# Magnificent Seven and Beyond: Intelligent Query Processing in SQL Server

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I am a Microsoft Data Platform MVP with over 19 years of experience designing and implementing data solutions across finance, e-commerce, and healthcare. My expertise encompasses the Microsoft Data Platform, MongoDB, Azure AI, and Python, enabling data-driven innovation.

As a dedicated community advocate, I've presented at over 100 events worldwide, including SQL Saturdays, Data Saturdays, and international conferences. I founded the Database Professionals Virtual Meetup Group, serve on the New England SQL Server User Group, and the SQL Saturday boards.

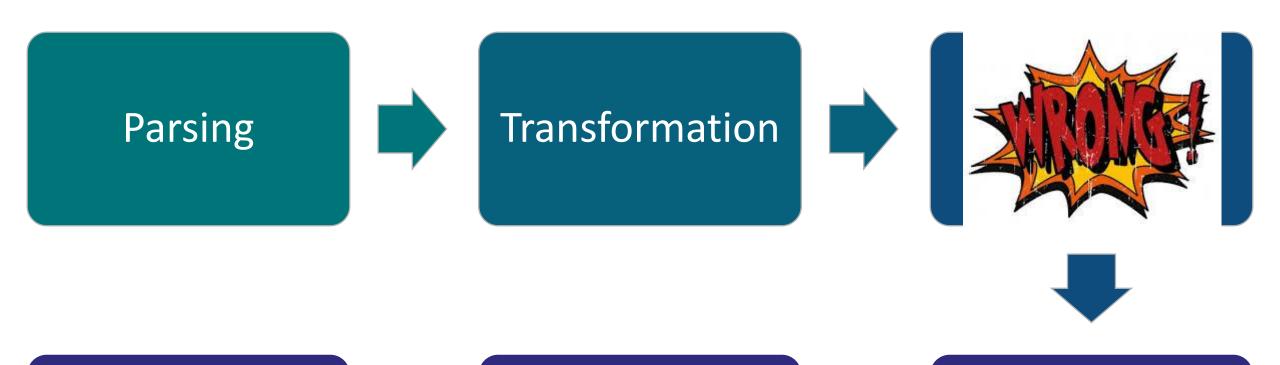
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**Query Execution** 



Plan Cache



Compare Cost



Missing statistics

Stale statistics

Sample rate (200 steps only)

