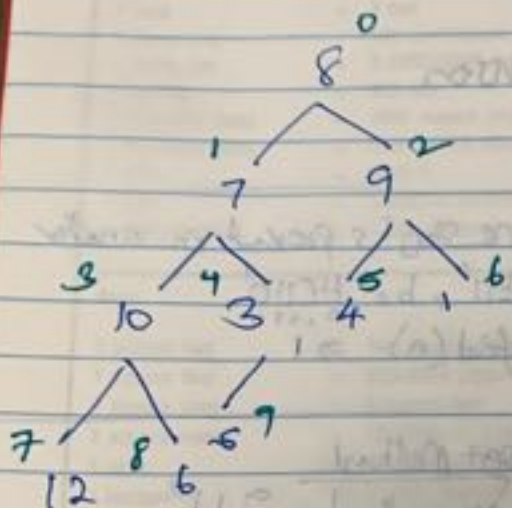


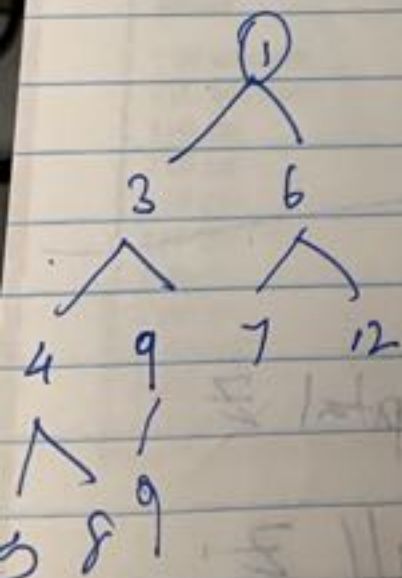
Heaps and Heap Sort

0	1	2	3	4	5	6	7	8	9	10
9	7	9	10	3	4	1	4	6	5	1



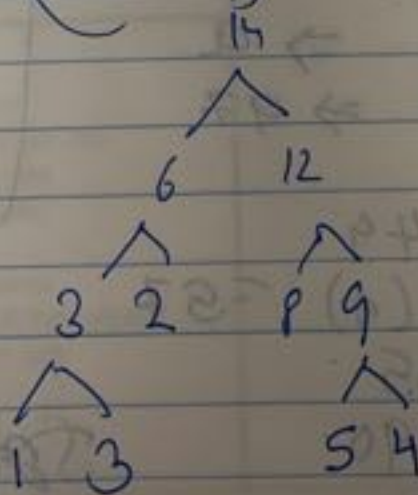
Min Heap

Value at each node is less ^{or equal} than its children

