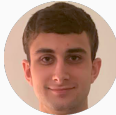


On the Semantic Expressiveness of Recursive Types

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19th November 2020



What and Why?

What is the relative **semantic expressiveness** of **iso-** and *equi*-recursive types?

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- clarifies the design of **emerging languages**

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What is the relative **semantic expressiveness** of **iso-** and *equi*-recursive types?

- **open** question
- clarifies the design of **emerging languages**
- better **understanding** of how to answer language expressiveness questions

Contributions

- prove that **iso-recursive** and (*coinductive*) *equi-recursive* types have **the same** semantic expressiveness

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(via **fully-abstract compilation** (FAC) proofs)
- **devise** a new proof technique for FAC based on approximate **cross-language LR**

Talk Outline

Recursive Types

Comparing Language Expressiveness

Iso is Co-Equi (is Fix)

Recursive Types

Recursive Types (in STLC)

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Q: How are these types related:

$$\begin{aligned} &LN \quad \text{Unit} \uplus (\text{Nat} \times LN) \\ &\text{Unit} \uplus (\text{Nat} \times \text{Unit} \uplus (\text{Nat} \times LN)) \end{aligned}$$

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A1: they are **isomorphic**

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A1: they are **isomorphic**

[Gordon et al.'79]

A2: they are *equivalent*

[Morris '68]

Iso-recursive Types: λ_I^μ

$t ::= \dots \mid \text{fold}_{\mu\alpha.\tau} \ t \mid \text{unfold}_{\mu\alpha.\tau} \ t$

$v ::= \dots \mid \text{fold}_{\mu\alpha.\tau} \ v$

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$Nil \quad [2, 1, Nil]$

$LN \stackrel{\text{def}}{=}_{\mu\alpha.} Unit \uplus (Nat \times \alpha)$

$\text{fold}_{LN} \text{ inl unit}$

$\text{fold}_{LN} \text{ inr } \langle 2, \text{fold}_{LN} \text{ inr } \langle 1, \text{fold}_{LN} \text{ inl unit} \rangle \rangle$

Iso-recursive Typing & Semantics: λ_I^μ

$$\frac{\begin{array}{c} (\lambda_I^\mu\text{-Type-fold}) \\ \Gamma \vdash t : \tau[\mu\alpha.\tau/\alpha] \end{array}}{\Gamma \vdash \text{fold}_{\mu\alpha.\tau} t : \mu\alpha.\tau}$$

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(λ_I^μ -Eval-fold)

$$\text{unfold}_{\mu\alpha. \tau} (\text{fold}_{\mu\alpha. \tau} v) \hookrightarrow_p v$$

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No term-level annotation

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Equi-recursive Types: λ_E^μ

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Definitions of \doteq :

- inductive e.g., [Abadi & Fiore '96]
 - coinductive e.g., [Cai et al. '16]
- (proven to be strictly stronger than the first one wrt expressing type equality)

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Coinductive Type Equality: λ_E^μ

$$\frac{\text{(\(\dot{=}\)-prim)} \quad \iota = \textit{Unit} \vee \textit{Bool} \vee \alpha}{\iota \dot{=} \iota}$$

$$\frac{\text{(\(\dot{=}\)-bin)} \quad \star \in \{\rightarrow, \times, \uplus\} \quad \tau_1 \dot{=} \sigma_1 \quad \tau_2 \dot{=} \sigma_2}{\tau_1 \star \tau_2 \dot{=} \sigma_1 \star \sigma_2}$$

$$\frac{\text{(\(\dot{=}\)-\(\mu_l\))} \quad \begin{array}{l} \tau[\mu\alpha. \tau/\alpha] \dot{=} \sigma \\ \tau \text{ contractive in } \alpha \end{array}}{\mu\alpha. \tau \dot{=} \sigma}$$

$$\frac{\text{(\(\dot{=}\)-\(\mu_r\))} \quad \begin{array}{l} \tau \neq \mu\alpha. \tau' \\ \tau \dot{=} \sigma[\mu\alpha. \sigma/\alpha] \\ \sigma \text{ contractive in } \alpha \end{array}}{\tau \dot{=} \mu\alpha. \sigma}$$

Contractiveness: α are used only after a \star

Non-contractiveness: (e.g., $\mu\alpha. \alpha$) are value-uninhabited

Knowns and Unknowns between λ_I^μ & λ_E^μ

- typable $\lambda_I^\mu \iff$ typable λ_E^μ

[Abadi & Fiore '96]

Knowns and Unknowns between λ_I^μ & λ_E^μ

- typable $\lambda_I^\mu \iff$ typable λ_E^μ [Abadi & Fiore '96]
- Q: termination $\lambda_I^\mu \iff$ termination λ_E^μ ?
- Q: (generally) how to compare **relative semantic expressiveness** of languages?

