

If generated using 1:

$$1^0 \bmod 3 = 0, 1^1 \bmod 3 = 1, 1^2 \bmod 3 = (1+1) \bmod 3 = 2. \text{ so, } H_2 = G$$

If generated using 2:

$$2^0 \bmod 3 = 0, 2^1 \bmod 3 = 2, 2^2 \bmod 3 = (2+2) \bmod 3 = 1. \text{ so, } H_3 = G$$

Cyclic Group: A Cyclic group is a group that is its own cyclic subgroup. The element that generates cyclic subgroup can also generate group itself. This element is referred as generator 'g'.

Example: In the previous example, The group $G = \langle \mathbb{Z}_3, + \rangle$ is a cyclic group with two generators $g=1$ and $g=2$

Lagrange's Theorem:

It related the order of a group to the order of its sub group. Assume that G is group and H is its subgroup.

If order of G and H are $|G|$ and $|H|$, respectively, based on this theorem $|H|$ divides $|G|$.

EXAMPLE: As per the previous cyclic subgroup example, $|H_1|=1$, $|H_2|=3$, $|H_3|=3$,

Obviously, all of these orders divide the order of $|G|$.

Order of an Element The order of an element a in a group, $\text{ord}(a)$, is the smallest integer n such that $an = e$. The definition can be paraphrased: the order of an element is the order of the cyclic group it generates.

Example:

In the group $G = \langle \mathbb{Z}_3, + \rangle$, $\text{ord}(0)=1$, $\text{ord}(1)=3$, $\text{ord}(2)=3$

2. RING

A **Ring**, denoted as $R = \langle \{...\}, \bullet, \square \rangle$, is an algebraic structure with two operations (addition and multiplication).

The first operation must satisfy all five properties required for an abelian group.

The second operation must satisfy only the first two.

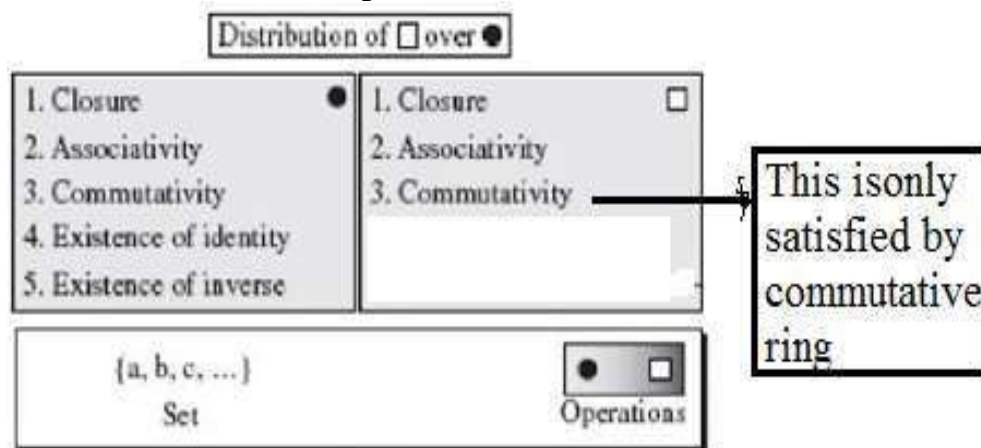
In addition, the second operation must be distributed over the first operation.

Distributivity means that for all a, b and c elements of R , we have

$$a \square (b \bullet c) = (a \square b) \bullet (a \square c) \text{ and } (a \bullet b) \square c = (a \square c) \bullet (b \square c)$$

Commutative Ring: If a ring satisfies commutative property, then we say the ring is a *commutative ring*.

- Rings do not need to have a multiplicative inverse.



Example: \mathbb{Z} an Integer set is a Ring structure.

Explain why \mathbb{Z} (set of Integer numbers) is a ring?

Suppose that $2, 3, 4 \in \mathbb{Z}$.

- Both addition and multiplication are associative since

$$2+(3+4)=(2+3)+4, \text{ and } 2(3 \times 4)=(2 \times 3)4.$$

- It follows that

The identity element for addition is 0 since, $2+0=2$.

The identity element for multiplication is 1 since $1 \times 2=2$.

- Addition is commutative too since $2+3=3+2$

Multiplication is also commutative since $2 \times 3=3 \times 2$,

so, \mathbb{Z} can be called a *commutative ring*).

Addition has the inverse of -2 since $2+(-2)=0$

(Note that multiplication does not need to have a multiplicative inverse. Because multiplicative inverse of 2 is $\frac{1}{2}$. It is not an integer.

Lastly, multiplication also distributes over addition, that is $2(3+4)=2 \times 3+2 \times 4$.

Rings do not need to have a multiplicative inverse.

3.FIELDS

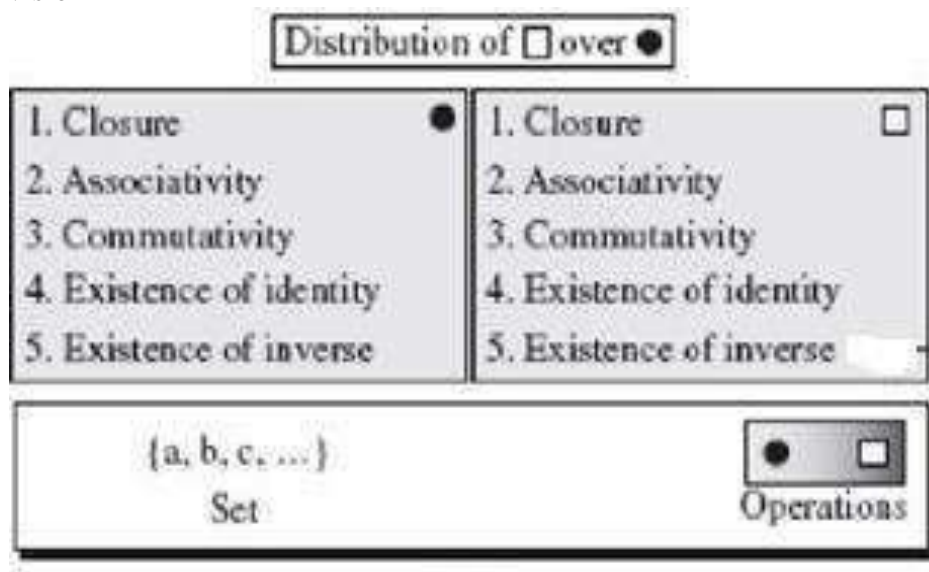
A field, denoted by $\mathbf{F} = \langle \{ \dots \}, \bullet, \square \rangle$, is a commutative ring in which first and second operations satisfies all five properties.

In other words:

A field is a set with the two binary operations of addition and multiplication, both of which operations are commutative, associative, contain identity elements, and contain inverse elements.

The identity element for addition is 0, and the identity element for multiplication is 1.

Application: A field is a structure that supports two pairs of operations: addition/subtraction and multiplication/division



FIELDS-Example

Explain why \mathbf{R} is a field. (\mathbf{R} is set of real numbers) : Suppose that $a, b, c, d \in \mathbf{R}$. We know that \mathbf{R} has addition and multiplication as binary operations since $(a+b)=c$ for some c , and $ab=d$ for some d . Furthermore, we know that addition and multiplication defined on real numbers is both commutative and associative. Additionally, the identity element for addition is 0, $x+0=x$, and the identity element for multiplication is 1, since $1x=x$.

Lastly, the inverse element for addition is $-x$, since $x+(-x)=0$ (0 being the identity for addition), and the inverse element for multiplication $1/x$ since $x \cdot 1/x=1$ when $x \neq 0$.

Comparison of Group, Ring and Field:

Algebraic Structure	Supported Typical Operations	Supported Typical Sets of Integers
Group	$(+ -)$ or $(\times \div)$	Z_n or Z_n^*
Ring	$(+ -)$ and (\times)	Z
Field	$(+ -)$ and $(\times \div)$	Z_p

Check whether Z_p is field structure or not?

Z_5	$\{0,1,2,3,4\}$	$(0,0),(1,4),(2,3)$	Z_5^*	$\{1,2,3,4\}$	$(1,1),(2,3),(4,4)$
Additive Inverses table			multiplicative Inverses table		
	0	1	2	3	4
0	0	1	2	3	4
1	1	2	3	4	0
2	2	3	4	0	1
3	3	4	0	1	2
4	4	0	1	2	3
	0	1	2	3	4
0	0	0	0	0	0
1	0	1	2	3	4
2	0	2	4	1	3
3	0	3	1	4	2
4	0	4	3	2	1

Finite Fields:

A finite field, a field with a finite number of elements. The finite fields are usually called **Galois fields** and denoted as $GF(p^n)$.

Note: A Galois field, $GF(p^n)$, is a finite field with p^n elements where p is prime.

$GF(p)$ Fields: When $n=1$, we have $GF(p)$ field. This field can be the set Z , $(0,1,2,p-1)$, with two operations addition and multiplication. Each element has an additive inverse and that nonzero elements have a multiplicative inverse for prime p .

Example for $GF(p)$ Field: A very common field in this category is $GF(2)$ with the set $\{0,1\}$ and two operations addition and multiplication as shown below:

$GF(2)$			
$\{0, 1\}$	$+$	\times	
	$\begin{array}{c cc} + & 0 & 1 \\ \hline 0 & 0 & 1 \\ 1 & 1 & 0 \end{array}$	$\begin{array}{c cc} \times & 0 & 1 \\ \hline 0 & 0 & 0 \\ 1 & 0 & 1 \end{array}$	$\begin{array}{c cc} a & 0 & 1 \\ \hline -a & 0 & 1 \end{array}$
	Addition	Multiplication	Inverses

Fig. $GF(2)$ field

NOTE: Addition is same as to XOR and multiplication is AND operation

$GF(2^n)$ Fields:-

$GF(2^n)$ is a Finite Field with 2^n elements. The elements in this set are n -bit words. For example, if $n = 3$, the set is: $\{000, 001, 010, 011, 100, 101, 110, 111\}$

Example: if $n = 2$, then $GF(2^2)$ field in which the set has four 2-bit words:

$\{00, 01, 10, 11\}$.

Why $GF(2^n)$?

Generally computer stores positive integers as n -bit words, can be 8-bit, 16-bit, 32-bit, 64-bit.

This means that range of words(integers) is 0 to 2^n-1 . So, the modulus is 2^n

We have two choices if we use a field structure 1) using $GF(p)$ or $GF(2^n)$

- 1) If we use $GF(p)$ with the set Z_p , where p is the largest prime number less than 2^n . This is inefficient, if we use integers from p to 2^n-1 .

If $n=3$, the largest prime less than 2^3 is 7. This means that we can not use integer 7,8.

2) If we $GF(2^n)$ with the set 2^n elements. The elements in this set are n -bit words. Example: If $n=3$, the set is $\{000,001,010,011,100,101,110,111\}$

Polynomials

The data is shown as n -bit words in the computers that satisfy the properties in $GF(2^n)$. These n -bit words are easily represented by Polynomial of degree $n-1$.

A polynomial of degree $n-1$ is an expression of the form: Where x^i is called the i_{th} term and a_i is called coefficient of the i_{th} term.

$$P(x) = a_{n-1}x^{n-1} + a_{n-2}x^{n-2} + \dots + a_1x^1 + a_0x^0$$

EXAMPLE

Figure shows how we can represent the 8-bit word (10011001) using a polynomial.

n -bit word	1	0	0	1	1	0	0	1
	↓	↓	↓	↓	↓	↓	↓	↓
Polynomial	$1x^7 + 0x^6 + 0x^5 + 1x^4 + 1x^3 + 0x^2 + 0x^1 + 1x^0$							
First simplification	$1x^7 + 1x^4 + 1x^3 + 1x^0$							
Second simplification	$x^7 + x^4 + x^3 + 1$							

Fig. · Representation of an 8-bit word by a polynomial

EXAMPLE

To find the 8-bit word related to the polynomial $x^5 + x^3 + x$, we first supply the omitted terms. Since $n = 8$, it means the polynomial is of degree 7. The expanded polynomial is

$$0x^7 + 0x^6 + 1x^5 + 0x^4 + 0x^3 + 1x^2 + 1x^1 + 0x^0$$

This is related to the 8-bit word 00100110.

Note: Polynomials representing n -bit words use two fields: $GF(2)$ for Coefficients and $GF(2^n)$ for terms.

Modulus:

Addition of two polynomials never creates a polynomial out of the set. However, multiplication of two polynomials may create a polynomial with degrees more than $n-1$. This means that we need to divide the result by a modulus and keep only the remainder.

A **Prime Polynomial** cannot be factored into a polynomial with degree of less than n . Such polynomials are referred to as **Irreducible polynomials**.

Table List of irreducible polynomials

Degree	Irreducible Polynomials
1	$(x + 1), (x)$
2	$(x^2 + x + 1)$
3	$(x^3 + x^2 + 1), (x^3 + x + 1)$
4	$(x^4 + x^3 + x^2 + x + 1), (x^4 + x^3 + 1), (x^4 + x + 1)$
5	$(x^5 + x^2 + 1), (x^5 + x^3 + x^2 + x + 1), (x^5 + x^4 + x^3 + x + 1),$ $(x^5 + x^4 + x^3 + x^2 + 1), (x^5 + x^4 + x^2 + x + 1)$

Operations on Polynomials: Addition:

Addition and Subtraction operations on polynomials are the same operation.

The addition operation for polynomials with coefficient in GF(2) is add the coefficients of the corresponding term in GF(2).

Adding two polynomials of degree **n-1** always create a polynomial with degree **n-1**, which means that we do not need to reduce the result using the modulus.

Additive Identity: The additive identity in a polynomial is a zero polynomial (a polynomial with all coefficients set to zero).