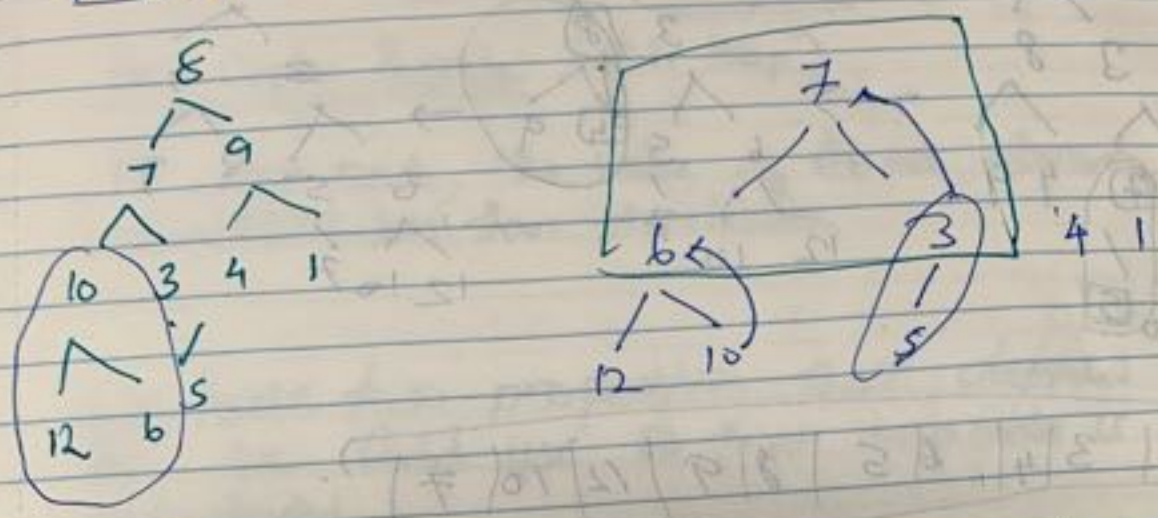


Max Heap

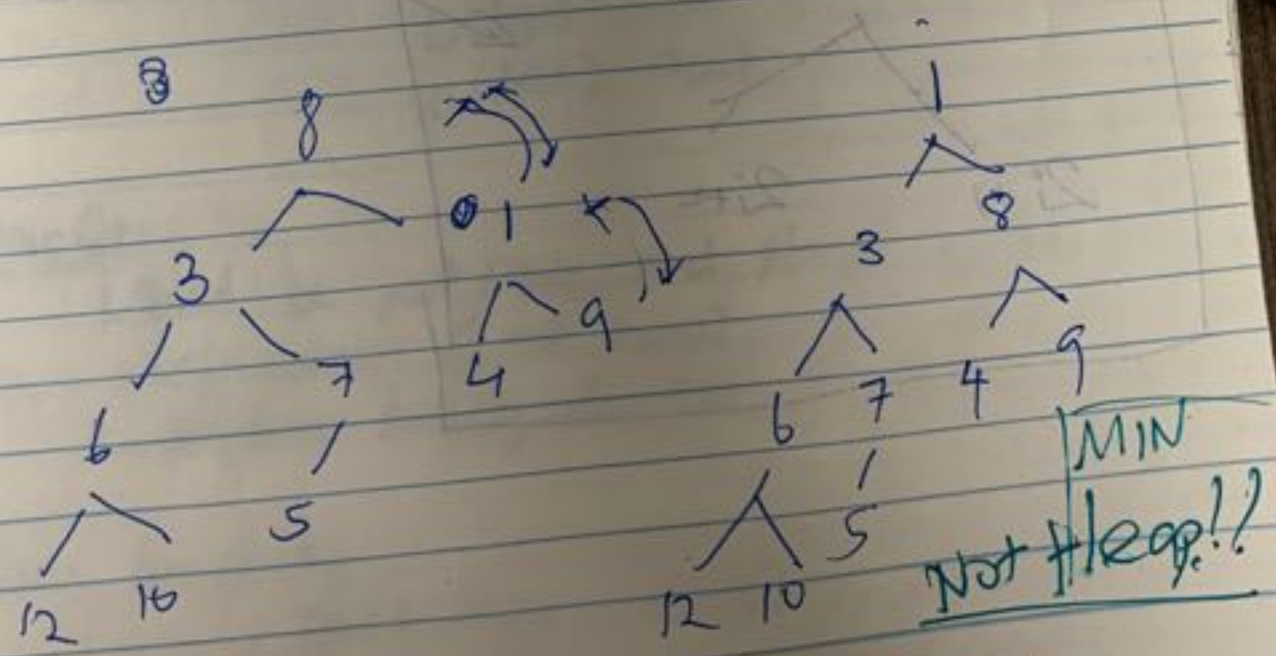
Value at each node is greater ^{or equal} than its children



Heapify



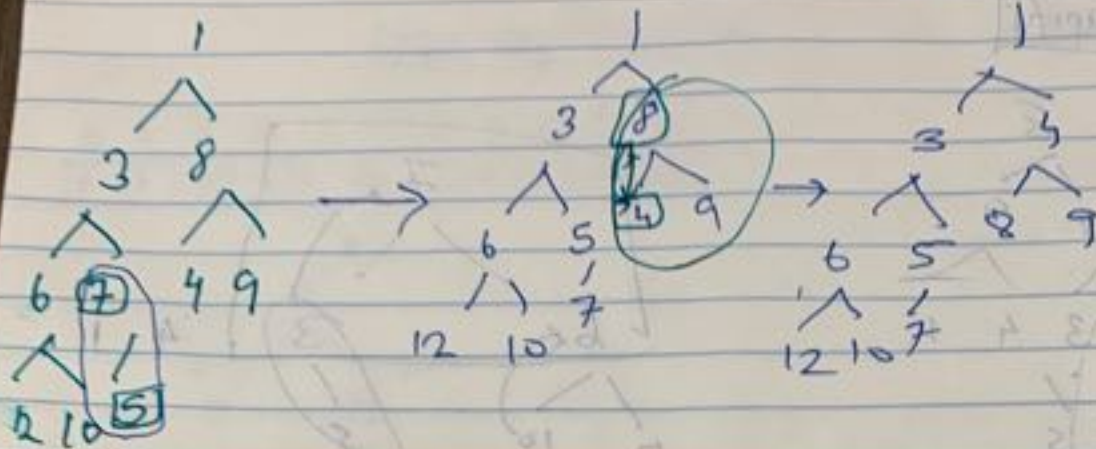
Swap the lowest of the two nodes with its parent.



MIN
Not Heap!!

