

# of Secure Compilation

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Marco Patrignani<sup>1,2</sup>



Special thanks to:

Marco Guarnieri, Catalin Hritcu, Marco Vassena  
for making their slides publicly available

07<sup>th</sup> March 2020

# HACL\* verified cryptographic library, in practice

~100.000 LOC in F\*

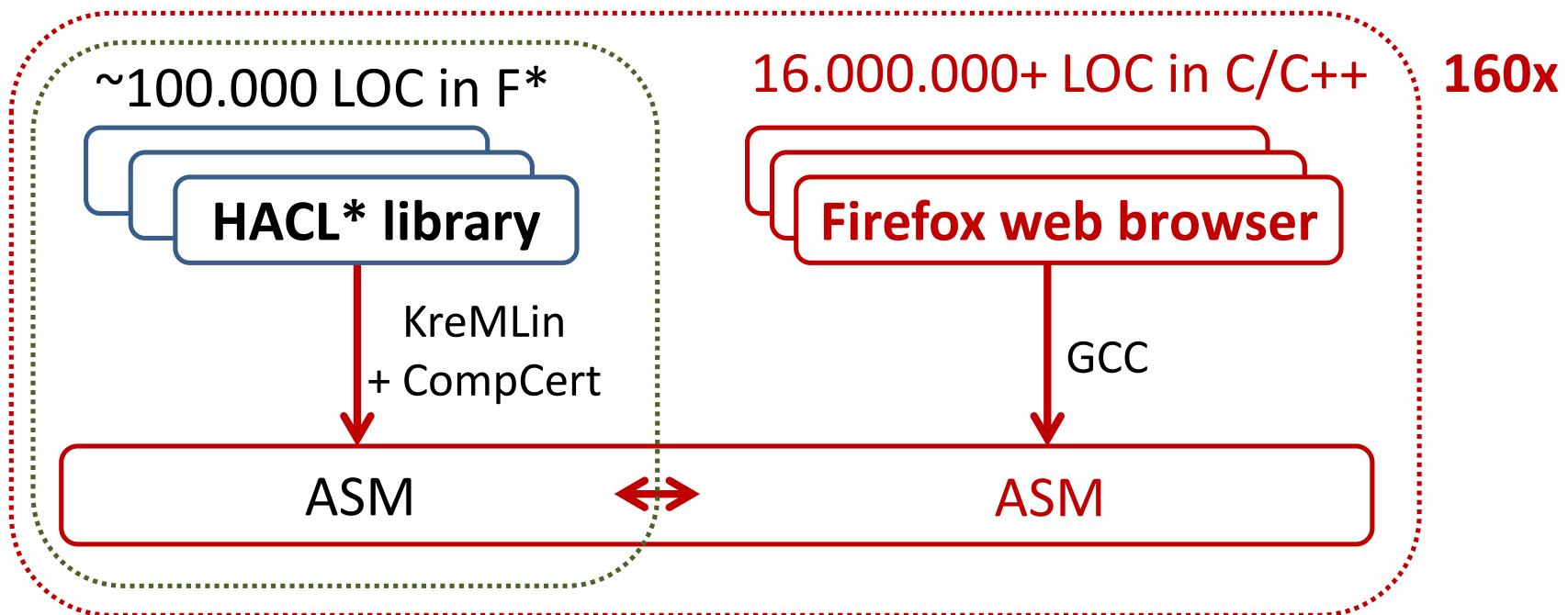
HACL\* library

16.000.000+ LOC in C/C++

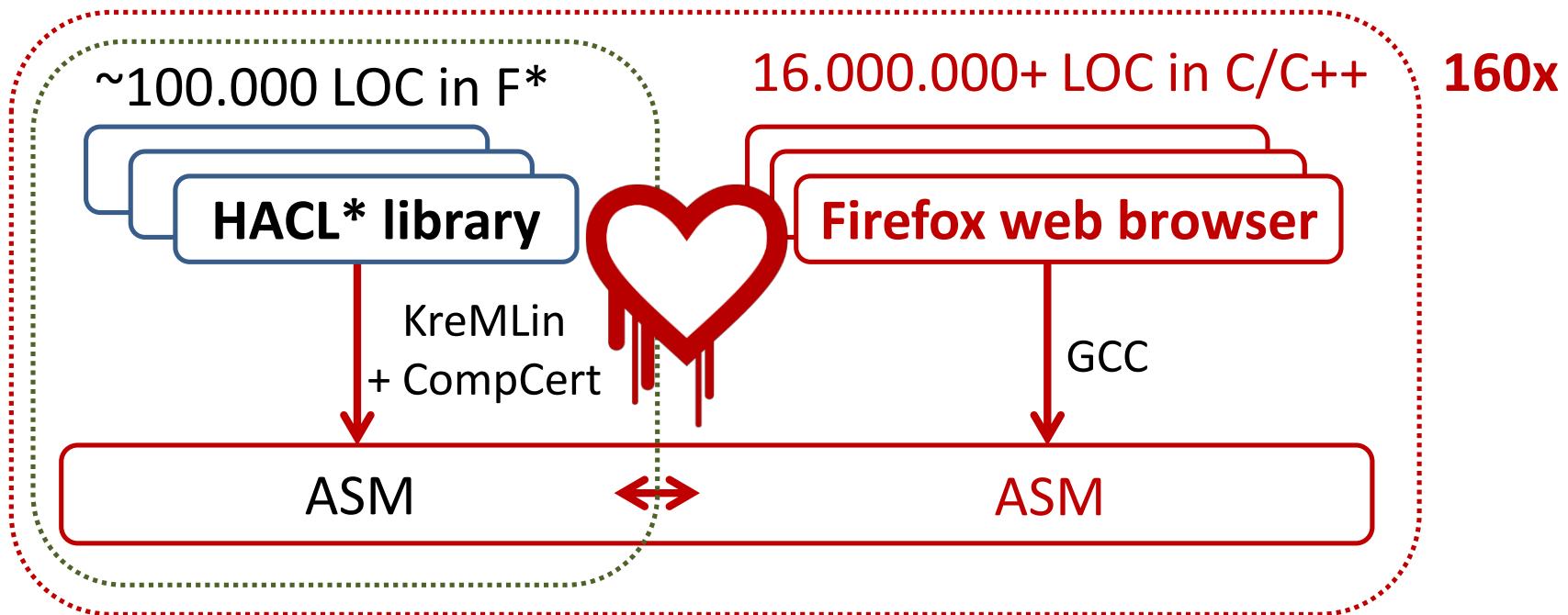
Firefox web browser

160x

# HACL\* verified cryptographic library, in practice



# HACL\* verified cryptographic library, in practice



**Insecure interoperability:** linked code can read and write data and code, jump to arbitrary instructions, smash the stack, ...

# We need secure compilation chains

- Protect source-level abstractions  
**even against linked adversarial low-level code**
  - various enforcement mechanisms: processes, SFI, ...
  - shared responsibility: compiler, linker, loader, OS, HW
- Goal: enable source-level security reasoning
  - linked adversarial target code cannot break the security of compiled program any more than some linked source code
  - no "low-level" attacks

# What is Secure Compilation?

Correct compilation

`y = &mut`  
P<sub>1</sub>

P<sub>2</sub>

...

P<sub>n</sub>

Rust

Asm

P

`[[y = &mut]]`  
[[P<sub>1</sub>]]

[[P<sub>2</sub>]]

...

[[P<sub>n</sub>]]

P'

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respect linearity

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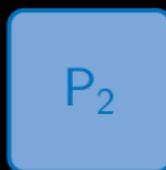
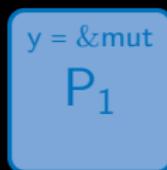
...

[[P<sub>n</sub>]]

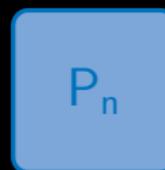
P'

# What is Secure Compilation?

Enable source-level security reasoning

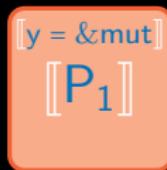


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Rust

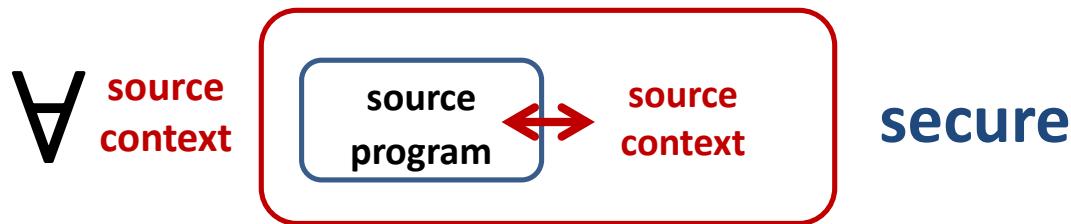
Asm



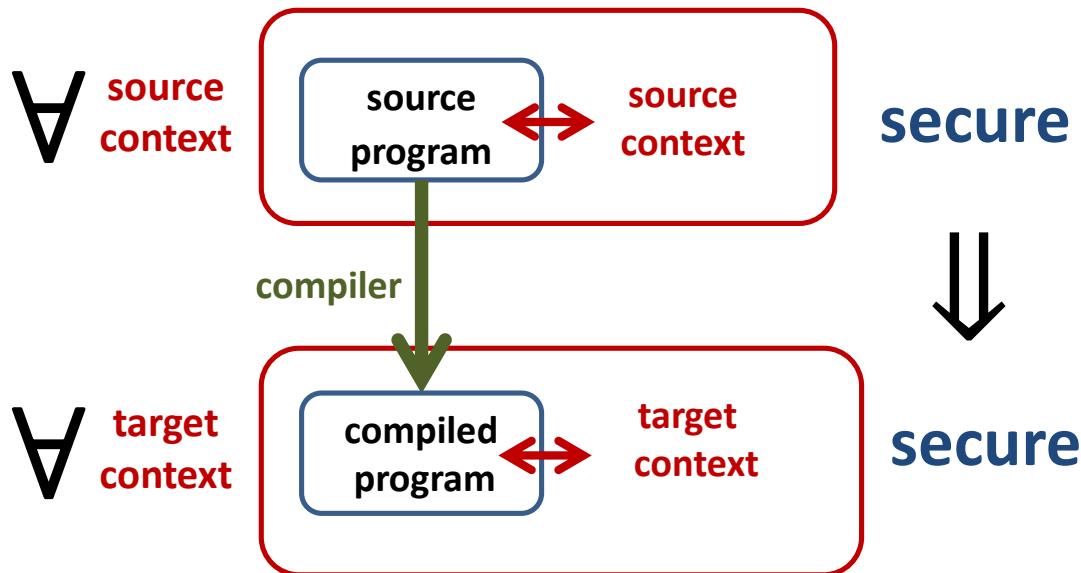
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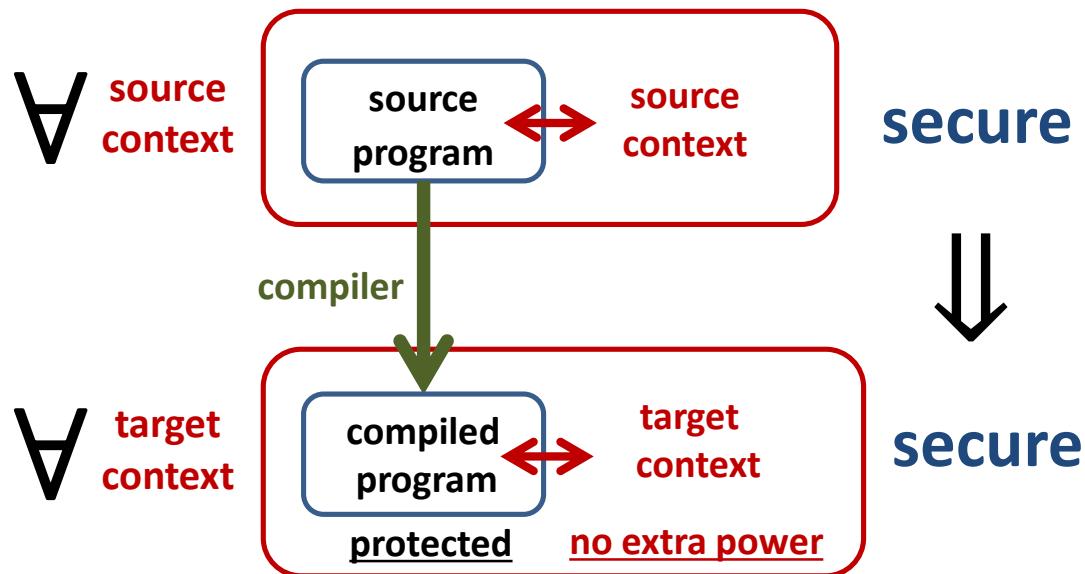
# Robustly preserving security



# Robustly preserving security



# Robustly preserving security



But what should "secure" mean?

# What properties should we robustly preserve?

# The Origins of the Secure Compiler

## Fully Abstract Compilation (FAC)

**Theorem 1** *The compositional translation is fully-abstract, up to observational equivalence: for all join-calculus processes  $P$  and  $Q$ ,*

$$P \approx Q \quad \text{if and only if} \quad \mathcal{E}\text{nv}[\llbracket P \rrbracket] \approx \mathcal{E}\text{nv}[\llbracket Q \rrbracket]$$

# Fully Abstract Compilation Influence

## Fully Abstract Compilation to JavaScript

J.-Chen<sup>1</sup>, Pierre-Evariste Dagand<sup>2</sup>, Pierre-Yves Strub<sup>1</sup>, Benj<sup>1</sup>  
and MSR-INRIA<sup>1</sup>

strath.ac.uk pierre-yves@stru

## Secure Implementations for Typed Session Abstraction

Ricardo Corin<sup>1,2,3</sup>, Pierre-Malo Deniéou<sup>1,2</sup>, Cédric Fournet<sup>1,2</sup>  
Karthikayan Bhargavan<sup>1,2</sup>, James Leifer<sup>1</sup>

1 MSR-INRIA Joint Centre, 2 Microsoft Research, 3 University of Toulouse

Amal Ahmed<sup>1</sup>, Matthias Blume<sup>2</sup>  
Toyota Technological Institute at Chicago  
{amal.blume}@ttic.org

Authentication primitives and their compilation

Martín Abadi<sup>\*</sup>  
Bell Labs Research  
Lucent Technologies

Cédric Fournet  
Microsoft Research

Georges G. J. P. Plotkin<sup>†</sup>  
INRIA Rocquencourt

On Protection by Layout Randomization  
MARTÍN ABADI, Microsoft Research, Silicon Valley  
Santa Cruz, Collège de France  
GORDON D. PLOTKIN,<sup>†</sup>  
University of Edinburgh

## Secure Compilation of Object-Oriented Components to Protected Module Architectures

Marco Patrignani, Dave Clarke, and Frank Piessens<sup>\*</sup>

iMinds-DistriNet, Dept. Computer Science  
{first.last}@iminds.be

## Beyond Good and Evil

Formalizing the Security Guarantees of Compartmentalizing Compilation  
Yannis Juglarel<sup>1,2</sup>, Clément Hritcu<sup>1</sup>, Arthur Azevedo de Amorim<sup>3</sup>, Boris Eng<sup>1,3</sup>, Benjamin C. Pierce<sup>4</sup>  
<sup>1</sup>Inria Paris, <sup>2</sup>Université Paris Diderot (Paris 7), <sup>3</sup>Université Paris 8, <sup>4</sup>University of Pennsylvania

## A Secure Compiler for ML Modules

Marco Patrignani, Dave Clarke, and Frank Piessens<sup>\*</sup>

iMinds-DistriNet, Dept. Computer Science  
{first.last}@iminds.be

James Riely  
Johns Hopkins University

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Johns Hopkins University

An Equivalence-Preserving CPS Translation  
via Multi-Language Semantics<sup>\*</sup>

On Modular and Fully-Abstract Compilations<sup>13/26</sup>  
Amal Ahmed

Matthias Blume  
Google  
blume@google.com

## Fully Abstract Compilation via Universal Embedding\*

Marco Patrignani, Dept. Computer Science, and Dave Clarke

MPI-Saarbrücken, Marco Patrignani

Dominique Devriese

# What properties should we robustly preserve?

relational



hyperproperties

(trace equivalence)

hyperproperties

(noninterference)

trace properties

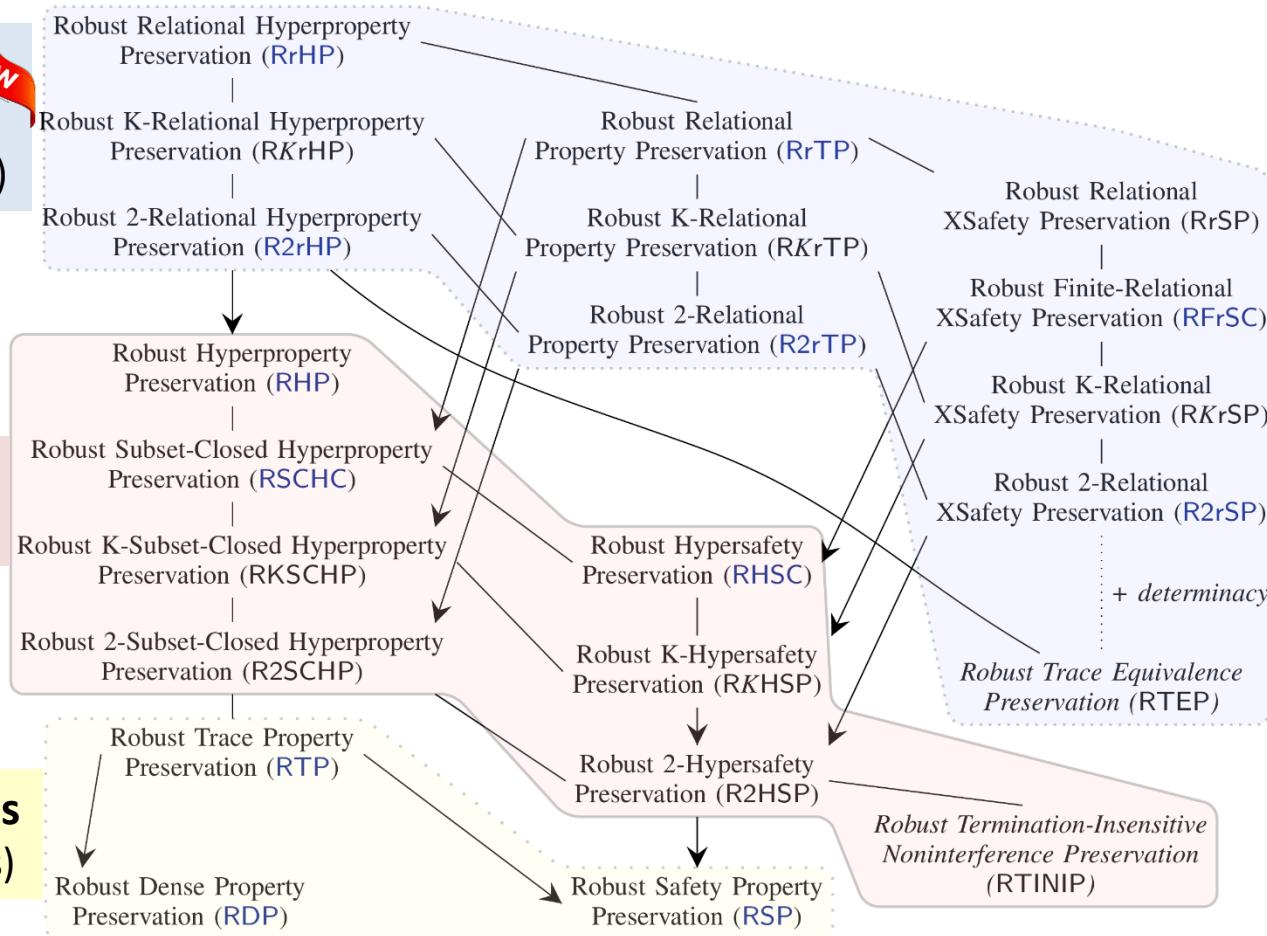
(safety & liveness)

