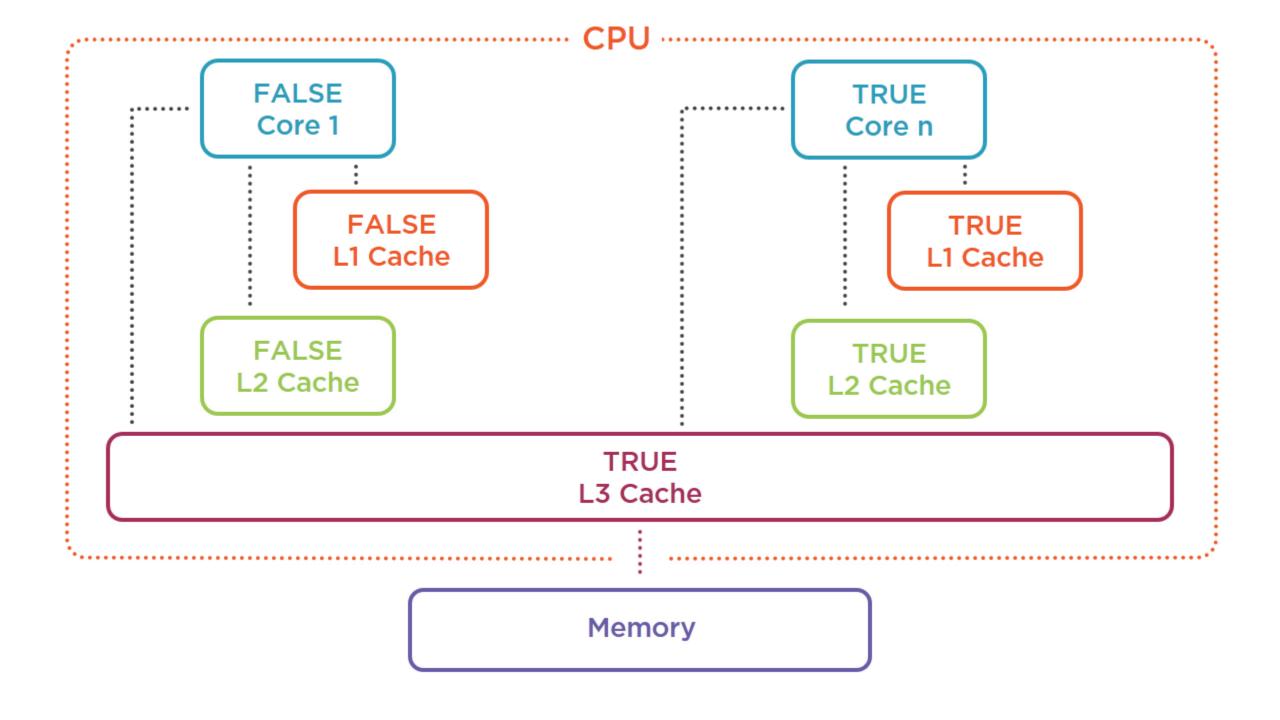
#### Thread Two

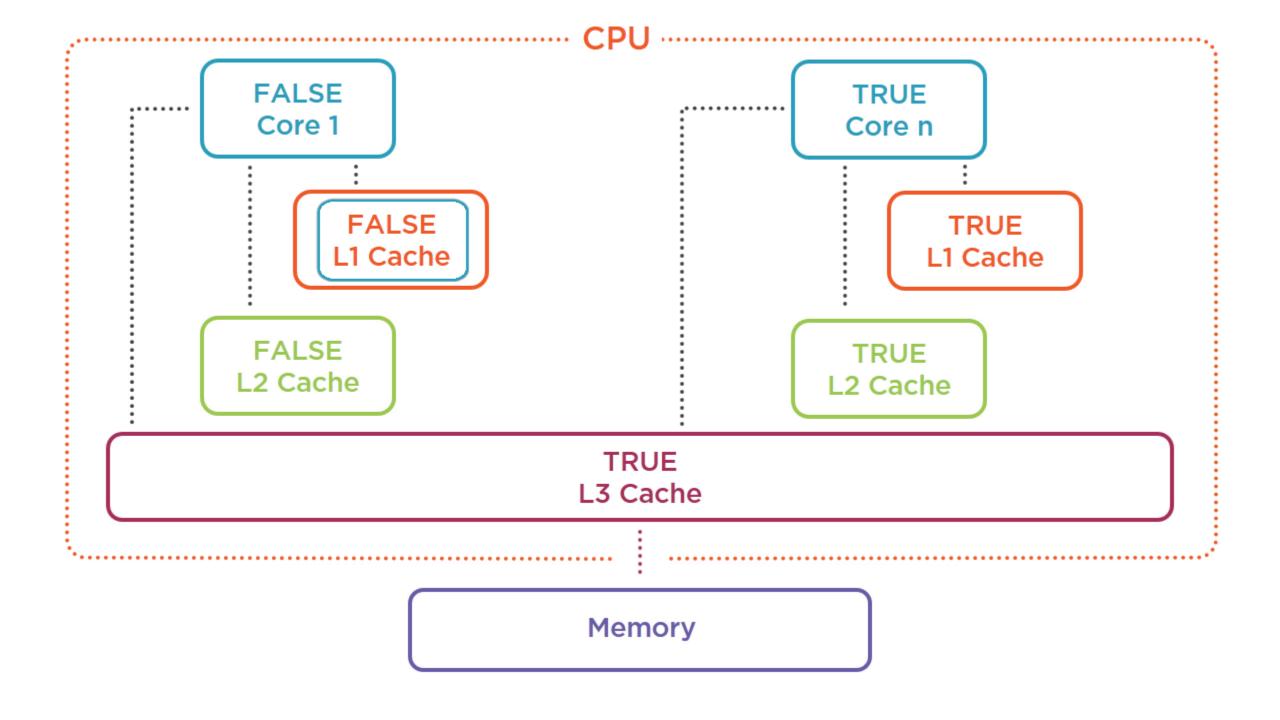
- 2.1 S1=TRUE
- 2.2 PRINT "HI!"