Additive inverse: The additive inverse of a polynomial with coefficients in GF(2) is the polynomial itself. This means that the subtraction operation is the same as the addition operation.

Polynomials-Addition

EXAMPLE Let us do $(x^5 + x^2 + x) \oplus (x^3 + x^2 + 1)$ in GF(2⁸). We use the symbol \oplus to show that we mean polynomial addition. The following shows the procedure:

$$\begin{array}{rcl}
 0x^7 + 0x^6 + 1x^5 + 0x^4 + 0x^3 + 1x^2 + 1x^1 + 0x^0 & \oplus & \\
 0x^7 + 0x^6 + 0x^5 + 0x^4 + 1x^3 + 1x^2 + 0x^1 + 1x^0 & & \\
 \hline
 0x^7 + 0x^6 + 1x^5 + 0x^4 + 1x^3 + 0x^2 + 1x^1 + 1x^0 & \rightarrow & x^5 + x^3 + x + 1
 \end{array}$$

There is a short-cut: keeps the uncommon terms and delete the common terms. In other words, x^5 , x^3 , x , and 1 are kept and x^2 , which is common in the two polynomials, is deleted.

Polynomials- Multiplication

- Multiplication in polynomials is the sum of the multiplication of each term of the first polynomial with each term of the second polynomial.
- The multiplication may create terms with degree more than **n-1**, which means the result needs to be reduced using a modulus polynomial

EXAMPLE Find the result of $(x^5 + x^2 + x) \otimes (x^7 + x^4 + x^3 + x^2 + x)$ in $GF(2^8)$ with irreducible polynomial $(x^8 + x^4 + x^3 + x + 1)$. Note that we use the symbol \otimes to show the multiplication of two polynomials.

$$P_1 \otimes P_2 = x^5(x^7 + x^4 + x^3 + x^2 + x) + x^2(x^7 + x^4 + x^3 + x^2 + x) + x(x^7 + x^4 + x^3 + x^2 + x)$$

$$P_1 \otimes P_2 = x^{12} + x^9 + x^8 + x^7 + x^6 + x^9 + x^6 + x^5 + x^4 + x^3 + x^8 + x^5 + x^4 + x^3 + x^2$$

$$P_1 \otimes P_2 = (x^{12} + x^7 + x^2) \bmod (x^8 + x^4 + x^3 + x + 1) = x^5 + x^3 + x^2 + x + 1$$

Division by modulus to reduce Polynomial

$$\begin{array}{r}
 x^4 + 1 \\
 x^8 + x^4 + x^3 + x + 1 \overline{) x^{12} + x^7 + x^2} \\
 \underline{x^{12} + x^8 + x^7 + x^5 + x^4} \\
 x^8 + x^5 + x^4 + x^2 \\
 \underline{x^8 + x^4 + x^3 + x + 1} \\
 \text{Remainder } x^5 + x^3 + x^2 + x + 1
 \end{array}$$

Fig. Polynomial division
with coefficients in $GF(2^8)$

Multiplicative identity & Multiplicative inverse

Multiplicative identity:- The multiplicative identity is always 1. For example, in $GF(2^8)$, the multiplicative inverse is the bit pattern 00000001

Multiplicative inverse:- Use extended Euclidean Algorithm to find the multiplicative inverse of a polynomial. This process is exactly same as for integers.

Example: In $GF(24)$, find the inverse of (x^2+1) modulo (x^4+x+1)

Solution:- Use the extended Euclidean algorithm as in Table:

q	r_1	r_2	r	t_1	t_2	t
(x^2+1)	(x^4+x+1)	(x^2+1)	(x)	(0)	(1)	(x^2+1)
(x)	(x^2+1)	(x)	(1)	(1)	(x^2+1)	(x^3+x+1)
(x)	(x)	(1)	(0)	(x^2+1)	(x^3+x+1)	(0)
	(1)	(0)		(x^3+x+1)	(0)	

This means that $(x^2 + 1)^{-1}$ modulo $(x^4 + x + 1)$ is $(x^3 + x + 1)$. The answer can be easily proved by multiplying the two polynomials and finding the remainder when the result is divided by the modulus.

$$[(x^2 + 1) \otimes (x^3 + x + 1)] \bmod (x^4 + x + 1) = 1$$

Polynomials- Multiplication using a computer

If we multiply two polynomials, we also need to perform division operation that reduces an efficiency. Computer uses an algorithm for multiply the polynomials that should not use division operation, instead repeatedly multiplying a reduced polynomial by x .

Example: Instead of finding the result of $x^2 \otimes P_2$, it can be done like

$$x \otimes (x \otimes P_2)$$

Example:

Power	Operation	New Result	Reduction
$x^0 \otimes P_2$		$x^7+x^4+x^3+x^2+x$	No
$x^1 \otimes P_2$	$x \otimes (x^7+x^4+x^3+x^2+x)$	x^5+x^2+x+1	Yes
$x^2 \otimes P_2$	$x \otimes (x^5+x^2+x+1)$	$x^6+x^3+x^2+x$	No
$x^3 \otimes P_2$	$x \otimes (x^6+x^3+x^2+x)$	$x^7+x^4+x^3+x^2$	No
$x^4 \otimes P_2$	$x \otimes (x^7+x^4+x^3+x^2)$	x^5+x+1	Yes
$x^5 \otimes P_2$	$x \otimes (x^5+x+1)$	x^6+x^2+x	No

Result is $P_1 \otimes P_2 = (x^6+x^2+x) + (x^6+x^3+x^2+x) + (x^5+x^2+x+1) = x^5+x^3+x^2+x+1$

Simple algorithm

1. If the most significant bit of the previous result is 0, just shift the previous result one bit to the left.
2. If the most significant bit of the previous result is 1.

a) Shift it one bit to the left, and

b) Exclusive-OR it with the modulus without the most significant bit.

Example: Multiply $P_1 = (x^5+x^2+x)$ by $P_2 = (x^7+x^4+x^3+x^2+x)$ in $GF(2^8)$ with irreducible $(x^8+x^4+x^3+x+1)$

Binary representation of $P_2 = 10011110$,

Irreducible polynomial = 100011011(9bits)

Power	Shift-left Operation	Exclusive-OR
$x^0 \otimes P_2$		10011110
$x^1 \otimes P_2$	111100	$(00111100) + (00011011) = \underline{00100111}$
$x^2 \otimes P_2$	1001110	1001110
$x^3 \otimes P_2$	10011100	10011100
$x^4 \otimes P_2$	111000	$(00111000) + (00011011) = \underline{00100011}$
$x^5 \otimes P_2$	01000110	<u>01000110</u>
$P_1 \otimes P_2 = (00100111) + 01001110 + 01000110 = \underline{00101111}$		

Multiplication of polynomials in $GF(2^n)$ can be achieved using shift-left and exclusive-or operations

Example: Find Addition Table for $GF(2^3)$ -

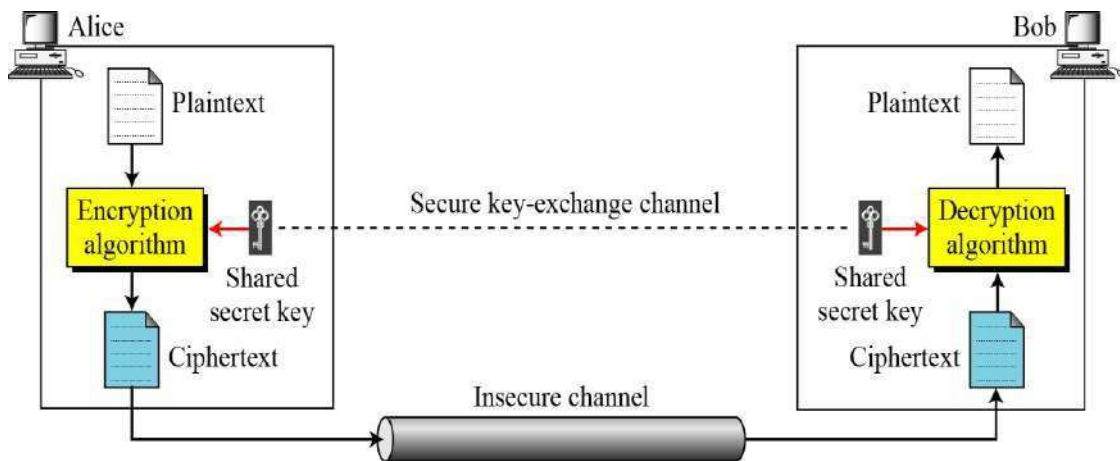
\otimes	000 (0)	001 (1)	010 (x)	011 (x+1)	100 (x ²)	101 (x ² +1)	110 (x ² +x)	111 (x ² +x+1)
000 (0)	000 (0)	001 (1)	010 (x)	011 (x+1)	100 (x ²)	101 (x ² +1)	110 (x ² +x)	111 (x ² +x+1)
001 (1)	001 (1)	000 (0)	011 (x+1)	010 (x ²)	101 (x ² +1)	100 (x ² +x)	111 (x ² +x+1)	110 (x ² +x)
010 (x)	010 (x)	011 (x+1)	000 (0)	001 (1)	110 (x ² +x)	111 (x ² +x+1)	100 (x ² +x)	101 (x ² +1)
011 (x+1)	011 (x+1)	010 (x)	001 (1)	000 (0)	111 (x ² +x+1)	110 (x ² +x)	101 (x ² +1)	100 (x ²)
100 (x ²)	100 (x ²)	101 (x ² +1)	110 (x ² +x)	111 (x ² +x+1)	000 (0)	001 (1)	010 (x)	011 (x+1)
101 (x ² +1)	101 (x ² +1)	100 (x ²)	111 (x ² +x+1)	110 (x ² +x)	001 (1)	000 (0)	011 (x+1)	010 (x)
110 (x ² +x)	110 (x ² +x)	111 (x ² +x+1)	100 (x ²)	101 (x ² +1)	010 (x)	011 (x+1)	000 (0)	001 (1)
111 (x ² +x+1)	111 (x ² +x+1)	110 (x ² +x)	101 (x ² +1)	100 (x ²)	011 (x+1)	010 (x)	001 (1)	000 (0)

Example :find Multiplication Table for GF(2³) -with irreducible polynomial is x³+x²+1

\otimes	000 (0)	001 (1)	010 (x)	011 (x+1)	100 (x ²)	101 (x ² +1)	110 (x ² +x)	111 (x ² +x+1)
000 (0)	000 (0)	000 (0)	000 (0)	000 (0)	000 (0)	000 (0)	000 (0)	000 (0)
001 (1)	000 (0)	001 (1)	010 (x)	011 (x+1)	100 (x ²)	101 (x ² +1)	110 (x ² +x)	111 (x ² +x+1)
010 (x)	000 (0)	010 (x)	100 (x)	110 (x ² +x)	101 (x ² +1)	111 (x ² +x+1)	001 (1)	011 (x+1)
011 (x+1)	000 (0)	011 (x+1)	110 (x ² +x)	101 (x ² +1)	001 (1)	010 (x)	111 (x ² +x+1)	100 (x)
100 (x ²)	000 (0)	100 (x ²)	101 (x ² +1)	001 (1)	111 (x ² +x+1)	011 (x+1)	010 (x)	110 (x ² +x)
101 (x ² +1)	000 (0)	101 (x ² +1)	111 (x ² +x+1)	010 (x)	011 (x+1)	110 (x ² +x)	100 (x ²)	001 (1)
110 (x ² +x)	000 (0)	110 (x ² +x)	001 (1)	111 (x ² +x+1)	010 (x)	100 (x ²)	011 (x+1)	101 (x ² +1)
111 (x ² +x+1)	000 (0)	111 (x ² +x+1)	011 (x+1)	100 (x ²)	110 (x ² +x)	001 (1)	101 (x ² +1)	010 (x)

Symmetric Key Cipher

The sender and receiver of message use a single common key to encrypt and decrypt messages.



If P is the plaintext, C is the ciphertext, and K is the key,

$$\text{Encryption: } C = E_k(P)$$

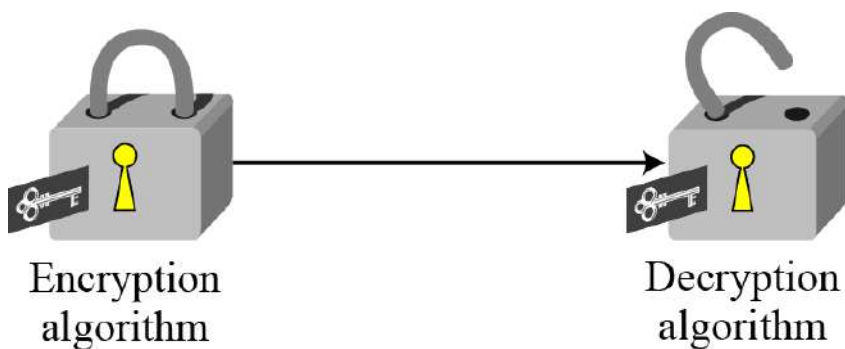
$$\text{Decryption: } P = D_k(C)$$

$$\text{In which, } D_k(E_k(x)) = E_k(D_k(x)) = x$$

We assume that Bob creates P_1 ; we prove that $P_1 = P$:

$$\text{Alice: } C = E_k(P) \quad \text{Bob: } P_1 = D_k(C) = D_k(E_k(P)) = P$$

Figure Locking and unlocking with the same key

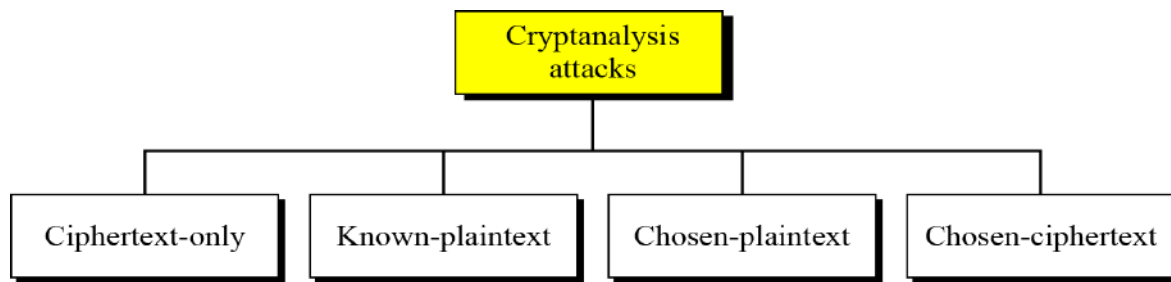


Kerckhoff's Principle

Based on Kerckhoff's principle, one should always assume that the adversary, Eve, knows the encryption/decryption algorithm. The resistance of the cipher to attack must be based only on the secrecy of the key.