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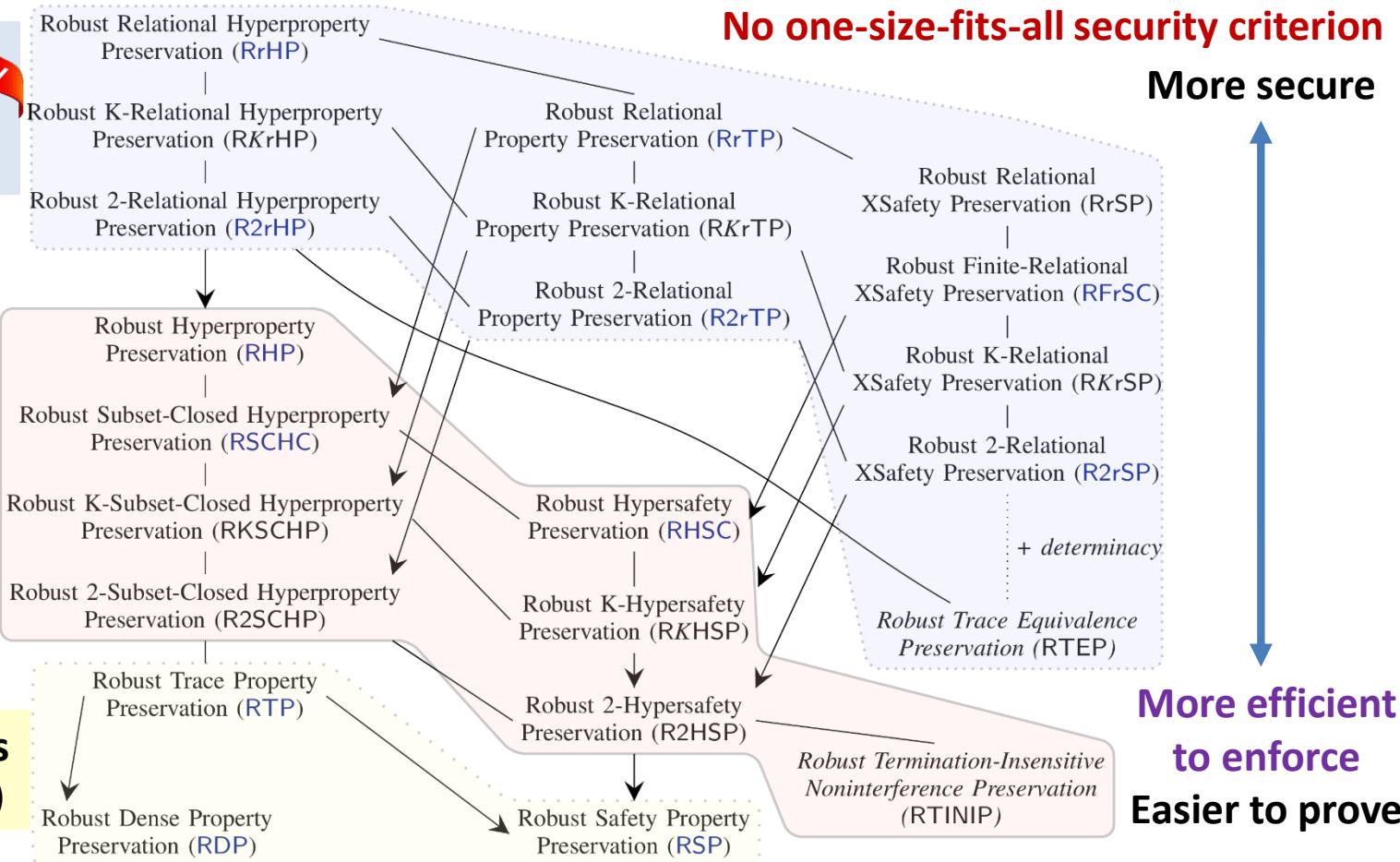


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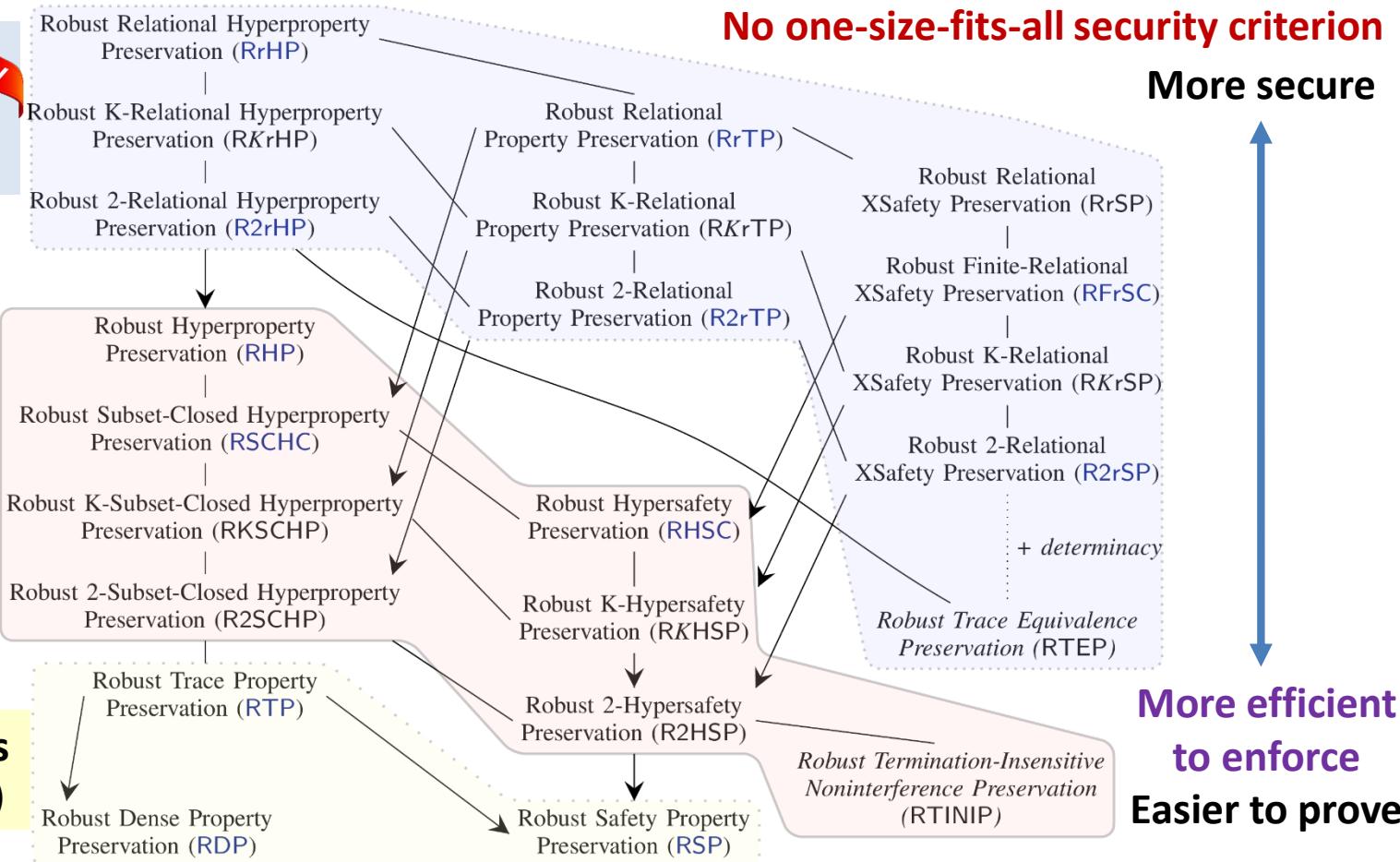
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trace properties  
(safety & liveness)

only integrity



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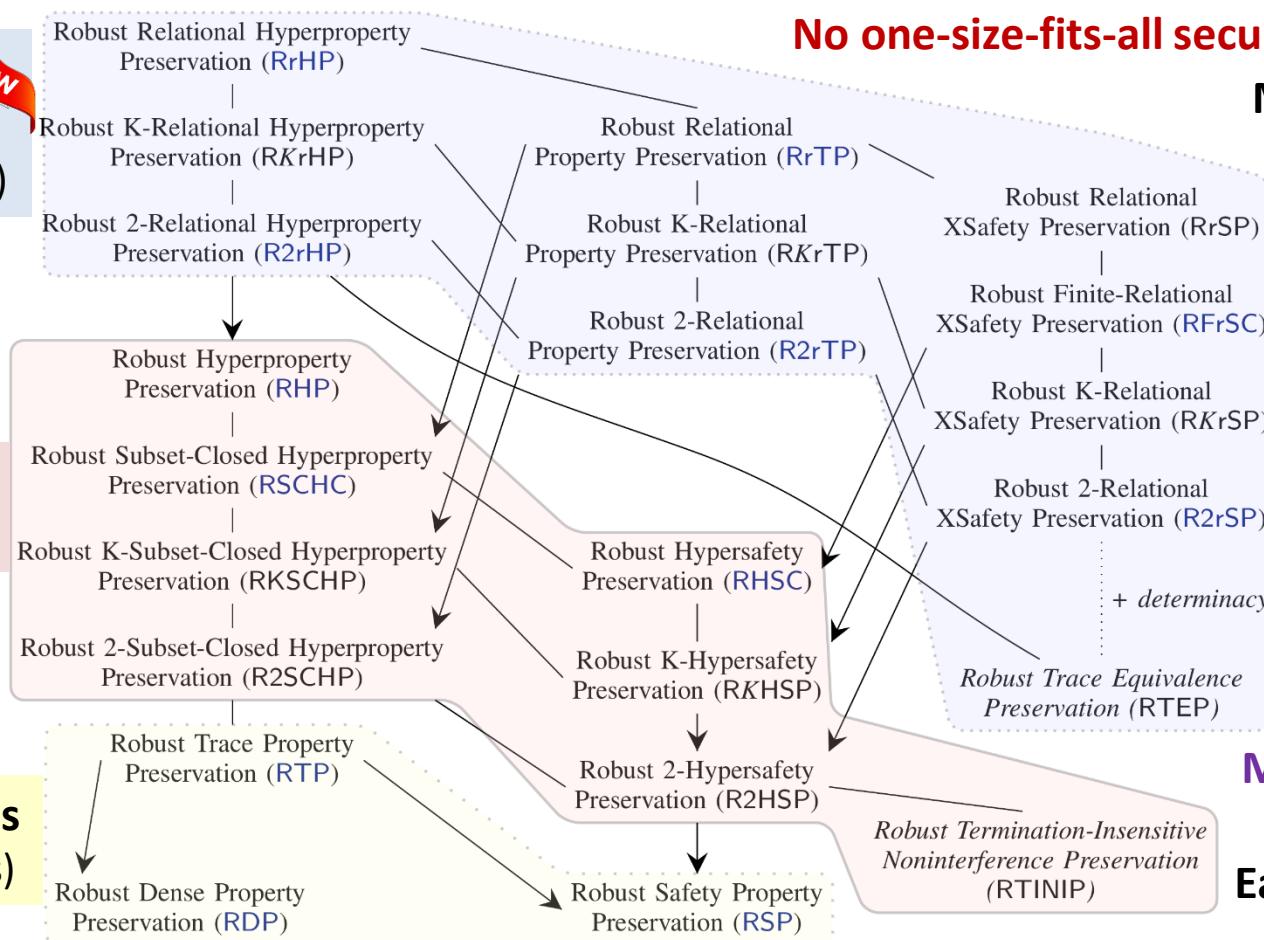
relational  
hyperproperties  
(trace equivalence)  
*new*

hyperproperties  
(noninterference)  
+ data confidentiality

trace properties  
(safety & liveness)  
only integrity

No one-size-fits-all security criterion

More secure



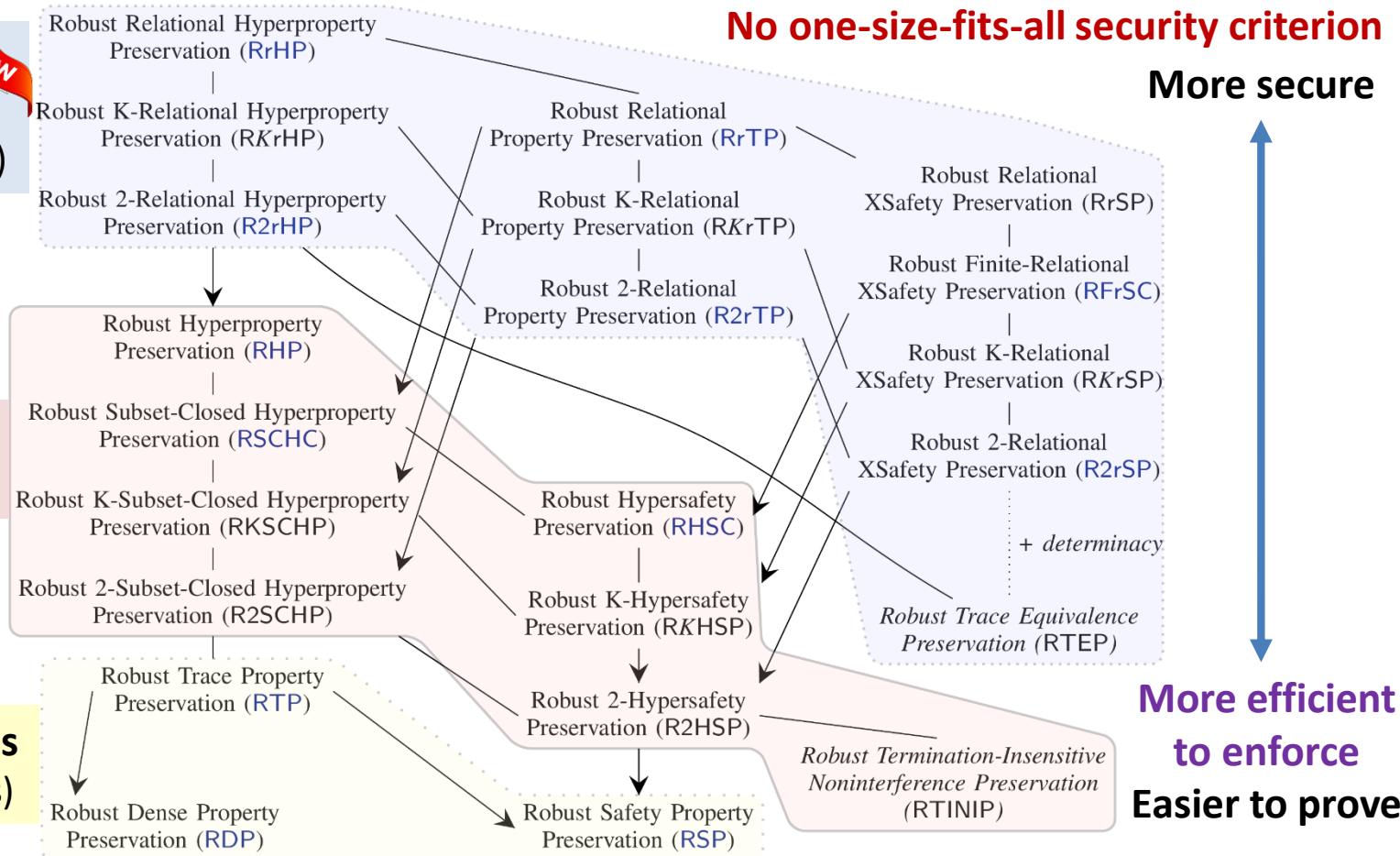
More efficient  
to enforce  
Easier to prove

# What properties should we robustly preserve?

**relational  
hyperproperties**  
(trace equivalence)  
+ code confidentiality

**hyperproperties**  
(noninterference)  
+ data confidentiality

**trace properties**  
(safety & liveness)  
only integrity



# Robust Safety

- for a safety property ( $M$ )
- no matter what we link against ( $\forall A, \bar{\alpha}$ )
- our program behaves in a way (if  $A[P] \xrightarrow{\bar{\alpha}}$ )
- that respects that safety property (then  $M \vdash \bar{\alpha}$ )

robust safety formally  
 $M \vdash P$

# *RSC* and *PF-RSC*

*RSC*: given  $M \approx \bar{M}$   
if  $M \vdash P$  then  $\bar{M} \vdash [\![P]\!]$



*PF-RSC*: if  $\forall A.A[\![P]\!] \xrightarrow{\bar{\alpha}}$   
then  $\exists A.A[P] \xrightarrow{\bar{\alpha}}$  and  $\bar{\alpha} \approx \bar{\alpha}$

- $\iff$  must be proven (when needed)
- proof is (generally) trivial
- sanity-check for cross-language safety encoding ( $M \approx \bar{M}$ )

# Backtranslation: Build A From A or $\bar{\alpha}$

HP: P is RS

newBlk(c)  
addBlk(b)  
verifyCh()

+



newBlk(c)  
addBlk(b)  
verifyCh()

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Compiled code

# Backtranslation: Build A From A or $\bar{\alpha}$

HP: P is RS

newBlk(c)  
addBlk(b)  
verifyCh()

+



verifyCh()? ret 0!  
addBlk( b )? ret ok!  
verifyCh()? ret 0!

newBlk(c)  
addBlk(b)  
verifyCh()

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Compiled code

For Any Attacker!

# Backtranslation: Build A From A or $\bar{\alpha}$

HP: P is RS

newBlk(c)  
addBlk(b)  
verifyCh()

verifyCh()? ret true!  
addBlk( b )? ret ok!  
verifyCh()? ret true!

+

Exists Attacker!

lib<sub>1</sub>    $\exists A$    lib<sub>2</sub>   lib<sub>3</sub>

newBlk(c)  
addBlk(b)  
verifyCh()

verifyCh()? ret 0!  
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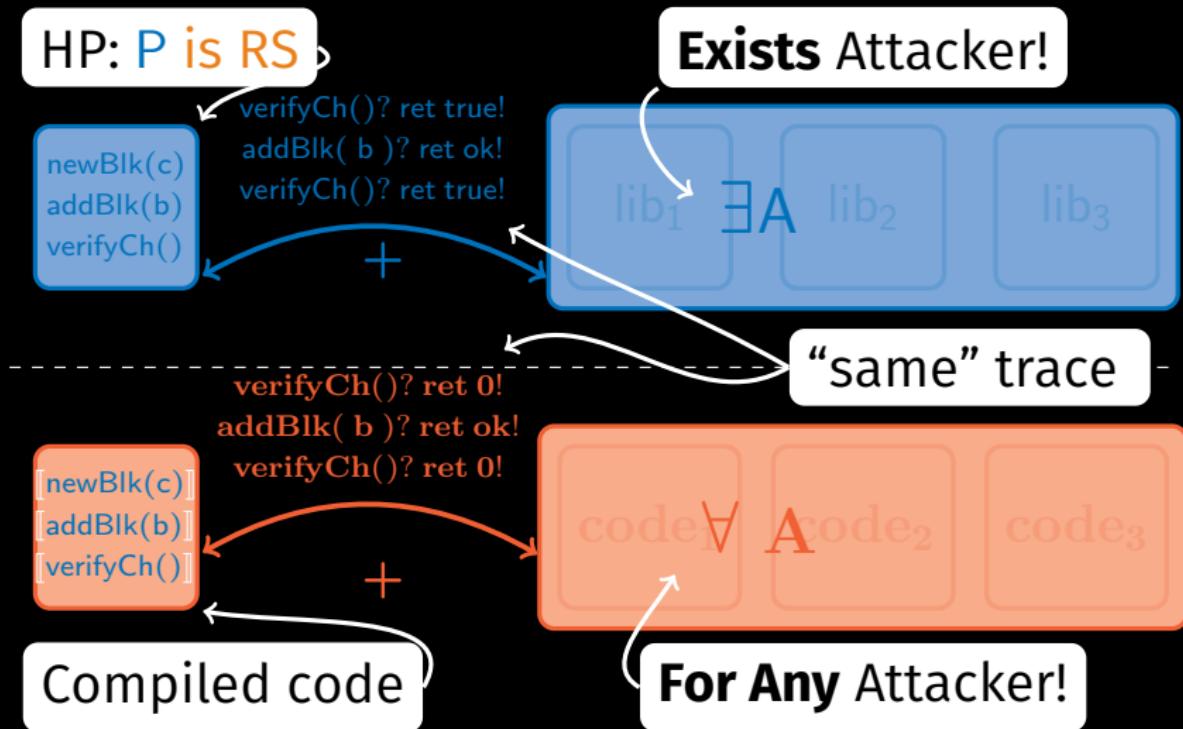
+