Parameter sniffing

Out-of-model query constructs

Correlation assumption



#### **Execution**

**Memory Grant** 

Access Method Algorithm

**Parallel** 

In Memory Seek

Join

Serial

Spill to Disk Scan

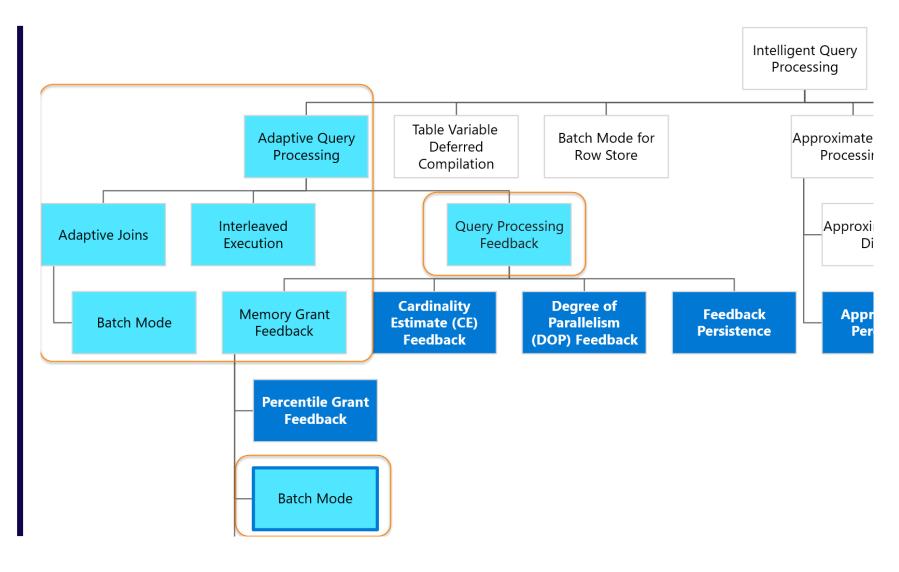
Seek + Scan

Aggregate

Sort

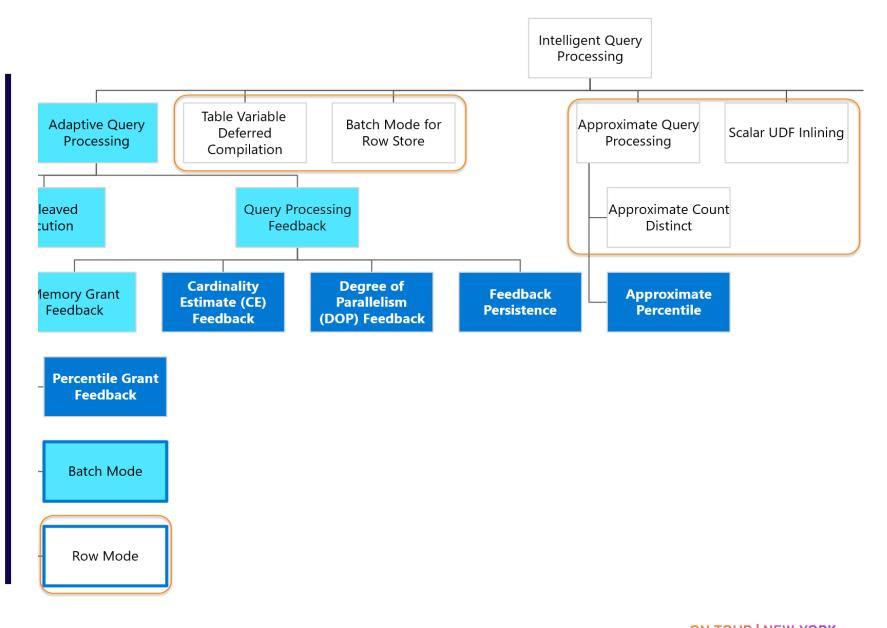






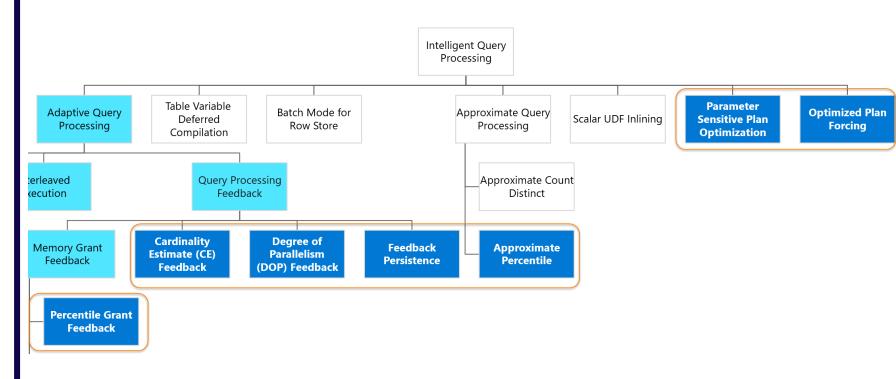




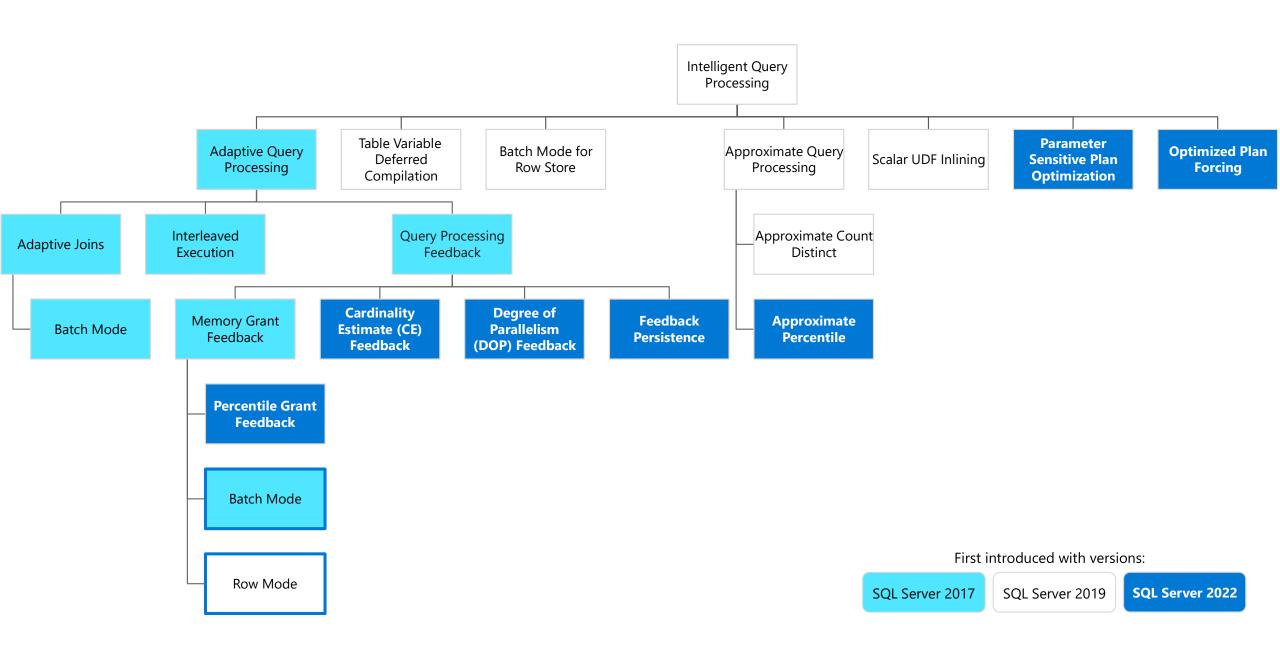












### **SQL 2025 IQP Feature**

IQP Feature	Azure SQL Database	Supported in SQL Server 2025 (17.x) Preview
Optimized Halloween protection	No	Yes, starting with SQL Server 2025 (17.x) Preview with compatibility level 170
Optional parameter plan optimization (OPPO)	No	Yes, starting with SQL Server 2025 (17.x) Preview with compatibility level 170
Cardinality estimation (CE) feedback for expressions	No	Yes, starting with SQL Server 2025 (17.x) Preview with compatibility level 160
OPTIMIZED_SP_EXECUTESQL	Yes	Yes, starting with SQL Server 2025 (17.x) Preview



#### **Query Store Requirement**

- Degree of parallelism (DOP) feedback
- Memory grant feedback (Percentile and Persistence mode)
- Optimized plan forcing with Query Store
- Parameter Sensitive Plan optimization (Not mandatory, but Recommended)