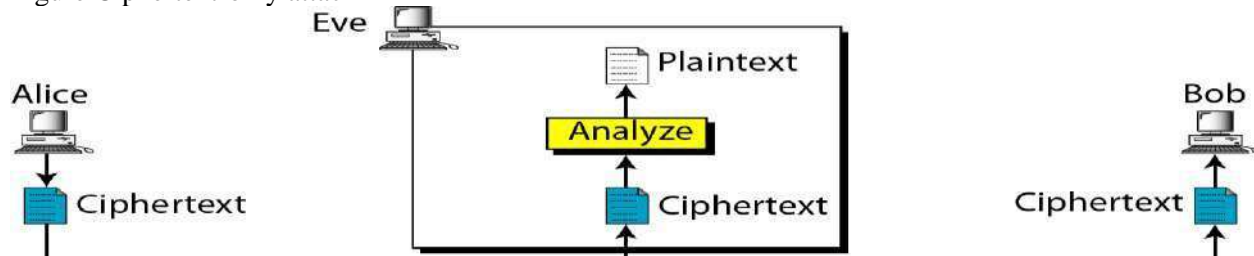
Cryptanalysis

As cryptography is the science and art of creating secret codes, cryptanalysis is the science and art of breaking those codes.



Ciphertext-Only Attack

Figure Ciphertext-only attack



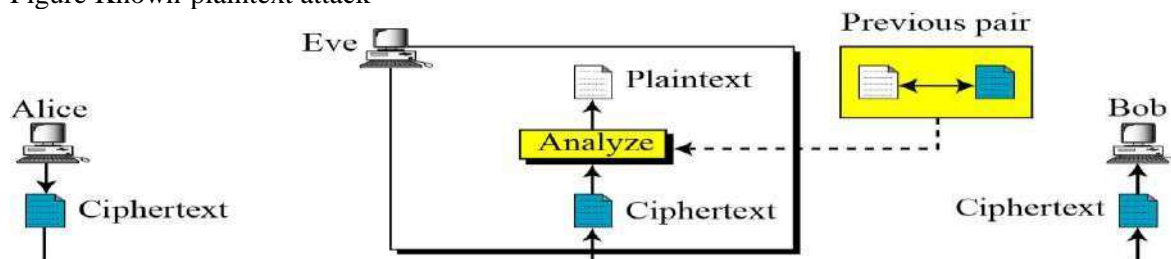
In Ciphertext-Only Attack, the attacker knows only some cipher text. He try to find corresponding key and plain text using various methods.

Brute-Force attack: Attacker tries all possible keys. We assume that he knows key domain

Statistical attack: The cryptanalyst can benefit from some inherent characteristics of the plain text language to perform statistical attack. Example: Letter E is most frequently used character in English.

Known-Plaintext Attack

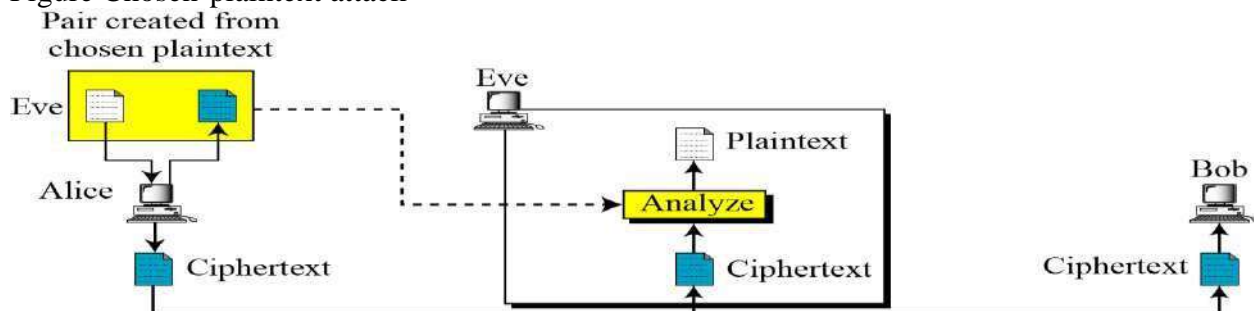
Figure Known-plaintext attack



In this attack, he know some cipher text and plain text pairs that were sent previously by Alice to Bob. Attacker has kept both cipher text and plain text to use them to break the next secrete message.

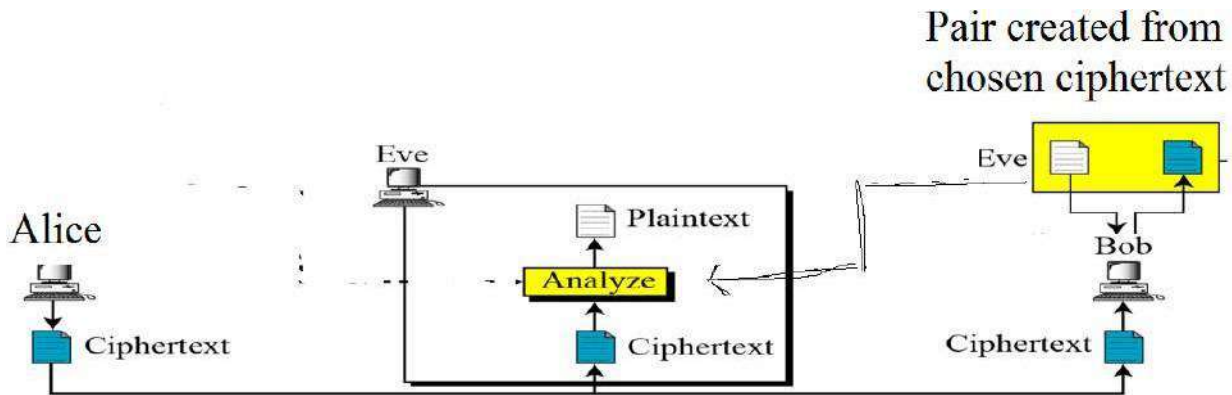
Chosen-Plaintext Attack

Figure Chosen-plaintext attack



This is similar to known-plaintext attack, but plaintext/cipher text pairs have been chosen by the attacker. This can happen when attacker has access to Alice computer. She can choose some plaintext and interpret ciphertext.

Figure Chosen-Ciphertext attack



Categories of Traditional Ciphers

A substitution cipher replaces one character with another

A Transposition cipher reorders symbols

Note:

A substitution cipher replaces one symbol with another.

In monoalphabetic substitution, the relationship between a symbol in the plaintext to a symbol in the ciphertext is always one-to-one.

The following shows a plaintext and its corresponding ciphertext. The cipher is probably monoalphabetic because both l's (els) are encrypted as O's.

Ciphertext: KHOOR

The following shows a plaintext and its corresponding ciphertext. The cipher is not monoalphabetic because each l (el) is encrypted by a different character. The first l (el) is encrypted with N; the second as Z

Ciphertext: ABNZF

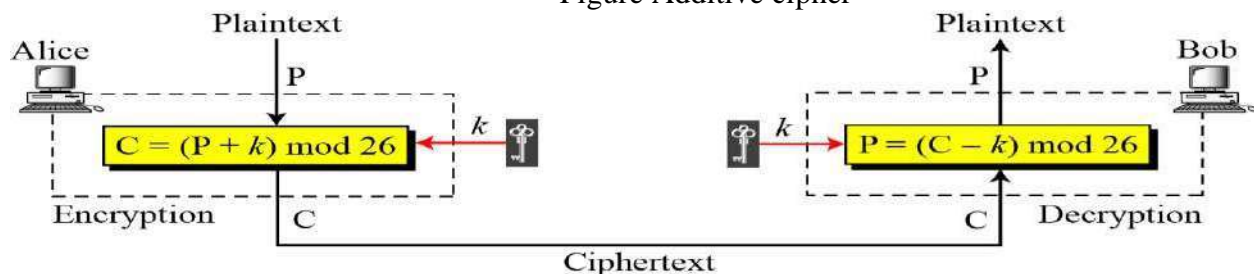
Additive Cipher

The simplest monoalphabetic cipher is the additive cipher. This cipher is sometimes called a shift cipher and sometimes a Caesar cipher, but the term additive cipher better reveals its mathematical nature.

Figure Plaintext and ciphertext in Z_{26}

Plaintext →	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
Ciphertext →	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
Value →	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25

Figure Additive cipher



Note:

When the cipher is additive, the plaintext, ciphertext, and key are integers in Z_{26} .

Example:

Use the additive cipher with key = 15 to encrypt the message “hello”.

Solution

We apply the encryption algorithm to the plaintext, character by character:

Plaintext: h → 07	Encryption: $(07 + 15) \bmod 26$	Ciphertext: 22 → W
Plaintext: e → 04	Encryption: $(04 + 15) \bmod 26$	Ciphertext: 19 → T
Plaintext: l → 11	Encryption: $(11 + 15) \bmod 26$	Ciphertext: 00 → A
Plaintext: l → 11	Encryption: $(11 + 15) \bmod 26$	Ciphertext: 00 → A
Plaintext: o → 14	Encryption: $(14 + 15) \bmod 26$	Ciphertext: 03 → D

Reference

Plaintext →	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
Value →	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25

Example:

Use the additive cipher with key = 15 to decrypt the message “WTAAD”.

Solution

We apply the decryption algorithm to the plaintext character by character:

Ciphertext: W → 22	Decryption: $(22 - 15) \bmod 26$	Plaintext: 07 → h
Ciphertext: T → 19	Decryption: $(19 - 15) \bmod 26$	Plaintext: 04 → e
Ciphertext: A → 00	Decryption: $(00 - 15) \bmod 26$	Plaintext: 11 → l
Ciphertext: A → 00	Decryption: $(00 - 15) \bmod 26$	Plaintext: 11 → l
Ciphertext: D → 03	Decryption: $(03 - 15) \bmod 26$	Plaintext: 14 → o

Reference																										
Plaintext →	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
Value →	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25

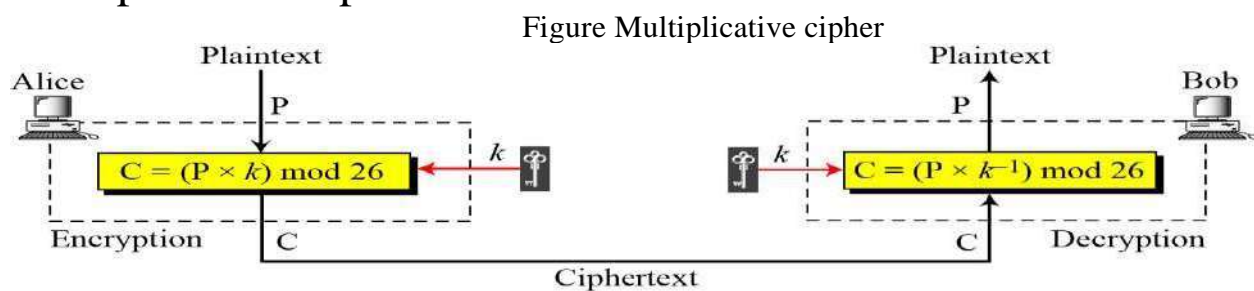
Shift Cipher and Caesar Cipher

Historically, additive ciphers are called **shift ciphers**. Julius Caesar used an additive cipher to communicate with his officers. For this reason, additive ciphers are sometimes referred to as the Caesar cipher. Caesar used a key of 3 for his communications.

Note:

Additive ciphers are sometimes referred to as shift ciphers or Caesar cipher

Multiplicative Ciphers



Note:

In a multiplicative cipher, the plaintext and ciphertext are integers in Z_{26} ; the key is an integer in Z_{26}^* .

Example1:

What is the key domain for any multiplicative cipher?

Solution: The key needs to be in Z_{26}^* . This set has only 12 members: 1, 3, 5, 7, 9, 11, 15, 17, 19, 21, 23, 25.

Example2:

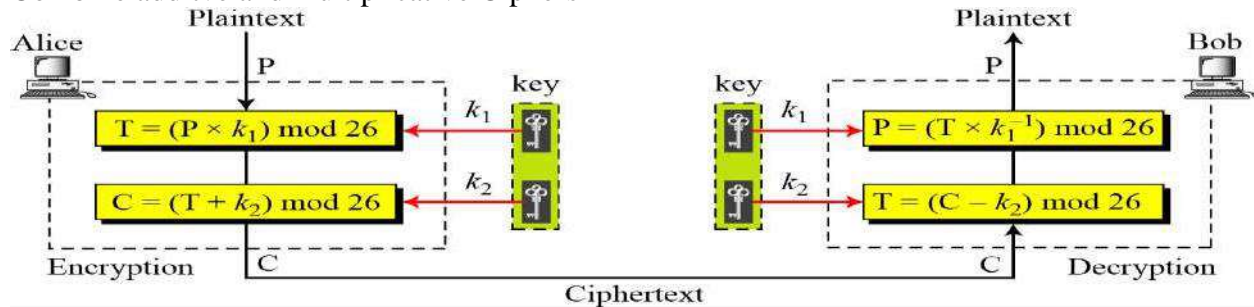
We use a multiplicative cipher to encrypt the message “hello” with a key of 7. The ciphertext is “XCZZU”.