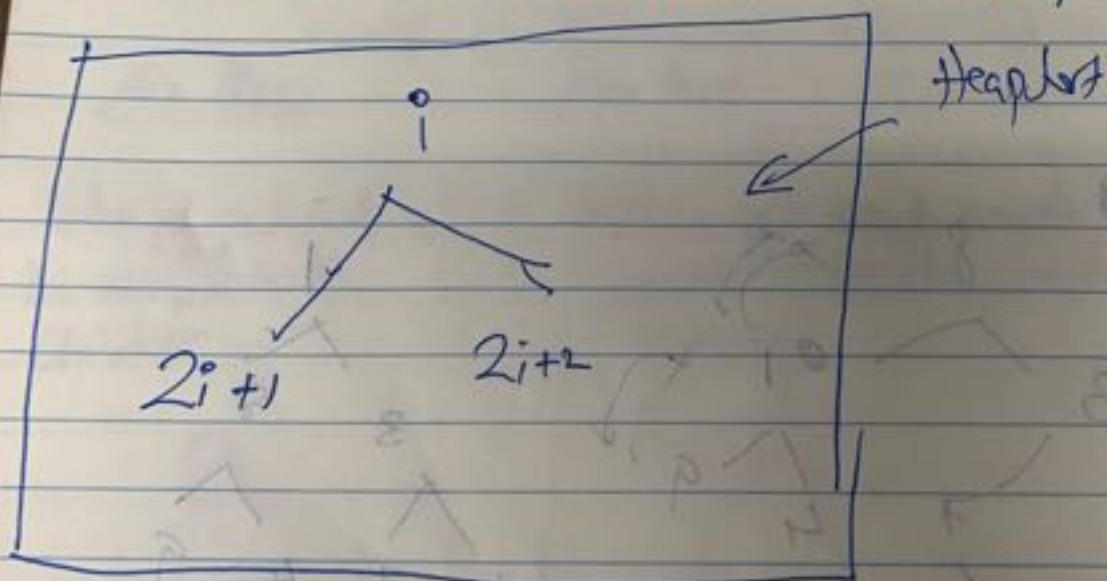
1	3	8	6	7	4	9	12	10	5
---	---	---	---	---	---	---	----	----	---

Min Heap



1 | 3 | 4 | 6 | 5 | 8 | 9 | 12 | 10 | 7

1 is the minimum element in this Heap



Heap Sort Binary Heap

Heap Order Property: (Min Heap)

For each node, The value of the root element should be less than the children.

We have two properties that defines the we could use so that heap order is maintained.

- (1) Heapify Up
- (2) Heapify down.

Time Complexities : $O(1)$ find min
 $O(\log n)$ Insert
 $O(\log n)$ delete

Heap Sort:

1. Delete the root Node and place it in the left corner (min heap)
2. Perform heapify-down

Time Complexity

1. delete-max $O(n)$

2. Heapify down

$(\log n) * n$ times
 $n \log n$

Binary Heap

1. Is a Complete tree.
2. Root element is at $Arr[0]$

$Arr[i/2]$

Returns parent node

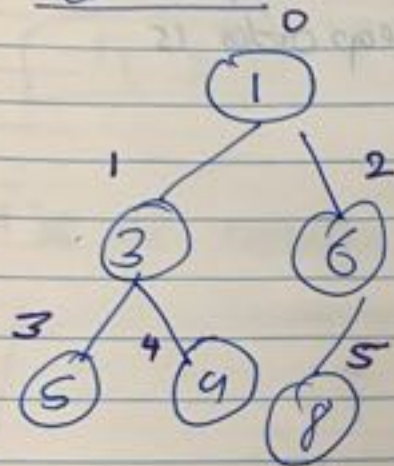
$Arr[2i+1]$

Returns left child

$Arr[2i+2]$

Returns right child

Traversal method to achieve representation is
Level order.



1 3 6 5 9 8

Binary Heap Applications:

Union Operation

Heap Sort : $O(n \log n)$

Priority Queue: Priority queue can be efficiently implemented using Binary heap

(*) Insert ✓

(*) delete ✓

(*) extract max ✓

(*) decrease key!

$O(n \log n)$

— reduces array
value

Graph Algorithms - Dijkstra's Shortest Path.
- Prim's Minimum Spanning Tree.

Many Problems can be efficiently solved with heaps.

1. Kth largest Element in an array.
 2. Sort an almost sorted array.
 3. Merge K sorted arrays.
-

heapify up

Usually this is called with an "add" operation.

When we add the item to the end of the list and then move it upward.

We have to continue moving it upward until it is necessary.

