

# NYCU DL Lab1 – Backpropagation

313552049 鄭博涵

## 1. Introduction

在這次作業中, 我使用 numpy 和一些 python standard 實作了一個簡單的兩層 hidden layers neural network, 其中有使用不同的 activation function 例如: Sigmoid, Softmax, ReLU 以及嘗試不同的 learning rate, 並利用 Linear data 和 XOR data 去 train neural network.

## 2. Experiment setups

### A.Sigmoid function:

```
def sigmoid(x):  
    return 1.0 / (1.0 + np.exp(-x))  
  
def derivative_sigmoid(x):  
    sig = sigmoid(x)  
    return np.multiply(sig, 1.0 - sig)
```

定義 sigmoid 函式以及 derivative\_sigmoid 函式

## B. Neural network

```
23 class TwoLayerNN:
24     # initiate weight and bias
25     def __init__(self, input_size, hidden_size1, hidden_size2, output_size):
26         self.w1 = np.random.randn(input_size, hidden_size1) * np.sqrt(2. / input_size) # Xavier 初始化
27         self.b1 = np.zeros((1, hidden_size1))
28         self.w2 = np.random.randn(hidden_size1, hidden_size2) * np.sqrt(2. / input_size)
29         self.b2 = np.zeros((1, hidden_size2))
30         self.w3 = np.random.randn(hidden_size2, output_size) * np.sqrt(2. / input_size)
31         self.b3 = np.zeros((1, output_size))
32
71     def train(self, x, y, epochs):
72         import matplotlib.pyplot as plt
73
74         self.loss = []
75         for epoch in range(epochs):
76             self.y_pred = self.forward(x)
77             self.backward(x, y)
78             loss = MSE_Loss(y, self.y_pred)
79             self.loss.append(loss)
80
81             if epoch % 1000 == 0:
82                 print(f"Epoch: {epoch}, Loss: {loss}")
83
84         # plot the learning curve
85         plt.subplot(2, 1, 1) # 在2列1行的圖形中的第一個位置繪製 (即上半部)
86         plt.title("Learning Curve", fontsize = 18)
87         plt.xlabel("Epoch")
88         plt.ylabel("Loss")
89         plt.plot(self.loss)
90
91     def show_result(self, x, y):
92         accuracy = sum((self.y_pred > 0.5) == (y == 1)) / y.size # 預測值 > 0.5 且 y為1 的情況數 再除以y.size
93         print(f"Accuracy: {accuracy}")
94         print("Prediction: ")
95
96         for i in range(y.size):
97             print(f"Iter{i+1} | Ground truth: {y[i]} | Prediction: {self.y_pred[i]}")
98         show_result(x, y, self.y_pred > 0.5)
```

建立 model 時可決定你要傳入的參數, 例如: hidden layer 的 size, 那透過 TwoLayerNN.train 可直接對 model 進行 train 並印出 Learning Curve (loss 的動態變化)

## C. Back propagation

```
def forward(self, x):
    self.z1 = np.dot(x, self.w1) + self.b1
    self.a1 = ReLU(self.z1)

    self.z2 = np.dot(self.a1, self.w2) + self.b2
    self.a2 = ReLU(self.z2)

    self.z3 = np.dot(self.a2, self.w3) + self.b3
    self.a3 = sigmoid(self.z3)
    return self.a3

def backward(self, x, y):
    loss_gradient = derivative_MSE_Loss(y, self.a3)
    d_z3 = loss_gradient * derivative_sigmoid(self.z3)

    d_w3 = np.dot(self.a2.T, d_z3)
    d_b3 = np.sum(d_z3, axis = 0, keepdims = True) # keepdim = true: 確保d_b3的維度跟b3的一致

    d_a2 = np.dot(d_z3, self.w3.T) # z3 = a2 * w3 + b3
    d_z2 = d_a2 * derivative_ReLU(self.z2)
    d_w2 = np.dot(self.a1.T, d_z2)
    d_b2 = np.sum(d_z2, axis = 0, keepdims = True) # axis = 0: 將每列的 d_z2 進行求和

    d_a1 = np.matmul(d_z2, self.w2.T)
    d_z1 = d_a1 * derivative_ReLU(self.z1)
    d_w1 = np.matmul(x.T, d_z1)
    d_b1 = np.sum(d_z1, axis = 0, keepdims = True)

    # update weight and bias
    learning_rate = 1e-2
    self.w1 -= learning_rate * d_w1
    self.w2 -= learning_rate * d_w2
    self.w3 -= learning_rate * d_w3
    self.b1 -= learning_rate * d_b1
    self.b2 -= learning_rate * d_b2
    self.b3 -= learning_rate * d_b3
```

透過 forward 前向傳播後取得輸出 self.a3 , 並由 loss function 算出

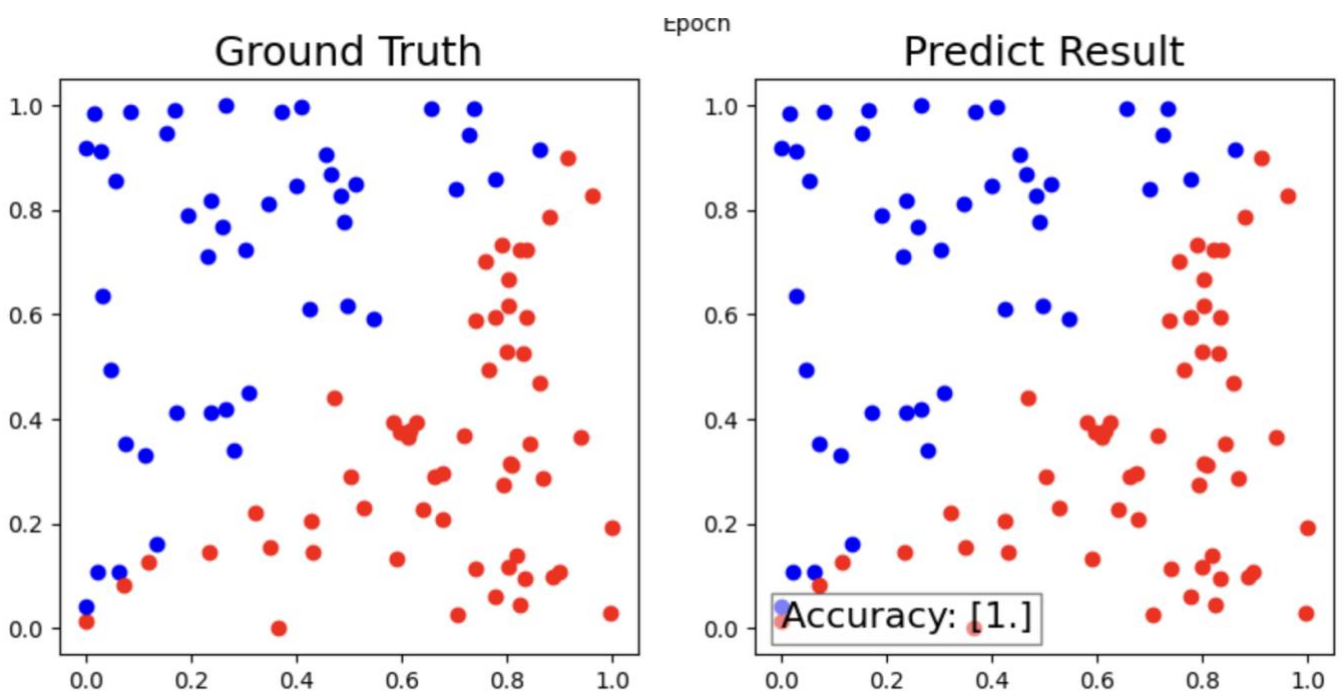
loss\_gradient 後 用 backpropagation 來算出 a,z,w,b 的導數