Plaintext: h → 07	Encryption: $(07 \times 07) \bmod 26$	ciphertext: 23 → X
Plaintext: e → 04	Encryption: $(04 \times 07) \bmod 26$	ciphertext: 02 → C
Plaintext: l → 11	Encryption: $(11 \times 07) \bmod 26$	ciphertext: 25 → Z
Plaintext: l → 11	Encryption: $(11 \times 07) \bmod 26$	ciphertext: 25 → Z
Plaintext: o → 14	Encryption: $(14 \times 07) \bmod 26$	ciphertext: 20 → U

Reference																										
Plaintext →	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
Value →	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25

Affine Ciphers:

Combine additive and multiplicative Ciphers



$$C = (P \times k_1 + k_2) \bmod 26$$

$$P = ((C - k_2) \times k_1^{-1}) \bmod 26$$

where k_1^{-1} is the multiplicative inverse of k_1 and $-k_2$ is the additive inverse of k_2

Example1:

The affine cipher uses a pair of keys in which the first key is from Z_{26}^* and the second is from Z_{26} . The size of the key domain is $26 \times 12 = 312$.

Example2:

Use an affine cipher to encrypt the message "hello" with the key pair (7, 2).

P: h \rightarrow 07	Encryption: $(07 \times 7 + 2) \bmod 26$	C: 25 \rightarrow Z
P: e \rightarrow 04	Encryption: $(04 \times 7 + 2) \bmod 26$	C: 04 \rightarrow E
P: l \rightarrow 11	Encryption: $(11 \times 7 + 2) \bmod 26$	C: 01 \rightarrow B
P: l \rightarrow 11	Encryption: $(11 \times 7 + 2) \bmod 26$	C: 01 \rightarrow B
P: o \rightarrow 14	Encryption: $(14 \times 7 + 2) \bmod 26$	C: 22 \rightarrow W

Monoalphabetic Substitution Cipher

Because additive, multiplicative, and affine ciphers have small key domains, they are very vulnerable to brute-force attack.

A better solution is to create a mapping between each plaintext character and the corresponding ciphertext character. Alice and Bob can agree on a table showing the mapping for each character.

Figure An example key for monoalphabetic substitution cipher

Plaintext \rightarrow	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
Ciphertext \rightarrow	N	O	A	T	R	B	E	C	F	U	X	D	Q	G	Y	L	K	H	V	I	J	M	P	Z	S	W

Example:

We can use the key in Figure to encrypt the message

this message is easy to encrypt but hard to find the key

The ciphertext is

ICFVQRVVNEFVRNVSIYRGAHSLIOJICNHTIYBFGTICRXRS

Reference

Plaintext \rightarrow	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
Ciphertext \rightarrow	N	O	A	T	R	B	E	C	F	U	X	D	Q	G	Y	L	K	H	V	I	J	M	P	Z	S	W

Polyalphabetic Ciphers

In polyalphabetic substitution, each occurrence of a character may have a different substitute. The relationship between a character in the plaintext to a character in the ciphertext is one-to-many.

Example 'a' can be enciphered as 'D' in the beginning of the text, but as 'N' at the middle.

Polyalphabetic has advantage of hiding the letter frequency.

Example: Autokey Cipher

$$P = P_1 P_2 P_3 \dots$$

$$C = C_1 C_2 C_3 \dots$$

$$k = (k_1, P_1, P_2, \dots)$$

$$\text{Encryption: } C_i = (P_i + k_i) \bmod 26$$

$$\text{Decryption: } P_i = (C_i - k_i) \bmod 26$$

Example:

Assume that Alice and Bob agreed to use an autokey cipher with initial key value $k_1 = 12$. Now Alice wants to send Bob the message “Attack is today”. Enciphering is done character by character.

Plaintext:	a	t	t	a	c	k	i	s	t	o	d	a	y
P's Values:	00	19	19	00	02	10	08	18	19	14	03	00	24
Key stream:	12	00	19	19	00	02	10	08	18	19	14	03	00
C's Values:	12	19	12	19	02	12	18	00	11	7	17	03	24
Ciphertext:	M	T	M	T	C	M	S	A	L	H	R	D	Y

TRANSPOSITION CIPHERS

A transposition cipher does not substitute one symbol for another, instead it changes the location of the symbols. A symbol in the first position may appear in the tenth position of the cipher. A symbol in the eighth position may appear in the first position of the cipher.

Note: A transposition cipher reorders symbols

Keyless Transposition Ciphers

Simple transposition ciphers, which were used in the past, are keyless.

Example 1:

A good example of a keyless cipher using the first method is the rail fence cipher. The ciphertext is created reading the pattern row by row. For example, to send the message “*Meet me at the park*” to Bob, Alice writes

m e t m e a t t h e p a r k

She then creates the ciphertext “MEMATEAKETETHPR”.

Example 2:

Alice and Bob can agree on the number of columns and use the second method. Alice writes the same plaintext, row by row, in a table of four columns.

m	e	e	t
m	e	a	t
t	h	e	p
a	r	k	

She then creates the ciphertext “MMTAEHREAEKTTP” by transmitting the characters column by column. Bob receives the cipher text and follows the reverse process to get plain text .

Example:

m	e	e	t
m	e	a	t
t	h	e	p
a	r	k	

The cipher in previous example is actually a transposition cipher. **The following shows the permutation of each character in the plaintext into the ciphertext based on the positions.**

01	02	03	04	05	06	07	08	09	10	11	12	13	14	15
↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓
01	05	09	13	02	06	10	13	03	07	11	15	04	08	12

The second character in the plaintext has moved to the fifth position in the ciphertext; the third character has moved to the ninth position; and so on. Although the characters are permuted, there is a **pattern** in the permutation: (01, 05, 09, 13), (02, 06, 10, 13), (03, 07, 11, 15), and (4, 8, 12). In each section, the difference between the two adjacent numbers is 4.

Keyed Transposition Ciphers

The keyless ciphers permute the characters by using writing plaintext in one way and reading it in another way. The permutation is done on the whole plaintext to create the whole ciphertext.

Another method is to divide the plaintext into groups of predetermined size, called blocks, and then **use a key** to permute the characters in each block separately.

Example

Alice needs to send the message “**Enemy attacks tonight**” to Bob..

e n e m y a t t a c k s t o n i g h t z

The key used for encryption and decryption is a permutation key, which shows how the character are permuted.

Encryption	↓	<table><tr><td>3</td><td>1</td><td>4</td><td>5</td><td>2</td></tr><tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td></tr></table>	3	1	4	5	2	1	2	3	4	5	↑ Decryption
3	1	4	5	2									
1	2	3	4	5									

The permutation yields

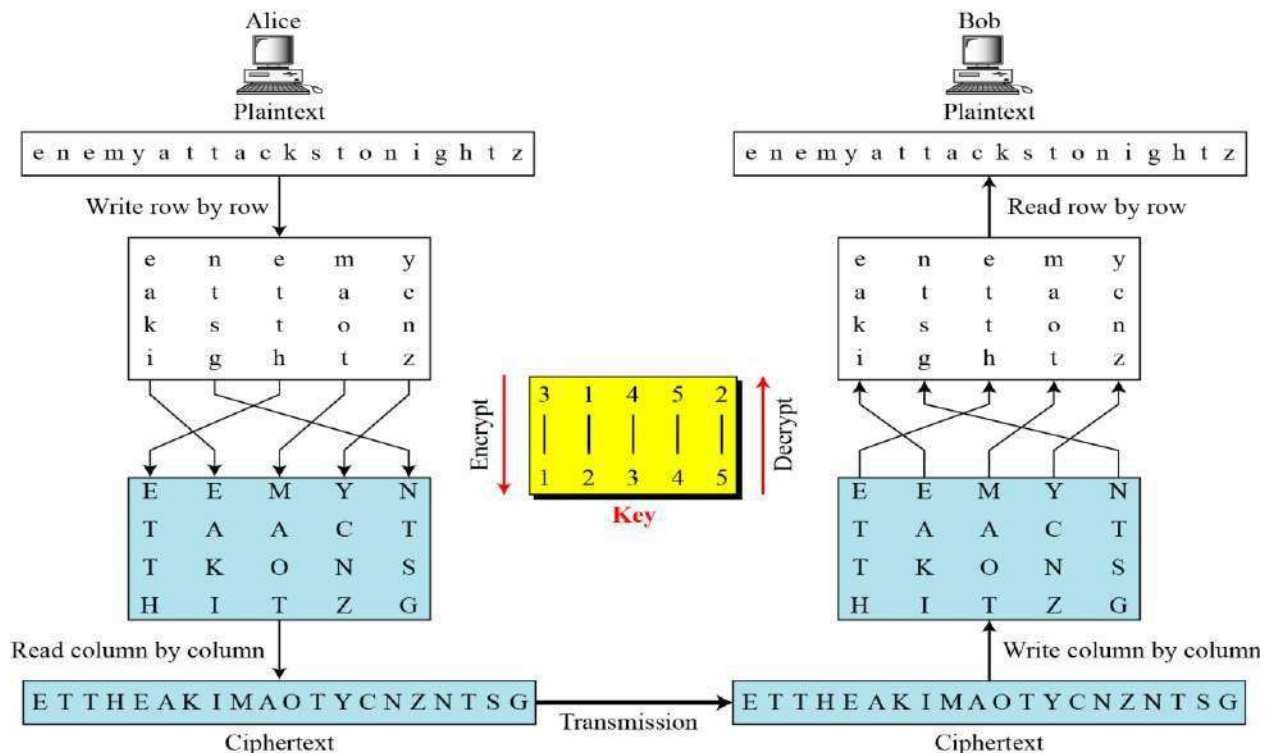
E E M Y N T A A C T T K O N S H I T Z G

Combining Two Approaches for better result

Encryption or decryption is done in 3 steps:

- 1) Text is written into row by row
- 2) Permutation is done by reordering columns
- 3) New table is read column by column

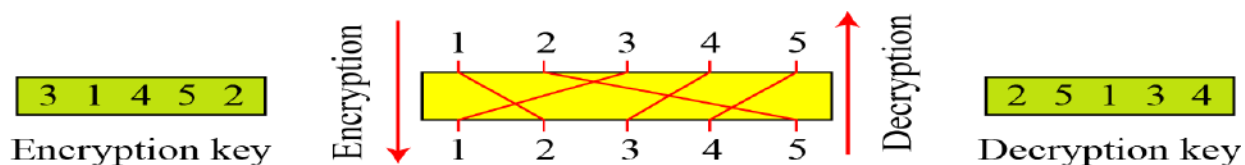
Example



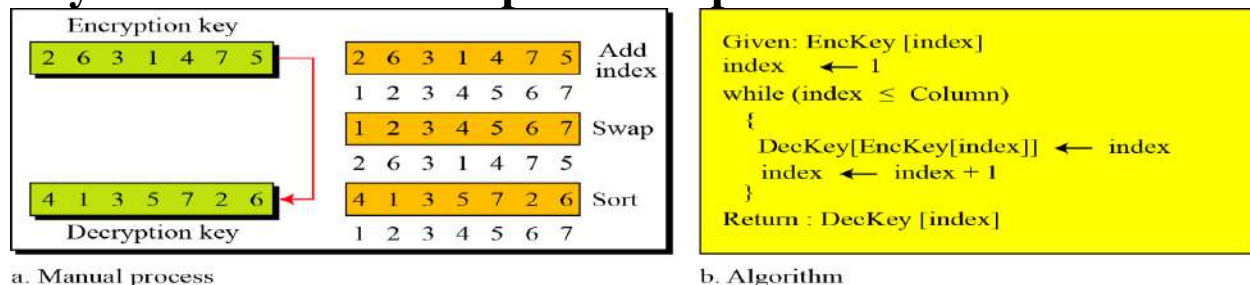
Keys

In the previous Example, a single key was used in two directions for the column exchange: downward for encryption, upward for decryption. It is customary to create two keys.

Figure Encryption/decryption keys in transpositional ciphers



Key inversion in a transposition cipher



Using Matrices

We can use matrices to show the encryption/decryption process for a transposition cipher. The plain text and cipher text are $l \times m$ matrices with numerical values of characters and keys are $m \times m$ matrix x .