$$1.1 L1 = S1$$

$$1.2 S2 = 2$$

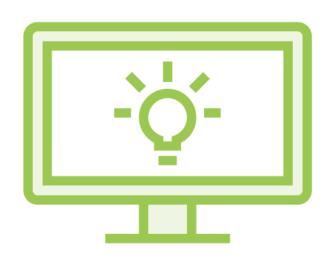
## Thread Two

$$2.1 L2 = S2$$

$$2.2 \text{ S1} = 1$$



# Code Reordering



# Compiler, JVM or processor can reorder code

- To make it execute faster

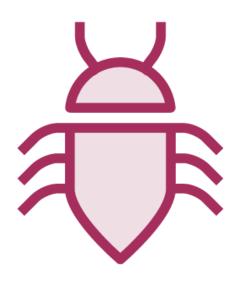
#### Don't notice it when single threaded

- Except maybe when using a debugger

Can be harmful when multithreaded



## Optimizations Are Platform Specific



Bugs might only show up occasionally on the production system

Despite extensive dev testing



# Catching Bugs in the Act



Try logging or stepping with the debugger?

#### But this affects:

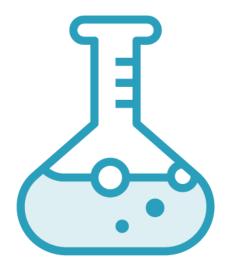
- Execution order, timings, cache contents, optimizations

# Printing changes observations made by threads

- Bugs might disappear



# Heisenbugs



Hard to detect

Cause weird sounding bug reports

Disappear when you try to observe them



```
1.1 L1 = S1
1.2 L2 = L1.X
1.3 L3 = S2
1.4 L4 = L3.X
1.5 L5 = L1.X
1.6 PRINT "Thread1: " +
L2, L4, L5
```

#### Thread Two

```
2.1 L6 = S1

2.2 L6.X = 3

2.3 PRINT "Thread2: " + L6.X
```

## Thread Two

$$S1[0] = S2.X$$

$$S1[1] = S2.X$$

•••

$$S1[999] = S2.X$$

$$S2.X=1$$

$$S2.X=2$$

•••

$$S2.X=9$$



#### Data Race



#### Different execution orders are possible

- Cannot say which will happen

When reading unsynchronized shared mutable data results in unexpected or incorrect values, we have a data race.



# Synchronizing Data



Add the volatile keyword to shared variable definitions

Volatile has several effects and is asked about in Java interviews



# Volatile on C/C++ (But Not Java!)

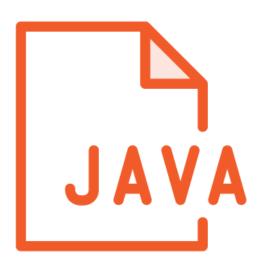


Means do not cache

No guarantees under multithreaded conditions



#### Volatile in Java



#### Volatile function one:

Any thread reading will see latest value

- Mechanism not specified



#### Volatile Variables



# Will use v in pseudocode to indicate volatile

- e.g. vS1 - shared variable S1 is volatile

Finals cannot be volatile

Arrays and object references can be marked volatile

- Doesn't affect the contents



- 1.1 WHILE (!vS1) {}
- 1.2 PRINT "HELLO!"

#### Thread Two

- 2.1 vS1=TRUE
- 2.2 PRINT "HI!"