最後更新權重以及偏差

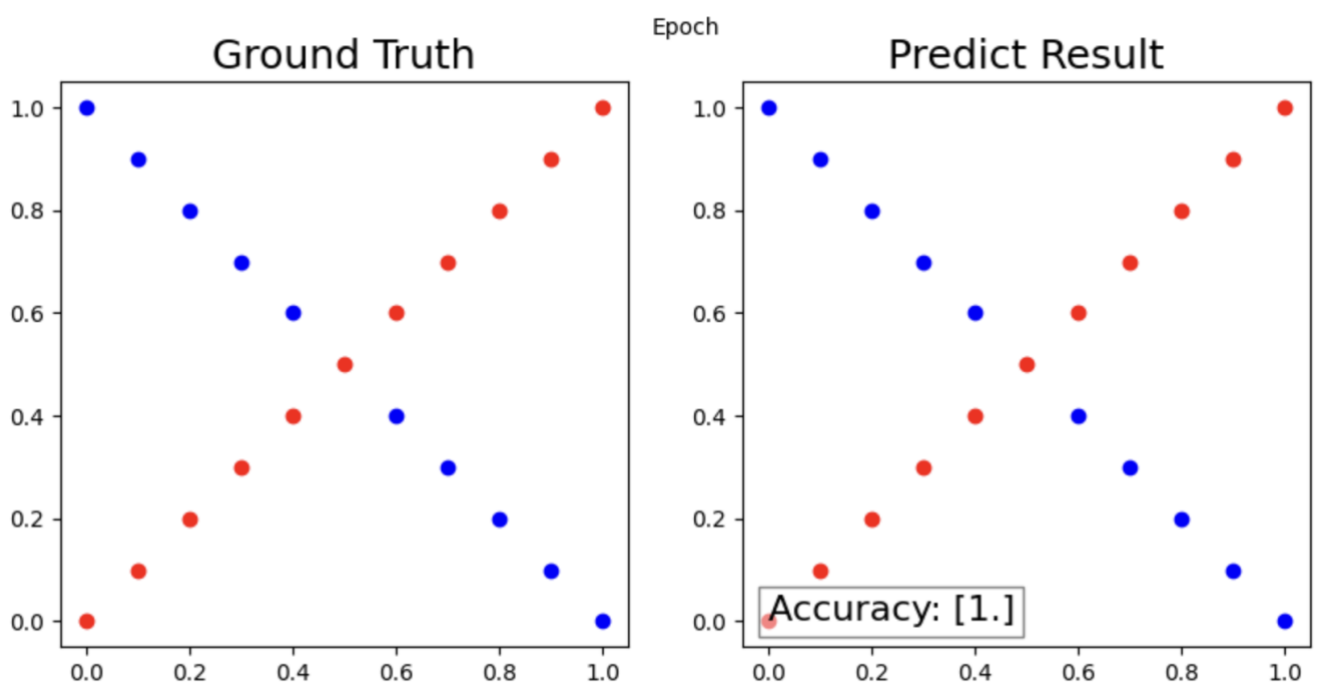
### 3. Result of your testing

#### A. Screenshot and comparison figure

Linear:



XOR:



## B. Show the accuracy of your prediction

Lr = 0.01, Hidden size = 4, Optimizer = SGD, 激活函數: ReLU, sigmoid

由於我全部用單一的 Activate function, 執行結果會怪怪的,

所以後來是在 hidden layer 使用 ReLU, 在 output layer 使用 sigmoid

Linear:

```
Accuracy: [1.]
Prediction:
Iter1 | Ground truth: [0] | Prediction: [0.47135232]
Iter2 | Ground truth: [1] | Prediction: [0.99547637]
Iter3 | Ground truth: [0] | Prediction: [0.02303764]
Iter4 | Ground truth: [0] | Prediction: [0.03630578]
Iter5 | Ground truth: [1] | Prediction: [0.77143755]
Iter6 | Ground truth: [1] | Prediction: [0.99088147]
Iter7 | Ground truth: [0] | Prediction: [0.02620623]
Iter8 | Ground truth: [0] | Prediction: [0.03015457]
Iter9 | Ground truth: [1] | Prediction: [0.99614696]
Iter10 | Ground truth: [0] | Prediction: [0.01321711]
Iter11 | Ground truth: [0] | Prediction: [0.14512579]
Iter12 | Ground truth: [1] | Prediction: [0.99615758]
Iter13 | Ground truth: [1] | Prediction: [0.99856409]
...
Iter97 | Ground truth: [1] | Prediction: [0.97234584]
Iter98 | Ground truth: [1] | Prediction: [0.99463235]
Iter99 | Ground truth: [1] | Prediction: [0.9958064]
Iter100 | Ground truth: [1] | Prediction: [0.9969974]
```

XOR:

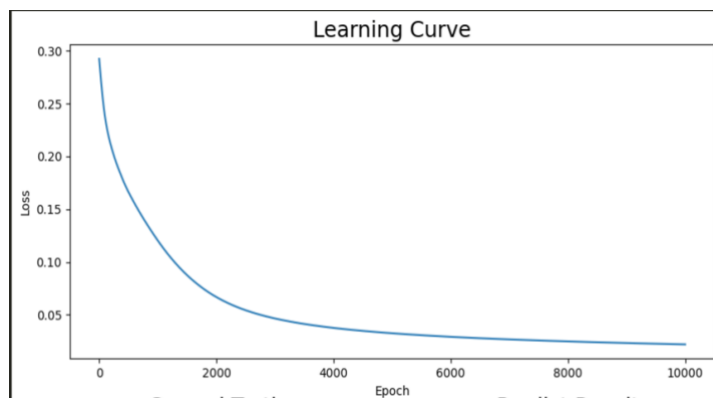
```

Accuracy: [1.]
Prediction:
Iter1 | Ground truth: [0] | Prediction: [0.0947721]
Iter2 | Ground truth: [1] | Prediction: [0.99992109]
Iter3 | Ground truth: [0] | Prediction: [0.0947765]
Iter4 | Ground truth: [1] | Prediction: [0.99947312]
Iter5 | Ground truth: [0] | Prediction: [0.0947809]
Iter6 | Ground truth: [1] | Prediction: [0.9964911]
Iter7 | Ground truth: [0] | Prediction: [0.0947853]
Iter8 | Ground truth: [1] | Prediction: [0.97658815]
Iter9 | Ground truth: [0] | Prediction: [0.09478969]
Iter10 | Ground truth: [1] | Prediction: [0.73397418]
Iter11 | Ground truth: [0] | Prediction: [0.09479409]
Iter12 | Ground truth: [0] | Prediction: [0.09479849]
Iter13 | Ground truth: [1] | Prediction: [0.80534657]
...
Iter18 | Ground truth: [0] | Prediction: [0.09481169]
Iter19 | Ground truth: [1] | Prediction: [0.99997741]
Iter20 | Ground truth: [0] | Prediction: [0.09481609]
Iter21 | Ground truth: [1] | Prediction: [0.99999863]

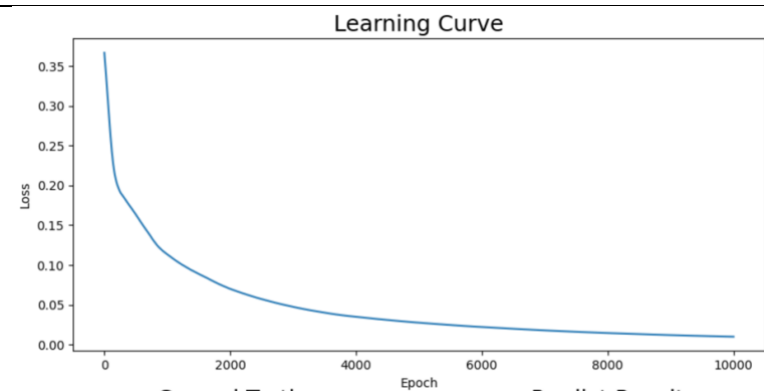
```