Compiled code

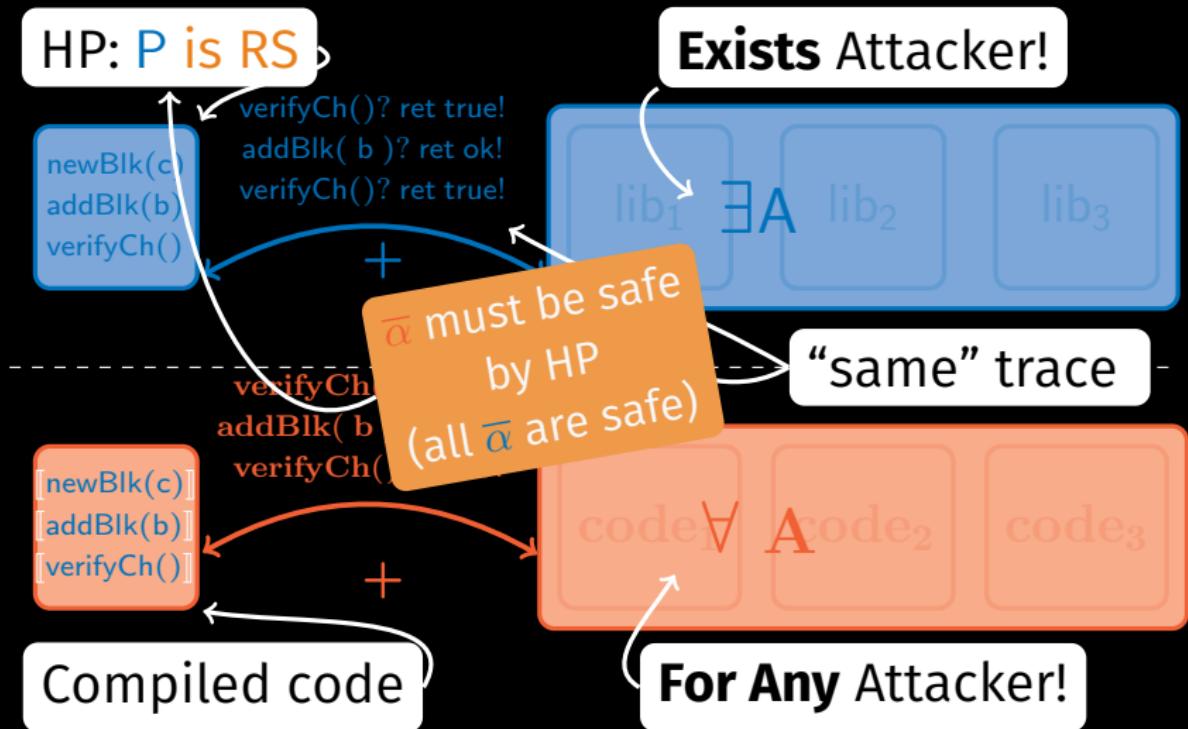
For Any Attacker!

code<sub>1</sub>    $\forall A$    code<sub>2</sub>   code<sub>3</sub>

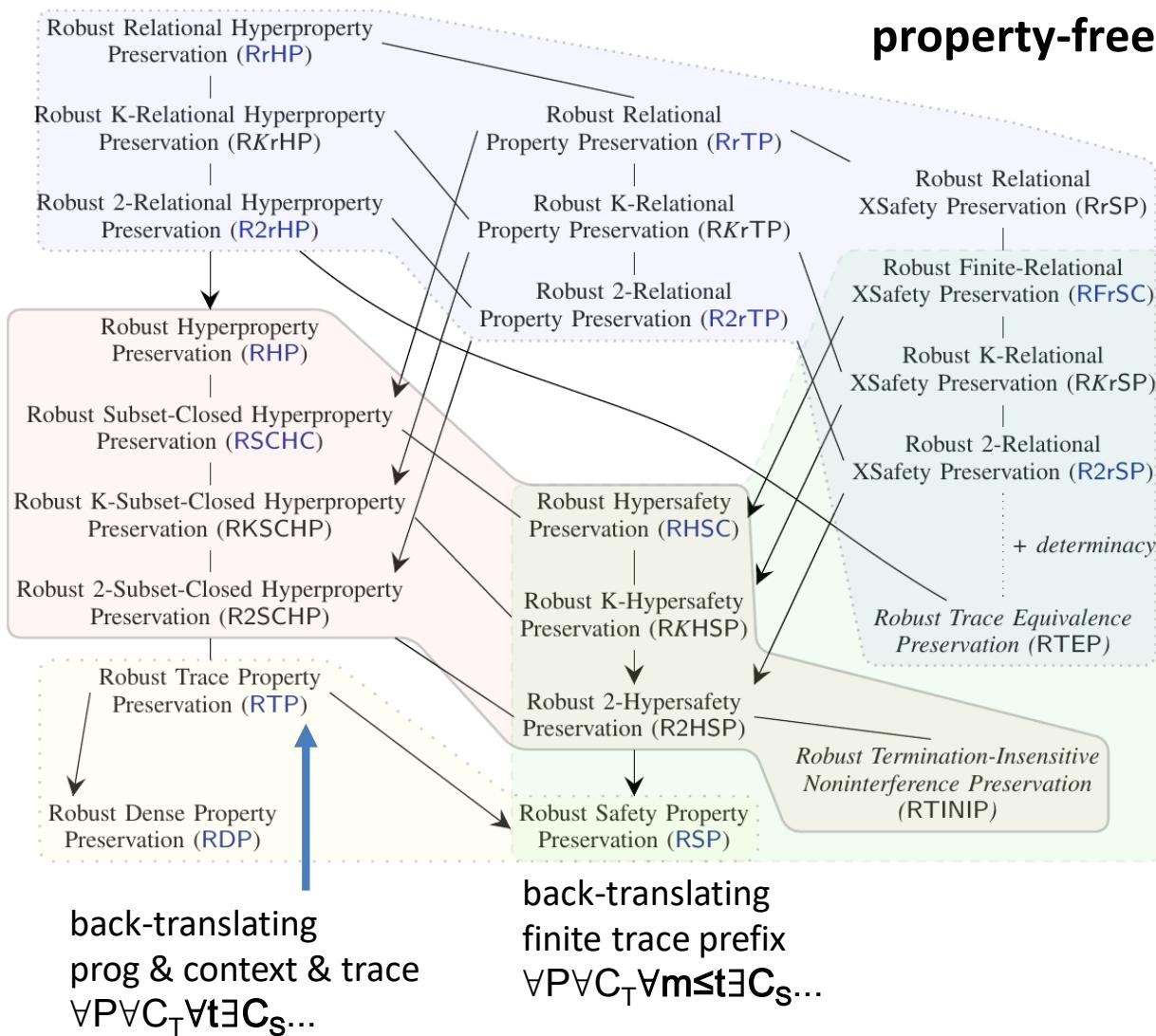
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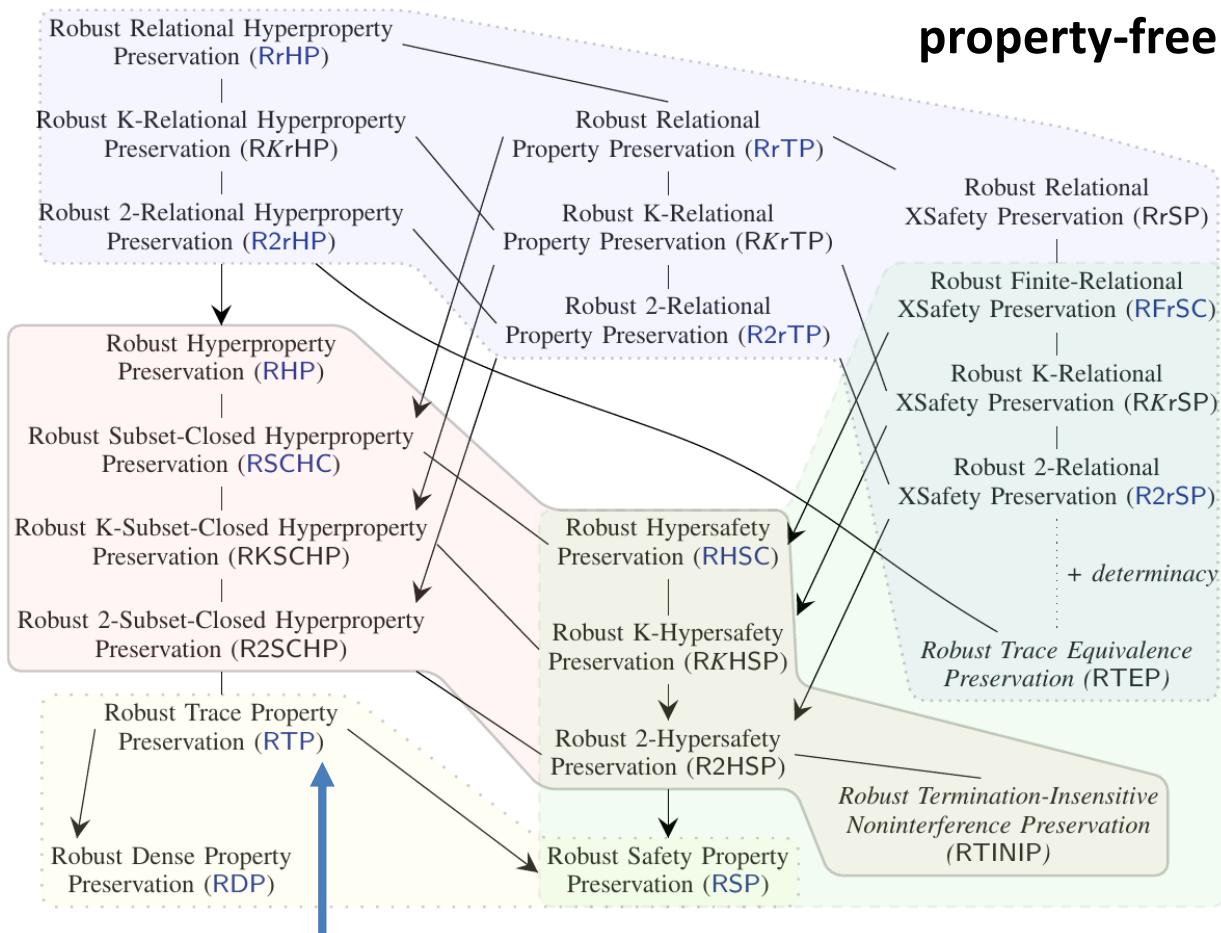
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# Some of the proof difficulty is manifest in property-free characterization

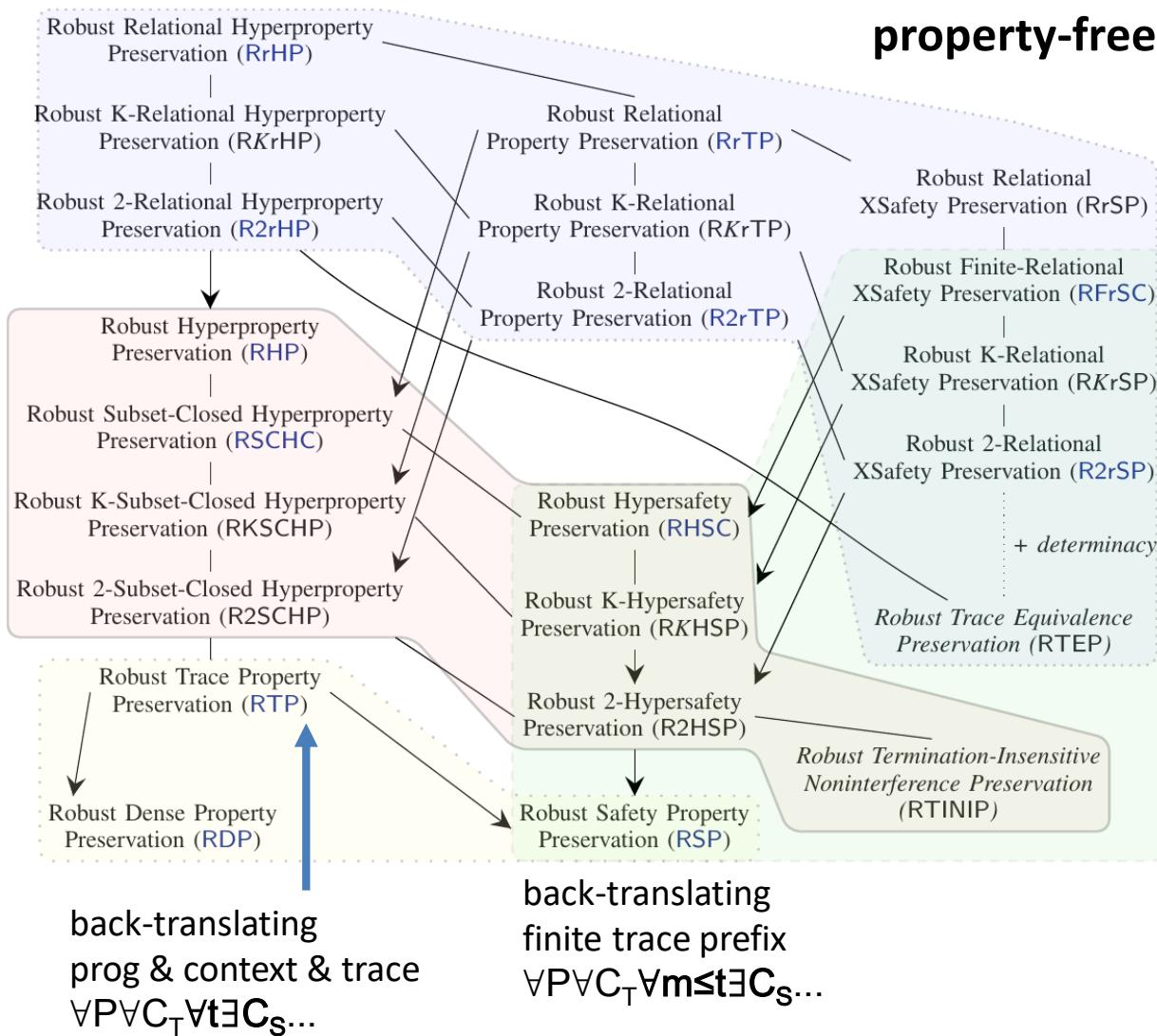


# Some of the proof difficulty is manifest in property-free characterization



back-translating  
prog & context & trace  
 $\forall P \forall C_T \forall t \exists C_S \dots$

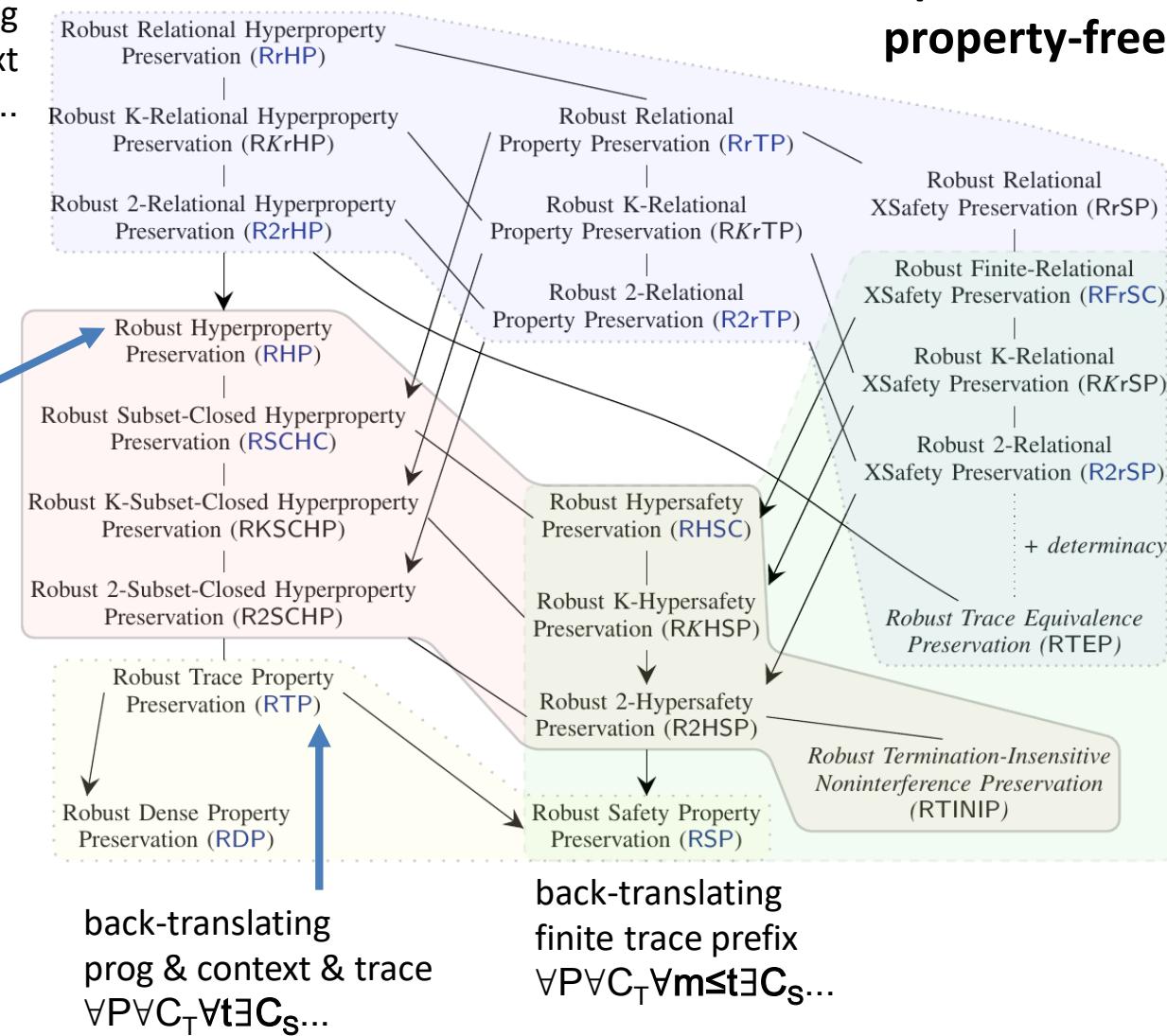
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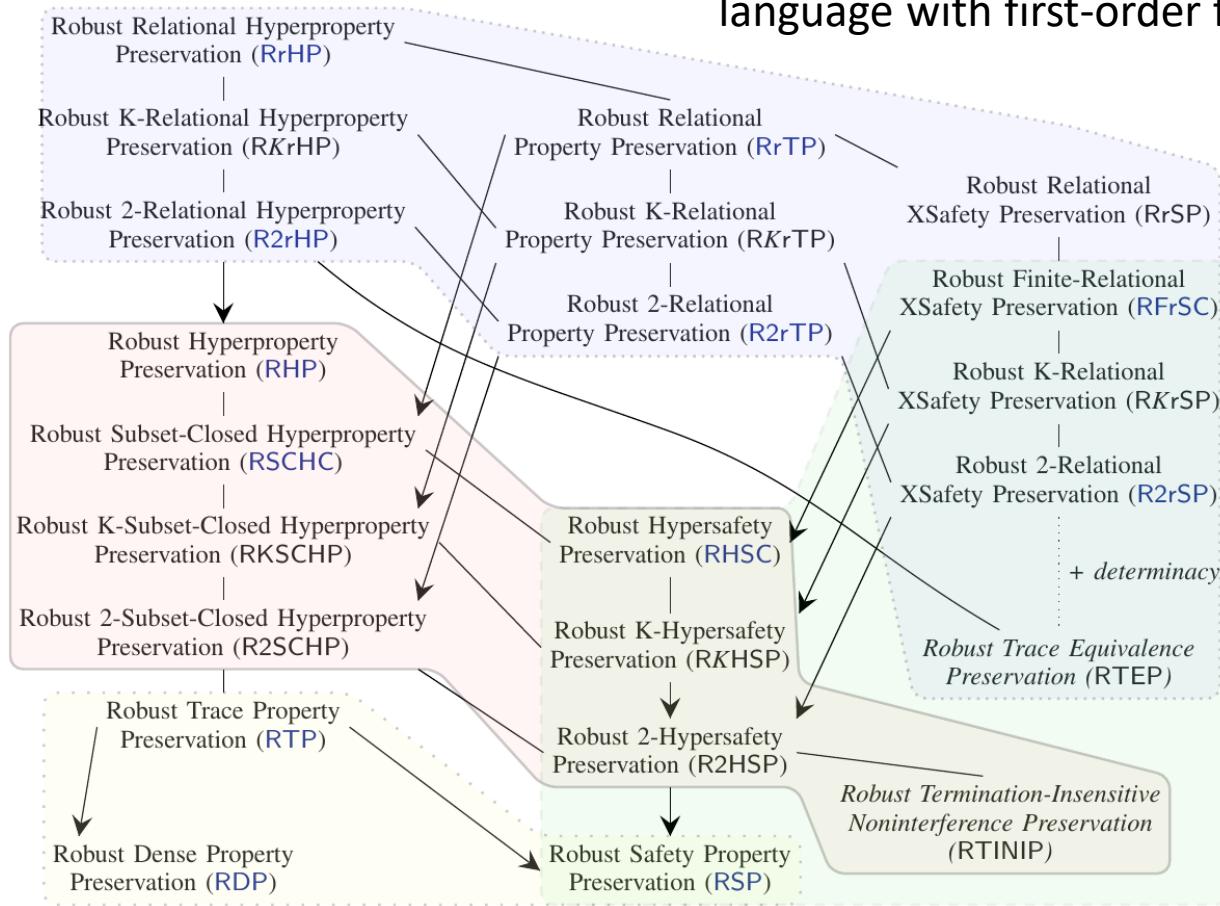
back-translating context  
 $\forall C_T \exists C_S \forall P \forall t \dots$

back-translating prog & context  
 $\forall P \forall C_T \exists C_S \forall t \dots$



