

Homework 2 solutions

137A Fall 2023

1 Is it a vector space?

(a) { Polynomials of degree $\leq N$ }.

Yes (dim. $N+1$)

(b) { polynomials of degree $\leq N$ w/ leading coeff. 1 }.

No. Space lacks the zero vector

(c) { polynomials of degree $\leq N$ s.t. $p(0) = 0$ }.

Yes. Dim = N

(d) { polynomials of degree $\leq N$ s.t. $p(0) = 1$ }.

No. No zero vector.

(e) { polynomials of degree $\leq N$ s.t. $\int_0^1 p(x) dx = 0$ }.

Yes. Dim = N

(f) { polynomials of degree $\leq N$ s.t. $p(0) = p(1)$ }

Yes. Dim = N

2 (a) Let A and B be Hermitian. Then:

$$\begin{aligned}\langle u | ABv \rangle &= \langle A^\dagger u | Bv \rangle \\&= \langle Au | Bv \rangle \\&= \langle B^\dagger Au | v \rangle \\&= \langle BAu | v \rangle\end{aligned}$$

This is equal to $\langle ABu | v \rangle$ if and only if $[A, B] = 0$, in which case $(AB)^\dagger = AB$.

(b) Let A be any operator. Then:

$$\begin{aligned}\langle u | A^\dagger A v \rangle &= \langle Au | Av \rangle \\&= \langle A^\dagger A u | v \rangle\end{aligned}$$

$\Rightarrow A^\dagger A$ is Hermitian.

Now let $|a\rangle$ be an eigenvector such that:

$$(A^\dagger A)|a\rangle = a|a\rangle.$$

Consider:

$$\begin{aligned}\langle a | (A^\dagger A) | a \rangle &= a \langle a | a \rangle \xrightarrow{\text{1 without loss of generality.}} \\&\quad \langle a | a \rangle = \| |Aa\rangle \|^2 \geq 0\end{aligned}$$

$\Rightarrow a \geq 0$ non-negative eigenvalues.

3 (a) compute e^M .

$$(i) M = \begin{pmatrix} 0 & 1 & 3 \\ 0 & 0 & 4 \\ 0 & 0 & 0 \end{pmatrix}.$$

$$M^2 = \begin{pmatrix} 0 & 1 & 3 \\ 0 & 0 & 4 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 & 3 \\ 0 & 0 & 4 \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 4 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$M^3 = \begin{pmatrix} 0 & 1 & 3 \\ 0 & 0 & 4 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 4 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\Rightarrow e^M = I + M + \frac{1}{2}M^2 = \boxed{\begin{pmatrix} 1 & 1 & 2 \\ 0 & 1 & 4 \\ 0 & 0 & 1 \end{pmatrix}}$$

$$(ii) M = \begin{pmatrix} 0 & \theta \\ -\theta & 0 \end{pmatrix} = -i\theta \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$M^2 = (-i\theta)^2 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = (-i\theta)^2 I.$$

$$\Rightarrow M^k = (-i\theta)^k \times \begin{cases} I & \text{if } k \text{ even.} \\ \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} & \text{if } k \text{ odd.} \end{cases}$$

so:

$$e^M = \sum_{k=0,2,4,\dots} \frac{1}{k!} (-i\theta)^k I + \sum_{k=1,3,5,\dots} \frac{1}{k!} (-i\theta)^k \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$= \cos\theta I - i \sin\theta \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$= \boxed{\begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix}}$$

(b) Let M and N commute. Then

$$e^M e^N = \left(\sum_{k=0}^{\infty} \frac{1}{k!} M^k \right) \left(\sum_{n=0}^{\infty} \frac{1}{n!} N^n \right)$$

$$= \sum_{k=0}^{\infty} \sum_{n=0}^{\infty} \frac{1}{k! n!} M^k N^n$$

Now:

Binomial theorem \star Only works b/c M & N commute

$$\frac{1}{m!} (M+N)^m = \frac{1}{m!} \sum_{k=0}^m \binom{m}{k} M^k N^{m-k}$$

$$= \frac{1}{m!} \sum_{k=0}^m \frac{m!}{k!(m-k)!} M^k N^{m-k}$$

$$= \sum_{k=0}^m \frac{1}{k!(m-k)!} M^k N^{m-k}$$

Therefore:

$$e^M e^N = \sum_{k=0}^{\infty} \sum_{n=0}^{\infty} \frac{1}{k! n!} M^k N^n$$

$$= \sum_{m=0}^{\infty} \left(\sum_{k=0}^m \frac{1}{k! (m-k)!} M^k N^{m-k} \right)$$

$$= \sum_{m=0}^{\infty} \frac{1}{m!} (M+N)^m$$

$$= \boxed{e^{M+N}} \quad \checkmark$$

(c) Let H be Hermitian. Then:

$$(e^{iH})^+ = \left(\sum_{k=0}^{\infty} \frac{1}{k!} (iH)^k \right)^+$$

$$= \sum_{k=0}^{\infty} \frac{1}{k!} (-iH)^k$$

$$= e^{-iH}$$

$$\Rightarrow (e^{iH})^+ e^{iH} = e^{-iH} e^{iH} = e^0 = I$$

$$\Rightarrow \boxed{e^{iH} \text{ is unitary}}$$

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$$(a) \langle U\alpha | U\beta \rangle = \langle \alpha | \cancel{U}^{\uparrow} \overset{1}{U} \beta \rangle = \langle \alpha | \beta \rangle.$$