#### **Thought process**

- Code refactoring is expensive and time-consuming
- Heuristic-based, learn only from your dataset
- React to issues during compilation and execution
- Fix old limitations, such as scalar UDF inlining
- Learn via feedback
- Add intelligence to common operations, such as Approximate query processing



#### **Principles**

- Do no harm
- Improves the performance of existing workload with minimal implementation effort
- Available by default with the latest compatibility level
- Critical parallel workloads improve when running at scale, while remaining adaptive
- Options to disable



#### **Compatibility Certification**

- Predictable behavior after upgrades
- No need for recertifying T-SQL behavior
- Access to engine-level improvements (e.g., memory grants, adaptive joins, security features)
- Works across on-prem and cloud (Azure SQL)



# SYS.DATABASE SCOPED CONFI GURATIONS



## Disabling any of these features without changing the compatibility level

- -- SQL Server 2017
  ALTER DATABASE SCOPED CONFIGURATION SET
  DISABLE\_BATCH\_MODE\_MEMORY\_GRANT\_FEEDB
  ACK = ON;
- -- Starting with SQL Server 2019, and in Azure SQL Database ALTER DATABASE SCOPED CONFIGURATION SET BATCH\_MODE\_MEMORY\_GRANT\_FEEDBACK = OFF;

# SYS.DM\_EXEC\_VALID\_USE\_HINTS



```
--Disable any of these features for a specific
query by using 'USE HINT' query hint
OPTION (USE HINT
('DISABLE BATCH MODE MEMORY GRANT FEEDBACK'));
-- The following example applies the hint to force
the <u>legacy cardinality estimator</u> to query_id 39,
identified in Query Store:
EXEC sys.sp_query_store_set_hints @query_id = 39,
@query hints = N'OPTION (USE HINT
```

(''FORCE LEGACY CARDINALITY ESTIMATION''))';



# Which IQP features are Enterprise Edition only?











## Problem

## Solution

## Caution

# Further reading





# Adaptive Joins Batch Mode

140+

- Workloads with frequent oscillations between small and large join input
- Join Hint
- Parameter-sensitive query

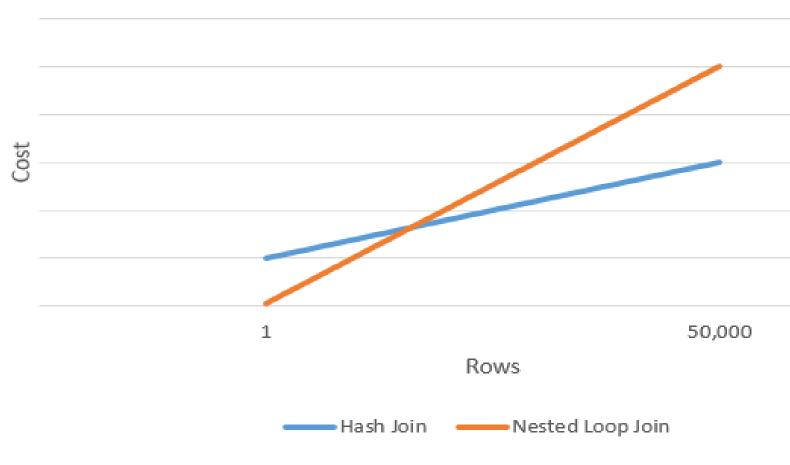


# Adaptive Joins Batch Mode





#### Adaptive Join Threshold



https://docs.microsoft.com/en-us/sql/relational-databases/performance/media/6\_aqpjointhreshold.png?view=sql-server-ver15





Hash join uses batch mode

Hash and Nested Loop joins

- Both joins should have the same outer reference
- Introduce a higher memory requirement

#### **Adaptive Joins Batch Mode**













- Introducing Batch Mode Adaptive Joins
- Understand Adaptive Joins
- Hash Join or Nested Loops Join
- The Adaptive Join Threshold by Paul White
- A Little About Adaptive Joins In SQL Server by Erik Darling
- SQL Server 2017: How do Batch Mode
   Adaptive Joins work? By Erik Darling









- MSTVFs have a fixed cardinality guess of
  - 100 in SQL Server 2014
     (12.x)
  - 1 in earlier versions



# Interleaved Execution MSTVFs





- Interleaved execution changes the unidirectional boundary between the optimization and execution phases
- Actual row counts are used to make better-informed decisions
- Greater performance impact with higher skew

# Interleaved Execution MSTVFs



- Must be read-only and NOT part of a data modification
- Must use a <u>runtime constant</u>
- Once cached, the revised estimate is used for consecutive executions without reinstantiating the interleaved execution









- Introducing Interleaved Execution for Multi-Statement Table-Valued
   Functions
- Interleaved execution for MSTVFs feature in detail
- Multi-statement table-valued function (MSTVF)



# Table Variable Deferred Compilation



- Works ok with a low number of rows, but not as the number of rows increases
- Table variables do not have statistics
- Table variables do not have 'Automatic stats creation'
- Only inline index definitions
- Does not trigger recompile
- Fixed cardinality guess of 1









- Optimizer delays the compilation
  - Same as what a temporary table does today
- Accurate cardinality better execution plan
  - Example: Hash join instead of Nested loop join



# Table Variable Deferred Compilation



- Does not change any other characteristics
- Does not increase recompilation frequency
- Does not fix Parameter Sniffing issues
- Performance may not be improved