# Embraced and extended™ proof techniques

for simple translation from statically to dynamically typed language with first-order functions and I/O

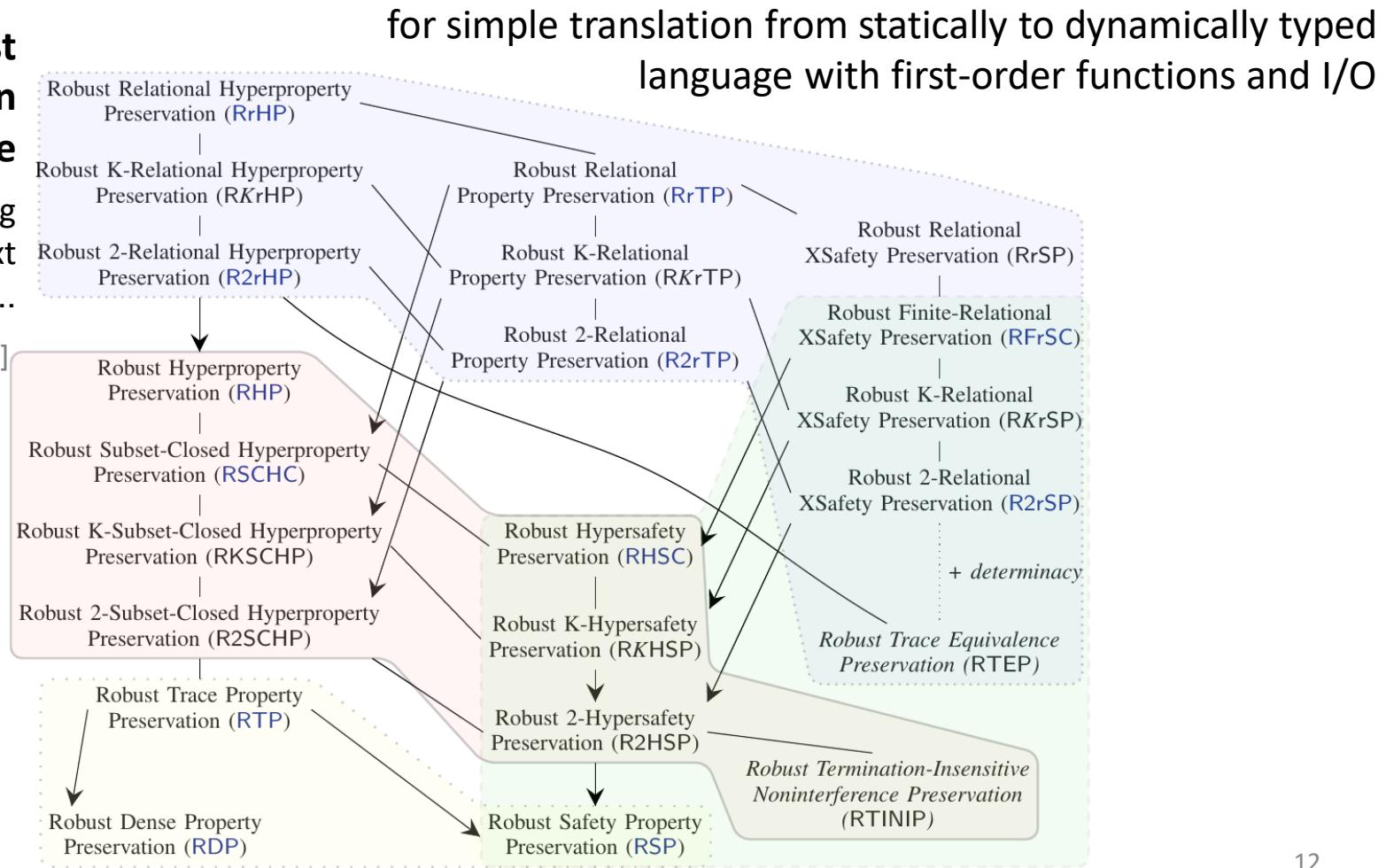


# Embraced and extended™ proof techniques

strongest  
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 $\forall C_T \exists C_S \forall P \forall t \dots$

[New et al, ICFP'16]

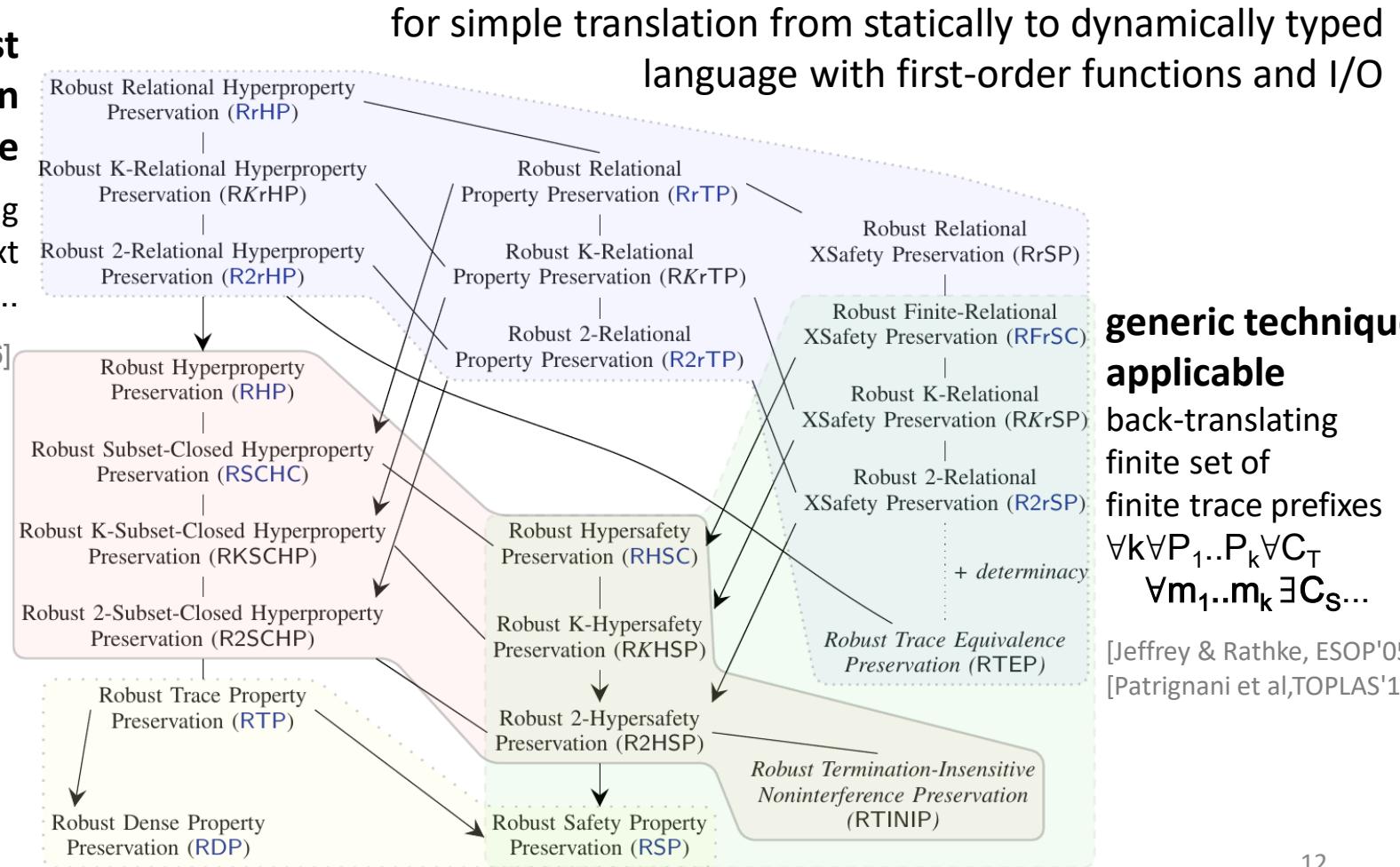


# Embraced and extended™ proof techniques

**strongest  
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back-translating  
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 $\forall C_T \exists C_S \forall P \forall t \dots$

[New et al, ICFP'16]



# **Proof Techniques for Secure Compilation**

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# Proving FAC

$$P1 \simeq_{ctx} P2$$



$$\llbracket P1 \rrbracket \simeq_{ctx} \llbracket P2 \rrbracket$$

# Proving FAC (History)

$$P1 \simeq_{ctx} P2$$

||

Ahmed *et al.*'8'11'14'15'16'17,  
Devriese *et al.*'16'17

$$\llbracket P1 \rrbracket \sim_n \llbracket P2 \rrbracket$$

# Proving FAC with Logical Relations

$$P1 \simeq_{ctx} P2$$

approx. compiler security

$$\llbracket P1 \rrbracket \stackrel{?}{\simeq}_{ctx} \llbracket P2 \rrbracket$$

# Proving FAC with Logical Relations

$$P1 \simeq_{ctx} P2$$

$$\begin{array}{ccc} C[\llbracket P1 \rrbracket] \Downarrow_n & \stackrel{?}{\Rightarrow} & C[\llbracket P2 \rrbracket] \Downarrow_- \\ \llbracket P1 \rrbracket & \simeq_{ctx}^? & \llbracket P2 \rrbracket \end{array}$$

approx. compiler security

# Proving FAC with Logical Relations

$$P1 \simeq_{ctx} P2$$

$$\begin{array}{l} \langle\langle C \rangle\rangle_n \sim_n C \\ P1 \sim_- [\![P1]\!] \end{array} \quad \begin{array}{c} \uparrow \\ (1) \end{array}$$

$$\begin{array}{ccc} C[\![P1]\!] \Downarrow_n & \xrightarrow{?} & C[\![P2]\!] \Downarrow_- \\ \Downarrow_n & & \Downarrow_- \\ [\![P1]\!] \simeq_{ctx} ? [\![P2]\!] \end{array}$$

approx. compiler security

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$$P1 \simeq_{ctx} P2$$

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$$C[\![P1]\!] \Downarrow_n \stackrel{?}{\Rightarrow} C[\![P2]\!] \Downarrow_-$$

$$[\![P1]\!] \simeq_{ctx}^? [\![P2]\!]$$

approx. compiler security

# Open Problems

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- Compiling to WebAssembly
- Proving security against Spectre

