

CHAPTER 7

PLAN OF WORK FOR CAPSTONE PROJECT PHASE - 2

7.1. Dataset Preparation & Pre-processing

- **Objective:** Create the ground truth required to train the risk assessment model.
- **Action Items:**
 - **Acquire Benchmarks:** Download and set up existing QA benchmarks such as Natural Questions and Trivia QA.
 - **Generate Responses:** Run these questions through the selected small LLM (approx. 360M parameters) to generate initial responses².
 - **Labelling:** Create a custom dataset by labelling these generated responses for hallucinations. This involves categorizing answers as factual, hallucinated, or ambiguous to serve as training data.

7.2. Development of the Confidence Module

- **Objective:** Build the hybrid system that analyses both internal model states and external data quality.
- **Action Items:**
 - **Internal Signal Extraction:** Implement the logic to extract the three internal signals defined in the base paper: Semantic Alignment, Internal Convergence, and Learned Confidence.
 - **External Reality Check Implementation:** Develop the new "Context-Aware" layer to detect your three specific risk factors:
 - **Context Scarcity:** Algorithms to measure if retrieved context is too sparse.
 - **Context Conflict:** Integration of Natural Language Inference (NLI) to detect contradictions in source documents.

- **Domain Mismatch:** Semantic similarity checks to verify relevance between query and documents.

7.3. Routing System Logic & Integration

- **Objective:** Develop the dynamic decision-making engine.
- **Action Items:**
 - **Score Aggregation:** Create a formula or classifier that combines the Internal and External scores into a single Confidence Score.
 - **Thresholding:** Define the thresholds that determine which path a query takes:
 - High Confidence --> Direct Generation
 - Medium Confidence/Need Grounding --> RAG
 - High Risk/Conflict --> Large Cloud Model.

7.4. System Evaluation & Benchmarking

- **Objective:** Prove the effectiveness of the system against established baselines.
- **Action Items:**
 - **Baseline Comparison:** Compare your "Proactive" system against "Reactive" methods (like SelfCheckGPT) and "Always-on RAG" in terms of computational cost and accuracy.
 - **Performance Metrics:** Measure:
 - Accuracy: Reduction in hallucination rates.
 - Efficiency: Time taken per query and computational overhead.
 - Routing Precision: How accurately the system identifies when to use RAG vs. Direct Generation.

7.5. Documentation & Final Presentation

- **Objective:** Compile findings into a research paper and final defence.

• Action Items:

- Draft the final report including the methodology, experimental setup, and results.
- Prepare the Phase 2 Final Presentation.
- (Optional Goal) Prepare the work for publication as mentioned in your project scope references.

Phase / Module	Objective	Key Action Items
Dataset Prep	Create ground truth for training the risk model	<ol style="list-style-type: none"> 1. Acquire benchmarks (Natural Questions, Trivia QA) 2. Generate responses using 360M param model. 3. Label responses (Factual vs. Hallucinated)
Confidence Module	Build the hybrid internal/external analysis system	<ol style="list-style-type: none"> 1. Extract internal signals (Convergence, Alignment) 2. Develop "Context-Aware" layer for external risks
Routing Logic	Develop the dynamic decision engine.	<ol style="list-style-type: none"> 1. Create formula to aggregate Internal + External scores 2. Define thresholds for Direct Gen vs. RAG vs. Human Review
Evaluation	Validate system against baselines.	<ol style="list-style-type: none"> 1. Compare against SelfCheckGPT and Always-on RAG 2. Measure Accuracy, Efficiency, and Routing Precision

Table 7.1 Plan of Work