# Table Variable Deferred Compilation





- <u>Public Preview of Table Variable Deferred</u>
   <u>Compilation in Azure SQL Database by Joe Sack</u>
- Table variable deferred compilation
- <u>Table Variable Deferred Compilation in SQL</u>
   <u>Server by Aaron Bertrand</u>
- Demonstrating table variable deferred compilation by Joe Sack
- Improve Row Count Estimates for Table
   Variables without Changing Code by Greg
   Larsen





## Memory Grant Feedback

2017

2019

\*2022

Batch Mode

Row Mode

Percentile Feedback Persistent in Query Store





### Memory Grant Feedback Batch Mode



- Performance suffers from incorrect Memory Grant
  - Insufficient grant
  - Spill to disk
- Excessive grants
  - RESOURCE\_SEMAPHORE waits
  - Wasted memory
  - Reduced concurrency









- Trigger recalculate
  - When a spill to disk
  - Granted memory > 2 x size of the actual used memory
- New SSMS property
   `IsMemoryGrantFeedbackAd
   justed' to track feedback



### Memory Grant Feedback Batch Mode



- Will disable itself for parameter-sensitive queries
- Grants under 1 MB will not be recalculated
- Changes are not captured in the Query Store with compatibility level 140
- Memory Grant honors the limitation by the resource governor or query hint



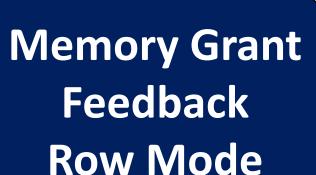
### Memory Grant Feedback Batch Mode





- Memory grant feedback
- Troubleshooting Variable Memory Grants in SQL Server by Erin Stellato
- Introducing Batch Mode Adaptive Memory Grant Feedback
- SQL Server 2017: How does Batch Mode
   Memory Grant Feedback Work? by Erik Darling
- Performance Demos of SQL's Intelligent Query Processing Feedback capabilities | Data Exposed



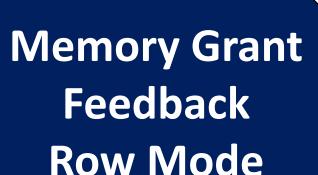






- Row mode memory grant feedback expands on the batch mode
- You can track memory grant feedback events using the `memory\_grant\_updated\_by\_ feedback` extended event.









- <u>Public Preview of Row Mode Memory</u>
   <u>Grant Feedback in Azure SQL</u>
   <u>Database</u>
- Row mode memory grant feedback
- What's New in SQL Server 2019:
   Adaptive Memory Grants by Brent
   Ozar



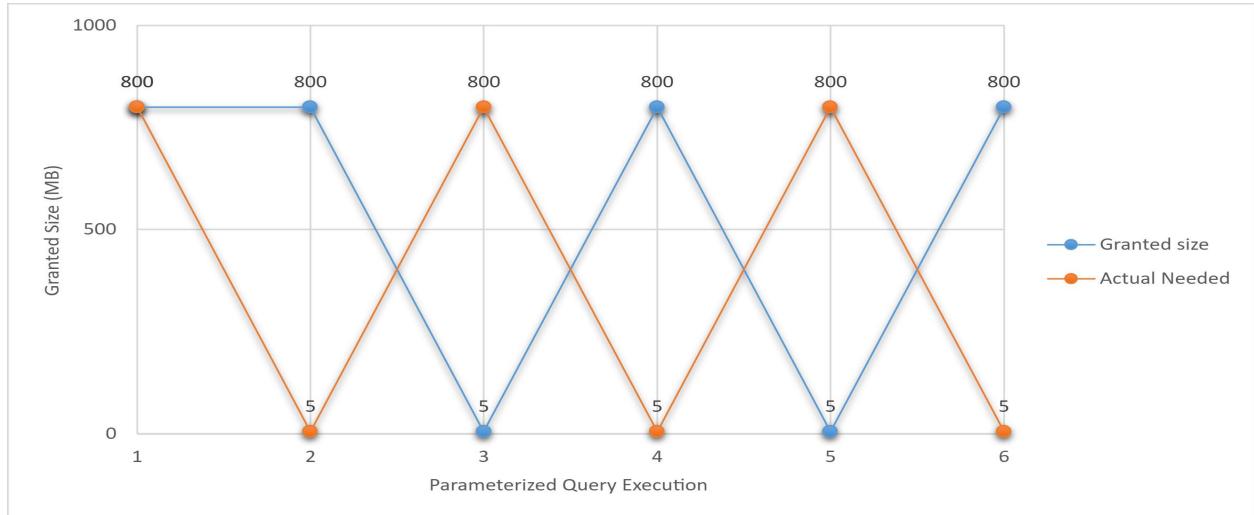
#### Percentile Grant Feedback



- Grant size adjustments only accounted for the single most recently used grant
- This can trigger a severe antipattern of alternating request sizes and always-wrong memory grant adjustments
- Eventually disabling the memory grant feedback feature









