

1.1 Elementary Set Theory

What is a set? (Question)

- ▶ In everyday language we often talk about *collections*:
 - ▶ the students in this class,
 - ▶ the books on a shelf,
 - ▶ the prime numbers less than 20.
- ▶ Mathematicians call such a collection a **set**.

Think:

1. Can we treat $\{3, 7, 11, \pi\}$ as a single mathematical object?
2. Is 7 “in” this set? Is 2?
3. When we write a set, does the *order* of the elements matter?

What is a set? (Answers)

- ▶ A **set** is a collection of distinct objects, called its **elements**.
- ▶ We write $a \in A$ if a is an element of a set A , and $a \notin A$ if it is not.
- ▶ For $A = \{3, 7, 11, \pi\}$:

$$7 \in A, \quad \pi \in A, \quad 2 \notin A.$$

- ▶ The order and repetition do not matter:

$$\{3, 7, 11, \pi\} = \{11, 3, \pi, 7\}.$$

Next question: What sets of numbers show up so often that we give them special symbols?

Familiar number systems (Question)

We often see symbols like \mathbb{N} , \mathbb{Z} , \mathbb{Q} , \mathbb{R} .

Guess from examples:

- Where should each of the following live?

$$0, \quad 5, \quad -3, \quad \frac{3}{2}, \quad \pi$$

Questions

1. Which of these sets should contain $0, 1, 2, 3, \dots$?
2. Which should also include negative whole numbers?
3. Which should contain fractions like $\frac{3}{2}$?
4. Which should contain “all” points on the number line?

Familiar number systems (Answers)

- ▶ $\mathbb{N} = \{0, 1, 2, 3, \dots\}$ is the set of **natural numbers**.
- ▶ $\mathbb{Z} = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$ is the set of **integers**.
- ▶ \mathbb{Q} is the set of **rational numbers** (numbers that can be written as p/q with $p, q \in \mathbb{Z}$, $q \neq 0$).
- ▶ \mathbb{R} is the set of **real numbers**.

Classification examples:

$$0 \in \mathbb{N} \subset \mathbb{Z} \subset \mathbb{Q} \subset \mathbb{R}, \quad -3 \in \mathbb{Z}, \quad \frac{3}{2} \in \mathbb{Q}, \quad \pi \in \mathbb{R} \setminus \mathbb{Q}.$$

Next question: How do we compare two sets? When do we say they are “the same” or that one is “contained in” the other?

Comparing sets (Question)

Consider

$$A = \{1, 2, 3\}, \quad B = \{3, 1, 2\}, \quad C = \{1, 2, 3, 4\}, \quad D = \emptyset.$$

Think:

1. Are A and B the same set?
2. Is A “contained in” C ? Is C contained in A ?
3. Can we say that D is contained in A ? in C ?
4. What should it mean for a set to be a *proper* subset of another?

Comparing sets (Answers)

- ▶ Two sets are **equal**, $A = B$, if they have exactly the same elements. Thus $A = B$ above.
- ▶ We say A is a **subset** of B , written $A \subseteq B$, if every element of A is also an element of B .
- ▶ A **proper subset** is a subset that is not equal:

$$A \subset B \quad \text{means} \quad A \subseteq B \text{ and } A \neq B.$$

In our example, $A \subset C$ and $A \neq C$, so $A \subset C$.

- ▶ The **empty set** \emptyset is the set with no elements.

New puzzle: Why should $\emptyset \subseteq A$ hold for every set A ?

Why is $\emptyset \subseteq A$? (Question)

Suppose someone doubts that $\emptyset \subseteq A$ for a given set A .

Try a proof-by-contradiction:

1. What would it mean to say $\emptyset \not\subseteq A$?
2. That would mean there exists some $x \in \emptyset$ with $x \notin A$.
3. But what elements does \emptyset have?

Also:

- ▶ When should we call two sets *disjoint*?
- ▶ Are $E = \{1, 2, 3\}$ and $F = \{4, 5\}$ disjoint?
What about E and $\{3, 4\}$?

Empty subset and disjoint sets (Answers)

- ▶ If we assume $\emptyset \not\subseteq A$, then there must be some $x \in \emptyset$ with $x \notin A$.
- ▶ But \emptyset has *no* elements, so such an x cannot exist.
- ▶ Therefore the assumption is impossible, and

$$\emptyset \subseteq A \quad \text{for every set } A.$$

- ▶ Two sets A and B are **disjoint** if they have no elements in common. Equivalently, $A \cap B = \emptyset$.
- ▶ Example: $\{1, 2, 3\}$ and $\{4, 5\}$ are disjoint, but $\{1, 2, 3\}$ and $\{3, 4\}$ are not.

Next question: How can we use a property $P(x)$ to carve out a subset of a given set?

Building subsets from properties (Question)

Let A be a set and $P(x)$ a property about elements x of A .

We can form the set

$$\{x \in A : P(x)\},$$

called the **truth set** of P in A .

Try these:

1. $A_1 = \{x \in \mathbb{N} : 3 < x < 11\}$.
2. $B_1 = \{y \in \mathbb{Z} : y^2 = 4\}$.
3. $C_1 = \{z \in \mathbb{N} : z \text{ is a multiple of } 3\}$.

Write out the elements of each set.

Building subsets from properties (Answers)

- ▶ $A_1 = \{4, 5, 6, 7, 8, 9, 10\}$.
- ▶ $B_1 = \{2, -2\}$ (both square to 4).
- ▶ $C_1 = \{0, 3, 6, 9, 12, \dots\}$, the nonnegative multiples of 3.

Next question: How can we describe “all real numbers between a and b ” using set notation?