# Memory Safety Preservation for WebAssembly

Marco Vassena <sup>1</sup>



Marco Patrignani <sup>1,2</sup>



<sup>1</sup>



**CISPA**  
HELMHOLTZ CENTER FOR  
INFORMATION SECURITY

<sup>2</sup>



**Stanford**  
University

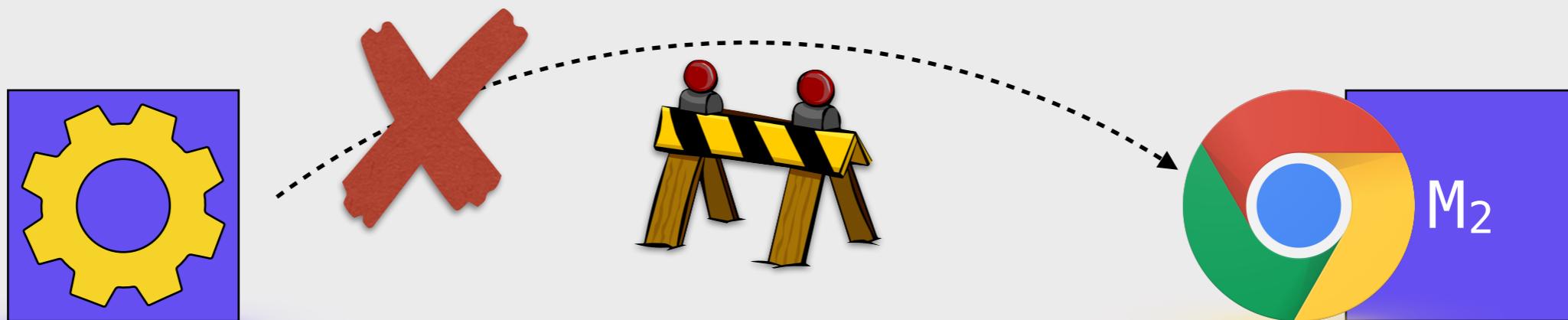
# WebAssembly

WA

## Validation



## **Sandboxed** Execution Environment



# Problem



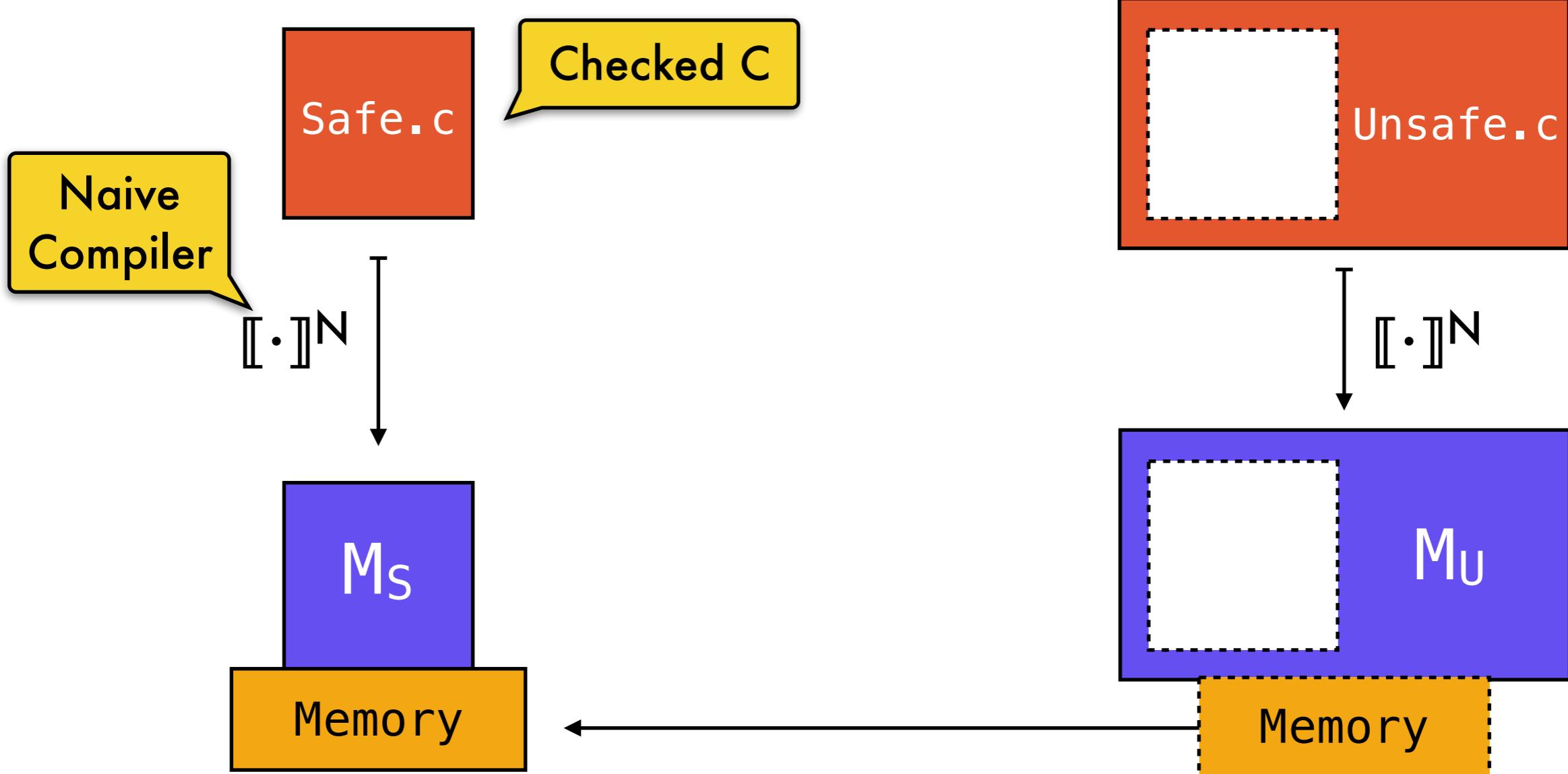
Checked C

Safe.c

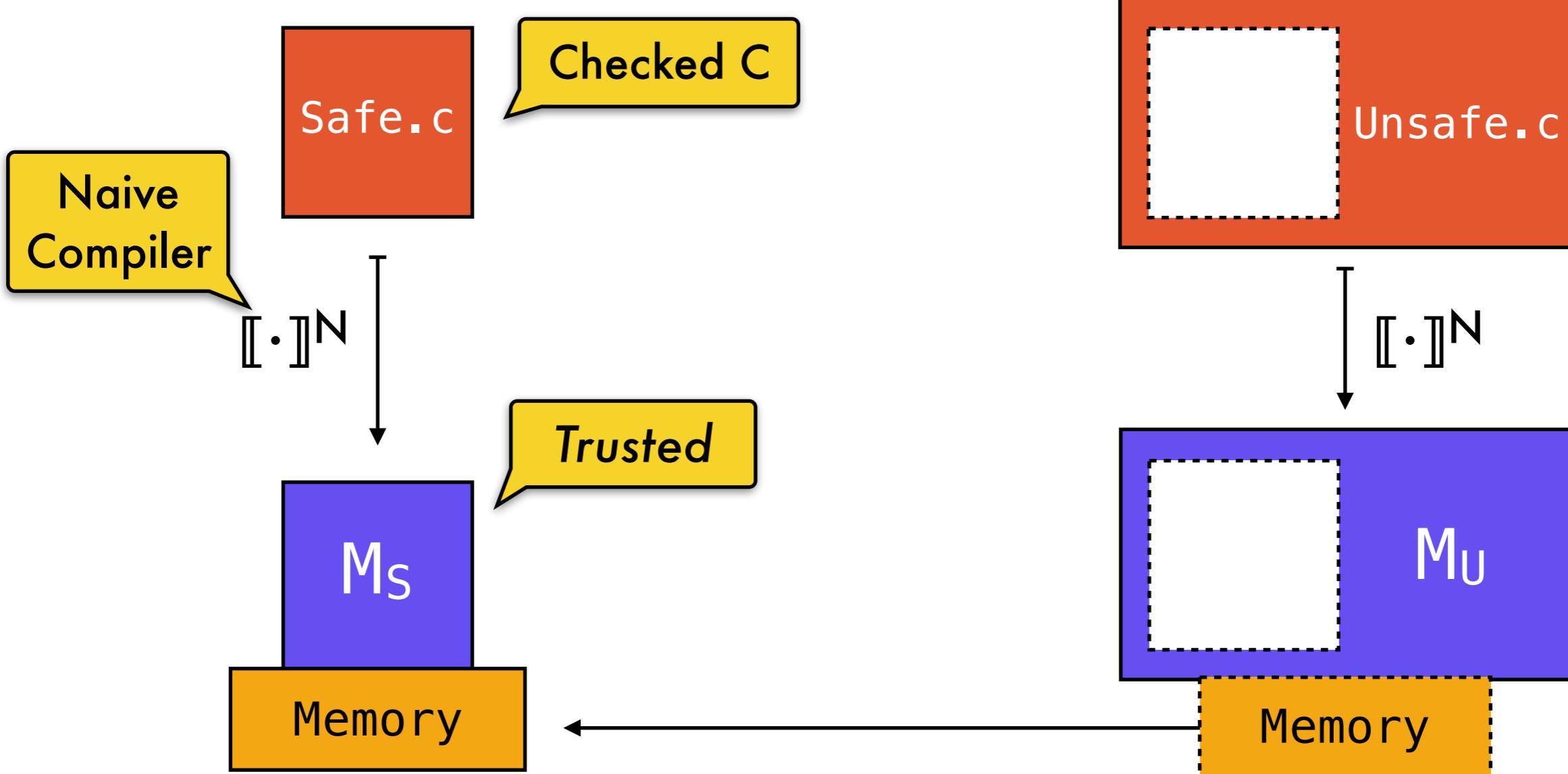


Unsafe.c

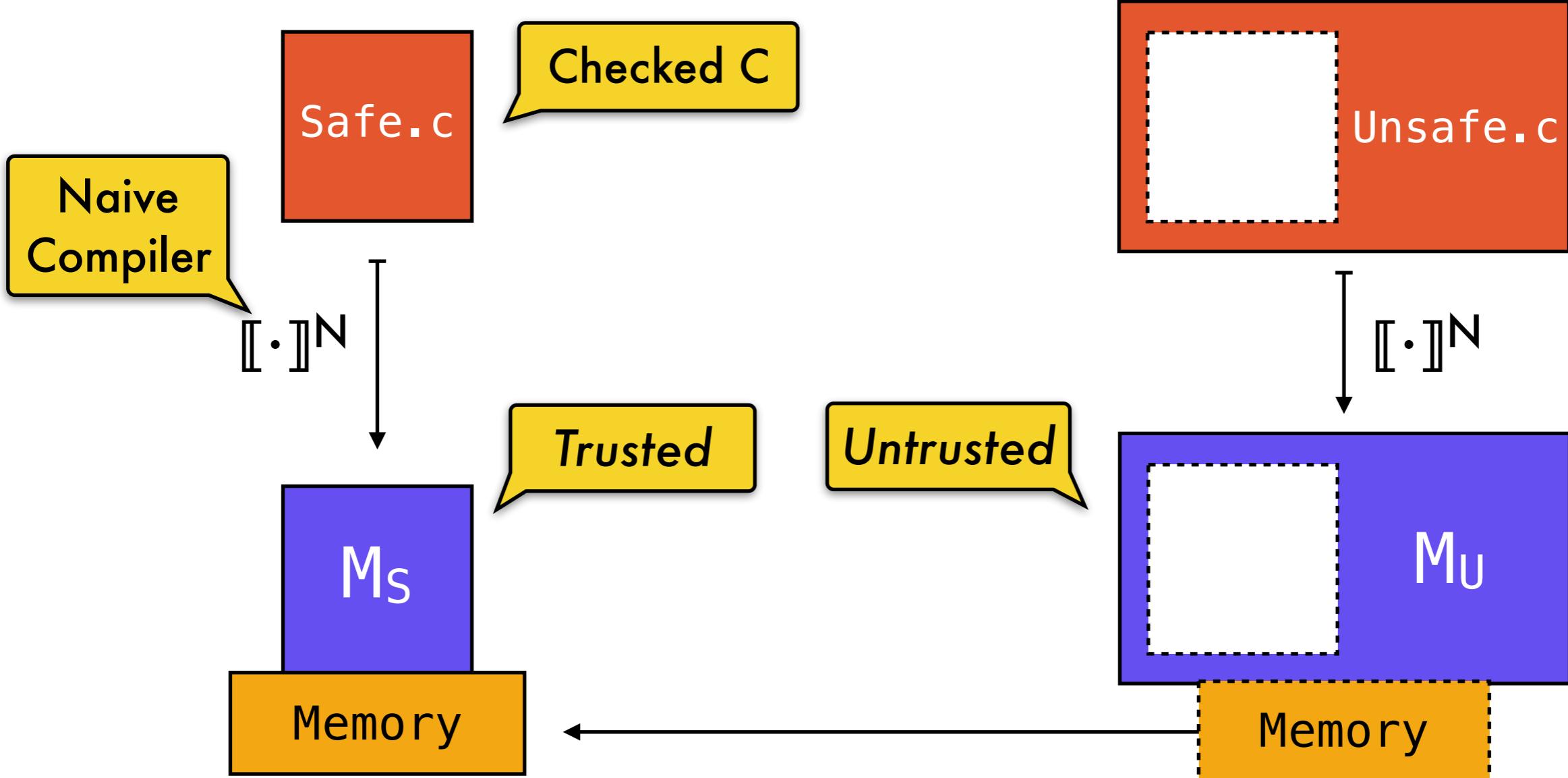
# Problem



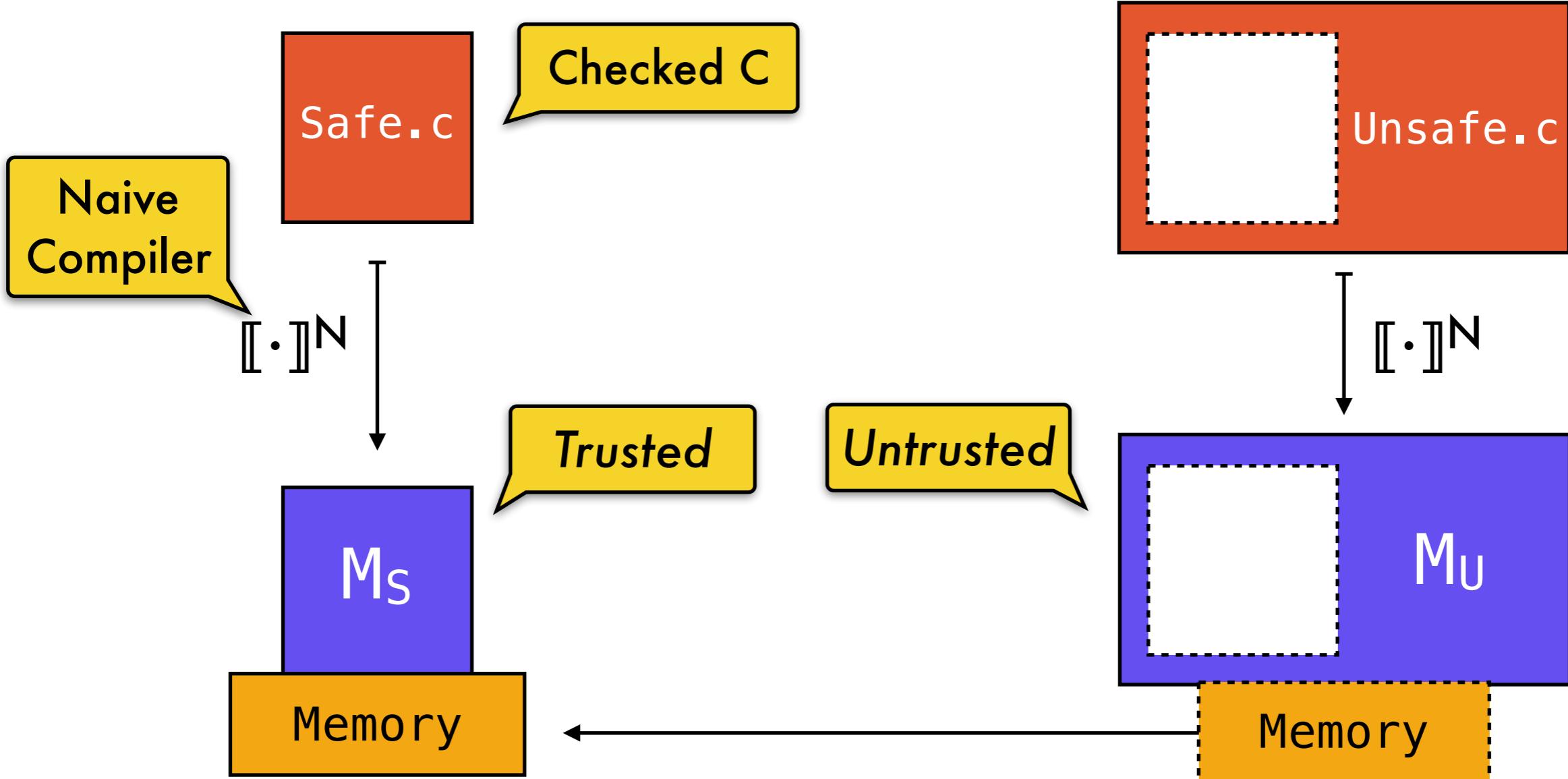
# Problem



# Problem



# Problem



*Module  $M_U$  can corrupt the shared memory and  
break memory safety of  $M_S$*

# Memory Safety as a Trace Property

*Pointers and memory locations are tagged with **colors***

*Trace*

$$\alpha = \mathbf{read}(n^c) \mid \mathbf{write}(n^c) \mid \mathbf{alloc}(N, n^c) \mid \mathbf{free}(n^c)$$