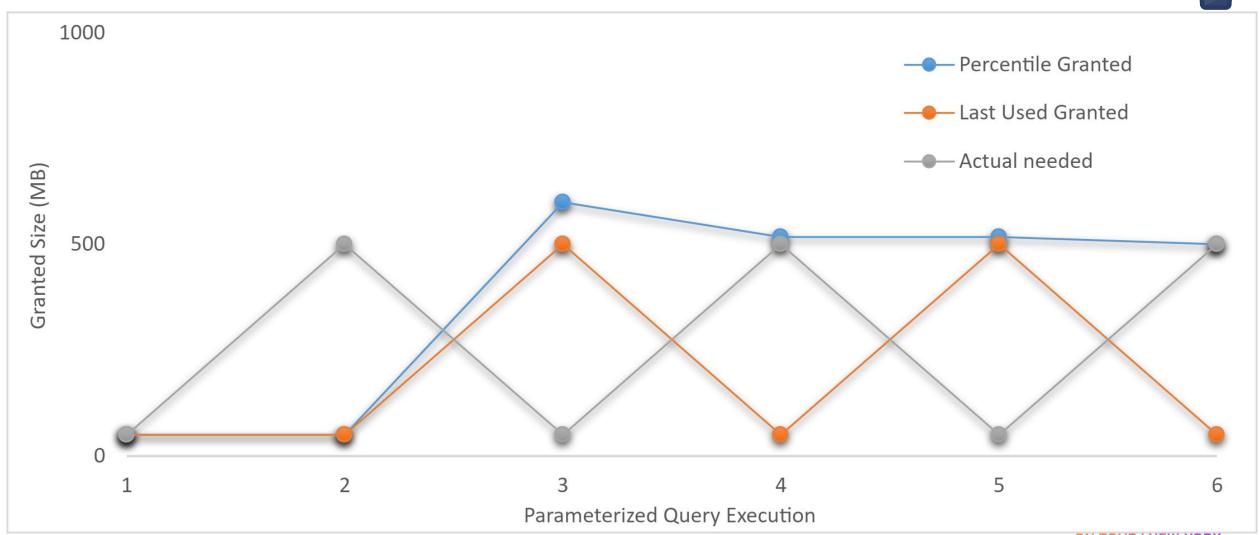
#### Percentile Grant Feedback



- Using a percentile-based calculation over the recent history of the query based on a larger set of data points
- Always err toward providing more memory to avoid spills











Percentile Grant Feedback

140+

- This feature was introduced in SQL Server 2022 (16.x), but is available with CE 140+









- Percentile and persistence mode memory grant feedback
- Memory Grant Feedback: Persistence and Percentile Grant By Kate Smith
- Azure SQL and SQL Server 2022:
   Intelligent Database Futures by
   Pedro Lopes



## Cardinality Estimate (CE) Feedback



- No single set of CE models and assumptions can accommodate the vast array of customer workloads and data distributions
- Addresses perceived regression issues resulting from incorrect CE model assumptions when using the default CE
- The scenarios include Correlation,
   Join Containment, and Optimizer row goal



## Cardinality Estimate (CE) Feedback



- CE feedback identifies model-related assumptions and evaluates whether they're accurate for repeating queries
- If it looks incorrect, a subsequent execution is tested with the adjusted CE model, and verifies if it helps
- If it improves plan quality, the old query plan is replaced with a query plan that uses the appropriate <u>USE HINT query</u> <u>hint</u> that adjusts the estimation model, implemented through the <u>Query Store</u> <u>hint</u> mechanism.



## Cardinality Estimate (CE) Feedback



- Even though Query store for Secondary replica is enabled in SQL 2022: CE, feedback isn't replicaaware
- CE feedback is not persisted on failover
- If a query uses hard-coded or Query Store hints, CE feedback won't be used for that query
- SQL 2022 CU8 introduced a bug related to CE feedback, but it was resolved in CU12



# Cardinality Estimate (CE) Feedback for Expressions



- Feedback for the expressions feature applies to Correlation and Containment to correct misestimation issues
- The database must use compatibility level 160 or later
- As of now does not persist in the Query store







- Cardinality estimation (CE) feedback
- Cardinality Estimation: A Comprehensive Look by Kate Smith
- Performance Demos of SQL's Intelligent Query Processing Feedback capabilities
   Data Exposed
- SQL Server 2022: Cardinality Estimation Feedback by Erik Darling
- A Little About Cardinality Estimation Feedback In SQL Server 2022 by Erik Darling





- Addresses suboptimal usage of parallelism for repeating queries
- Instead of incurring the pains of an all-encompassing default or manual adjustments to each query, DOP feedback self-adjusts DOP
- OLTP- queries executed in parallel could experience performance issues: when the time spent coordinating all threads outweighs the advantages of using a parallel plan









- Parallelism inefficiencies for repeating queries based on elapsed time and waits
- If parallelism usage is deemed inefficient, DOP feedback will lower the DOP for the subsequent execution of the query
- Minimum DOP for any query adjusted with DOP feedback is 2



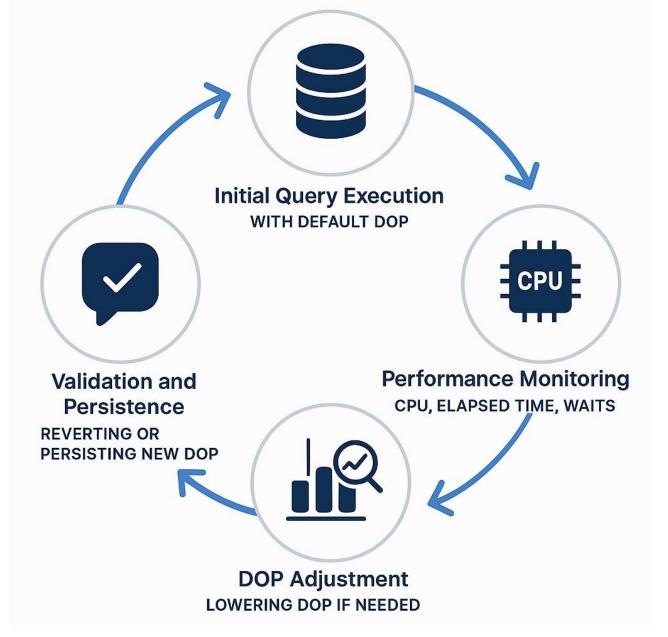




- DOP feedback uses a rolling window of recent executions (typically 15) to evaluate:
  - Average CPU time
  - Standard deviation of CPU time
  - Adjusted elapsed time\*
  - Stability of performance across executions