Comparing Language Expressiveness

History (applied to λ_I^μ & λ_E^μ)

Felleisen '91, Mitchell '93

- observe the **interaction** between terms (t) and contexts (C) over an interface ($C[t]$)

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- **Hp**: take **the same** t in λ_I^μ and λ_E^μ
- **Q**: does $C[t]$ behave differently from $C[t]$?

The same t in λ_I^μ & λ_E^μ

- Often: compiler (language translator)

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[Parrow '08, Gorla & Nestmann '16]

- our $\llbracket \cdot \rrbracket$: identity and erase **fold** / **unfold**

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eq. preservation

eq. reflection and vice-versa (sanity check on $\llbracket \cdot \rrbracket$)

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(C cannot tell $\llbracket t_1 \rrbracket$ from $\llbracket t_2 \rrbracket$)
- semantic differentiation: \uparrow vs \downarrow

eq. preservation

eq. reflection

and vice-versa (sanity check on $\llbracket \cdot \rrbracket$)

Fully Abstract Compilation (FAC)

[Abadi (et al.) '99]

- Preservation and reflection of
contextual equivalence
- $\vdash \llbracket \cdot \rrbracket : \text{FAC} \stackrel{\text{def}}{=} \forall t_1, t_2$
 $t_1 \simeq_{\text{ctx}} t_2 \iff \llbracket t_1 \rrbracket \simeq_{\text{ctx}} \llbracket t_2 \rrbracket$

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$$t_1 \simeq_{\text{ctx}} t_2 \iff \llbracket t_1 \rrbracket \simeq_{\text{ctx}} \llbracket t_2 \rrbracket$$

or:

$$\begin{aligned} (\forall C. C[t_1] \Downarrow &\iff C[t_2] \Downarrow) \\ &\iff \\ (\forall C. C[\llbracket t_1 \rrbracket] \Downarrow &\iff C[\llbracket t_2 \rrbracket] \Downarrow) \end{aligned}$$

FAC for Language Expressiveness

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FAC for Language Expressiveness

$$\begin{array}{c} (\forall \mathbf{C}. \mathbf{C}[\mathbf{t}_1] \Downarrow \iff \mathbf{C}[\mathbf{t}_2] \Downarrow) \\ \Uparrow \text{ simple} \\ (\forall C. C[\llbracket \mathbf{t}_1 \rrbracket] \Downarrow \iff C[\llbracket \mathbf{t}_2 \rrbracket] \Downarrow) \end{array}$$

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FAC for Language Expressiveness

$$\begin{array}{c} (\forall \mathbf{C}. \mathbf{C}[\mathbf{t}_1] \Downarrow \iff \mathbf{C}[\mathbf{t}_2] \Downarrow) \\ \Downarrow_{\text{hard}} \\ (\forall C. C[\llbracket \mathbf{t}_1 \rrbracket] \Downarrow \iff C[\llbracket \mathbf{t}_2 \rrbracket] \Downarrow) \end{array}$$

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Iso is Co-Equi (is Fix)

$$t_1 \simeq_{\text{ctx}} t_2$$

ctx. eq. preservation
↓

$$\llbracket t_1 \rrbracket \stackrel{?}{\simeq}_{\text{ctx}} \llbracket t_2 \rrbracket$$

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$$C[\llbracket t_1 \rrbracket] \Downarrow_n \stackrel{?}{\Rightarrow} C[\llbracket t_2 \rrbracket] \Downarrow_-$$

$$\llbracket t_1 \rrbracket \stackrel{?}{\simeq}_{\text{ctx}} \llbracket t_2 \rrbracket$$

ctx. eq. preservation

$$\begin{array}{c}
 t_1 \simeq_{\text{ctx}} t_2 \\
 \\
 \begin{array}{c}
 t_1 \gtrsim_- \llbracket t_1 \rrbracket \\
 \llbracket C \rrbracket_n \gtrsim_n C
 \end{array}
 \quad \uparrow \quad (1)
 \end{array}$$

$$\begin{array}{ccc}
 C[\llbracket t_1 \rrbracket] \Downarrow_n & \stackrel{?}{\Rightarrow} & C[\llbracket t_2 \rrbracket] \Downarrow_- \\
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 \end{array}$$