*Memory Safety*

$$MS(\bar{\alpha}, H) = \exists H'. H \xrightarrow{\bar{\alpha}} H'$$

# Relaxing Memory Safety

*Our definition can capture interesting variations*

Array bounds & null pointer

Including Relaxed Temporal safety

Spatial Memory Safety

Temporal Memory Safety

Intra-object, e.g., struct fields

Using colors & valid bit

Fine-grained Memory Safety

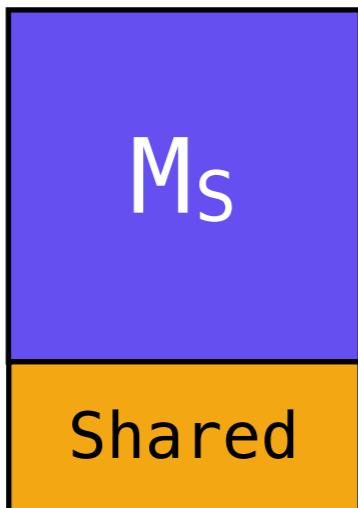
Pointer Integrity

# Short Term Solution

*Provide memory isolation through **module encapsulation***

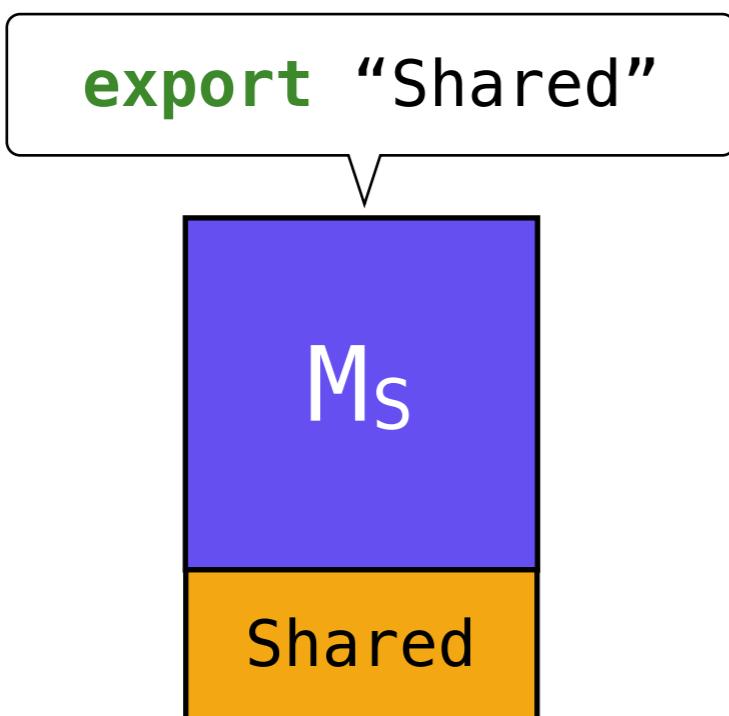
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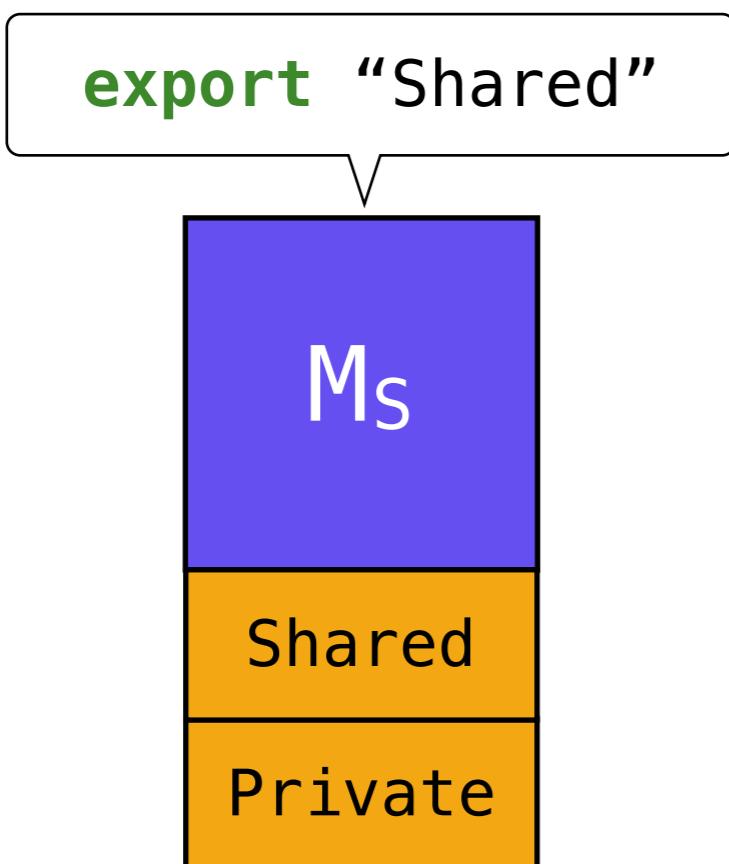
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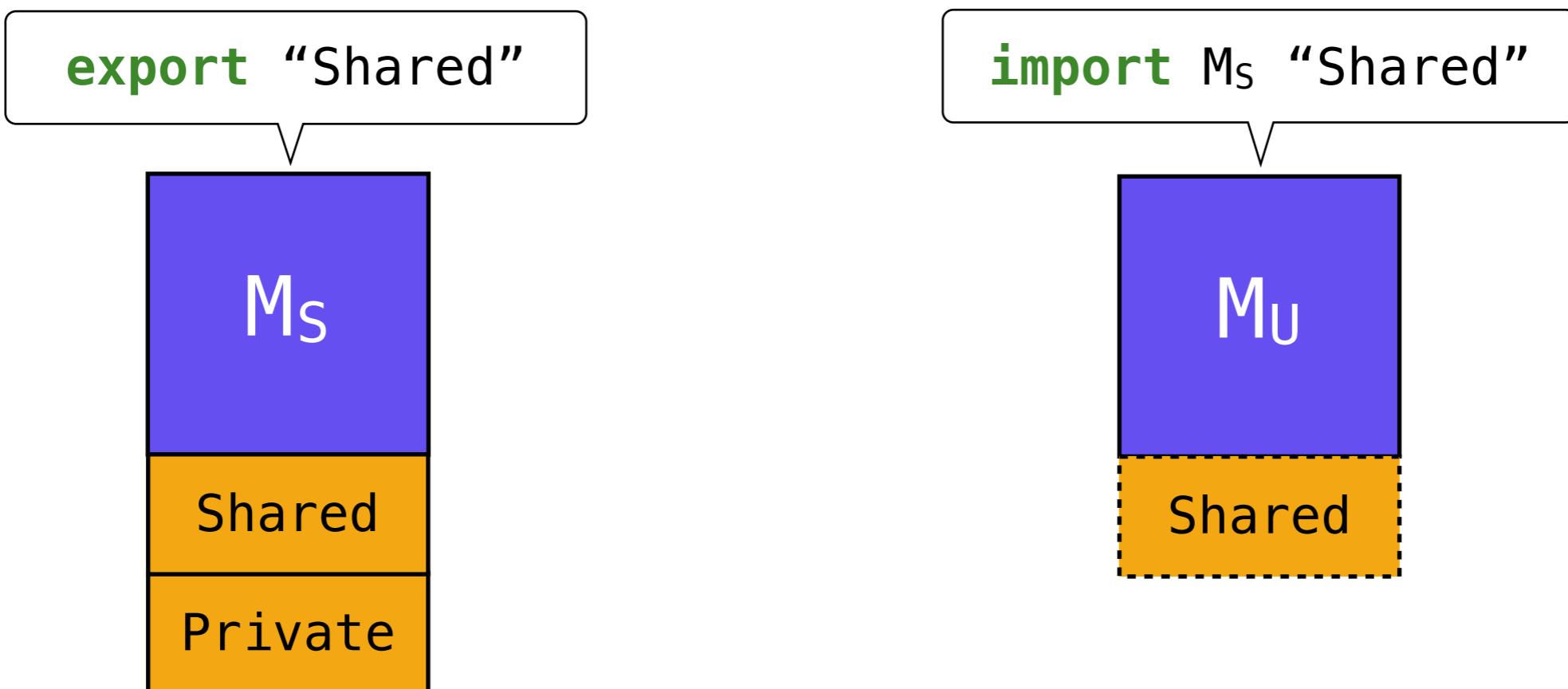
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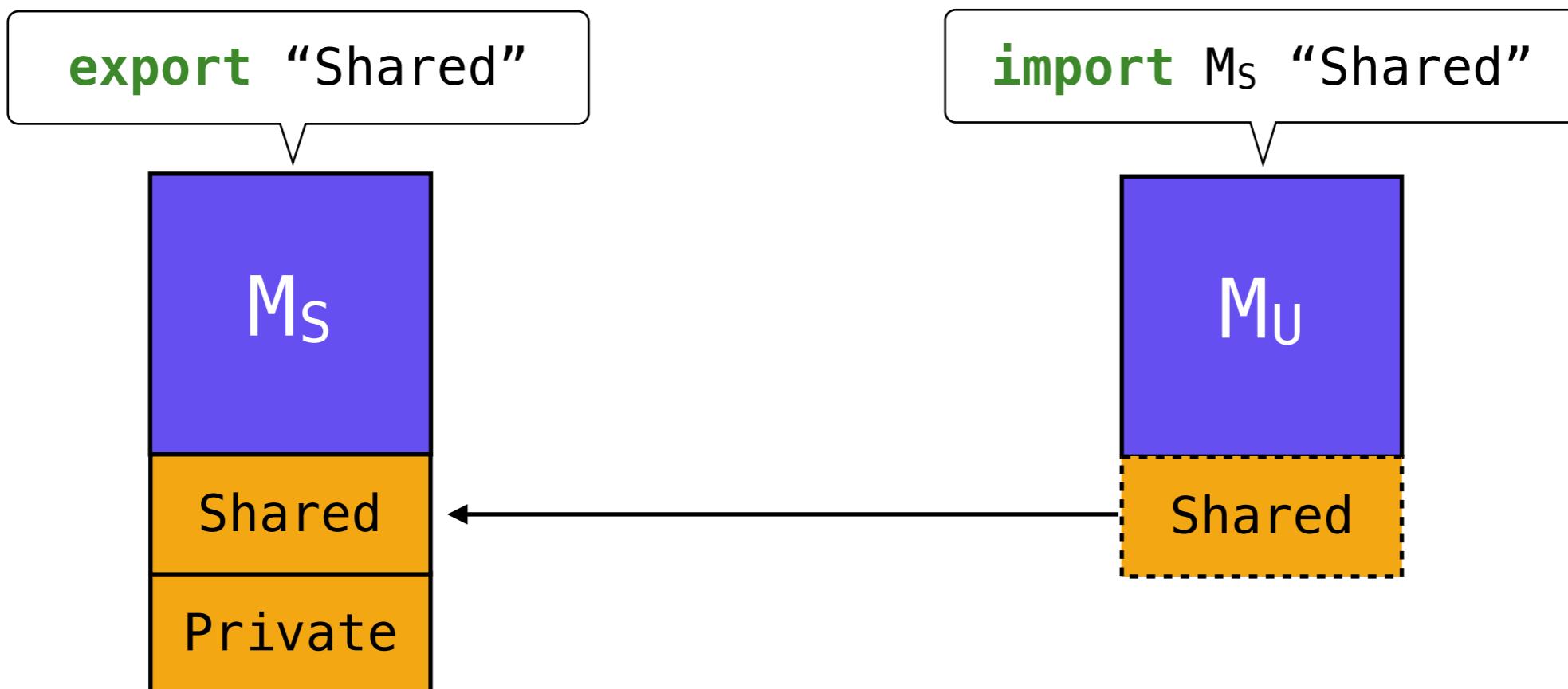
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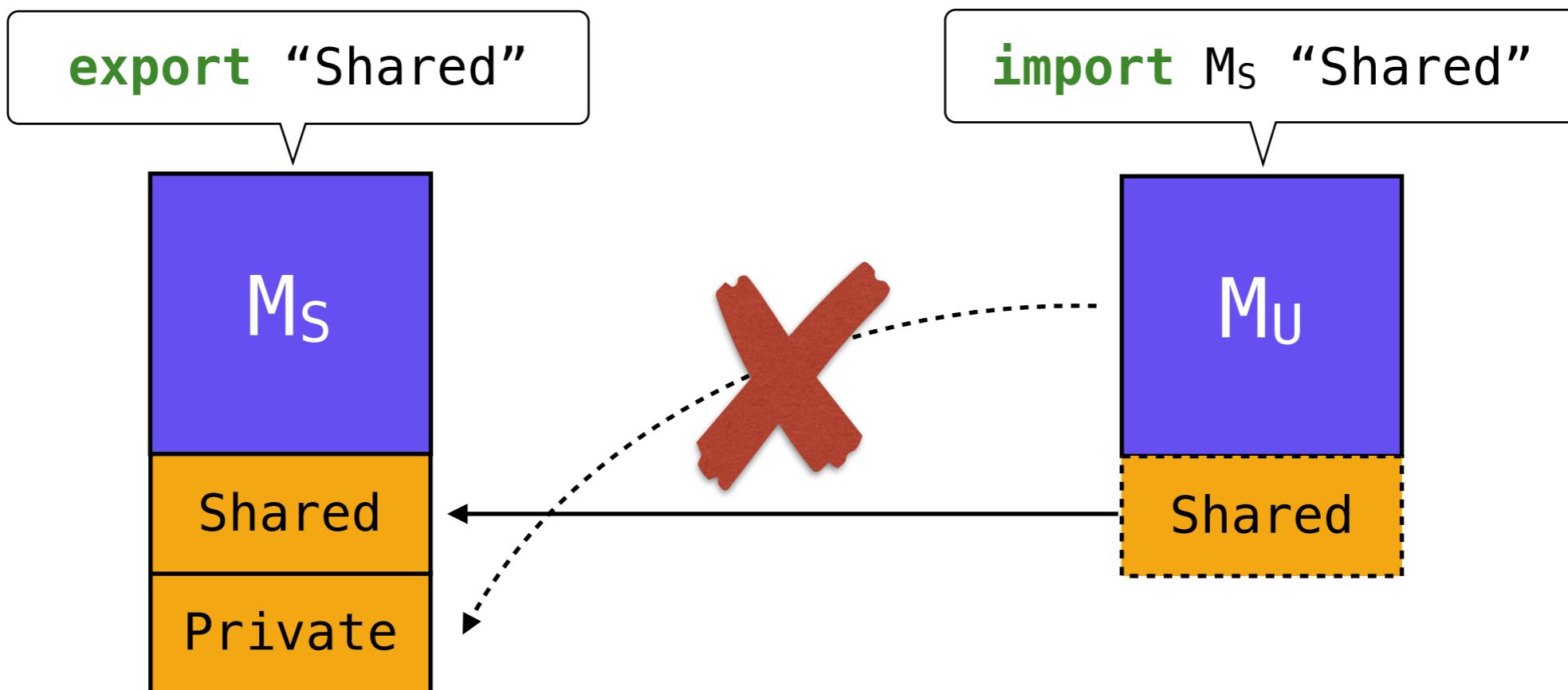
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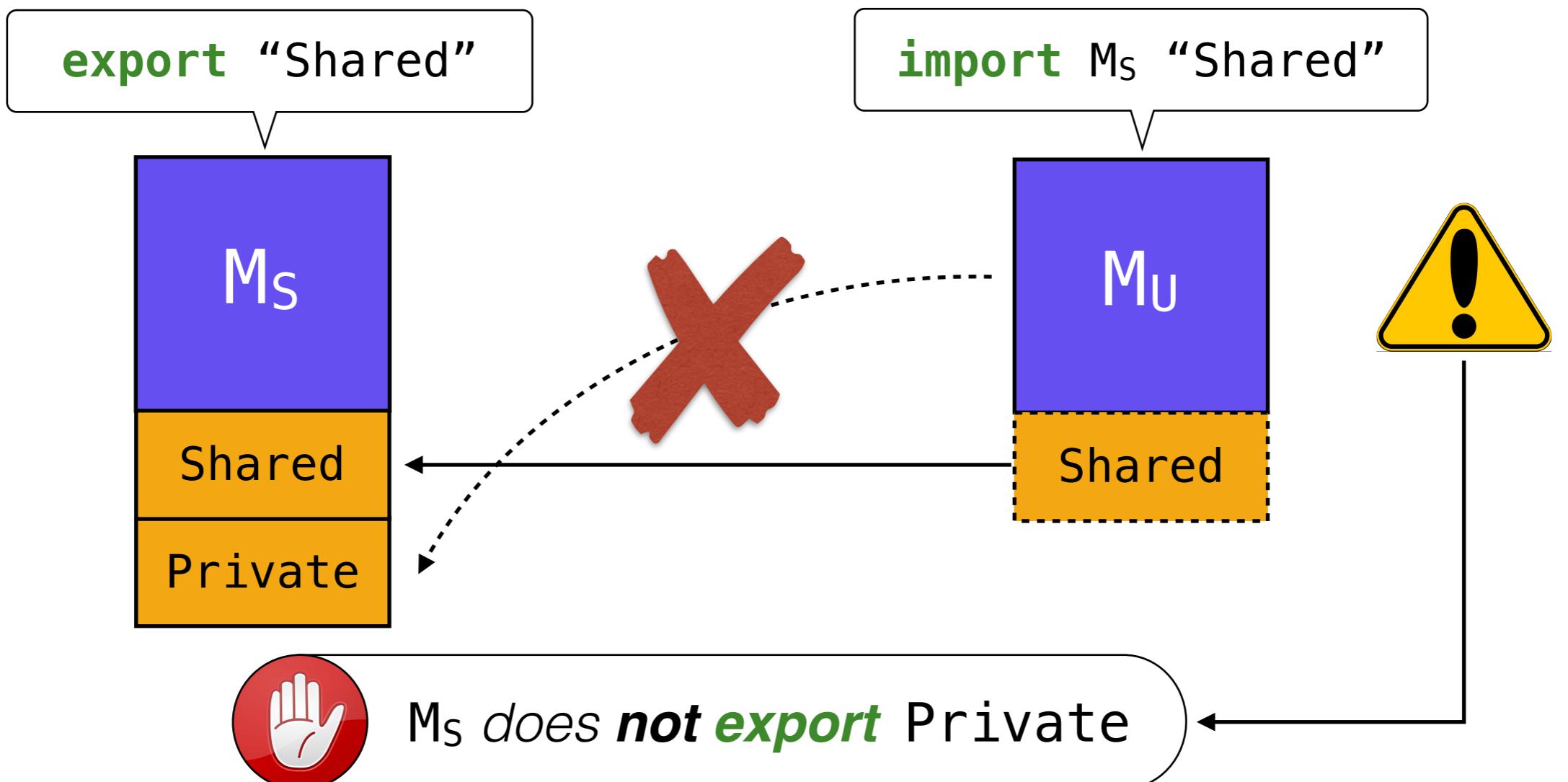
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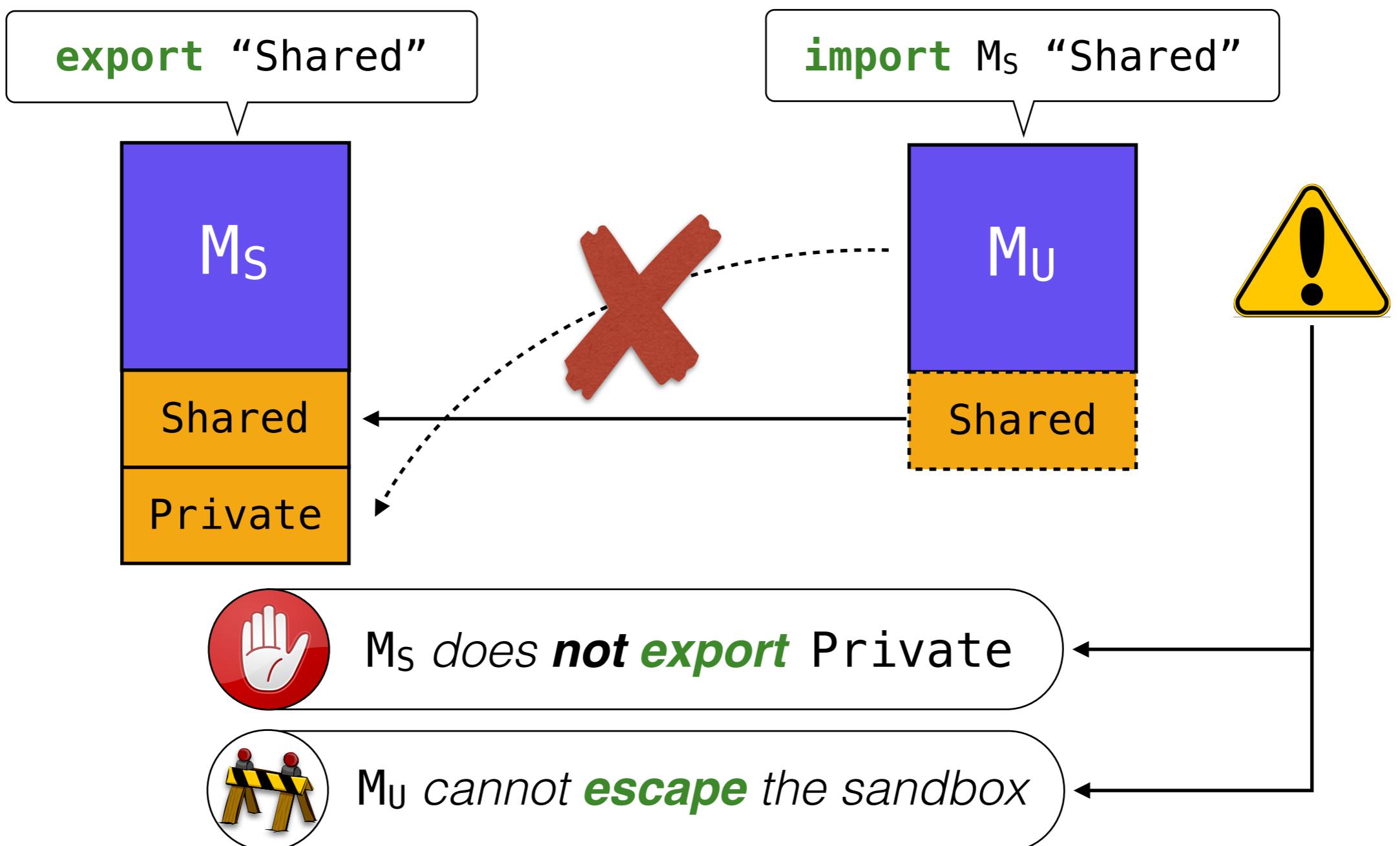
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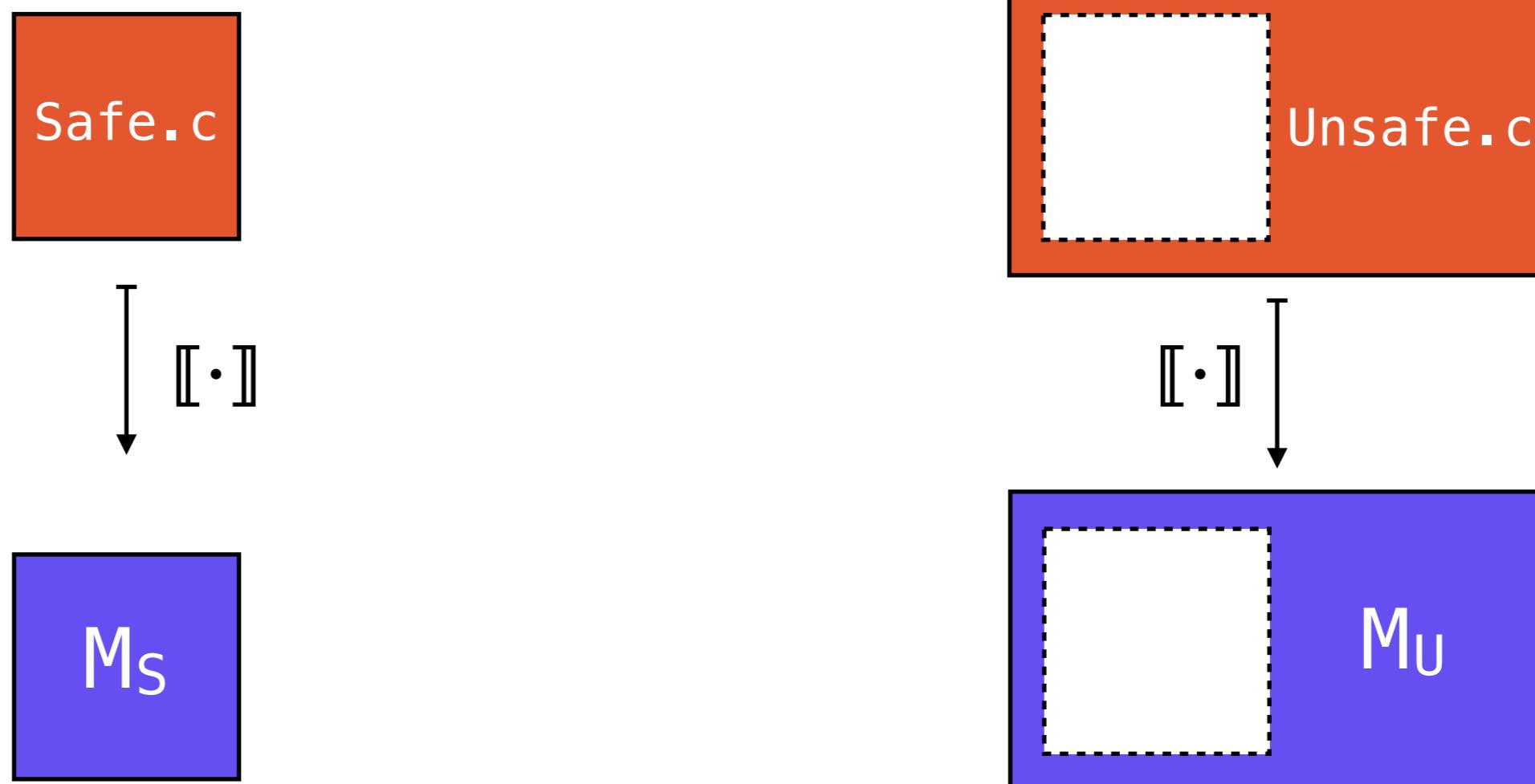


# Short Term Solution

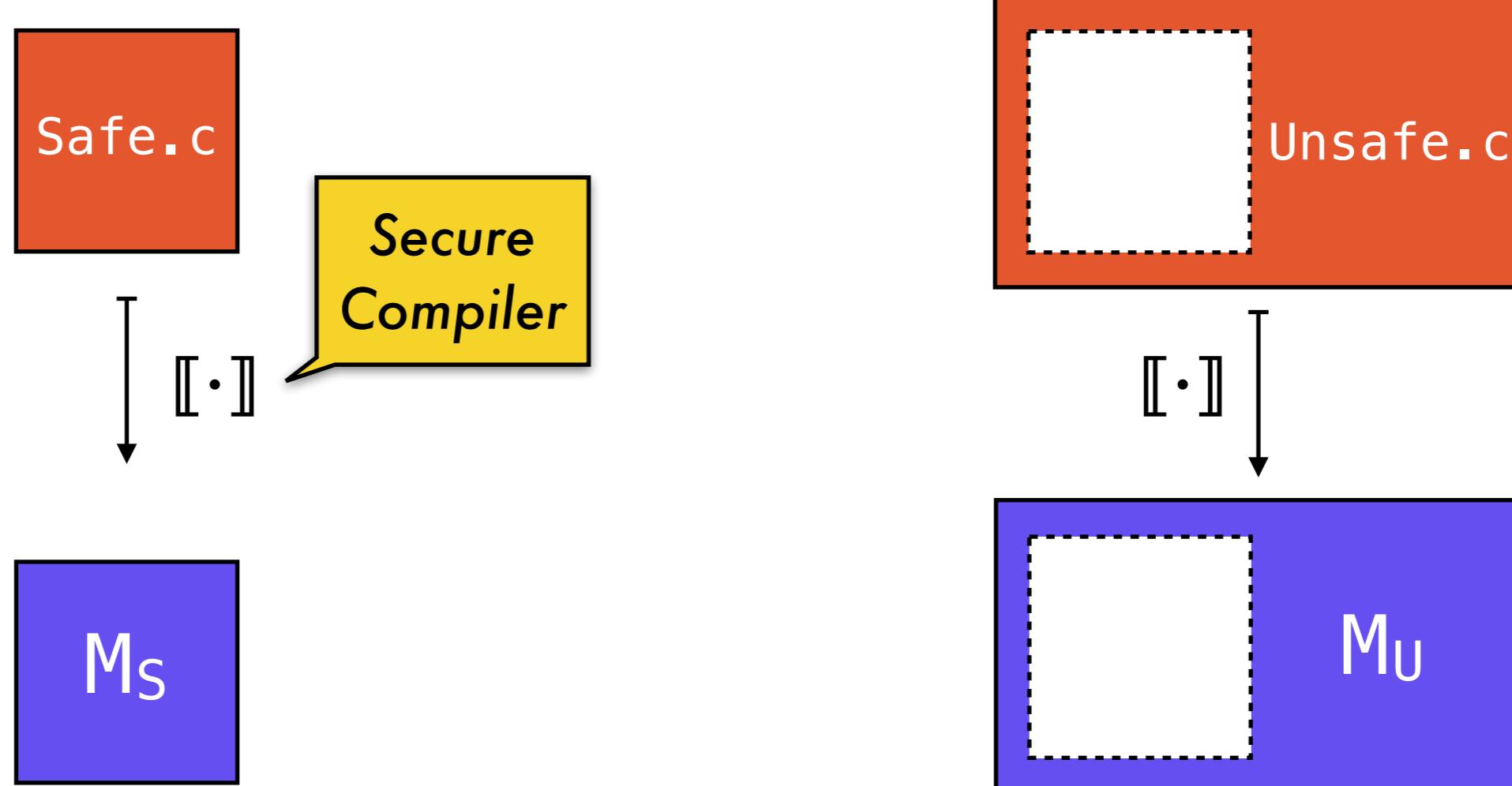
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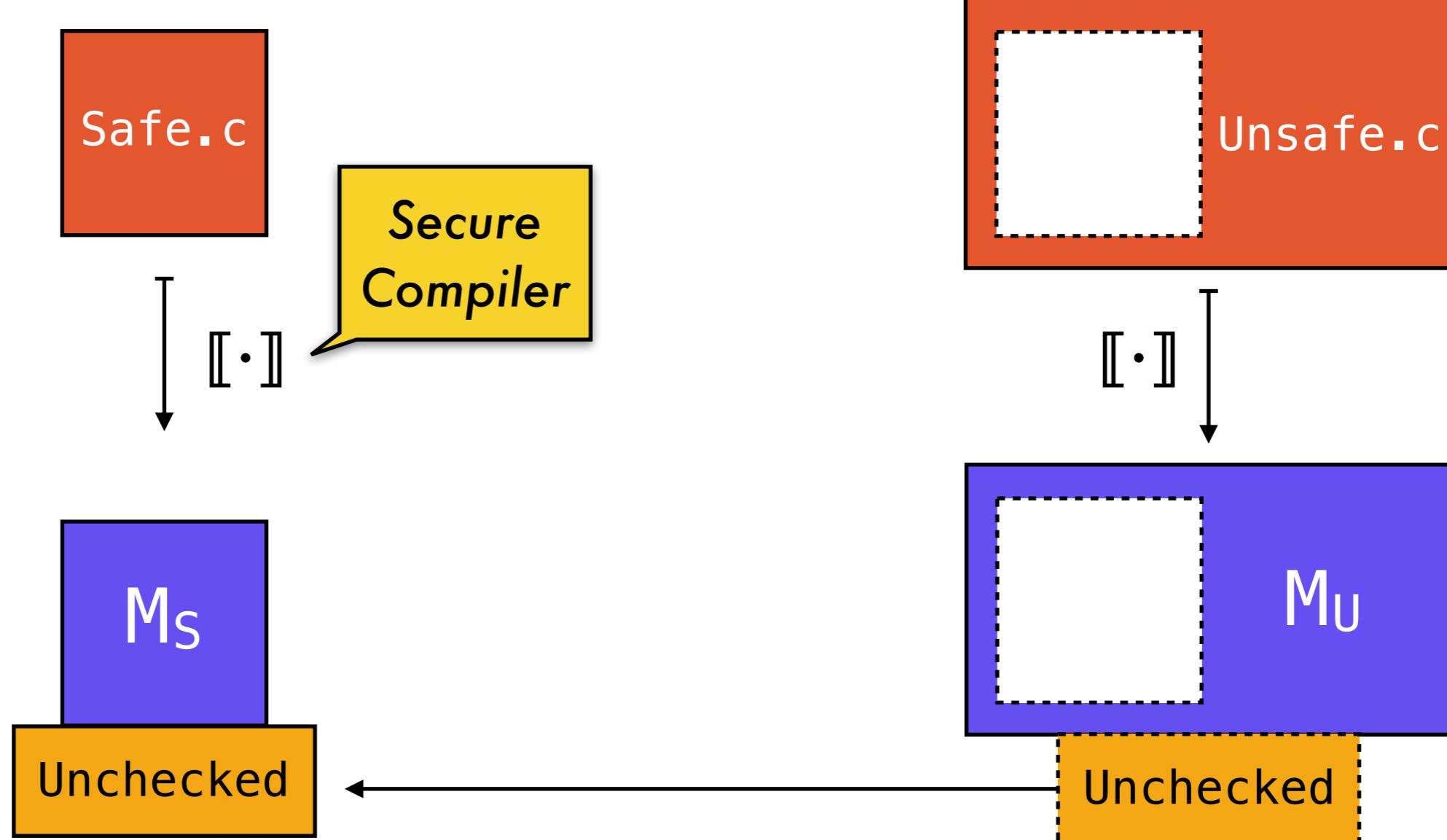
# Memory Isolation in Action