# Volatile Prevents Optimizations



Volatile function 2:

Indicates value may be shared between threads

Prevents optimizations based on program order



## Volatile and Memory Fences



Volatile function 3:

Synchronizes data between threads

- By installing memory fences



# Memory Fence Definition

'A type of barrier instruction that causes a central processing unit (CPU) or compiler to enforce an ordering constraint on memory operations issued before and after the barrier instruction' - Wikipedia



# Effect of Memory Fence



The memory state which was visible a writer of a volatile variable

Must at least be visible to any reader of the same variable

'At least' means at the point in time of the write or later

- Tricky/impossible to infer exactly what state



## Volatile in Java



Volatile function four:

Prevents certain reorderings (see the table on the next slide)

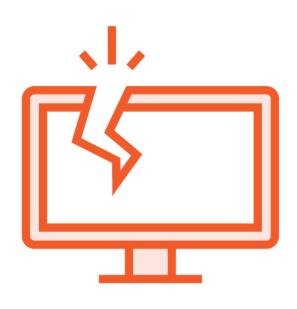


# Code Reordering Possibilities

	2 <sup>nd</sup> Operation		
1st Operation	Normal Load Normal Store	Volatile Load	Volatile Store
Normal Load Normal Store	Yes (1)	Yes (2)	No (3)
Volatile Load	No (4)	No (5)	No (6)
Volatile Store	Yes (7)	No (8)	No (9)



### Inconsistencies and Broken Invariants



#### State we can see on a volatile read:

- At the time of the previous volatile write \_OR\_ later

# May mean only some fields of an object updated

- Inconsistent / broken invariants

#### **Solutions:**

- Correctly publishing the object
- Mutexes



$$1.1 L1 = vS1$$

$$1.2 \text{ vS2} = 2$$

## Thread Two

$$2.1 L2 = vS2$$

$$2.2 \text{ vS1} = 1$$



```
1.1 L1 = vS1

1.2 L2 = L1.vX

1.3 L3 = vS2

1.4 L4 = L3.vX

1.5 L5 = L1.vX

1.6 PRINT "Thread1: " +
```

L2, L4, L5

### Thread Two

```
2.1 L6 = vS1

2.2 L6.vX = 3

2.3 PRINT "Thread2: " + L6.vX
```



## Thread Two

$$vS1[0] = S2.vX$$

$$vS1[1] = S2.vX$$

•••

$$vS1[999] = S2.vX$$

$$S2.vX=1$$

$$S2.vX=2$$

•••

$$S2.vX=9$$



### Drawbacks of Volatile



#### Impacts performance

- Latest value has to be made visible to other cores

Doesn't guarantee data consistency



## Publishing Objects



#### One thread does the update

- Publishes changes to other threads
- Other threads use the updated data

```
volatile boolean publish = false;

obj.field1 = 1;
obj.field2 = "hi";
...
publish = true;
```

## Thread Two

```
if (publish) {
  field1 = obj.field1;
  field2 = obj.field2;
}
```



## Final Variables



#### If the object is published correctly

- Reference to 'this' not allowed to escape during construction

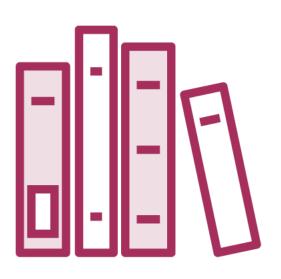
# Other threads will only see initialised final values

- Don't need to worry about data races

Publishing immutable objects is better than agreeing not to change them



# Publishing and Libraries



#### Issues:

- Documentation of multithreaded behaviour?
- Source code available?
- May mutate under the hood on a read operation
- Implementation changing with upgrades

Suggest storing results instead of publishing library objects



# Publishing in Practice



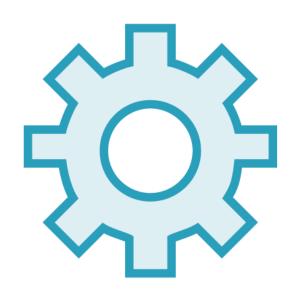
#### It works, but...

- Needs good design
- Clear/simple[/strict] working practices
- Developers knowledgeable
- Care taken

Better than having to check for synchronization all the time



# Thread Creation/Death Guarantee



#### When thread is created

 It can see at least the state creator could see at time of creation

# When thread is dead and another thread calls is Alive or join

- It can see at least the state the thread saw at exit



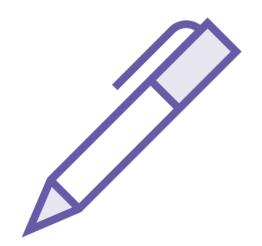
# Word Tearing



#### E.g.

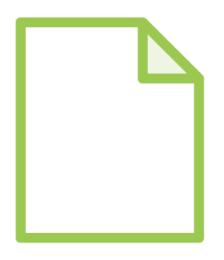
- Given an array of bytes
- If the processor has to write whole words
- Adjacent elements might be affected by synchronization issues

Not an issue in Java





## 64 Bit Primitives



# Long /double not thread safe (unless volatile)

- May be split in two 32 bit operations to read/write
- So may read a value never assigned (only one operation carried out)

Or use AtomicLong, Long or Double wrappers

64 bit references always safe



## Thread Safety, Synchronization and Data Races



# Due to caching, compiler and processor optimizations

- Code which works in single threaded mode doesn't work under multithreaded conditions
- Where sharing mutable state
- Need to be properly synchronized to avoid data races



## Thread Safety, Synchronization and Data Races



#### What marking a variable volatile does:

- Always see latest version
- Prevent harmful optimizations
- Installs memory fences limiting reordering
- Synchronizes threads 'view of the world'



# How to Safely Publish Objects



#### JMM guarantees:

- Thread creation
- Thread death
- No word tearing

#### 64 bit primitive issues

- References are safe