## C. Learning curve

### Linear



### XOR



Epoch: 0, Loss: 0.292372327365041
Epoch: 1000, Loss: 0.12023942988886194
Epoch: 2000, Loss: 0.06675965377114214
Epoch: 3000, Loss: 0.046509592400175014
Epoch: 4000, Loss: 0.03757912637935705
Epoch: 5000, Loss: 0.03251227283050282
Epoch: 6000, Loss: 0.029151249184145977
Epoch: 7000, Loss: 0.02670769307814082
Epoch: 8000, Loss: 0.02481012258250511
Epoch: 9000, Loss: 0.02326970783601087

Epoch: 0, Loss: 0.3666773863104507
Epoch: 1000, Loss: 0.11330392707028356
Epoch: 2000, Loss: 0.07001066614001625
Epoch: 3000, Loss: 0.047421633807543086
Epoch: 4000, Loss: 0.0348658803343669
Epoch: 5000, Loss: 0.027530500294848444
Epoch: 6000, Loss: 0.02204676961348613
Epoch: 7000, Loss: 0.017832388979784213
Epoch: 8000, Loss: 0.014521119697131622
Epoch: 9000, Loss: 0.011943841602291753

## 4. Discussion

### A. Try different learning rates

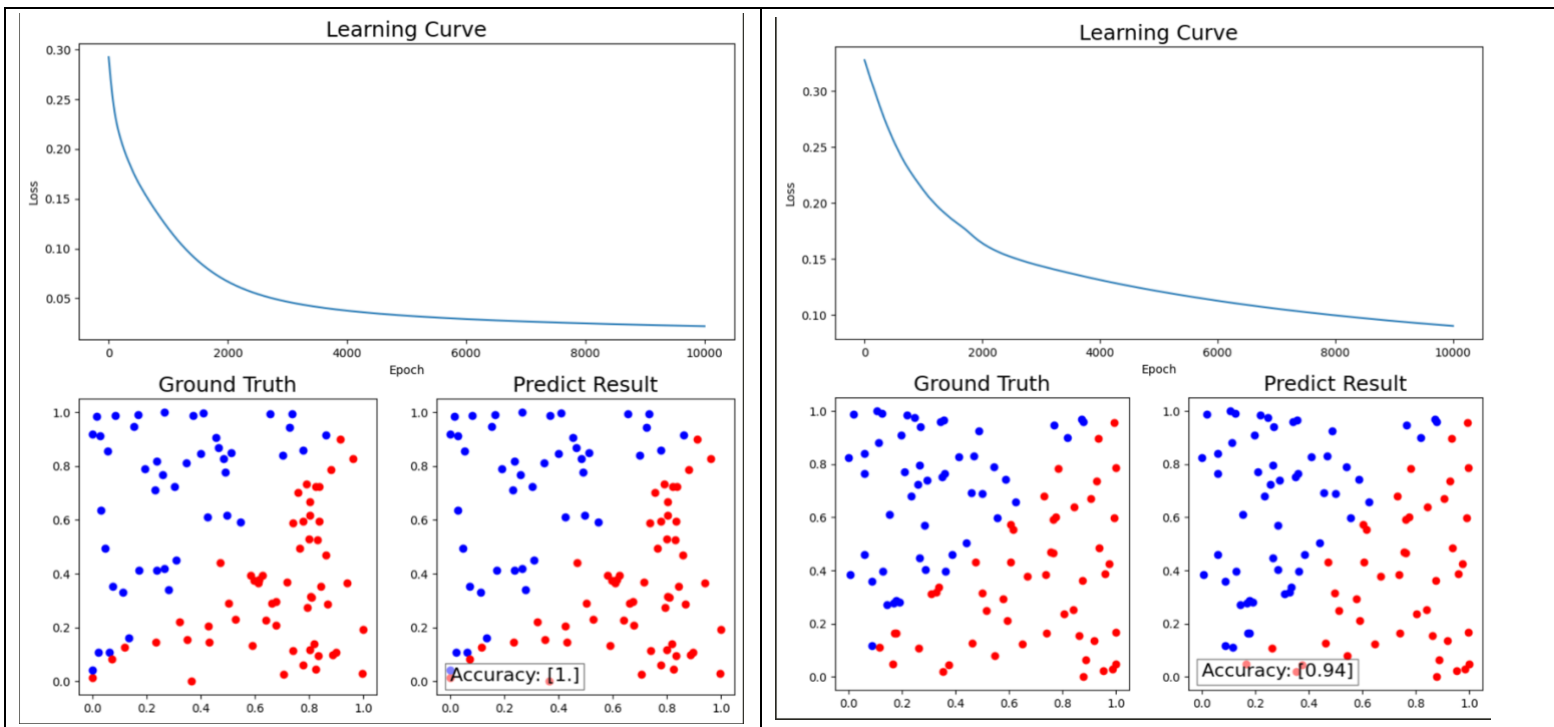
Hidden unit = 4, Optimizer = SGD, Activate function: ReLU,

Sigmoid

Linear:

Lr = 0.01	Lr = 0.001
-----------	------------





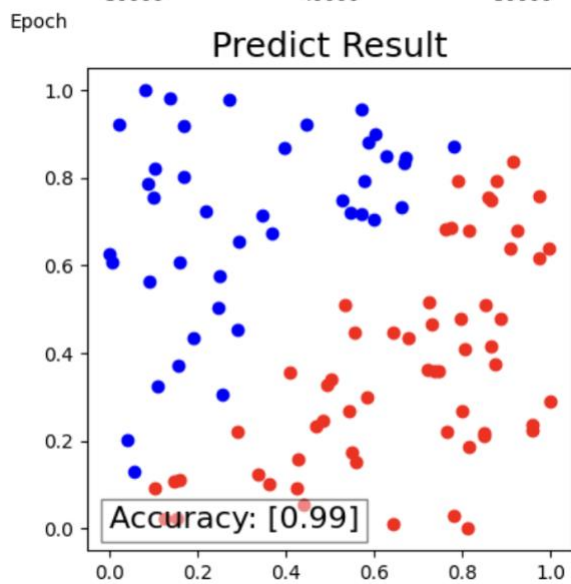
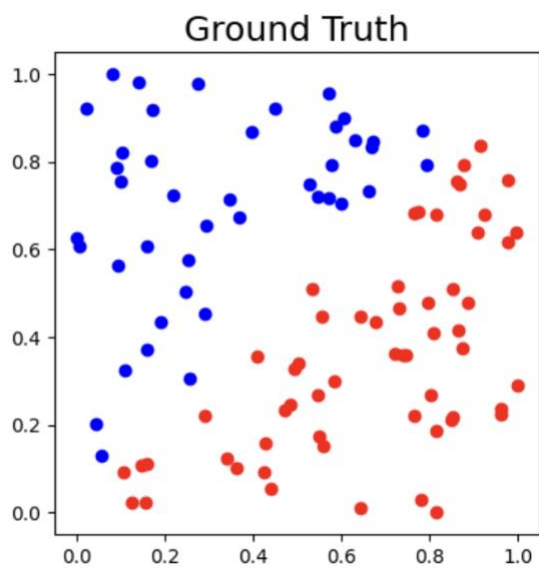
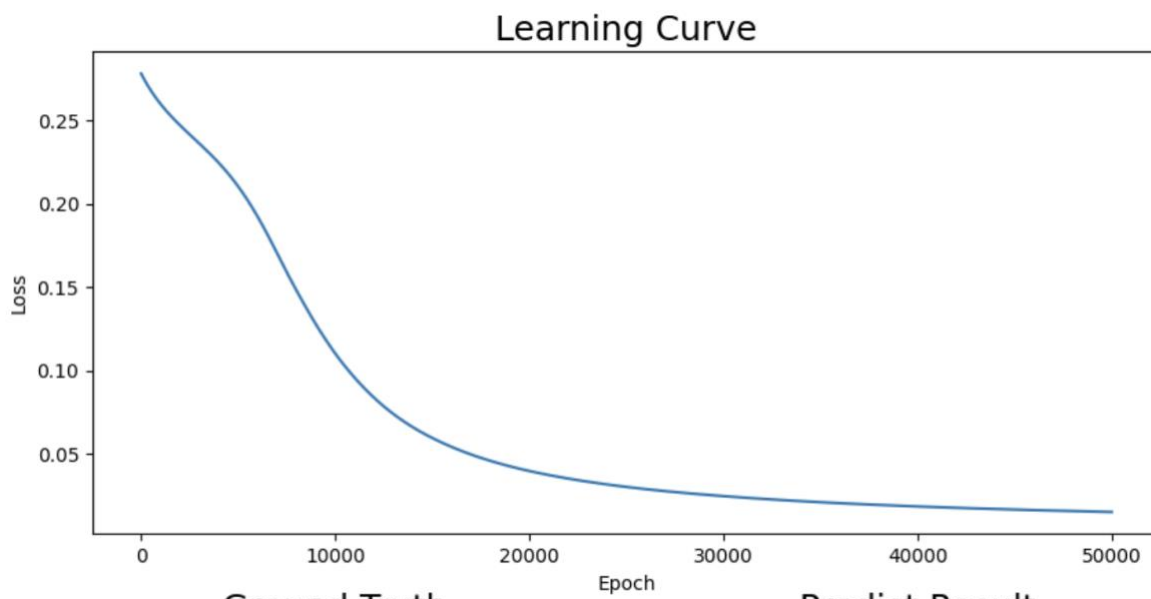
透過降低 learning rate 我們可以看出 loss function 下降的速度變慢了