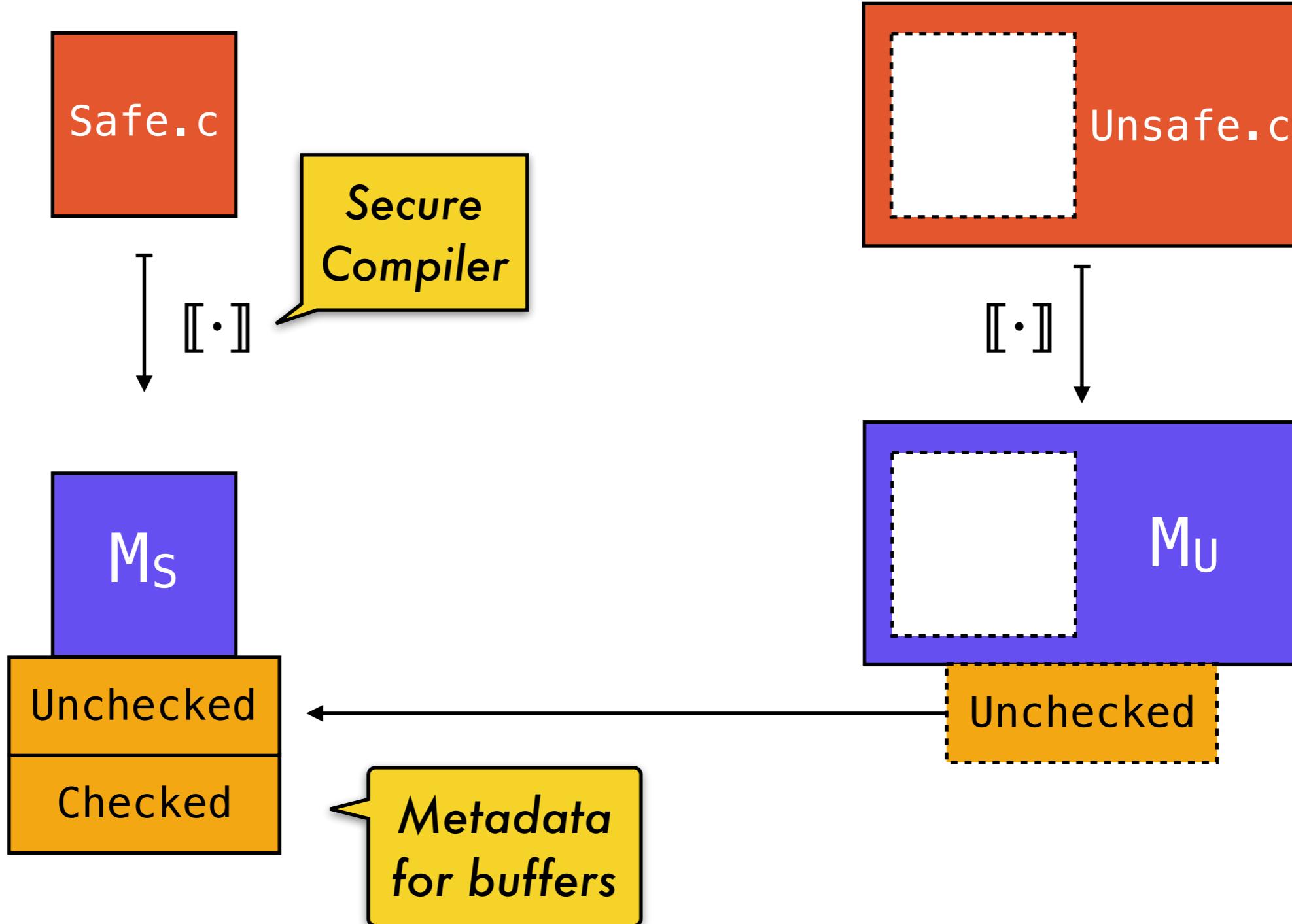
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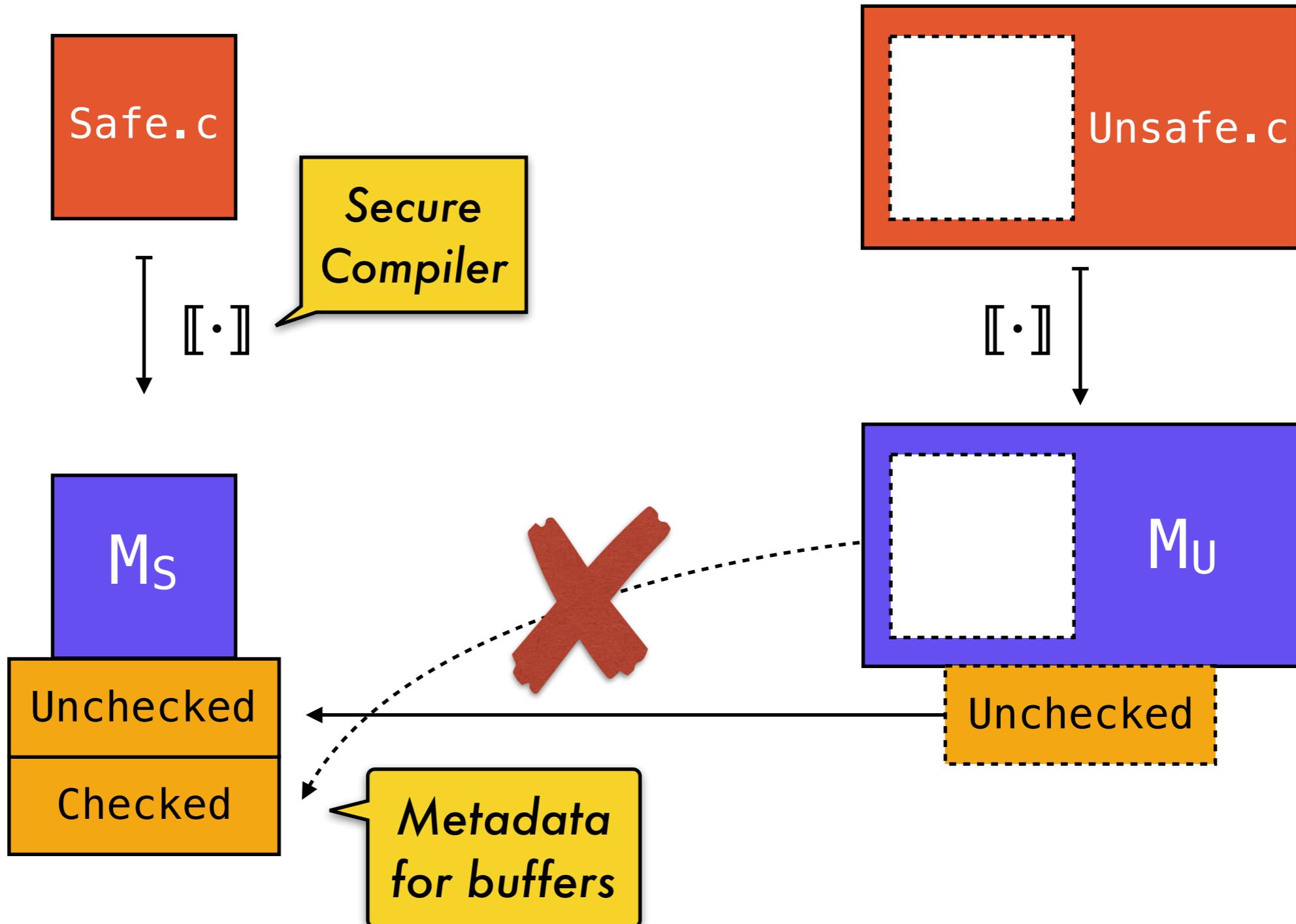
# Memory Isolation in Action



# Memory Isolation in Action



# Memory Isolation in Action



# Compiling Safe Modules

*Pointers as **capabilities** stored in private memory:*

# Long Term Solution

*Target a language designed with **first-class support** for memory safety*

MS-WASM

## Position Paper: Progressive Memory Safety for WebAssembly

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### ABSTRACT

WebAssembly (Wasm) is a low-level platform-independent bytecode language. Today, developers can compile C/C++ to Wasm and run it everywhere, at almost native speeds. Unfortunately, this compilation from C/C++ to Wasm also preserves classic memory safety vulnerabilities, such as buffer overflows and use-after-frees.

New processor features (e.g., tagged memory, pointer authentication, and fine grain capabilities) are making it increasingly possible to detect, mitigate, and prevent such vulnerabilities with low overhead. Unfortunately, Wasm JITs and compilers cannot exploit these features. Critical high-level information—e.g., the size of an array—is lost when lowering to Wasm.

### 1 INTRODUCTION

WebAssembly (Wasm) is a platform-independent bytecode designed to run C/C++ and similar languages at near native speed in the browser. Wasm’s *linear memory model*—i.e., loads and stores to an untyped array of bytes, is the key feature that makes it possible for C/C++ compilers like Clang to easily and efficiently target Wasm. Unfortunately, this is also the reason memory safety vulnerabilities, like buffer overflows and use-after-frees (UAFs), remain a problem when C/C++ programs are compiled to Wasm [29, 32].

Wasm is designed to allow browsers to run code in a sandbox, isolating the impact of vulnerabilities in Wasm code from the rest of the browser.<sup>1</sup> But keeping the browser safe from Wasm code

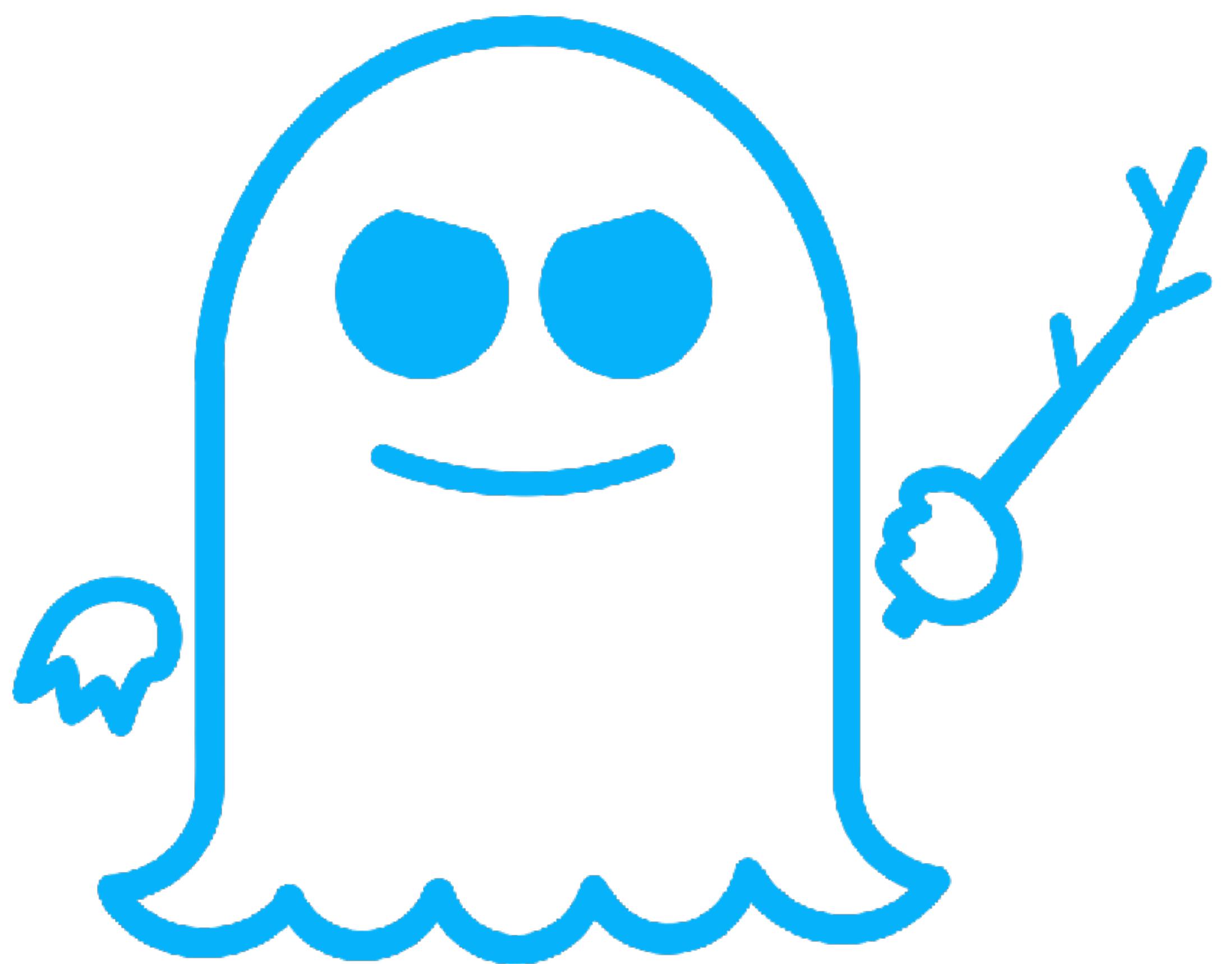
# Long Term

First instance of usable secure compiler

# Exorcising Spectres with Secure Compilers

Marco Guarnieri  
IMDEA Software Institute

Joint work with Marco Patrignani @ CISPA/Stanford University



# SPECTRE

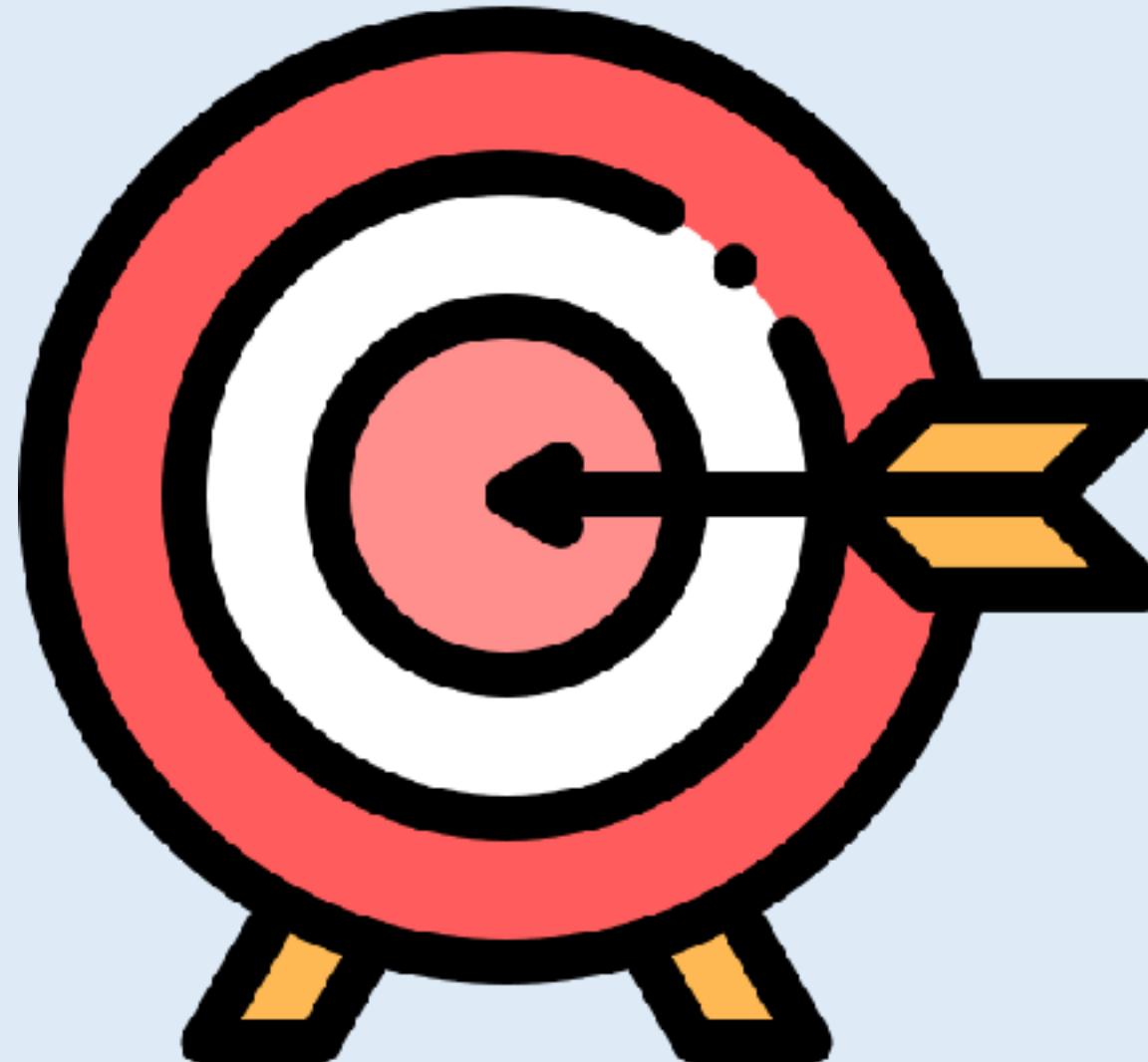
Exploits ***speculative execution***

Almost ***all*** modern ***CPUs***  
are ***affected***

## Compiler-level countermeasures

- Example: insert LFENCE to selectively stop speculative execution
- Implemented in major compilers (Microsoft Visual C++, Intel ICC, Clang)

# No security guarantees!



### Our Goal

Formally reasoning  
about the security of  
compiler-level  
countermeasures



# Compiler-level countermeasures

For *Spectre V1*

# Injecting speculation barriers

```
if (x < A_size)  
    y = B[A[x]]
```

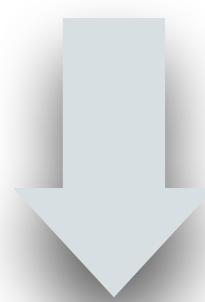


```
if (x < A_size)  
    lfence  
    y = B[A[x]]
```