Intervals on the real line (Question)

Fix real numbers $a < b$.

Think about these descriptions:

1. All real numbers strictly between a and b .
2. All real numbers between a and b , including the endpoints.
3. All real numbers between a and b that include a but not b .
4. All real numbers between a and b that include b but not a .

How might we abbreviate each of these as a set? What inequalities should each one correspond to?

Intervals on the real line (Answers)

For real numbers $a < b$:

► **Open interval:**

$$(a, b) = \{x \in \mathbb{R} : a < x < b\}.$$

► **Closed interval:**

$$[a, b] = \{x \in \mathbb{R} : a \leq x \leq b\}.$$

► **Left-closed, right-open:**

$$[a, b) = \{x \in \mathbb{R} : a \leq x < b\}.$$

► **Left-open, right-closed:**

$$(a, b] = \{x \in \mathbb{R} : a < x \leq b\}.$$

Next question: How do we write sets that extend infinitely in one direction, like “all real numbers bigger than a ”?

Rays and half-lines (Question)

For a real number a , consider these verbal descriptions:

1. All real numbers greater than a .
2. All real numbers greater than or equal to a .
3. All real numbers less than a .
4. All real numbers less than or equal to a .

Questions

- ▶ What notation should we use for each of these sets?
- ▶ What role should the symbol ∞ play? Is ∞ itself a real number?

Rays and half-lines (Answers)

For $a \in \mathbb{R}$:

- ▶ $(a, \infty) = \{x \in \mathbb{R} : a < x\}$.
- ▶ $[a, \infty) = \{x \in \mathbb{R} : a \leq x\}$.
- ▶ $(-\infty, a) = \{x \in \mathbb{R} : x < a\}$.
- ▶ $(-\infty, a] = \{x \in \mathbb{R} : x \leq a\}$.

- ▶ The symbol ∞ means “without a finite bound on the right.”
- ▶ It is *not* a real number; it marks that the interval has no endpoint in that direction.

Next question: Given a set A , what is the set of all its subsets?

Power set (Question)

Let $A = \{1, 2, 3\}$.

Think:

1. List as many subsets of A as you can.
2. Try to organize them by size: subsets with 0 elements, with 1 element, with 2 elements, etc.
3. How many subsets does A have in total?
4. If a set has n elements, what pattern do you suspect for the number of its subsets?

Power set (Answers)

- ▶ The **power set** of A is the set of all subsets of A :

$$\mathcal{P}(A) = \{X : X \subseteq A\}.$$

- ▶ For $A = \{1, 2, 3\}$ we get

$$\mathcal{P}(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}.$$

- ▶ There are 8 subsets in total.
- ▶ In general, if A has n elements, then $\mathcal{P}(A)$ has 2^n elements (each element can be either “in” or “out” of a subset).

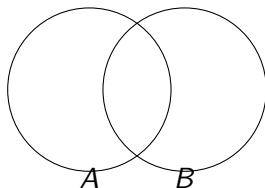
Next question: How do we combine two sets into new ones using operations such as “or”, “and”, and “difference”?

Union, intersection, difference (Question)

Let A be the set of students who play a school sport, and B the set of students who are in the school band.

Questions

1. What students should belong to $A \cup B$?
2. What students should belong to $A \cap B$?
3. What students should belong to $A \setminus B$?



Use the picture to help you reason about which region(s) each operation selects.

Union, intersection, difference (Definitions)

Given sets A and B :

► **Union:**

$$A \cup B = \{x : x \in A \text{ or } x \in B\}.$$

► **Intersection:**

$$A \cap B = \{x : x \in A \text{ and } x \in B\}.$$

► **Set difference:**

$$A \setminus B = \{x : x \in A \text{ and } x \notin B\}.$$

Next question: Let's practice these operations with a concrete numerical example.

Example: computing set operations (Question)

Let

$$A = \{1, 2, 3, 4, 5, 6\}, \quad B = \{2, 4, 6, 8, 10, 12\}.$$

Compute:

1. $A \cup B$
2. $A \cap B$
3. $A \setminus B$ and $B \setminus A$
4. $(A \setminus B) \cup (B \setminus A)$
5. $(A \setminus B) \cap (B \setminus A)$

What pattern do you notice in the last two answers?

Example: computing set operations (Answers)

With $A = \{1, 2, 3, 4, 5, 6\}$ and $B = \{2, 4, 6, 8, 10, 12\}$:

$$A \cup B = \{1, 2, 3, 4, 5, 6, 8, 10, 12\},$$

$$A \cap B = \{2, 4, 6\},$$

$$A \setminus B = \{1, 3, 5\},$$

$$B \setminus A = \{8, 10, 12\},$$

$$(A \setminus B) \cup (B \setminus A) = \{1, 3, 5, 8, 10, 12\},$$

$$(A \setminus B) \cap (B \setminus A) = \emptyset.$$

Here

- ▶ $(A \setminus B) \cup (B \setminus A)$ consists of elements that belong to exactly one of A or B (the *symmetric difference*).
- ▶ $(A \setminus B) \cap (B \setminus A)$ is empty because no element can be in A but not B and also in B but not A .

Summary

We have introduced:

- ▶ Sets and membership (\in , \notin).
- ▶ Standard number sets: \mathbb{N} , \mathbb{Z} , \mathbb{Q} , \mathbb{R} .
- ▶ Subsets, proper subsets, the empty set, disjoint sets.
- ▶ Truth sets built from properties.
- ▶ Intervals and rays on the real line.
- ▶ Power sets and the idea of 2^n subsets.
- ▶ Set operations: union, intersection, difference, and a taste of symmetric difference.

These ideas form the basic language that the rest of mathematics will speak.