In a permutation matrix, every row or column has exactly one 1 and others are 0's. Encryption multiplies plaintext matrix with key matrix and decryption multiplies ciphertext matrix with inverse of key matrix (This simply the transposition of key matrix)

Example

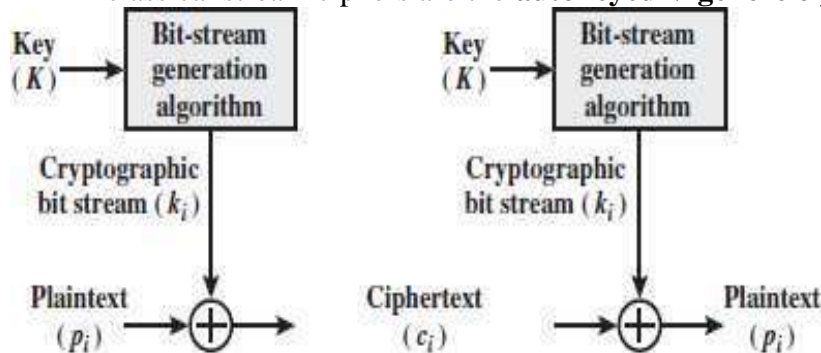
$$\begin{array}{ccccc}
 & \begin{array}{ccccc} \boxed{3} & \boxed{1} & \boxed{4} & \boxed{5} & \boxed{2} \end{array} \\
 & \begin{array}{ccccc} \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \end{array} \\
 \begin{bmatrix} 04 & 13 & 04 & 12 & 24 \\ 00 & 19 & 19 & 00 & 02 \\ 10 & 18 & 19 & 14 & 13 \\ 08 & 06 & 07 & 19 & 25 \end{bmatrix} & \times & \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix} & = & \begin{bmatrix} 04 & 04 & 12 & 24 & 13 \\ 19 & 00 & 00 & 02 & 19 \\ 19 & 10 & 14 & 13 & 18 \\ 07 & 08 & 19 & 25 & 06 \end{bmatrix} \\
 \text{Plaintext} & & \text{Encryption key} & & \text{Ciphertext}
 \end{array}$$

Figure Representation of the key as a matrix in the transposition cipher

Stream Ciphers and Block Ciphers

Stream Ciphers

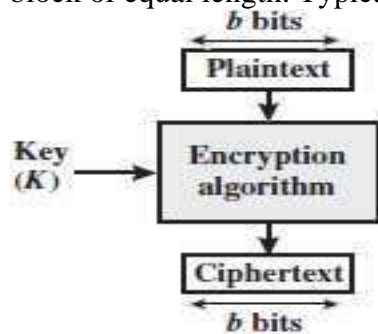
- A stream cipher is one that encrypts a digital data stream one bit or one byte at a time. Examples of classical stream ciphers are the **autokeyed Vigenère cipher** and the **Vernam cipher**.



(a) Stream cipher using algorithmic bit-stream generator

Block Ciphers

A **block cipher** is one in which a block of plaintext is treated as a whole and used to produce a cipher text block of equal length. Typically, a block size of 64 or 128 bits is used.

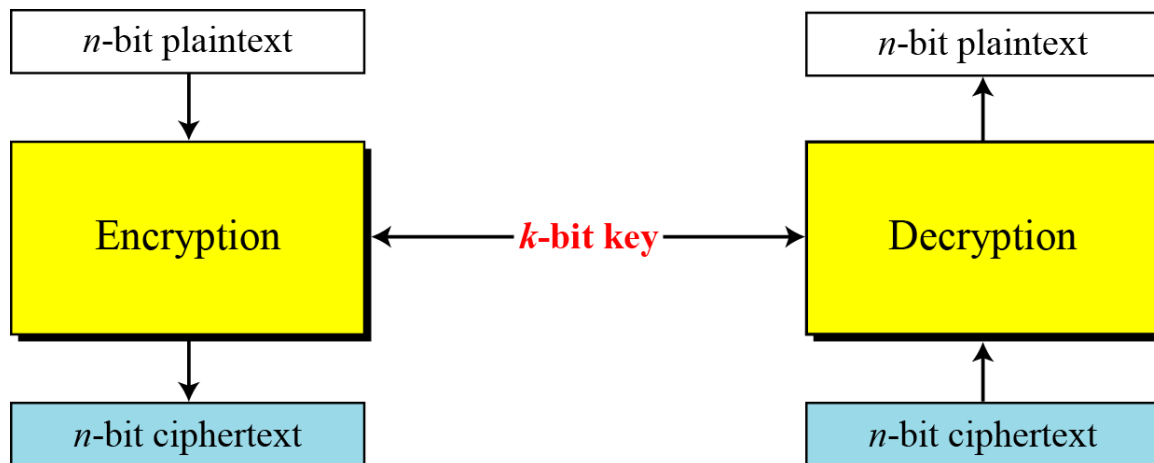


(b) Block cipher

Modern Block Ciphers

A symmetric-key modern block cipher encrypts an n -bit block of plaintext or decrypts an n -bit block of cipher text. The encryption or decryption algorithm uses a k -bit key. The Decryption algorithm must be the inverse of the encryption algorithm and must use the same secret key.

Figure A modern block cipher



If the message has fewer than n bits, padding must be added to make it an n -bit block; if the message has more than n bits, it should be divided into n -bit blocks and the appropriate padding must be added to the last block if necessary. The common values for n are 64, 128, 256, or 512 bits.

Example: How many padding bits must be added to a message of 100 characters if 8-bit ASCII is used for encoding and the block cipher accepts blocks of 64 bits?

Solution

Encoding 100 characters using 8-bit ASCII results in an 800-bit (100×8) message. The plaintext must be divisible by 64. If $|M|$ and $|Pad|$ are the length of the message and the length of the padding,

$$|M| + |Pad| = 0 \pmod{64} \rightarrow |Pad| = -800 \pmod{64} \rightarrow 32 \pmod{64}$$

A modern block cipher can be designed to act as a substitution cipher or a transposition cipher.

To be resistant to exhaustive-search attack, a modern block cipher needs to be designed as a substitution cipher.

Example

Suppose that we have a block cipher where $n = 64$. If there are 10 1's in the ciphertext, how many trial-and-error tests does Eve need to do to recover the plaintext from the intercepted ciphertext in each of the following cases?

- The cipher is designed as a substitution cipher.
- The cipher is designed as a transposition cipher.

Solution

- In the first case, Eve has no idea how many 1's are in the plaintext. Eve needs to try all possible 2^{64} 64-bit blocks to find one that makes sense.
- In the second case, Eve knows that there are exactly 10 1's in the plaintext. Eve can launch an exhaustive-search attack using only those 64-bit blocks that have exactly 10 1's.

Components of a Modern Block Cipher

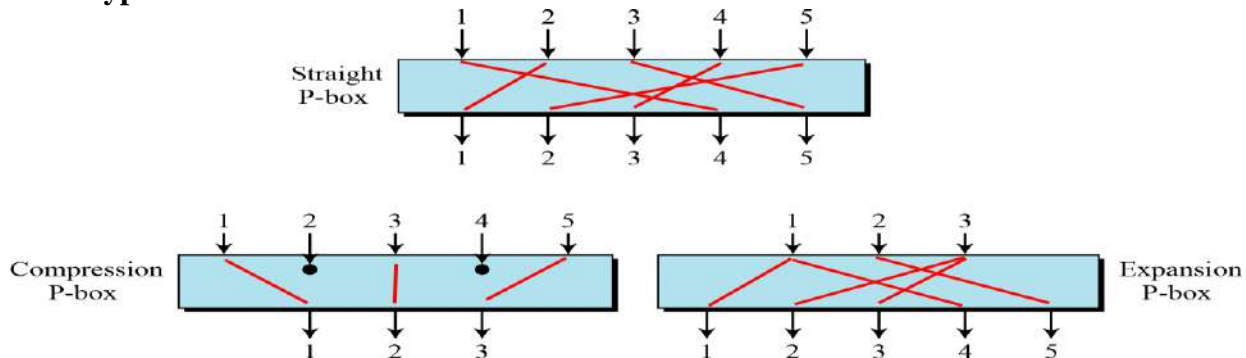
Modern block ciphers normally are keyed substitution ciphers in which the key allows only partial mappings from the possible inputs to the possible outputs. It uses P-Boxes, S-Boxes.

P-Boxes

P-Boxes(also called ad D-Box means Diffusion box)

A P-box (permutation box) parallels the traditional transposition cipher for characters. It transposes bits.

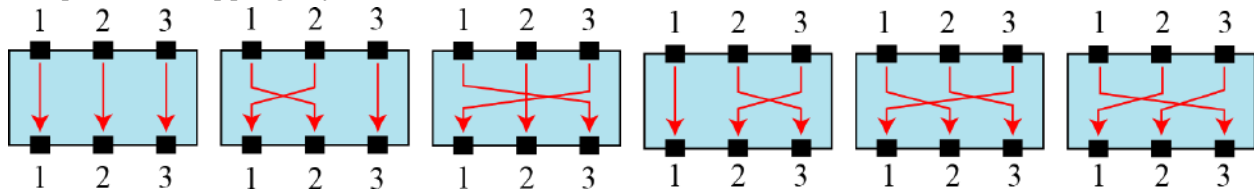
Three types of P-boxes



Example

Figure shows all 6 possible mappings of a 3×3 P-box.

The possible mappings of a 3×3 P-box



Straight P-Boxes

Table Example of a permutation table for a straight P-box(64x64)

At output of P-Box:

Input 58 goes to 1st position, input 50 goes to 2nd position, input 42 to 3rd position,....

58	50	42	34	26	18	10	02	60	52	44	36	28	20	12	04
62	54	46	38	30	22	14	06	64	56	48	40	32	24	16	08
57	49	41	33	25	17	09	01	59	51	43	35	27	19	11	03
61	53	45	37	29	21	13	05	63	55	47	39	31	23	15	07

Example

Design an 8×8 permutation table for a straight P-box that moves the two middle bits (bits 4 and 5) in the input word to the two ends (bits 1 and 8) in the output words. Relative positions of other bits should not be changed.

Solution

We need a straight P-box with the table [4 1 2 3 6 7 8 5]. The relative positions of input bits 1, 2, 3, 6, 7, and 8 have not been changed, but the first output takes the fourth input and the eighth output takes the fifth input.

Compression P-Boxes

Example of a 32×24 permutation table

01	02	03	21	22	26	27	28	29	13	14	17
18	19	20	04	05	06	10	11	12	30	31	32

Some of the input bits are blocked at output: example: 7,8,9,15,16,23,24,25

Expansion P-Boxes

Example of a 12×16 permutation table

01	09	10	11	12	01	02	03	03	04	05	06	07	08	09	12
----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

1,3,9,12 are mapped to two outputs

P-Boxes: Invertibility

A straight P-Box is invertible, that means we use straight P-Box in encryption cipher and its inverse in decryption cipher.

Note

A straight P-box is invertible, but compression and expansion P-boxes are not.

Example

Figure shows how to invert a permutation table represented as a one-dimensional table.

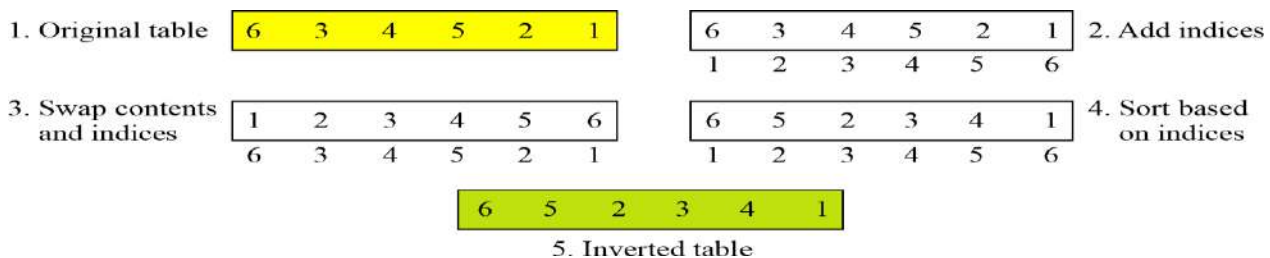
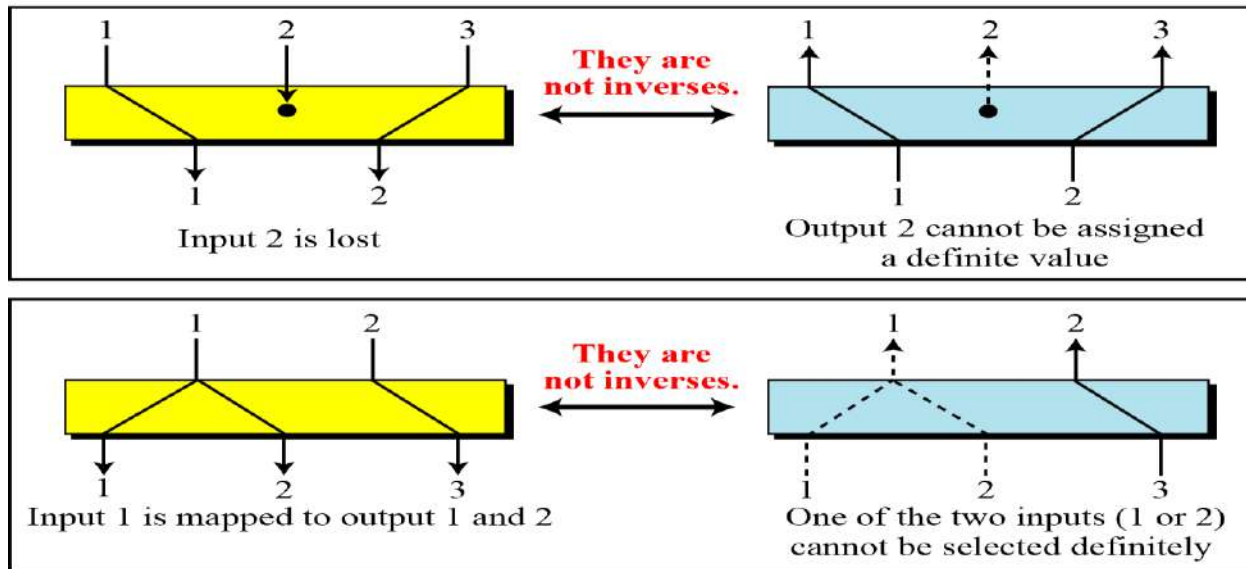


Figure Compression and expansion P-boxes are non-invertible

Compression P-box



Expansion P-box

S-Box

An S-box (substitution box) can be thought of as a small substitution cipher

Note

An S-box is an $m \times n$ substitution unit, where m and n are not necessarily the same.

Linear S-Box: if the inputs are x_1, x_2, x_3, \dots and outputs are y_1, y_2, y_3, \dots and relationship between them is

$$Y_1 = f_1(x_1, x_2, x_3, \dots),$$

$$Y_2 = f_2(x_1, x_2, x_3, \dots)$$

.....