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 t_1 \simeq_{\text{ctx}} t_2 \\
 \langle\langle C \rangle\rangle_n[t_1] \Downarrow_- \\
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ctx. eq. preservation

$$\begin{array}{ccc}
 & t_1 \simeq_{\text{ctx}} t_2 & \\
 \llbracket C \rrbracket_n[t_1] \Downarrow_- & \xRightarrow{(2)} & \llbracket C \rrbracket_n[t_2] \Downarrow_- \\
 & \uparrow (1) & \\
 t_1 \gtrsim_- \llbracket t_1 \rrbracket & & \\
 \llbracket C \rrbracket_n \gtrsim_n C & & \\
 C[\llbracket t_1 \rrbracket] \Downarrow_n & \xRightarrow{?} & C[\llbracket t_2 \rrbracket] \Downarrow_- \\
 & \llbracket t_1 \rrbracket \overset{?}{\simeq}_{\text{ctx}} \llbracket t_2 \rrbracket &
 \end{array}$$

ctx. eq. preservation

Preservation via Step-Idx LR

[Devriese et al.'16]

$$\begin{array}{ccc}
 t_1 \simeq_{\text{ctx}} t_2 & & \\
 \llbracket C \rrbracket_n[t_1] \Downarrow_- \xRightarrow{(2)} \llbracket C \rrbracket_n[t_2] \Downarrow_- & & \\
 \begin{array}{c} t_1 \gtrsim_- \llbracket t_1 \rrbracket \\ \llbracket C \rrbracket_n \gtrsim_n C \end{array} & \begin{array}{c} \uparrow (1) \quad \downarrow (3) \end{array} & \begin{array}{c} t_2 \lesssim_- \llbracket t_2 \rrbracket \\ \llbracket C \rrbracket_n \lesssim_- C \end{array} \\
 C[\llbracket t_1 \rrbracket] \Downarrow_n \xRightarrow{?} C[\llbracket t_2 \rrbracket] \Downarrow_- & & \\
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 \end{array}$$

ctx. eq. preservation

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- **Q2:** what is an approximate backtranslation $\langle\langle \cdot \rangle\rangle_n : \mathcal{C} \rightarrow \mathbf{C}$?

Questions

- **Q1:** what are logical **approximations**
 \lesssim_n and \gtrsim_n ? if one terminates, so does the other [Devriese *et al.* '16]
- **Q2:** what is an **approximate** backtranslation
 $\langle\langle \cdot \rangle\rangle_n : C \rightarrow C$?

The Need to Approximate

- $\llbracket \cdot \rrbracket: t \rightarrow t$ is defined on t 's syntax

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- $\llbracket \cdot \rrbracket : t \rightarrow \tau$ is defined on t 's syntax
- $\langle\langle \cdot \rangle\rangle : C \rightarrow \mathbf{C}$

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 - **approximate!** $\langle\langle \cdot \rangle\rangle \rightarrow \langle\langle \cdot \rangle\rangle_n$

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 - cannot define on C 's syntax (how to type $\langle\langle C \rangle\rangle$?)
 - define on C 's **derivation** (but \triangleq is coinductive)
 - **approximate!** $\langle\langle \cdot \rangle\rangle \rightarrow \langle\langle \cdot \rangle\rangle_n$
- Since $C \llbracket t \rrbracket \Downarrow_n$, n -unfolding of recursive types in C suffices to replicate \Downarrow_{-} in λ_I^μ

Approximate Backtranslation Type

- n not-known statically
- **Q:** what if we encounter a $n + 1$ unfolding?

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NO: $\langle\langle \cdot \rangle\rangle_n : \tau \rightarrow \tau$

YES: $\langle\langle \cdot \rangle\rangle_n : \tau \rightarrow \mathbf{BtT}_{n;\tau}$

Approximate Backtranslation Type

- n not-known statically
- **Q**: what if we encounter a $n + 1$ unfolding?
- **A**: backtranslate at Backtranslation Type

NO: $\langle\langle \cdot \rangle\rangle_n : \tau \rightarrow \tau$ YES: $\langle\langle \cdot \rangle\rangle_n : \tau \rightarrow \mathbf{BtT}_{n;\tau}$

$$\mathbf{BtT}_{0;\tau} \stackrel{\text{def}}{=} \mathbf{Unit}$$

$$\mathbf{BtT}_{n+1;\tau} \stackrel{\text{def}}{=} \begin{cases} \mathbf{Unit} \uplus \mathbf{Unit} & \text{if } \tau = \mathbf{Unit} \\ (\mathbf{BtT}_{n;\tau} \rightarrow \mathbf{BtT}_{n;\tau'}) \uplus \mathbf{Unit} & \text{if } \tau = \tau \rightarrow \tau' \\ (\mathbf{BtT}_{n;\tau} \uplus \mathbf{BtT}_{n;\tau'}) \uplus \mathbf{Unit} & \text{if } \tau = \tau \uplus \tau' \\ \mathbf{BtT}_{n+1;\tau'[\mu\alpha.\tau'/\alpha]} \uplus \mathbf{Unit} & \text{if } \tau = \mu\alpha.\tau' \end{cases}$$