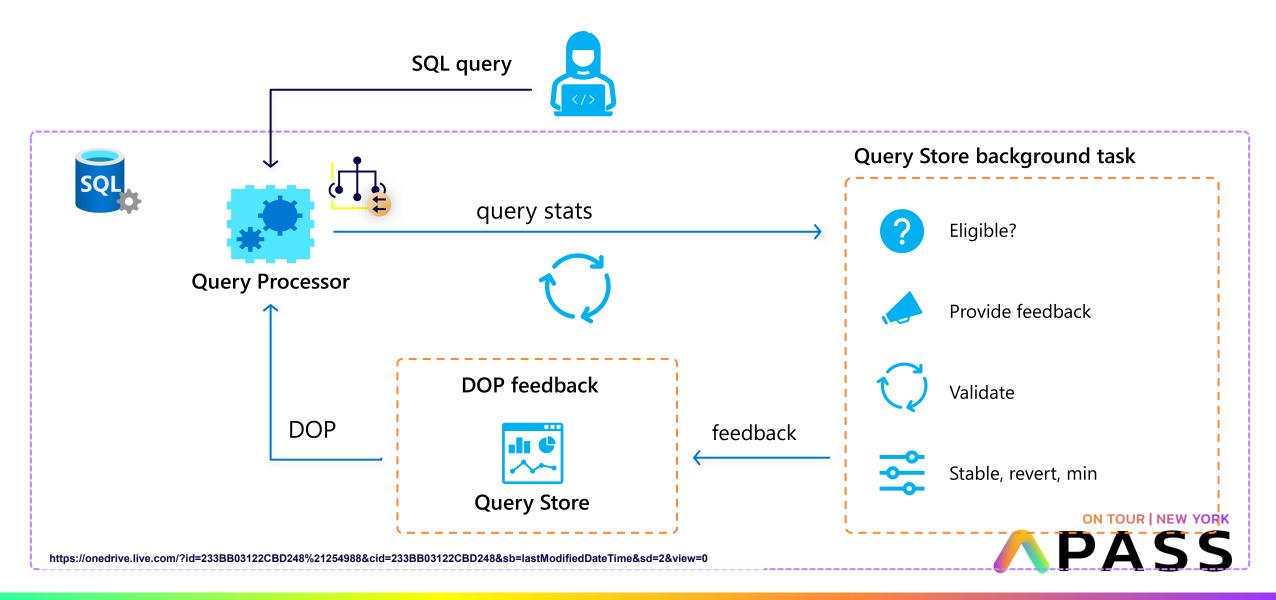
## Visualizing the Feedback Loop





#### **DOP feedback architecture**







- Disable by default (Changes in SQ 2025)
- The Query Store must be enabled for every database where DOP feedback is used, and in the "read-write" state
- Stable feedback is reverified upon plan recompilation and may readjust up or down, but never above the MAXDOP setting (including a MAXDOP hint)
- DOP feedback is Replica aware but not persisted on failover







- Degree of parallelism (DOP) feedback
- Intelligent Query Processing: degree of parallelism feedback by Kate Smith
- SQL Server 2022: Built-in Query Intelligence (Ep. 3) | Data Exposed
- Performance Demos of SQL's Intelligent Query Processing Feedback capabilities | Data Exposed
- What's The Point Of DOP Feedback In SQL Server 2022? by Erik Darling







- What's New in SQL Server 2025?
  - Enabled by Default
  - Improved Stability: Enhanced validation logic ensures fewer regressions
  - Better Integration: Works seamlessly with other IQP features like Memory Grant feedback, Cardinality Estimation feedback, and Parameter Sensitive Plan (PSP) optimization





- The existing feature does not w with the plan eviction
- Poor performance the first few times a query is executed after an eviction
- Provides new functionality to
  - Memory grant feedback (140+)
  - Cardinality Estimate Feedback
  - degree of parallelism (DOP) feedback





160+

- The Query Store must be enabled in read-write mode for every database where the persistence portion of this feature is used
- Only verified feedback is stored in the query store





160+

 During failover, the memory grant feedback from the old primary replica is applied to the new primary replica





160+

- Percentile and persistence mode memory grant feedback
- Persistence for cardinality estimation (CE) feedback
- Persistence for degree of parallelism (DOP) feedback







- Row-by-row processing is slow and CPU-intensive
- Columnstore indexes may not be appropriate for some applications
- Features might restrict the use of the Columnstore index
  - Trigger
  - Cursor
  - Persisted computed columns





- Uses heuristics during the estimation phase
  - Table sizes
  - Operators used
  - Estimated cardinalities
- Additional checkpoints, to evaluate plans with batch mode
- Support for all existing batch modeenabled operators
- Workload consists of analytics queries, especially with joins or aggregates
- Workload that is CPU-bound





- Batch mode restriction is always applicable
  - Example Queries involving cursors
- Not applicable for in-memory OLTP tables
- Not applicable for any index other than ondisk heaps and B-trees
- Won't kick in for
  - Large Object (LOB) column
  - XML column
  - Sparse column sets
- Two features are independent







- Introducing Batch Mode on Rowstore
- Batch mode on rowstore
- Workloads that might benefit
   from batch mode on rowstore