Then above relation can be expressed as

$$Y_1 = a_{11}x_1 + a_{12}x_2 + \dots$$

$$Y_2 = a_{21}x_1 + a_{22}x_2 + \dots$$

Example: In a nonlinear s-box, such boxes can have 'and' terms like x_1x_2, x_3x_5, \dots

In an S-box with three inputs and two outputs, we have

$$y_1 = x_1 \oplus x_2 \oplus x_3 \quad y_2 = x_1$$

The S-box is linear because $a_{1,1} = a_{1,2} = a_{1,3} = a_{2,1} = 1$ and $a_{2,2} = a_{2,3} = 0$. The relationship can be represented by matrices, as shown below:

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 0 & 0 \end{bmatrix} \times \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$$

Example

In an S-box with three inputs and two outputs, we have

$$y_1 = (x_1)^3 + x_2 \quad y_2 = (x_1)^2 + x_1x_2 + x_3$$

where multiplication and addition is in $GF(2)$. The S-box is nonlinear because there is no linear relationship between the inputs and the outputs.

Example

The following table defines the input/output relationship for an S-box of size 3×2 . The leftmost bit of the input defines the row; the two rightmost bits of the input define the column. The two output bits are values on the cross section of the selected row and column.

Leftmost

bit	00	01	10	11	
0	00	10	01	11	← Rightmost bits
1	10	00	11	01	
	Output bits				

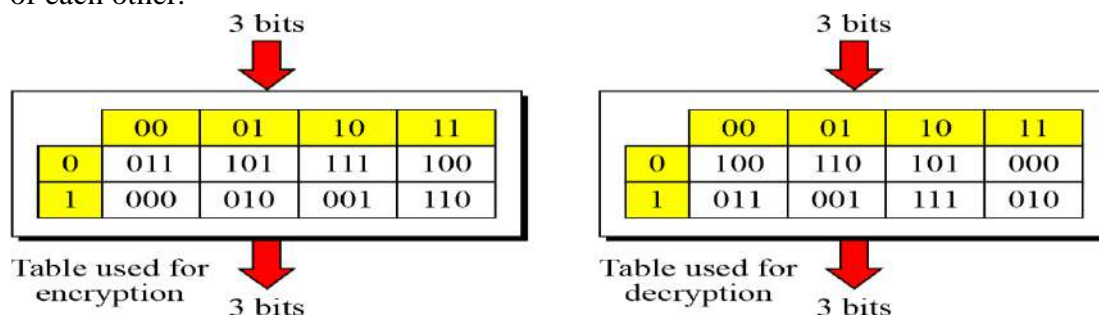
Based on the table, an input of 010 yields the output 01. An input of 101 yields the output of 00.

S-Boxes: Invertibility

An S-box may or may not be invertible. In an invertible S-box, the number of input bits should be the same as the number of output bits.

Example

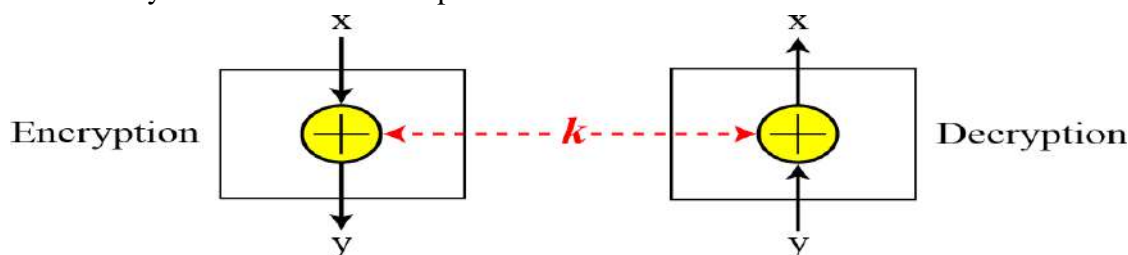
Figure shows an example of an invertible S-box. For example, if the input to the left box is 001, the output is 101. The input 101 in the right table creates the output 001, which shows that the two tables are inverses of each other.



Exclusive-OR

An important component in most block ciphers is the exclusive-or operation.

Invertibility of the exclusive-or operation



Product Ciphers

Shannon introduced the concept of a product cipher. A product cipher is a complex cipher combining substitution, permutation, and other components.

Combination of S-box and P-box transformation—a product cipher.

Two classes of product ciphers:

- Feistel ciphers, Example DES(data encryption standard)
- Non-feistel Ciphers, Example AES(Advanced Encryption system)

Diffusion

The idea of diffusion is to hide the relationship between the ciphertext and the plaintext.

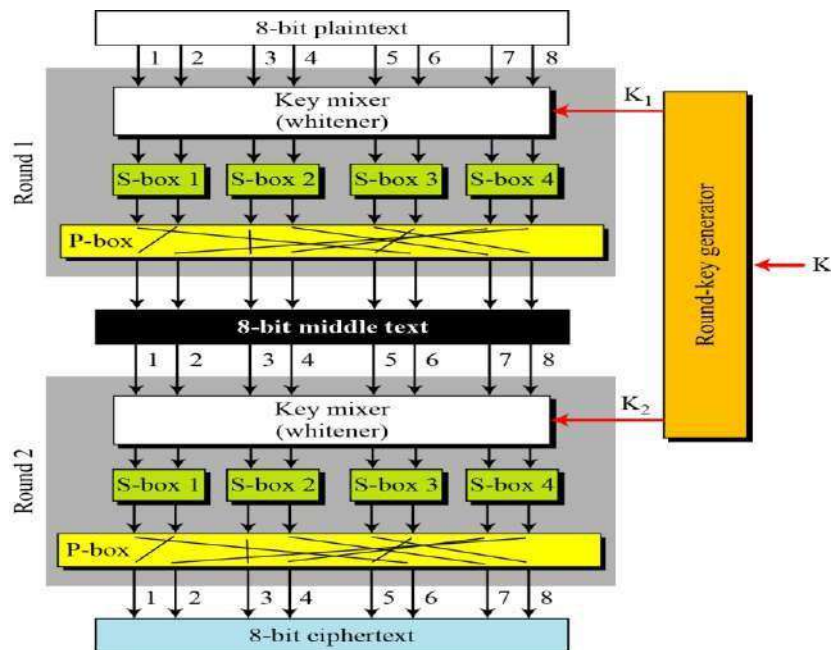
Confusion

The idea of confusion is to hide the relationship between the ciphertext and the key.

Rounds

Diffusion and confusion can be achieved using iterated product ciphers where each iteration is a combination of S-boxes, P-boxes, and other components.

Figure A product cipher made of two rounds

**Feistel Cipher Structure:**

- Feistel Cipher is not a specific scheme of block cipher. It is a design model from which many different block ciphers are derived.
- DES is just one example of a Feistel Cipher.
- A cryptographic system based on Feistel cipher structure uses the same algorithm for both encryption and decryption.
- The input block to each round is divided into two halves that can be denoted as L and R for the left half and the right half.
- In each round, the right half of the block, R, goes through unchanged. But the left half, L, goes through an operation that depends on R and the encryption key. First, we apply an encrypting function 'f' that takes two input – the key K and R. The function produces the output $f(R, K)$. Then, we XOR the output of the mathematical function with L.

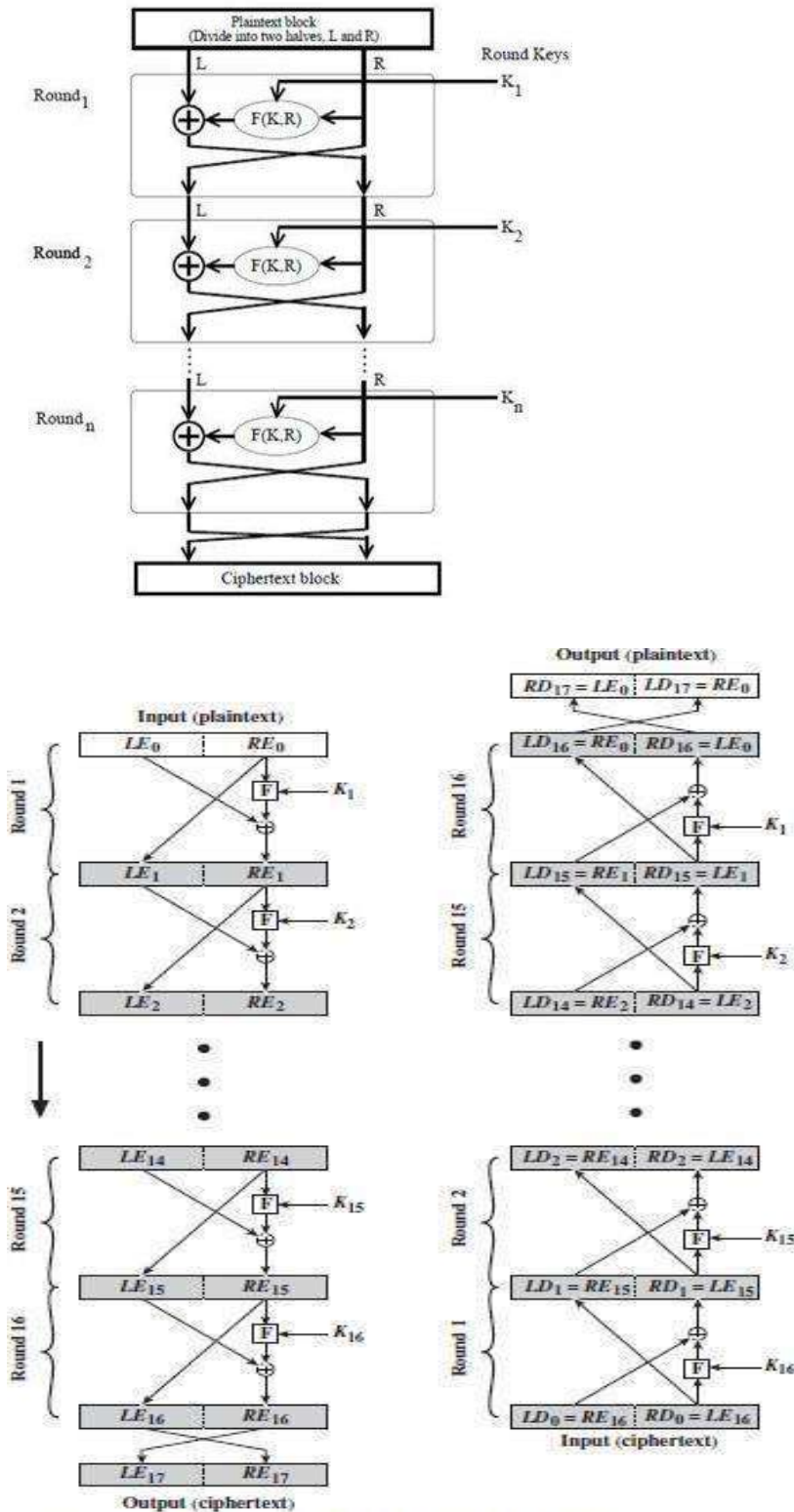


Figure 3.3: Feistel Encryption and Decryption (16 rounds)

$$RE_{i-1} = LE_i$$

$$LE_{i-1} = RE_i \oplus F(RE_{i-1}, K_i) = RE_i \oplus F(LE_i, K_i)$$

Block Cipher Design Principles

Block size: Larger block sizes mean greater security (all other things being equal) but reduced encryption/decryption speed for a given algorithm. The greater security is achieved by greater diffusion.

Key size: Larger key size means greater security but may decrease encryption/ decryption speed. The greater security is achieved by greater resistance to brute-force attacks and greater confusion

Number of rounds: The essence of the Feistel cipher is that a single round offers inadequate security but that multiple rounds offer increasing security. A typical size is 16 rounds.

Subkey generation algorithm: Greater complexity in this algorithm should lead to greater difficulty of cryptanalysis.

Round function F: Again, greater complexity generally means greater resistance to cryptanalysis.

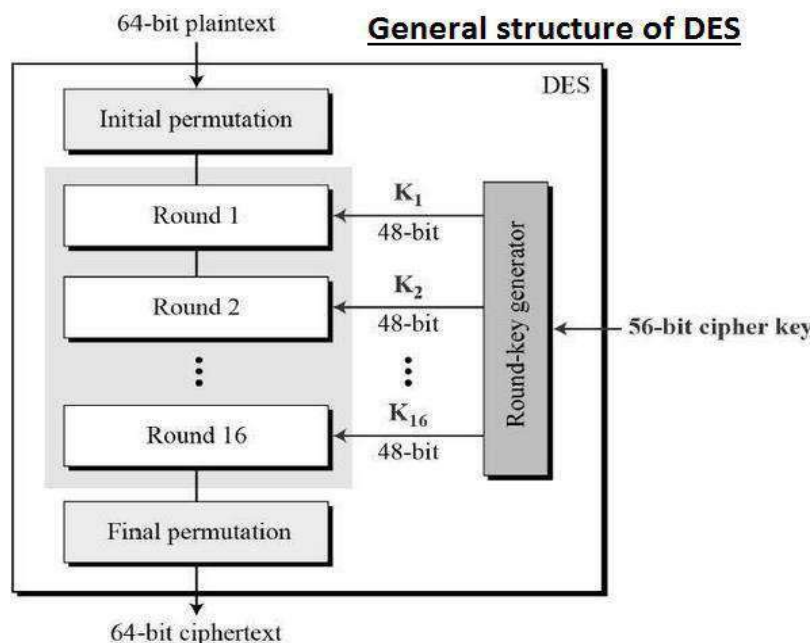
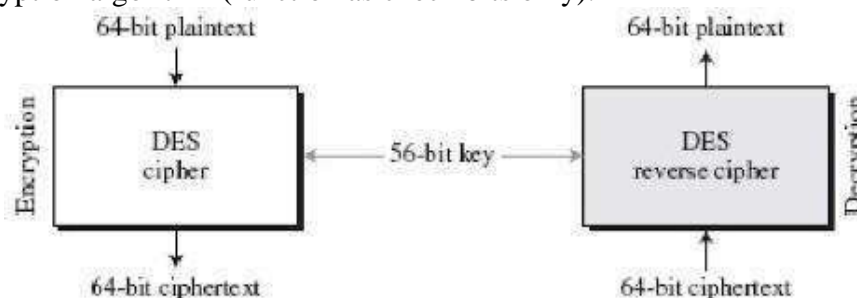
Diffusion And Confusion:- The terms *diffusion* and *confusion* were introduced by Claude Shannon to capture the two basic building blocks (Plain Text & Cipher Text) for any cryptographic system.