所以 Accuracy 也隨之降低, 而在 learning rate 過低的情況下, 我們也可以透過提升 epoch 數的方式來增加我們的 Accuracy

例如以下: (try different number of epoch)

$lr = 0.001$ , epoch = 50000

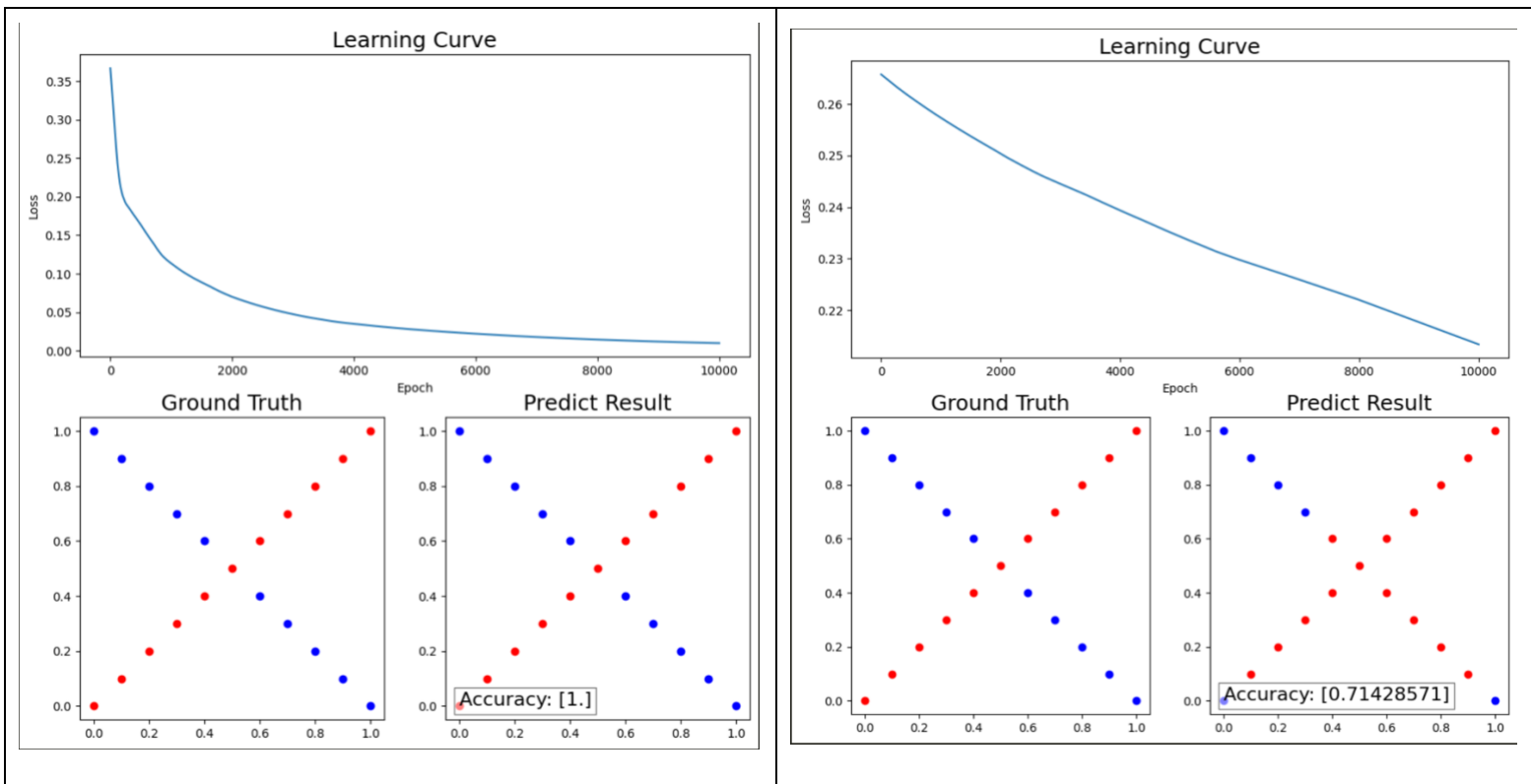




XOR:

Lr = 0.01

Lr = 0.001

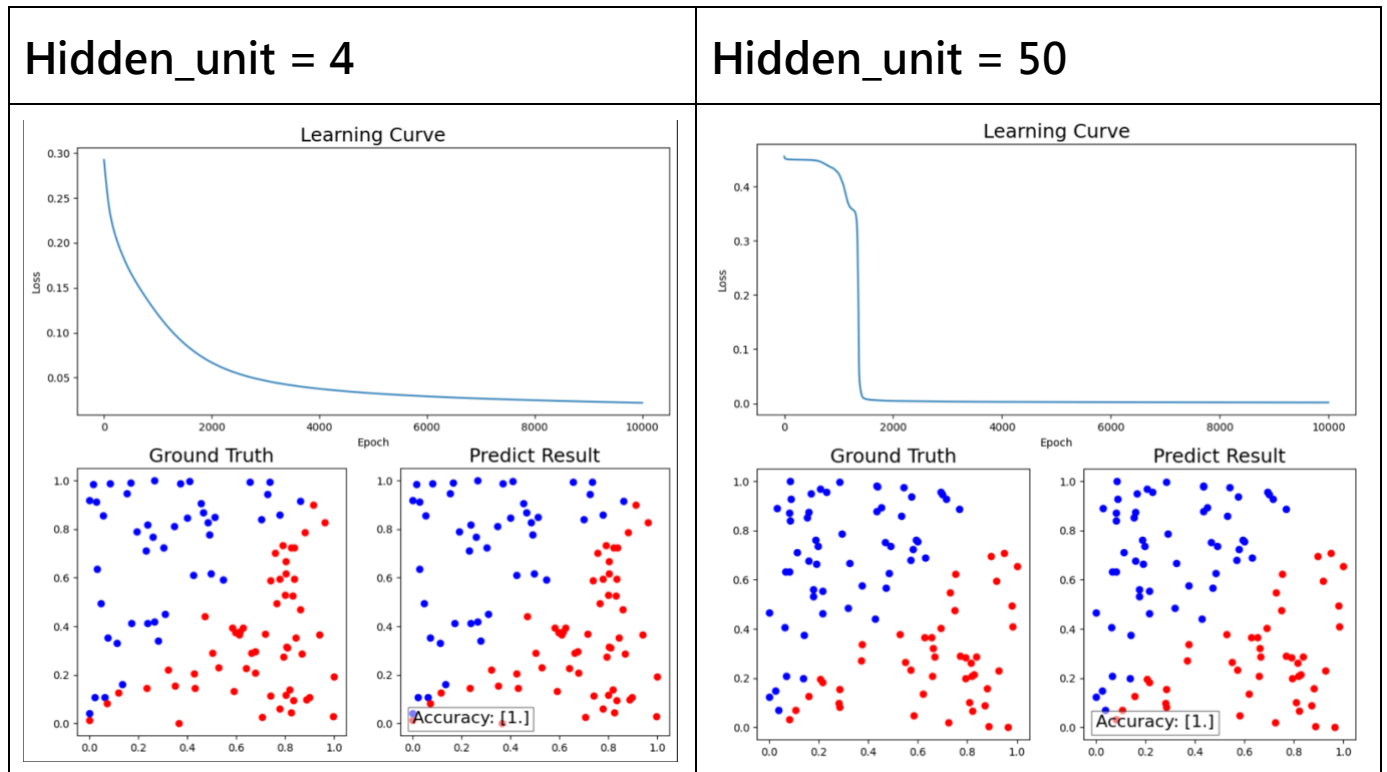


如同 linear, loss function 會緩慢降低, 所以  $lr = 0.01$  是個比較適合這個 model 的 learning rate

## B. Try different numbers of hidden units

$lr = 0.01$ , Optimizer = SGD, Activate function: sigmoid, ReLU

Linear:



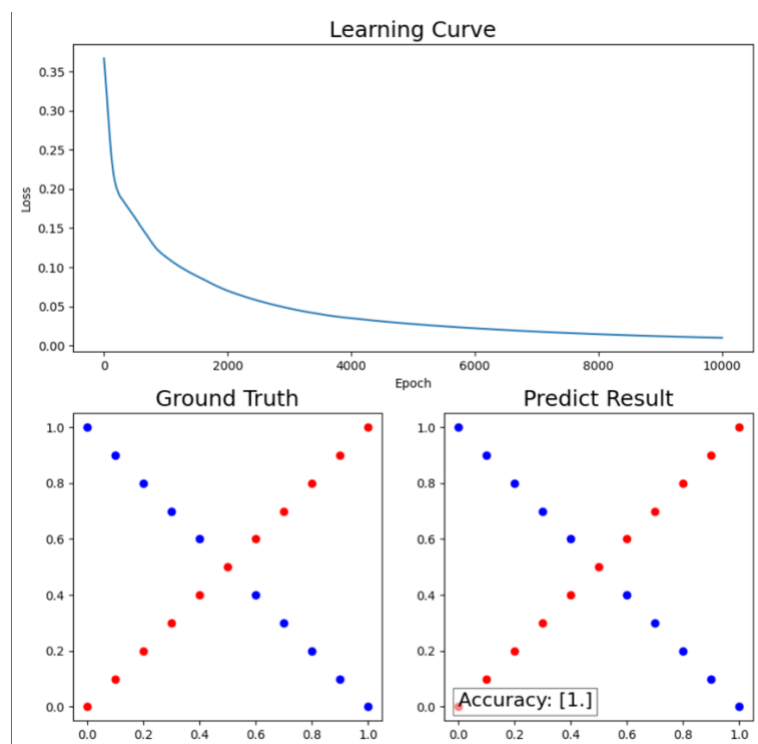
將 Hidden\_unit 提高到 50 時, 可以看到 loss 的變化是一開始較平緩

等到 epoch 訓練大概 1600 次左右時 loss 會驟降, 之後趨近於 0

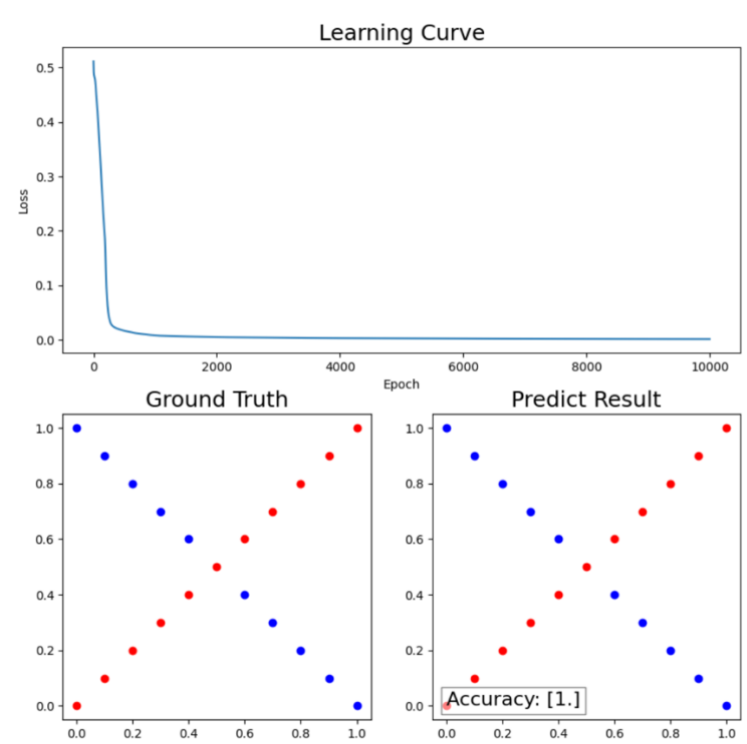
而 accuracy 則是沒有差別, 皆為 1

XOR:

Hidden\_unit = 4



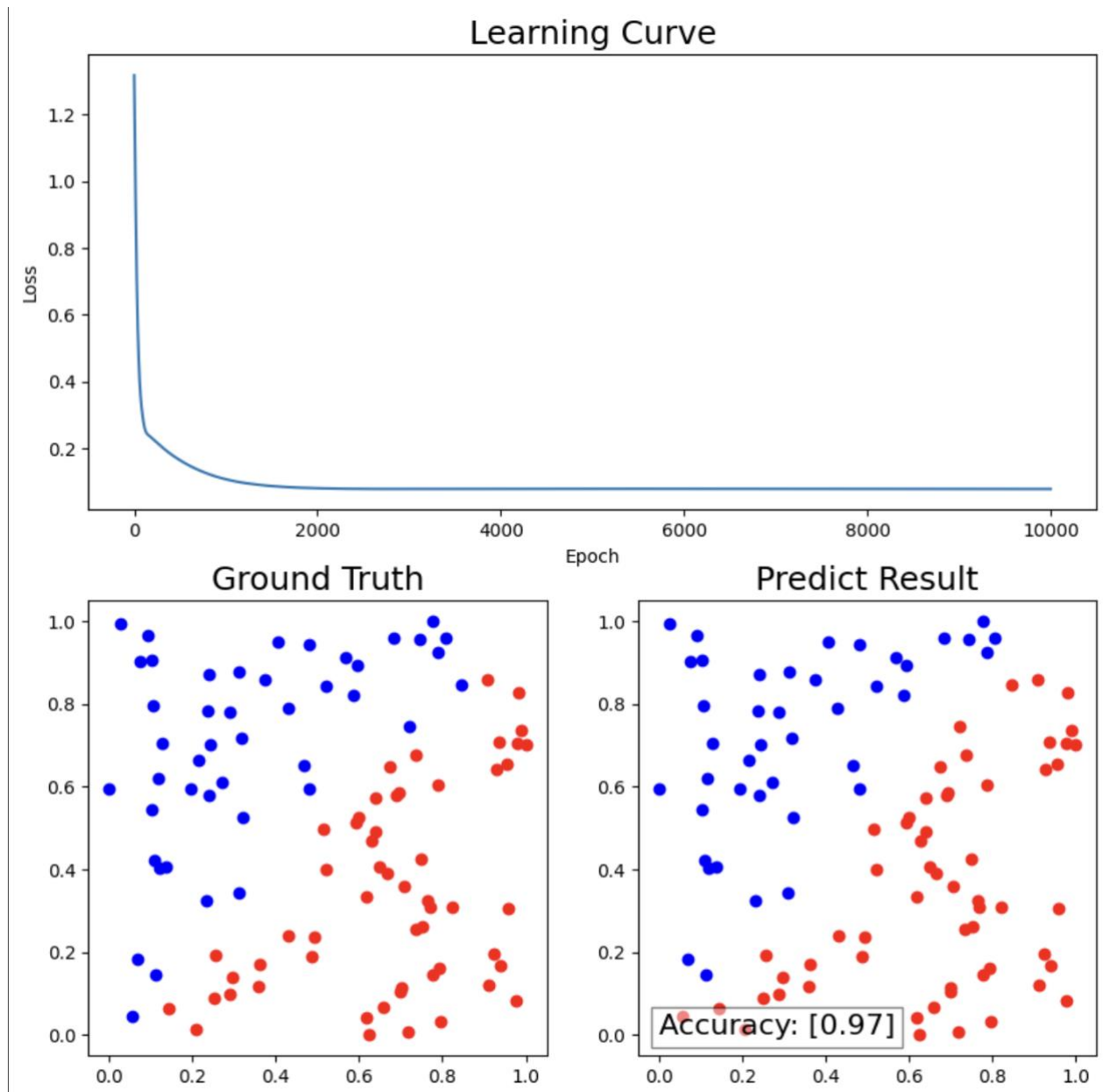
Hidden\_unit = 50



在 XOR 上增加 hidden\_unit 的話 除了維持表現之外，loss 也下降得更為快速

B. Try without activation functions

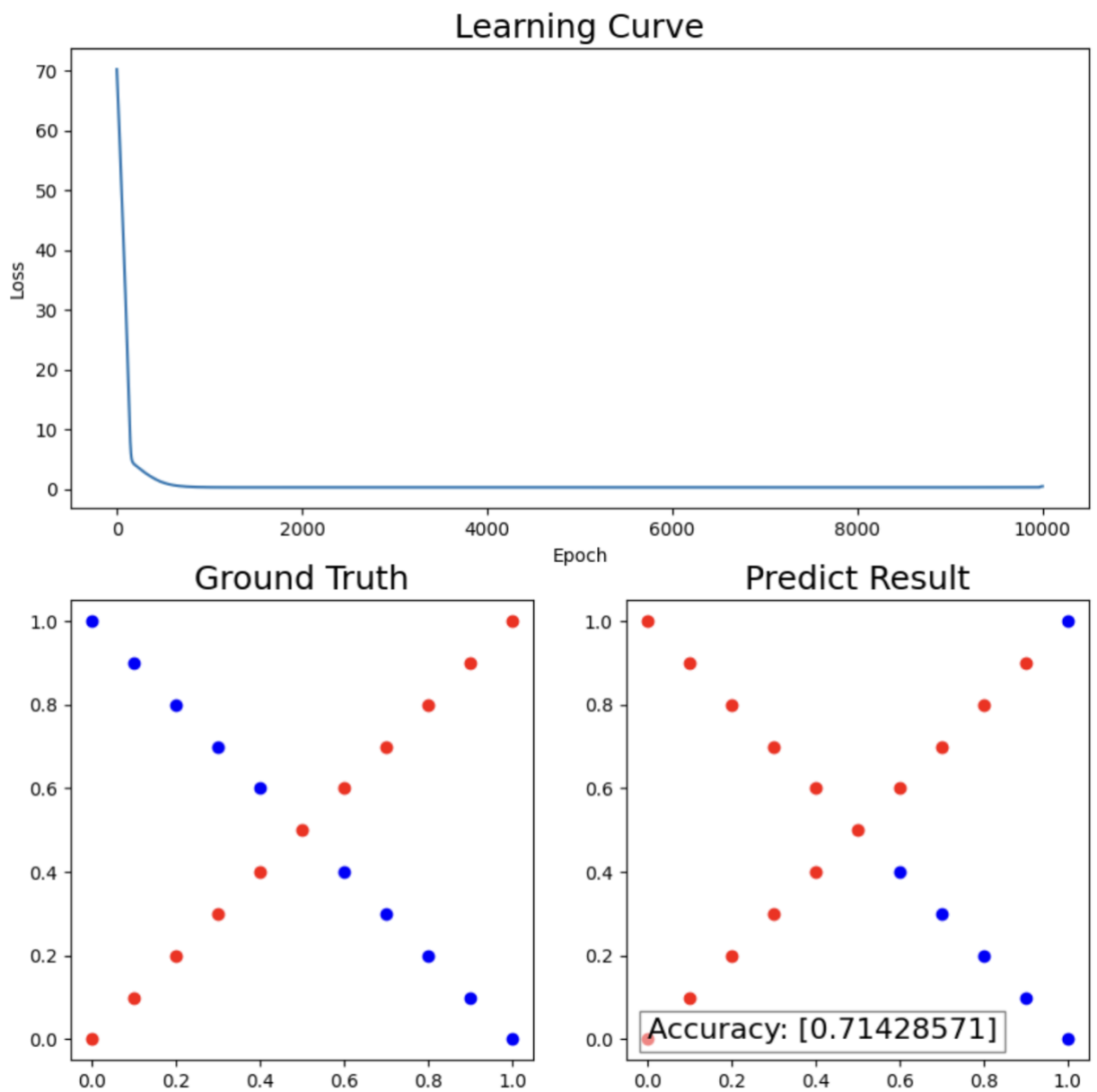
## Linear:



Linear data 有無 activate function 似乎表現上差不多

但 Accuracy 會略微下降

## XOR:



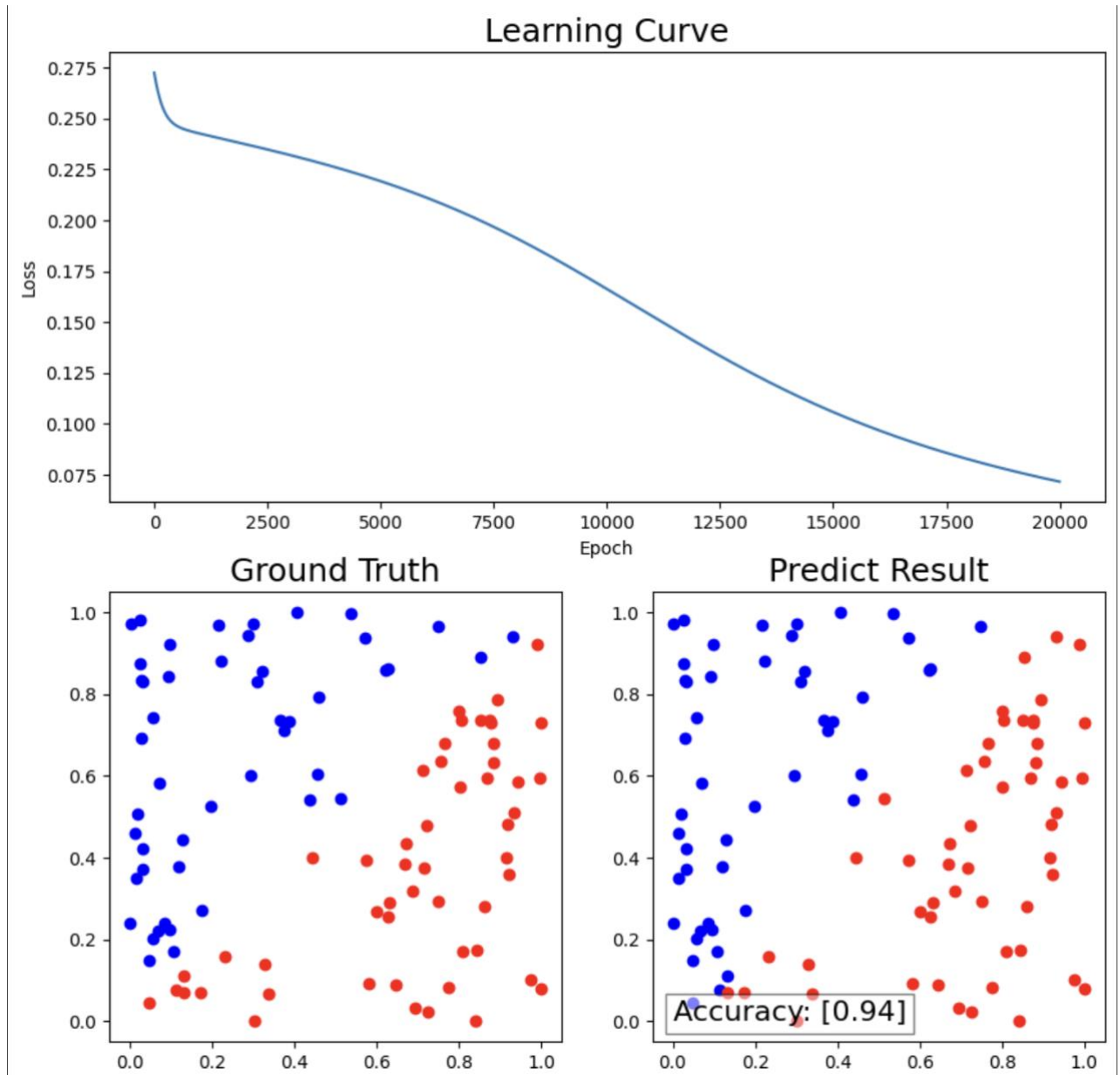
而在 XOR data 中, 沒有了 activation function, 表現則是會大幅下降, Accuracy 在 0.7 多

## 5.Extra

## B. Implement different activation functions

全部都用 sigmoid:

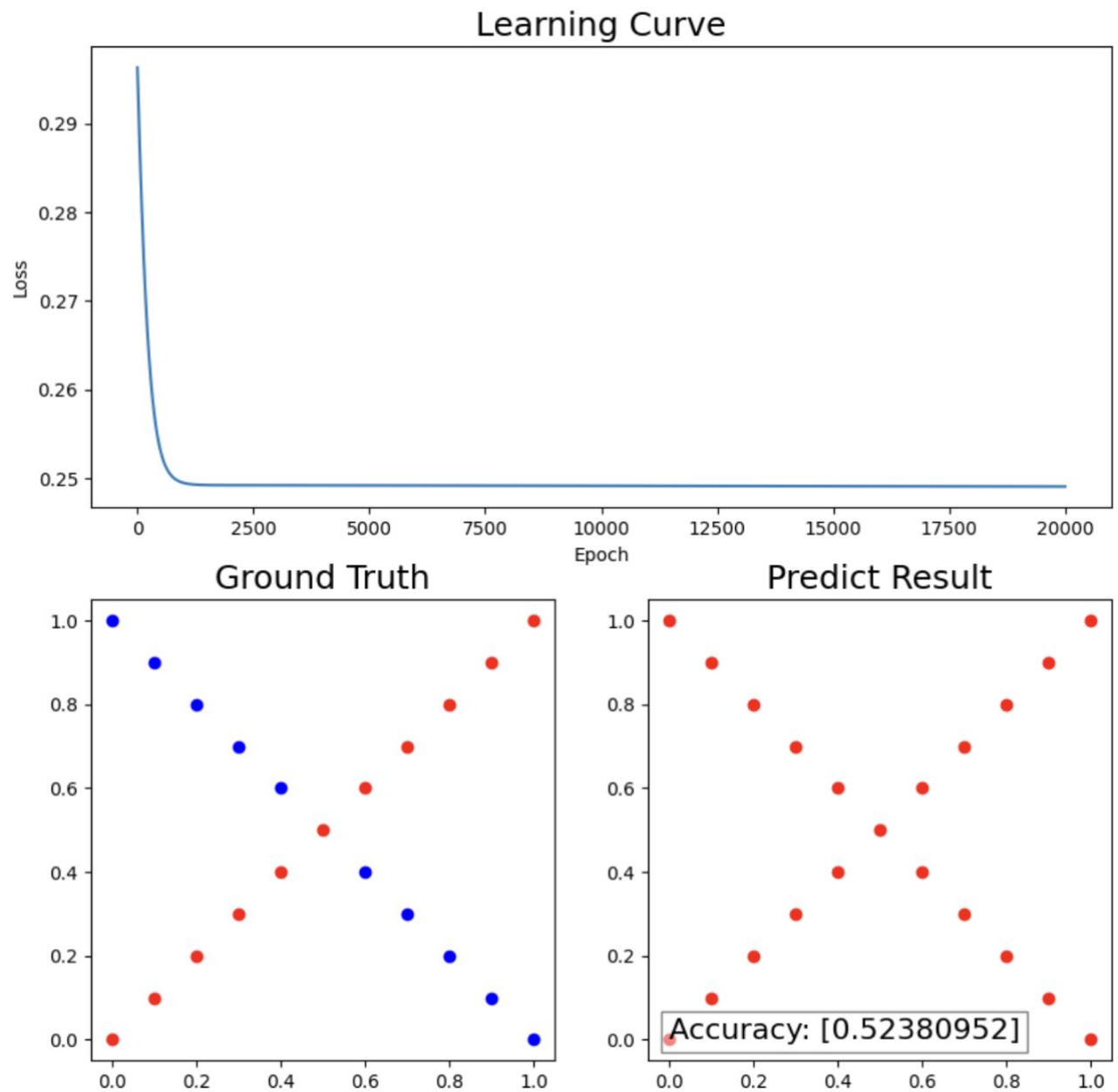
Linear:



全部都用 sigmoid 的話可看出表現會略微下降, 而且有時會發生異常, 例如 loss 完全沒有降低的情況



XOR:

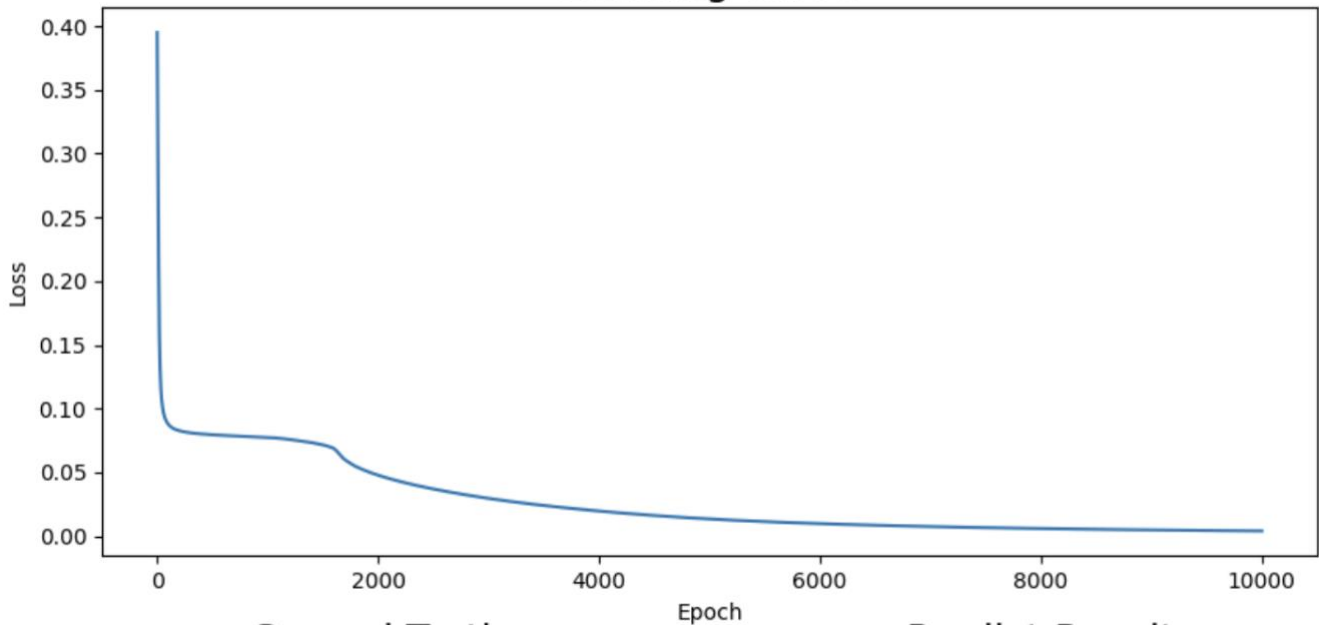


XOR 也是時好時壞的情況

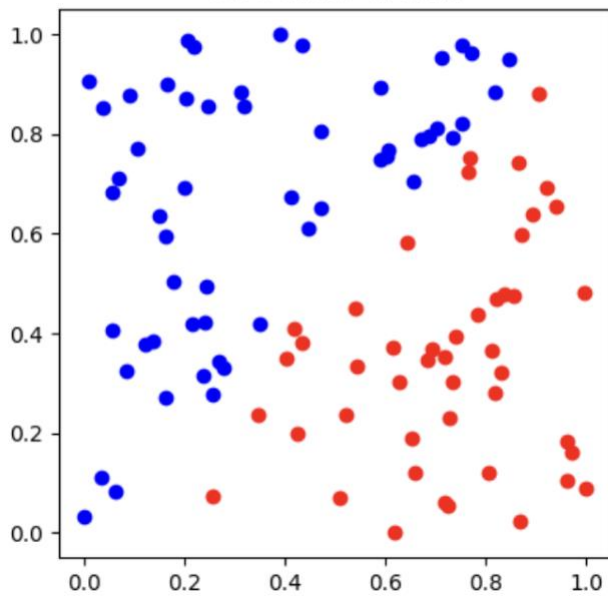
全部都用 ReLU:

Linear:

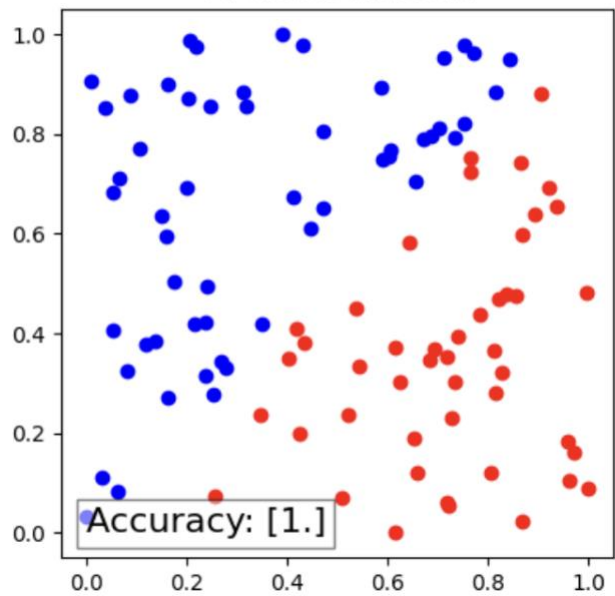
Learning Curve



Ground Truth



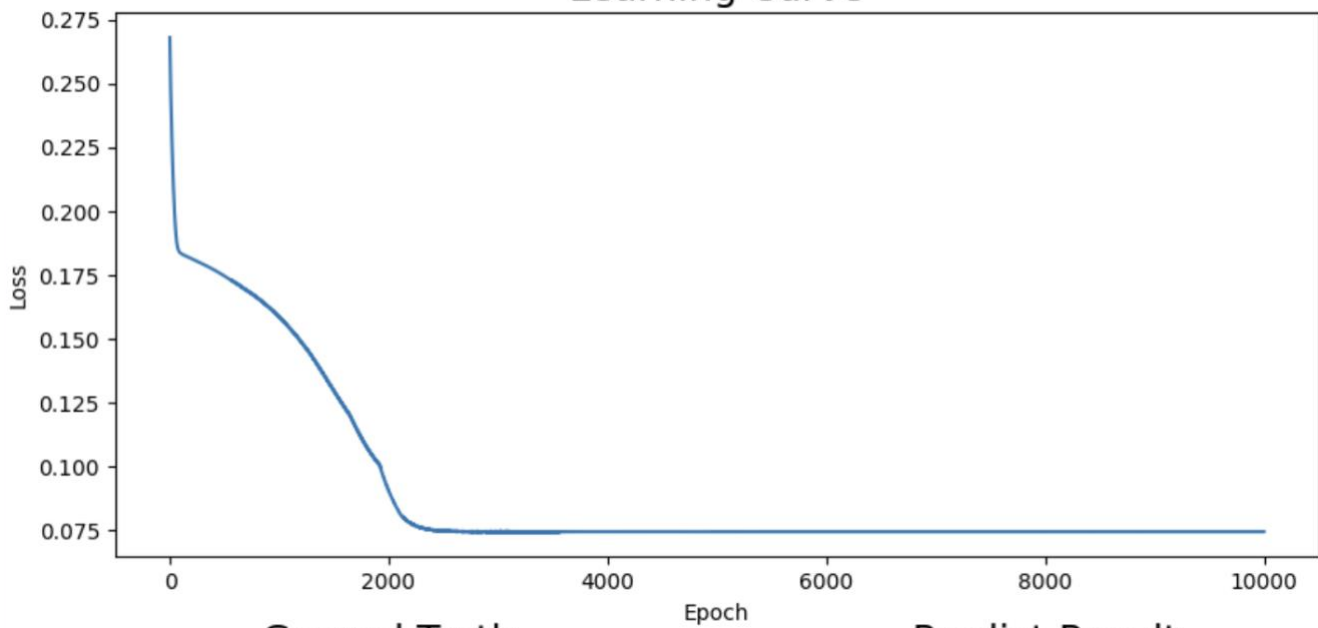
Predict Result



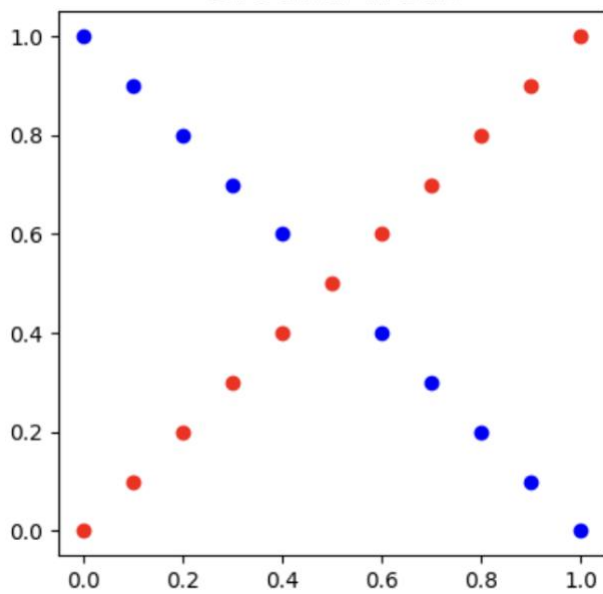
跟全部用 sigmoid 的情況差不多, loss 的減少會時好時壞

XOR:

Learning Curve



Ground Truth



Predict Result