### **Approximate Count Distinct**



- Returns the approximate of number of non-null values
- Responsiveness is important than absolute precision
- Example
  - Dashboard scenarios
  - Data science is trying to understand data distributions









- Access to data sets that are millions of rows or higher
- Aggregation of a column or columns that have many distinct values
- Uses less memory compared to exhaustive COUNT DISTINCT
- Based on the <u>HyperLogLog</u> algorithm





### **Approximate Count Distinct**

2019

- The function implementation guarantees up to a 2% error rate within a 97% probability
- This feature is available starting with SQL Server 2019 (15.x), regardless of the compatibility level





### **Approximate Count Distinct**

2019

- Approximate query processing
- APPROX COUNT DISTINCT (Transact-SQL)
- SQL Server 2019
   APPROX COUNT DISTINCT
   Function by Aaron Bertrand





## **Approximate Percentile**

2022

 Large datasets where a negligible error with a faster response is acceptable as compared to an accurate percentile value with a slow response









- Approximate percentile aggregate functions compute percentiles for a large dataset with acceptable rankbased error bounds to help make rapid decisions
- Approximate percentile functions use <u>KLL sketch</u>. The sketch is built by reading the stream of data
- These functions provide rank-based error guarantees, not value-based





## **Approximate Percentile**

2022

- NULL data set is ignored
- The output of the function may not be the same in all executions, since it uses a randomized algorithm
- The function implementation guarantees up to a 1.33% error bound within a 99% confidence level



## **Approximate Percentile**





- Approximate query processing
- APPROX PERCENTILE DISC (Transact-SQL)
- APPROX PERCENTILE CONT (Transact-SQL)
- Additional T-SQL
   Improvements in SQL Server
   2022 by Itzik Ben-Gan





- Iterative invocation
- Lack of costing
- Interpreted execution
- Serial Execution
- Imperative code does not scale









- UDFs are automatically transformed into
  - Scalar Expressions
  - Scalar subqueries
- Further optimization followed by transformation
- Refactors the Imperative code into Relational algebraic expression – <u>Froid Framework</u>
- Resulting execution plan
  - Efficient
  - Set-Oriented
  - Parallel
- New SSMS property
   'ContainsInlineScalarTsqlUdfs' to track inlining





```
150+
```

```
-- Transact-SQL Function Clauses

<function_option>::=
{
    [ ENCRYPTION ]
    | [ SCHEMABINDING ]
    | [ RETURNS NULL ON NULL INPUT | CALLED ON NULL INPUT
    | [ EXECUTE_AS_Clause ]
    | [ INLINE = { ON | OFF }]
}
```







- Scalar UDF inlining
- KB4538581 FIX: Scalar UDF Inlining issues in SQL Server 2022 and 2019
- Get Your Scalar UDFs to Run Faster
   Without Code Changes by Greg Larsen
- Rewriting T-SQL Scalar UDFs So
   They're Eligible For Automatic Inlining
   In SQL Server by Erik Darling
- Another Trick For Working Around
  Scalar UDF Inlining Restrictions In SQL
  Server by Erik Darling
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- Finding Froid's Limits: Testing Inlined
   User-Defined Functions by Brent Ozar
- UDF Inlining Demos by Erik Darling
- Rewriting Scalar UDFs As Inline Table
   Valued Functions With CTEs by Erik
   Darling
- Scalar UDF Inlining in SQL Server
   2019 by Aaron Bertrand







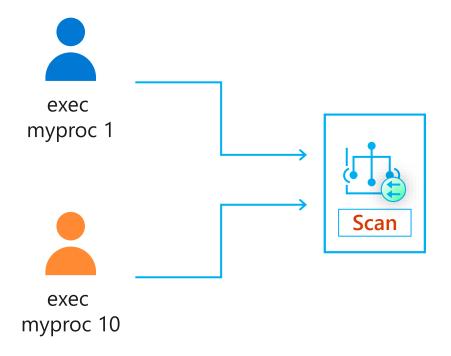


- A single cached plan for a parameterized query isn't optimal for all possible incoming parameter values
- PSP optimization automatically enables multiple, active cached plans for a single parameterized statement