(b) Let $|U\lambda\rangle = |\lambda\rangle$. Then:

$$\begin{aligned} 1 &= \langle \lambda | \lambda \rangle = \langle U\lambda | U\lambda \rangle = \lambda^* \lambda \langle \lambda | \lambda \rangle \\ &= |\lambda|^2 \end{aligned}$$

$$\Rightarrow |\lambda|^2 = 1$$

(c) Let $|\lambda_1\rangle$ and $|\lambda_2\rangle$ be eigenvectors of U so that:

$$U|\lambda_1\rangle = \lambda_1|\lambda_1\rangle$$

$$U|\lambda_2\rangle = \lambda_2|\lambda_2\rangle$$

Then:

$$\begin{aligned} \langle \lambda_1 | \lambda_2 \rangle &= \langle U\lambda_1 | U\lambda_2 \rangle \\ &= \lambda_1^* \lambda_2 \langle \lambda_1 | \lambda_2 \rangle \end{aligned}$$

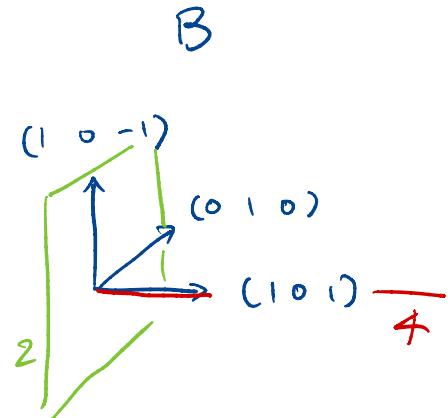
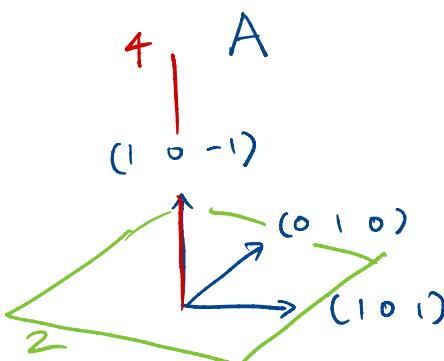
Note that $\lambda_1^* = 1/\lambda_1$ (since $\lambda_1^* \lambda_1 = 1$). So

$$\Rightarrow \lambda_1 \langle \lambda_1 | \lambda_2 \rangle = \lambda_2 \langle \lambda_1 | \lambda_2 \rangle$$

$$\Rightarrow (\lambda_1 - \lambda_2) \langle \lambda_1 | \lambda_2 \rangle = 0$$

$$\Rightarrow \boxed{\text{either } \lambda_1 = \lambda_2 \text{ or } \langle \lambda_1 | \lambda_2 \rangle = 0}$$

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(a) $A = \begin{bmatrix} 3 & 0 & -1 \\ 0 & 2 & 0 \\ -1 & 0 & 3 \end{bmatrix}$ $B = \begin{bmatrix} 3 & 0 & 1 \\ 0 & 2 & 0 \\ 1 & 0 & 3 \end{bmatrix}$

* Both A and B have eigenvectors:

$$v_1 = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} \frac{1}{\sqrt{2}} \quad v_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad v_3 = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix} \frac{1}{\sqrt{2}}$$

with eigenvalues:

$$a_1 = 2 \quad a_2 = 2 \quad a_3 = 4$$

$$b_1 = 4 \quad b_2 = 2 \quad b_3 = 2$$

(b) Let C be normal such that $[A, C] = [B, C] = 0$.

* Since C is normal it has 3 orthogonal eigenvectors.

* Let $\varphi_1, \varphi_2, \varphi_3$ be e-vectors, and c_1, c_2, c_3 be e-vals of C.

* Since $[A, C] = 0$, it is possible to find an ON-basis in which both A and C are diagonal.

- * Since $(4, v_3)$ is a non-degenerate eval/evector of A , one of the e-vectors of C must point in the v_3 direction. Without loss of generality, set $\varphi_1 = v_3$
 - * Similarly, since $[B, C] = 0$, and $(4, v_1)$ is a non-degenerate eval/evector of B , WLOG, set $\varphi_2 = v_1$.
 - * This leaves only φ_3 , which is fixed in the direction $\vec{\varphi}_1 \times \vec{\varphi}_2$ so as to be orthonormal.
- $\Rightarrow C$ must have eigenvectors v_1, v_2, v_3 and so is diagonal in the (v_1, v_2, v_3) basis. //

(c) No. For example, the matrix

$$\begin{aligned}
 F &= \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} (1 \ 1 \ 1) + 2 \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} (1 \ 2 \ 1) \\
 &= \begin{pmatrix} 1 & 1 & 1 \\ 2 & 4 & 2 \\ 1 & 8 & 4 \end{pmatrix} \\
 &= \begin{pmatrix} 3 & 5 & 3 \\ 5 & 9 & 5 \\ 3 & 5 & 3 \end{pmatrix}
 \end{aligned}$$

commutes with A , but in the (v_1, v_2, v_3)

basis it is:

$$F \rightarrow \left(\begin{array}{ccc|ccc|ccc} \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} & 3 & 5 & 3 & \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ 0 & 1 & 0 & 5 & 9 & 5 & 0 & 1 & 0 \\ \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} & 3 & 5 & 3 & \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \end{array} \right)$$
$$= \left(\begin{array}{ccc|ccc|ccc} \frac{6}{\sqrt{2}} & \frac{10}{\sqrt{2}} & \frac{6}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ 5 & 9 & 5 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \end{array} \right)$$
$$= \left(\begin{array}{ccc|ccc|ccc} 6 & \frac{10}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ \frac{10}{\sqrt{2}} & 9 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \end{array} \right)$$

Not diagonal.