Backtranslation Example and Relation

$$\langle\langle \textit{unit} \rangle\rangle_{n>0} = ?$$

$$\mathbf{BtT}_{\mathbf{n}+1; \textit{Unit}} = \mathbf{Unit} \circ \mathbf{Unit}$$

Backtranslation Example and Relation

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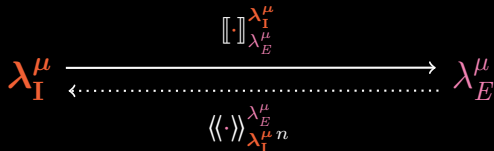
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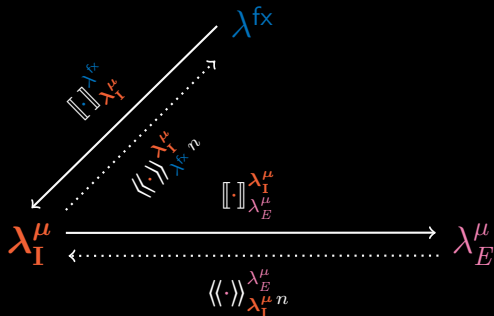
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Our Contributions, Visually



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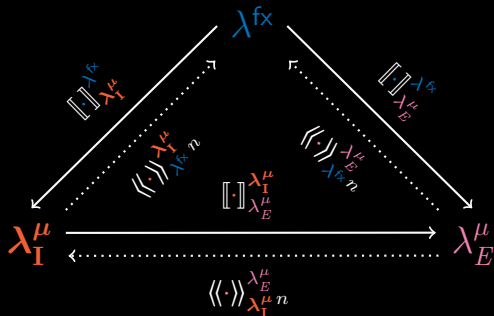
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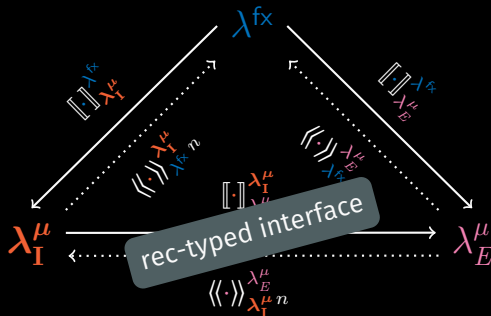


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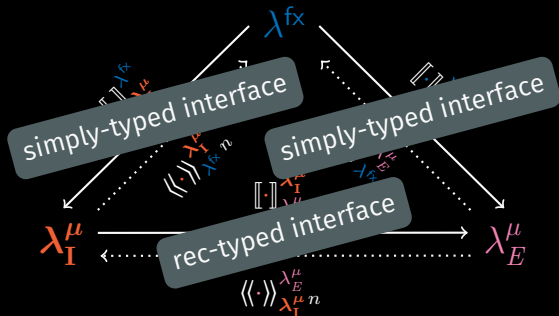


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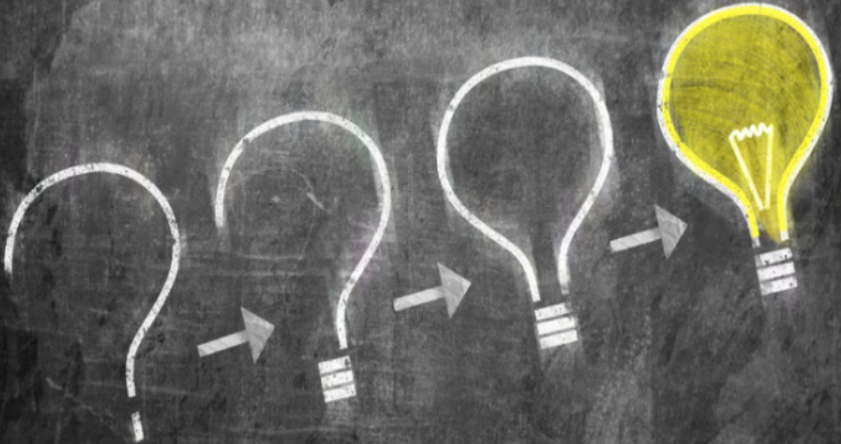


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Questions?



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