The Code is straightforward

(→ contd)

Pseudo Code

def heapifyU:

index = Size - 1

while [hasParent] and [parent > item]

swap (parent, item)

index = parent's index

Python

def heapifyUp(i):

index = Size - 1 #

while hasParent(index) and

parent(index) > items[index]:

swap (parent_index[index], index)

index = parent_index(index)

→
Heapify down():

As long as there are children we need to
check which one ~~is~~ is the lowest

def heapify-down():

index = 0

while (has-left-child(index))

smaller-child-index = left-child(index)

if (has-right-child(index) &

right-child(index) < left-child(index))

smaller-child-index = right-child(index)

if items[index] > items[smaller-child-index]
break

else

swap(index, smaller-child-index)

index = smaller-child-index

When to use them??

~~kth largest element in the array~~

HeapSort: ✓ Tail Recursion

In heapSort we consider implementing a max Heap

Deletion → Heapify Down Percolate down

~~for~~ def heapify(arr, n, i):

largest = i

l = 2 * i + 1

r = 2 * i + 2

if l < n and arr[l] > arr[largest]

largest = l

if r < n and arr[r] > arr[largest]

largest = r

if largest != i

Swap(arr[i], arr[largest])

heapify(arr, n, largest)

Please note:

when you remove an element, we swap the root element with the element to be removed (at index i) and then perform heapify down.

Heapify Op \rightarrow Insertion.

The element is at the last position. Because we have just added it.

Addition of a new element always happens at the end.

def heapify-up(arr, n, i) (bubble up)

$$p = (i-1) // 2$$

if $p < n$ and $arr[p] > arr[i]$:

Swap ($arr[i]$, $arr[p]$)

heapify-up (arr , n , p).

Priority Queue

Every item has a priority associated with it

Kth largest item

There are 2 ways of solving this problem
Using Heaps

How to build a max heap?

Method 1:

Use max heap

[Straight forward way]

Add all items to max heap $[O(n)]$
(Build a max heap)

Extract max K times $\rightarrow O(K \log n)$

$$O(n + K \log n)$$

Method 2:

1. Build min heap of $arr[0]$ to $arr[K-1]$

2. For each element compare it with root

~~Root~~ ~~is greater than root~~ ~~Call heapify~~
Add

If the element is greater than root
make it root and call heapify
else ignore the element

Step 2 time complexity

$$O((n-K) \log K)$$

MinHeap has K largest elements. Root of
MH has the K^{th} largest element

How to Build a Max heap

for (int i = n/2 - 1; i >= 0; i--)
 heap(arr, n, i);

$O(n)$: Complexity

Reasoning

If you build the heap element at a time you will end up at a total of $n \log n$ operations. This would take a lot of time.

The bottom up heap building at each level. In this case the Lowest level (that has lots of nodes)

- $\sim n/2$ nodes at zero
- $\sim n/4$ nodes at height 1
- $\sim n/8$ nodes at $h=2$
- $\sim n/16$ nodes at $h=3$
- $\sim n/2^{i+1}$ nodes for height i

$$\sum_{h=1}^{\log n} [n/2^h] \leq n \sum_{h=1}^{\log n} 2^{-h} = n (1/2 + 1/4 + 1/8 + \dots) = n$$

move zeros

0 1 2 3 4 5
[1 0 2 0 3 0]

curr = non = 0

non = 1, curr = 1

non = 1, curr = 2 Swap

non = 2, curr = 3

non = 2, curr = 3 X

non = 2, curr = 4 Swap

3

Keep track of

[1 2 0 0 3 0]

Track the place where there is a zero.

Here Order

Heap Vs Binary Search Tree

Heap

- good for PQ implementation

- find $O(1)$

insert $O(\log n)$, delete $O(\log n)$

Search $O(n)$

print all items in sorted order
 $O(n \log n)$

Binary Search Tree

Search $O(\log n)$

print all items in sorted order
 $O(n)$

floor and ceil in $O(\log n)$
kth smallest / largest
with additional data structure
 $O(\log n)$

Examples
 arr [len]

[1, 3, 2, 0, 3, 0]
 ↑ Curr

Here ORDER Has to be ~~maintained~~ Maintained

non-zero	Curr	Swap
0	0	Swap
1	1	Swap
2	2	Swap
3	3	-
3	4	Swap
4	5	

arr[Curr] != 0
 Increment

[102]

Non zero either stays at

(*) Non zero pointer moves to the next location only when there is a presence of non zero element

[1, 3, 2, 0, 3, 0]
 ↑ ↑ ↑ ↑ ↑

(*) Curr always moves to the next position.

```
def moveZeros(arr):
    totSize = len(arr)
    nonZero = curr = 0
    while curr < totSize:
        if arr[curr] != 0:
            arr[nonZero] = arr[curr]
            nonZero += 1
        curr += 1
    print(arr)
```