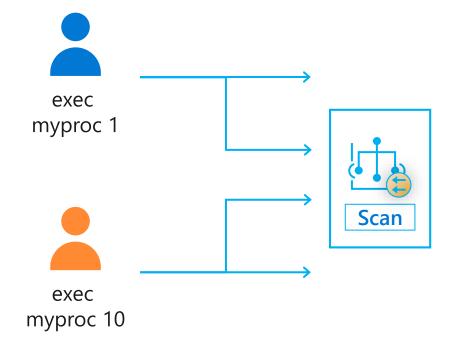




#### **Before**



#### With PSP optimization



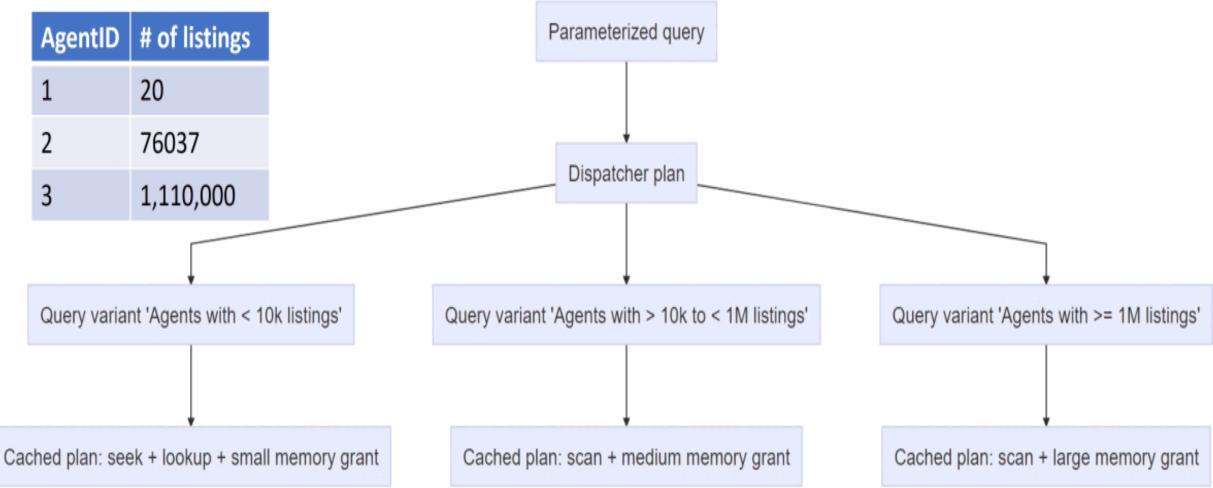




- During the initial compilation, column statistics histograms identify non-uniform distributions and evaluate the most atrisk parameterized predicates, up to three out of all available predicates
- limits the number of predicates to avoid bloating the plan cache and the Query Store
- Initial compilation produces a dispatcher plan that contains the PSP optimization logic called a dispatcher expression
- A dispatcher plan maps to query variants based on the cardinality range boundary values and predicates













- The PSP optimization feature currently only works with equality predicates (Major change in 2025)
- Query variant plans will recompile independently as needed, as with any other query plan type
- When multiple predicates are part of the same table, PSP selects the predicate that has the most data skew based on the underlying statistics histogram







- Four improvements with SQL Server 2025
  - Support DML statements such as DELETE, INSERT, MERGE, and UPDATE
  - Expanded support for tempdb
  - Additional consideration given in scenarios where multiple eligible predicates exist on the same table
  - Changes to the query\_with\_parameter\_sensitivity extended event









- Built on top of the Parameter Sensitive Plan Optimization feature
- Only applies to one optional parameter per query





- Parameter Sensitive Plan optimization
- Parameter sensitivity
- Parameters and execution plan reuse
- Parameter Sensitive Plan Optimization in SQL 2022 ... As Cool as it Sounds? by Erin Stellato and Hugo Kornelis
- SQL Server 2022: Built-in Query
   Intelligence (Ep. 3) | Data Exposed
- PSPO: How SQL Server 2022 Tries to Fix Parameter Sniffing by Brent Ozar









- Optimized plan forcing reduces compilation overhead
- Requires the Query Store to be enabled and in "readwrite" mode



# Optimized Plan Forcing with Query Store



- During the compilation process, a threshold based on estimating the time spent in optimization (based on the query optimizer input tree) will determine whether an optimization replay script is created
- These runtime metrics include the number of objects accessed, joins, optimization tasks executed during optimization, and the actual optimization time.



# Optimized Plan Forcing with Query Store



- Only query plans that go through full optimization are eligible
- Statements with the RECOMPILE hint and distributed queries are not eligible
- Even if an optimization replay script was generated, it might not be persisted in the Query Store if the Query Store's configured capture policies criteria aren't met. For example: the number of executions of that statement and its cumulative compile and execution times









- Optimized plan forcing with Query Store
- Stabilizing Performance with Query Store by Erin Stellato



## **Query Store Hints**





- Need immediate behavior change
- No access to source code
- Plan guide never easy to use
- Example
  - Recompile a query on each execution.
  - Cap the memory grant size for a bulk insert operation.
  - Limit the maximum degree of parallelism for a statistics update operation.
  - Use a Hash join instead of a Nested Loops join.
  - Use <u>compatibility level</u> 110 for a specific query while keeping everything else in the database at compatibility level 150.
  - Disable row goal optimization for a SELECT TOP query.





## **Query Store Hints**

160+

### **Query Executed**

Query captured in Query Store

DBA creates a Query Store hint on a query

Query executes using Query Store hint





## **Query Store Hints**



- Query store hints override statement-level hints (hardcoded) and plan guide hints
- If hints contradict, query execution will not be blocked
- Query Store hints are persisted and survive restarts and failovers









- Query Store hints
- Query Store hints best practice
- Supported query hints
- Query Store Hints in Azure
   SQL Database | Data Exposed
- Query Store Performance
   Overhead...Updated by Erin
   Stellato



## Optimized sp\_executesql



- Compilation behavior of batches submitted using sp\_executesql becomes identical to the serialized compilation behavior
- Use a compile lock to enforce serialized compilation
- First compiles and insert into plan cache
- Other session abort waiting (compile lock) and reuse the plan



# Optimized Halloween protection



- Eliminate the current use of the Spool operator to solve the Halloween problem
- For a query to use optimized
   Halloween protection, the following
   prerequisites are required:
  - Accelerated database recovery (ADR) must be enabled for the database.
  - The OPTIMIZED\_HALLOWEEN\_PROTECTION database scoped configuration must be enabled.



### Demo





### **Further Reading**

- Intelligent query processing in SQL databases
- Editions and supported features of SQL Server 2022
- Intelligent query processing demo I
- Intelligent query processing demo -II
- Compatibility certification
- Batch Mode Bitmaps in SQL Server by Paul White



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### **Taiob Ali**

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