- In x86, **LFENCE** act as **speculation barrier**
- Compiler injects LFENCE after each branch instruction
  - Microsoft Visual C++
  - Intel ICC
- Effectively **stop speculative execution!**

# Speculative load-hardening (SLH)

```
if (x < A.size)  
    y = B[A[x]]
```

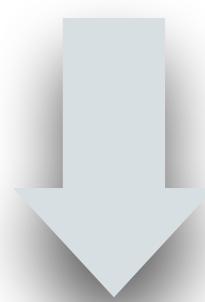


```
if (x < A.size)  
    y = B[mask(A[x])]
```

- Injects ***data dependencies*** and ***masking operations***
  - Combines ***conditional moves*** and ***binary operations***
- Stops ***speculative leaks***
- Does not block speculative execution!
- Implemented in Clang

# Speculative load-hardening (SLH)

```
if (x < A_size)
    y = B[A[x]]
```



```
mov     rax, A_size
mov     rcx, x
mov     rdx, 0
cmp     rcx, rax
jae     END
cmovae -1, rdx
mov     rax, A[rcx]
shl     rax, 9
or      rax, rdx
mov     rax, B[rax]
```

- Injects ***data dependencies*** and ***masking operations***
  - Combines ***conditional moves*** and ***binary operations***
- Stops ***speculative leaks***
- Does not block speculative execution!
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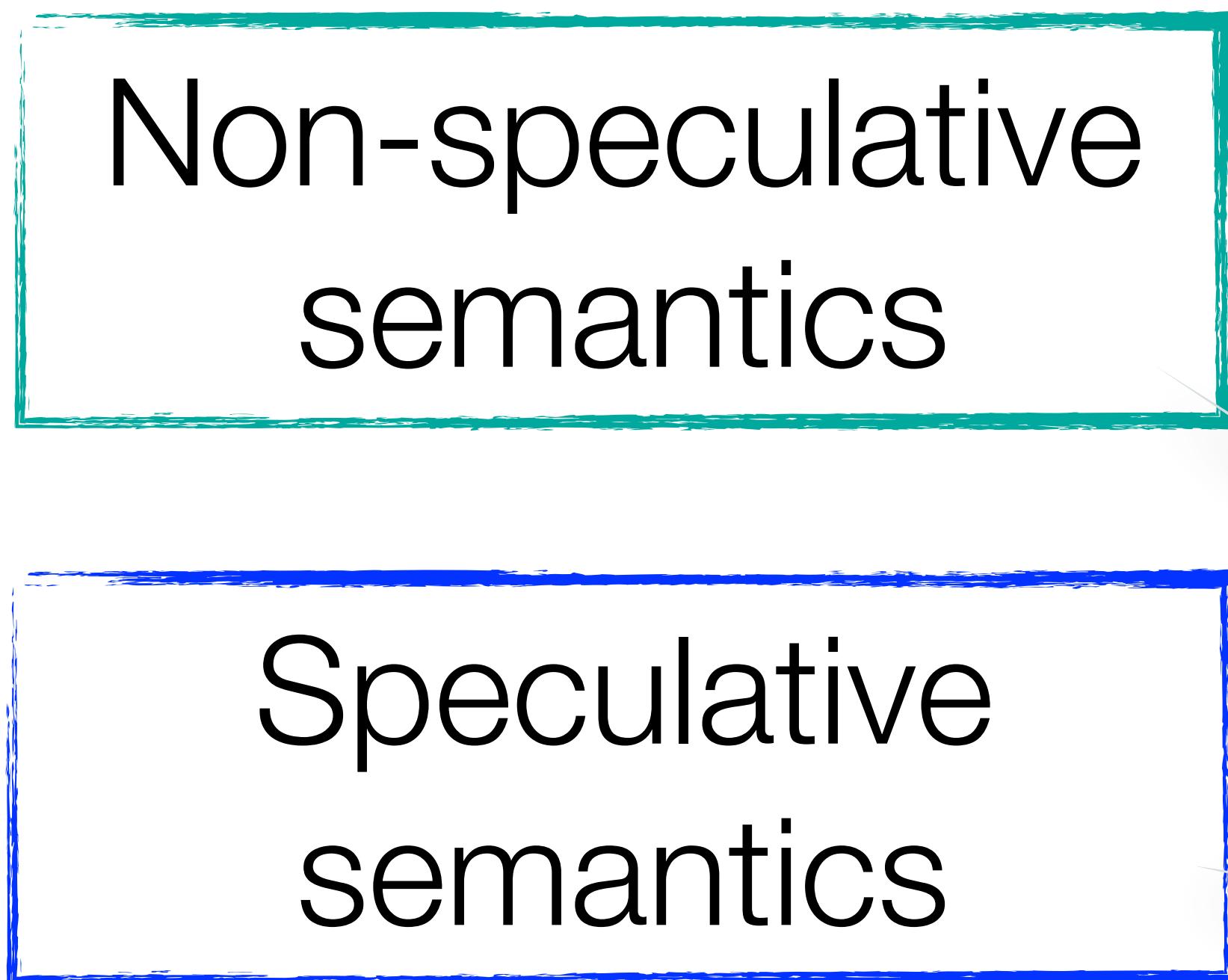
# Modeling speculative execution

See M. Guarnieri, B. Köpf, J. Morales, J. Reineke, A. Sánchez  
Spectector: Principled Detection of Speculative Information Flows  
@ IEEE S&P 2020

<https://spectector.github.io>



# How to capture leakage?



Attacker  
model

Capture attacker's  
observational power

Model program's behavior

# How to capture leakage?

Starts ***speculative transactions*** upon branch instructions

- ***Committed*** upon correct speculation
- ***Rolled-back*** upon misprediction

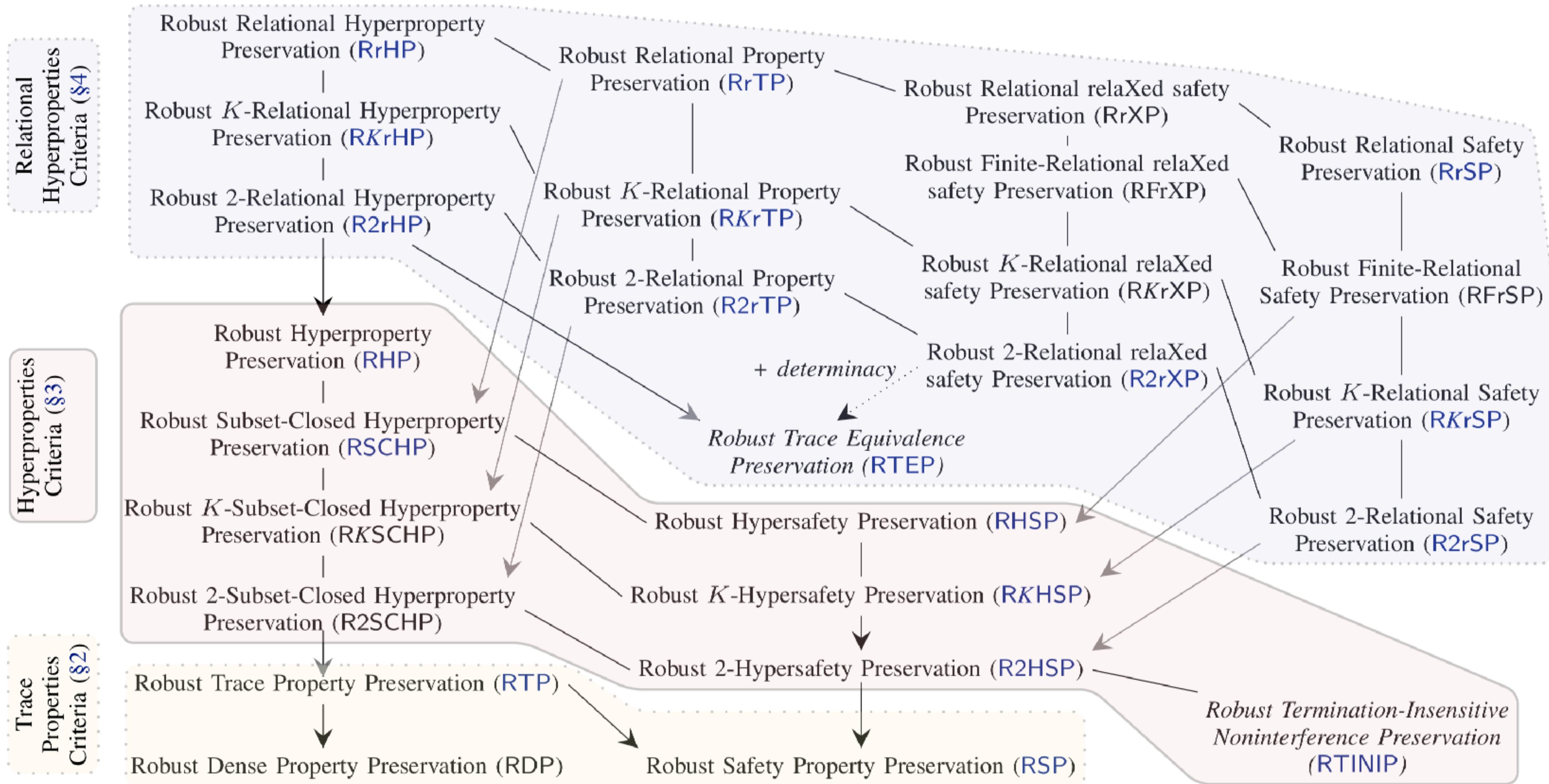
Speculative semantics

Capture attacker's observational power

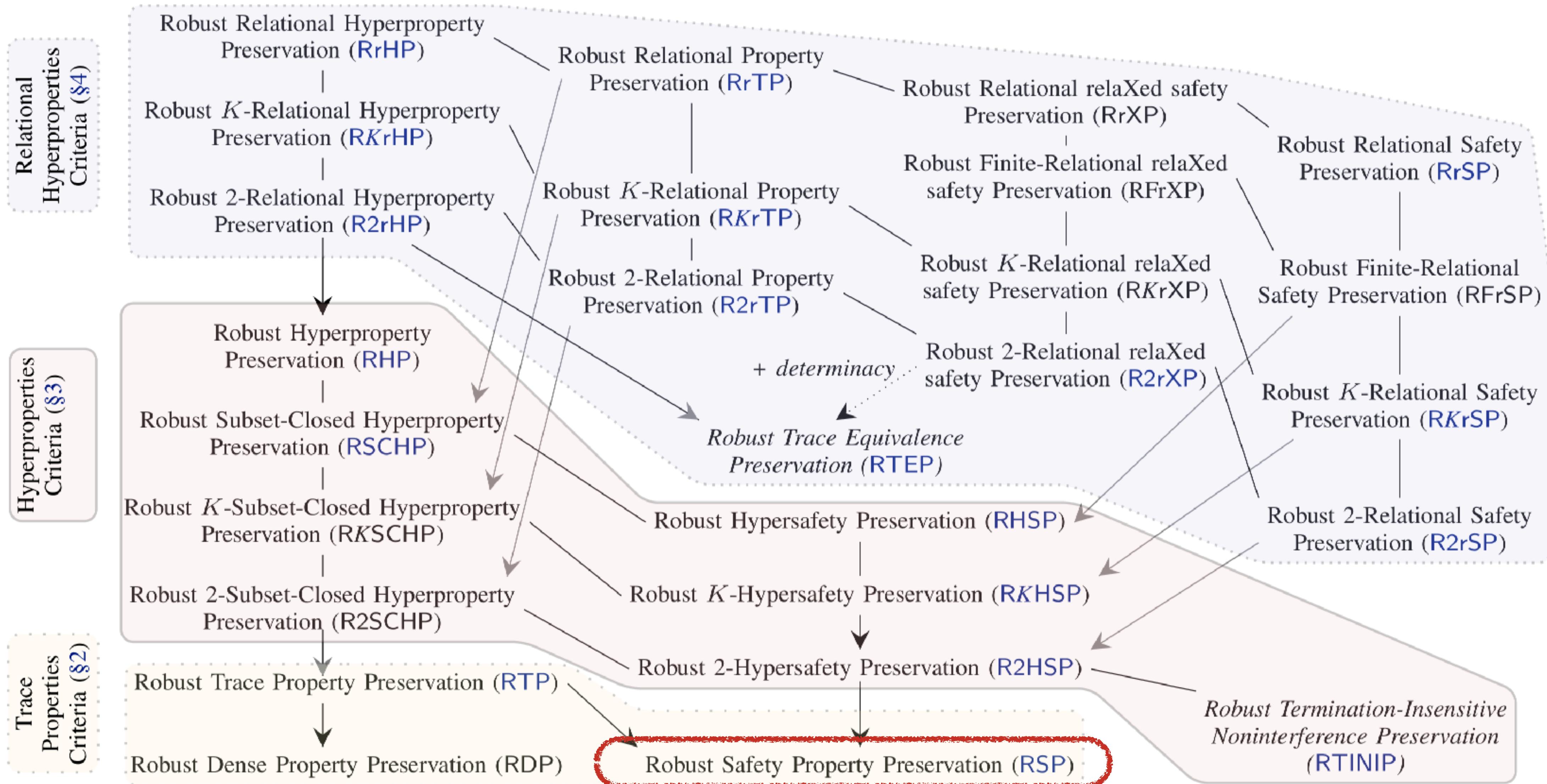
Attacker model

Model program's behavior

# Secure compilation for Spectre



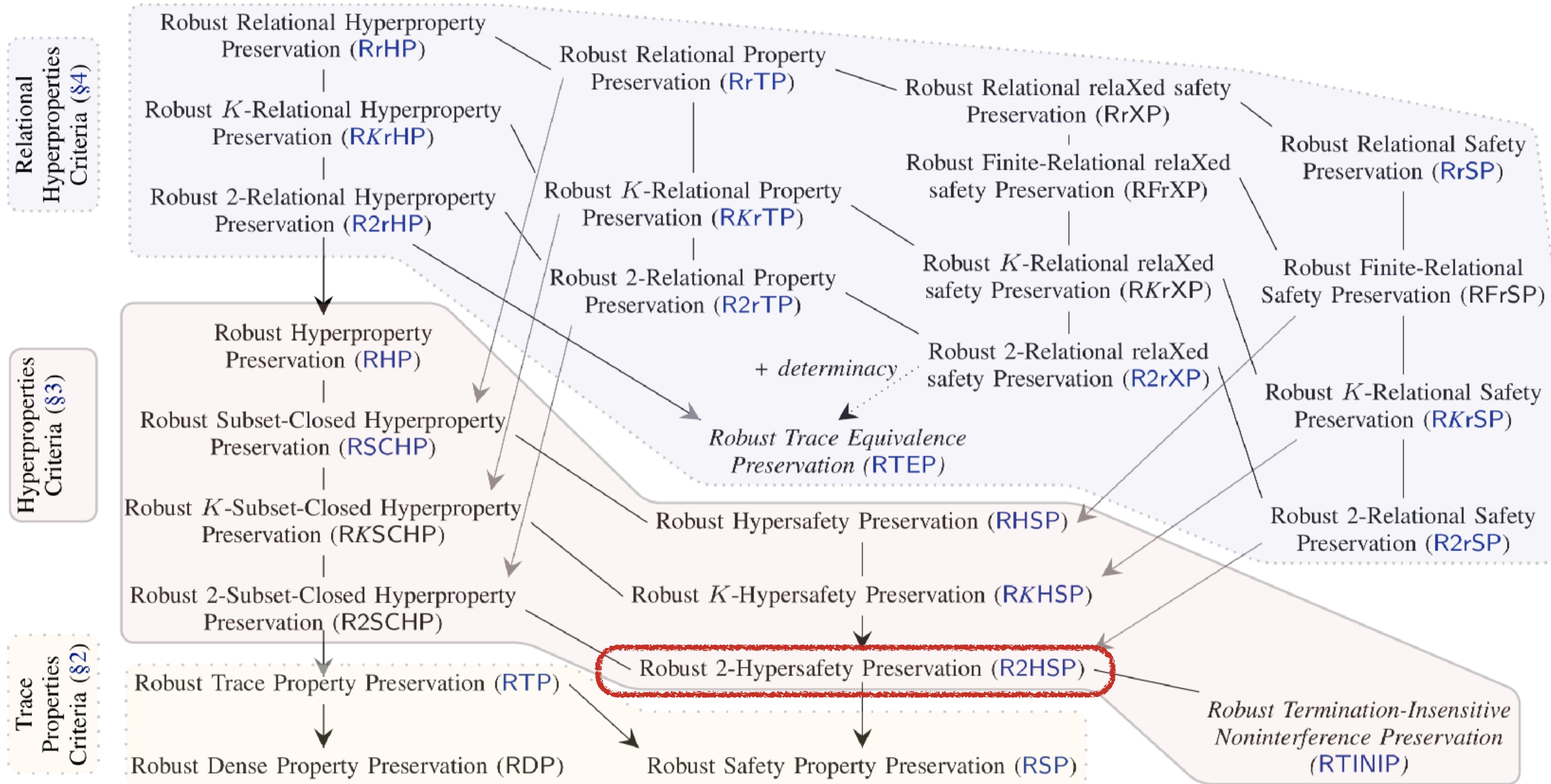
# Secure compilation for Spectre



Hyperproperties Criteria (§3)

Trace Properties Criteria (§2)

# Secure compilation for Spectre



# A hierarchy of security conditions

**Strict  
speculative  
safety**

**Speculative  
safety**

**Speculative  
non-interference**

[Guarnieri et al., S&P 2020]

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Program **P** is **strictly speculative safe** if

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*Informally:*

No speculative observations (i.e., no speculative execution **at all**)

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For all program states  $s$ :

$$P_{\text{non-spec}}(s) = P_{\text{spec}}(s)$$

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Drops **unsafe** observations

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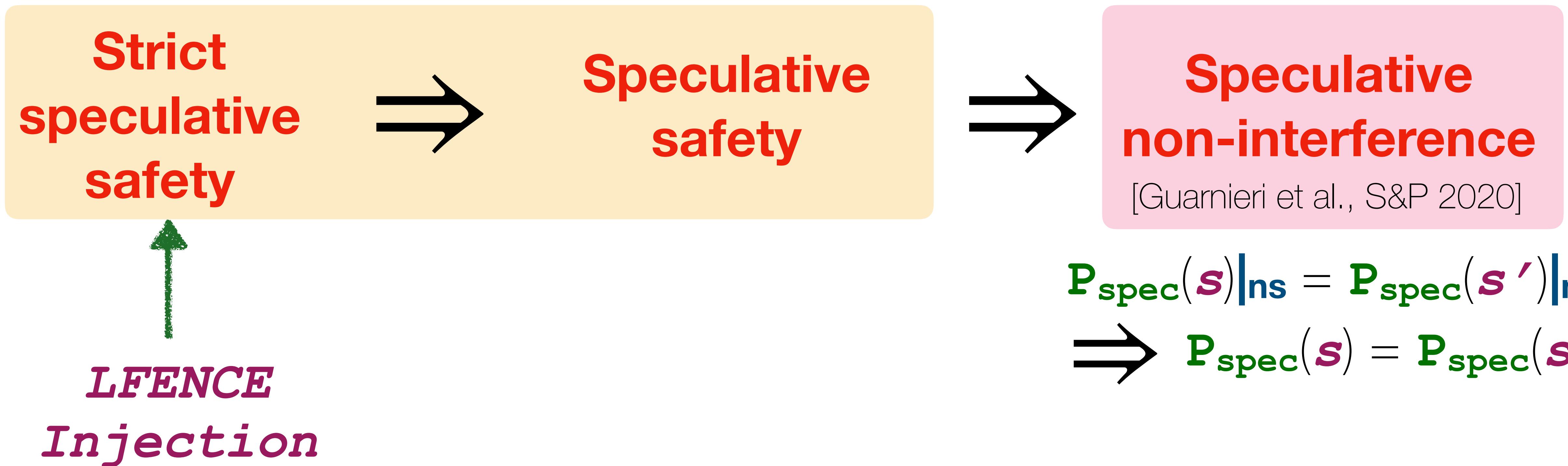
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