Data Encryption Standard (DES)

The Data Encryption Standard (DES) is a symmetric-key block cipher published by the National Institute of Standards and Technology (NIST).

DES is an implementation of a Feistel Cipher. It uses 16 round Feistel structure. The block size is 64-bit.

Though, key length is 64-bit, DES has an effective key length of 56 bits, since 8 of the 64 bits of the key are not used by the encryption algorithm (function as check bits only).



DES Symmetric key Block Cipher algorithm. DES follows Feistel cipher structure.

Plain Text Block Size : 64 Bits

64 Bits Cipher Text Size : 64 Bits

Master Key Size : 64 / 56

Bits No. Of Rounds 16

Round Key / Subkey Size: 48 Bits.

Initial Permutation & Inverse Initial Permutation

The initial permutation and its inverse are defined by tables, as shown in Tables.

The tables are to be interpreted as follows.

The input to a table consists of 64 bits numbered from 1 to 64.

The 64 entries in the permutation table contain a permutation of the numbers from 1 to 64.

Each entry in the permutation table indicates the position of a numbered input bit in the output, which also consists of 64 bits.

The initial and final permutations are straight Permutation boxes (P-boxes) that are inverses of each other.

Note:

Initial Permutation & Inverse Initial Permutations have no cryptography significance in DES.

Input Table

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40
41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56
57	58	59	60	61	62	63	64

(a) Initial Permutation (IP)

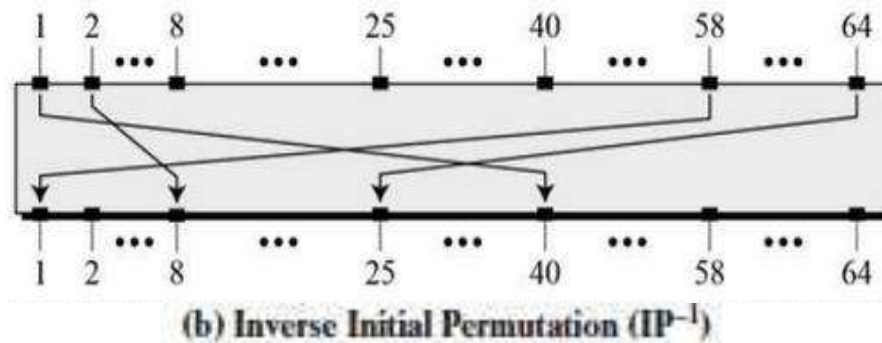
58	50	42	34	26	18	10	2
60	52	44	36	28	20	12	4
62	54	46	38	30	22	14	6
64	56	48	40	32	24	16	8
57	49	41	33	25	17	9	1
59	51	43	35	27	19	11	3
61	53	45	37	29	21	13	5
63	55	47	39	31	23	15	7

In output

At 1st place 58

At 2nd place 50

At 3rd place 42 ..



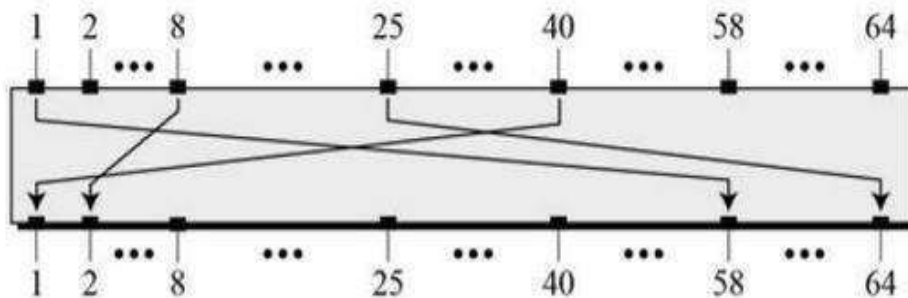
40	8	48	16	56	24	64	32
39	7	47	15	55	23	63	31
38	6	46	14	54	22	62	30
37	5	45	13	53	21	61	29
36	4	44	12	52	20	60	28
35	3	43	11	51	19	59	27
34	2	42	10	50	18	58	26
33	1	41	9	49	17	57	25

In output

At 1st place 40

At 2nd place 8

At 3rd place 48 ..



Rounds

The left and right halves of each 64-bit intermediate value are treated as separate 32-bit quantities, labeled L (left) and R (right).

As in any classic Feistel cipher, the overall processing at each round can be summarized in the following formulas:

$$L_i = R_{i-1}$$

$$R_i = L_{i-1} \oplus F(R_{i-1}, K_i)$$

The round key K_i is 48 bits. The R input is 32 bits. This **R** input is first expanded to 48 bits by using a table that defines a permutation plus an expansion that involves duplication of 16 of the **R** bits.

The resulting 48 bits are XORed with K_i . This 48-bit result passes through a substitution function that produces a 32-bit output, which is permuted as defined by Table.

The role of the **S-boxes** in the function F is illustrated in Figure 3.7. The substitution consists of a set of eight S-boxes, each of which accepts 6 bits as input and produces 4 bits as output. These transformations are defined in Table 3.3, which is interpreted as follows: The first and last bits of the input to box S_i form a 2-bit binary number to select one of four substitutions defined by the four rows in the table for S_i . The middle four bits select one of the sixteen columns. The decimal value in the cell selected by the row and column is then converted to its 4-bit representation to produce the output. For example, in S_1 , for input 011001, the row is 01 (row 1) and the column is 1100 (column 12). The value in row 1, column 12 is 9, so the output is 1001.

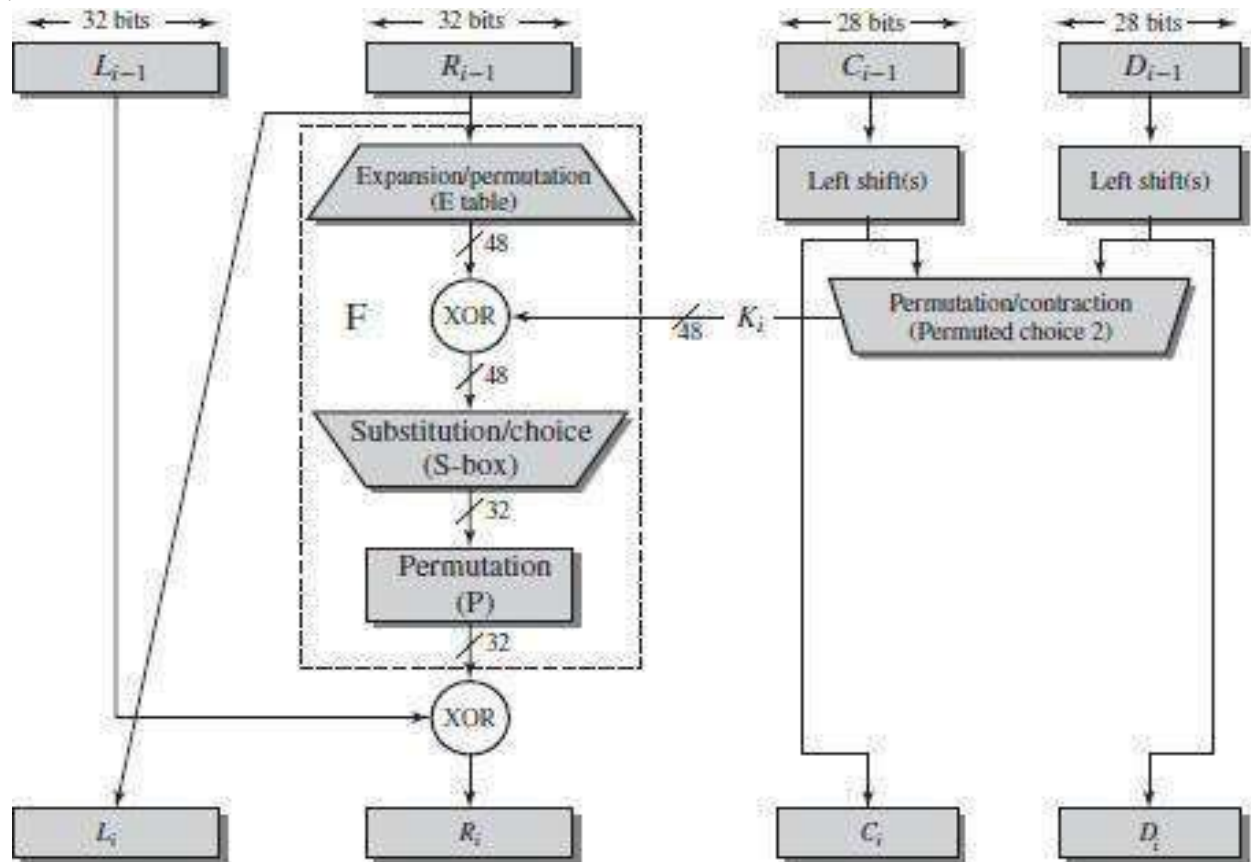


Figure 3.6 Single Round of DES Algorithm

(c) Expansion Permutation (E)

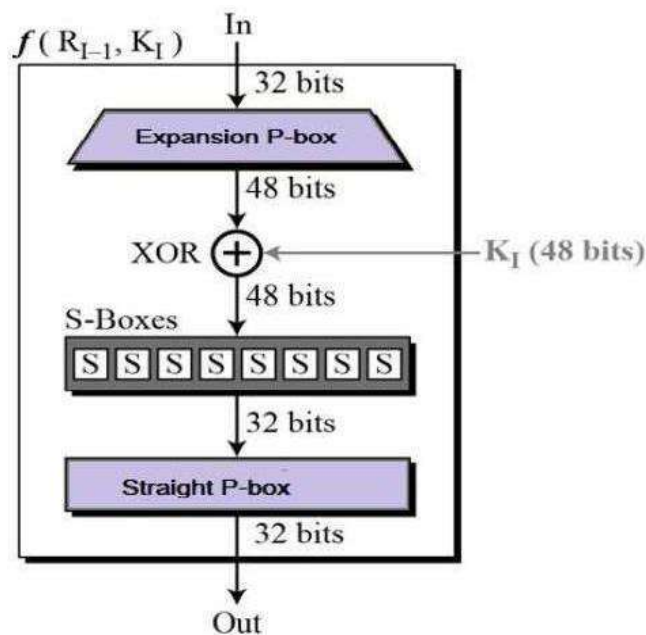
32	1	2	3	4	5
4	5	6	7	8	9
8	9	10	11	12	13
12	13	14	15	16	17
16	17	18	19	20	21
20	21	22	23	24	25
24	25	26	27	28	29
28	29	30	31	32	1

(d) Permutation Function (P)

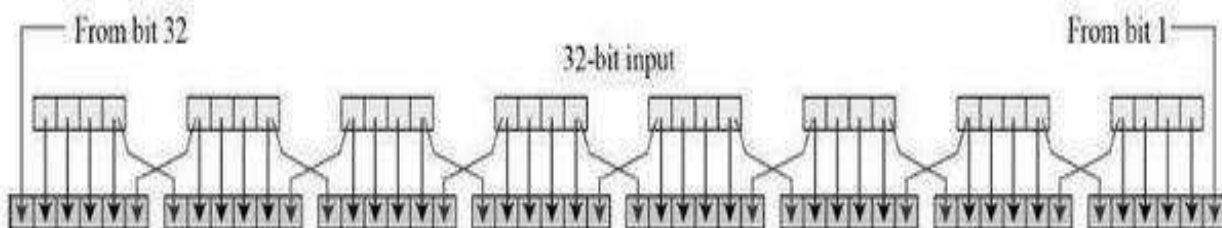
16	7	20	21	29	12	28	17
1	15	23	26	5	18	31	10
2	8	24	14	32	27	3	9
19	13	30	6	22	11	4	25

Round Function

The heart of this cipher is the DES function, f . The DES function applies a 48-bit key to the rightmost 32 bits to produce a 32-bit output.



Expansion Permutation Box – Since right input is 32-bit and round key is a 48-bit, we first need to expand right input to 48 bits. Permutation logic is graphically depicted in the following illustration –

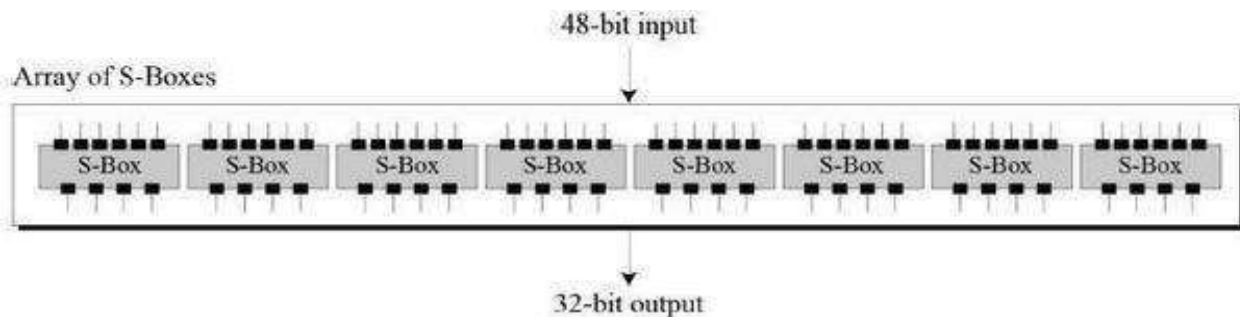


The graphically depicted permutation logic is generally described as table in DES specification illustrated as shown –

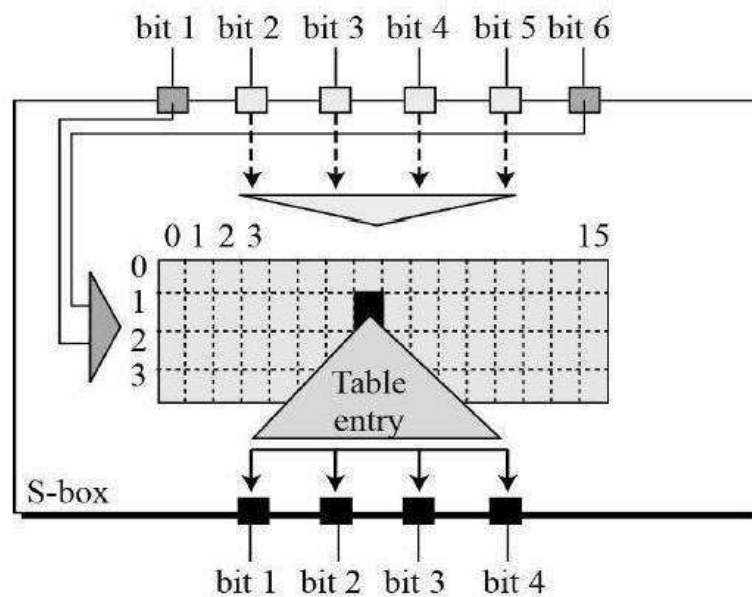
32	01	02	03	04	05
04	05	06	07	08	09
08	09	10	11	12	13
12	13	14	15	16	17
16	17	18	19	20	21
20	21	22	23	24	25
24	25	26	27	28	29
28	29	31	31	32	01

XOR (Whitener). – After the expansion permutation, DES does XOR operation on the expanded right section and the round key. The round key is used only in this operation.

Substitution Boxes. – The S-boxes carry out the real mixing (confusion). DES uses 8 S-boxes, each with a 6-bit input and a 4-bit output. Refer the following illustration –



The S-box rule is illustrated below –



There are a total of eight S-box tables.

The output of all eight s-boxes is then combined in to 32 bit section.

Table 3.3 Definition of DES S-Boxes

S_1	14	4	13	1	2	15	11	8	3	10	6	12	5	9	0	7
	0	15	7	4	14	2	13	1	10	6	12	11	9	5	3	8
	4	1	14	8	13	6	2	11	15	12	9	7	3	10	5	0
	15	12	8	2	4	9	1	7	5	11	3	14	10	0	6	13

S_2	15	1	8	14	6	11	3	4	9	7	2	13	12	0	5	10
	3	13	4	7	15	2	8	14	12	0	1	10	6	9	11	5
	0	14	7	11	10	4	13	1	5	8	12	6	9	3	2	15
	13	8	10	1	3	15	4	2	11	6	7	12	0	5	14	9

S_3	10	0	9	14	6	3	15	5	1	13	12	7	11	4	2	8
	13	7	0	9	3	4	6	10	2	8	5	14	12	11	15	1
	13	6	4	9	8	15	3	0	11	1	2	12	5	10	14	7
	1	10	13	0	6	9	8	7	4	15	14	3	11	5	2	12

S_4	7	13	14	3	0	6	9	10	1	2	8	5	11	12	4	15
	13	8	11	5	6	15	0	3	4	7	2	12	1	10	14	9
	10	6	9	0	12	11	7	13	15	1	3	14	5	2	8	4
	3	15	0	6	10	1	13	8	9	4	5	11	12	7	2	14

S_5	2	12	4	1	7	10	11	6	8	5	3	15	13	0	14	9
	14	11	2	12	4	7	13	1	5	0	15	10	3	9	8	6
	4	2	1	11	10	13	7	8	15	9	12	5	6	3	0	14
	11	8	12	7	1	14	2	13	6	15	0	9	10	4	5	3

S_6	12	1	10	15	9	2	6	8	0	13	3	4	14	7	5	11
	10	15	4	2	7	12	9	5	6	1	13	14	0	11	3	8
	9	14	15	5	2	8	12	3	7	0	4	10	1	13	11	6
	4	3	2	12	9	5	15	10	11	14	1	7	6	0	8	13

S_7	4	11	2	14	15	0	8	13	3	12	9	7	5	10	6	1
	13	0	11	7	4	9	1	10	14	3	5	12	2	15	8	6
	1	4	11	13	12	3	7	14	10	15	6	8	0	5	9	2
	6	11	13	8	1	4	10	7	9	5	0	15	14	2	3	12

S_8	13	2	8	4	6	15	11	1	10	9	3	14	5	0	12	7
	1	15	13	8	10	3	7	4	12	5	6	11	0	14	9	2
	7	11	4	1	9	12	14	2	0	6	10	13	15	3	5	8
	2	1	14	7	4	10	8	13	15	12	9	0	3	5	6	11

The 32-bit output from the eight S-boxes is then permuted, so that on the next round, the output from each S-box immediately affects as many others as possible.

Straight Permutation

– The 32 bit output of S-boxes is then subjected to the straight permutation with rule shown in the following illustration:

16	07	20	21	29	12	28	17
01	15	23	26	05	18	31	10
02	08	24	14	32	27	03	09
19	13	30	06	22	11	04	25

DES Key Generation

The round-key generator creates sixteen 48-bit keys out of a 56-bit cipher key. The process of key generation is depicted in the following illustration –

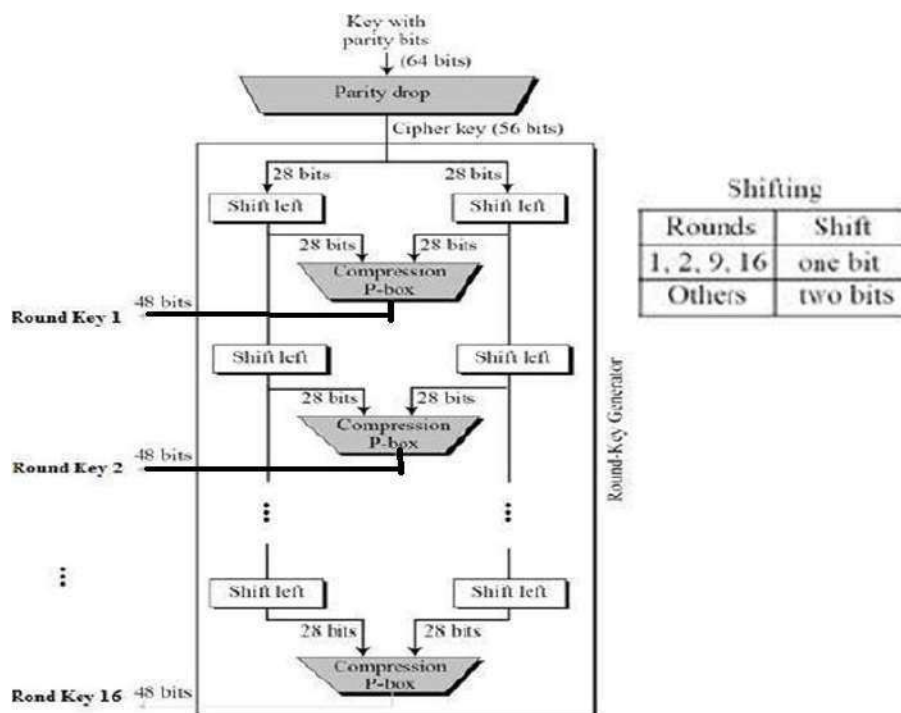


Table 3.4 DES Key Schedule Calculation

(a) Input Key

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40
41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56
57	58	59	60	61	62	63	64

(c) Permuted Choice Two (PC-2)

14	17	11	24	1	5	3	28
15	6	21	10	23	19	12	4
26	8	16	7	27	20	13	2
41	52	31	37	47	55	30	40
51	45	33	48	44	49	39	56
34	53	46	42	50	36	29	32

(b) Permuted Choice One (PC-1)

57	49	41	33	25	17	9
1	58	50	42	34	26	18
10	2	59	51	43	35	27
19	11	3	60	52	44	36
63	55	47	39	31	23	15
7	62	54	46	38	30	22
14	6	61	53	45	37	29
21	13	5	28	20	12	4

(d) Schedule of Left Shifts

Round Number	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Bits Rotated	1	1	2	2	2	2	2	2	1	2	2	2	2	2	2	1

DES Decryption

As with any Feistel cipher, decryption uses the same algorithm as encryption, except that the application of the subkeys is reversed.

DES Analysis

Two desired properties of a block cipher are the Avalanche effect and the completeness.

Avalanche effect :

A small change in plaintext results in the very great change in the ciphertext.

EXAMPLE 6.7 To check the avalanche effect in DES, let us encrypt two plaintext blocks (with the same key) that differ only in one bit and observe the differences in the number of bits in each round.

Plaintext: 0000000000000000	Key: 22234512987ABB23
Ciphertext: 4789FD476E82A5F1	
Plaintext: 0000000000000001	Key: 22234512987ABB23
Ciphertext: 0A4ED5C15A63FEA3	

Completeness effect:

Completeness effect means that each bit of ciphertext needs to depend on many bits on the plaintext. The diffusion and confusion produced by P-Boxes and S-Boxes in DES, show a very strong completeness effect.

DES Weaknesses Analysis

Weakness in Cipher Design:

It is not clear why the designers of DES used the initial and final permutations; these have no security benefits.

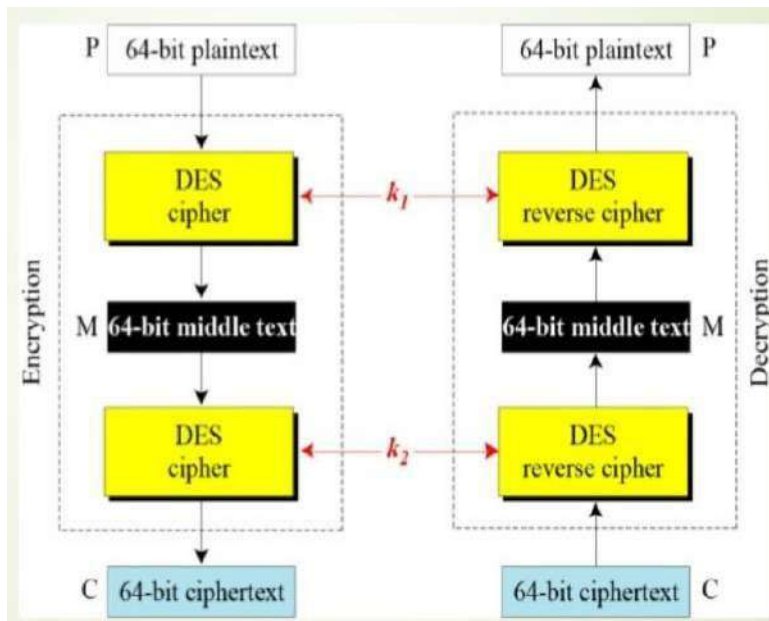
In the expansion permutation, the first and fourth bits of every 4-bit series are repeated.

Weakness in Cipher Key:

o DES Key size is 56 bits. To do Brute force attack on a given ciphertext block, the adversary needs to check 2^{56} keys.

With available technology it is possible to check 1 million keys per second

Double – DES

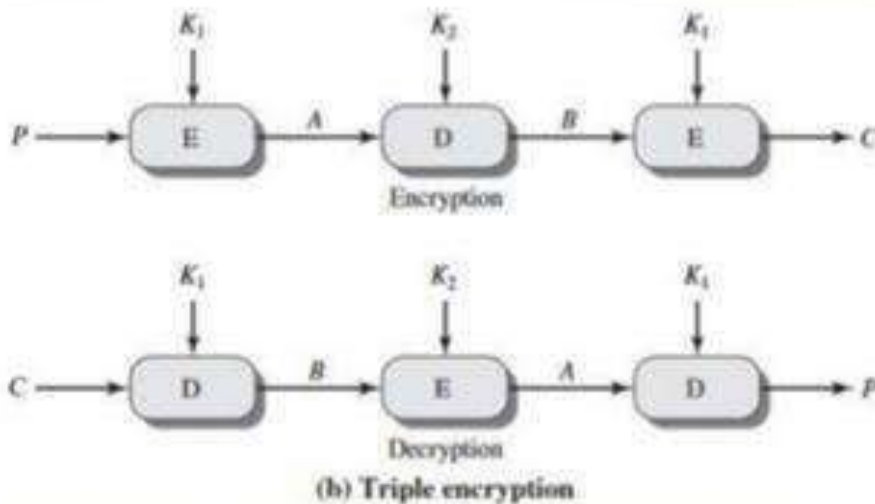


Triple – DES

Triple DES was developed in 1999 by IBM – by a team led by Walter Tuchman. DES prevents a meet-in-the-middle attack. 3-DES has a 168-bit key and enciphers blocks of 64 bits.

3-DES with 2 Keys:

- Use three stages of DES for encryption and decryption.
- The 1st, 3rd stage use K_1 key and 2nd stage use K_2 key.
- To make triple DES compatible with single DES, the middle stage uses decryption in the encryption side and encryption in the decryption side.
- It's much stronger than double DES.



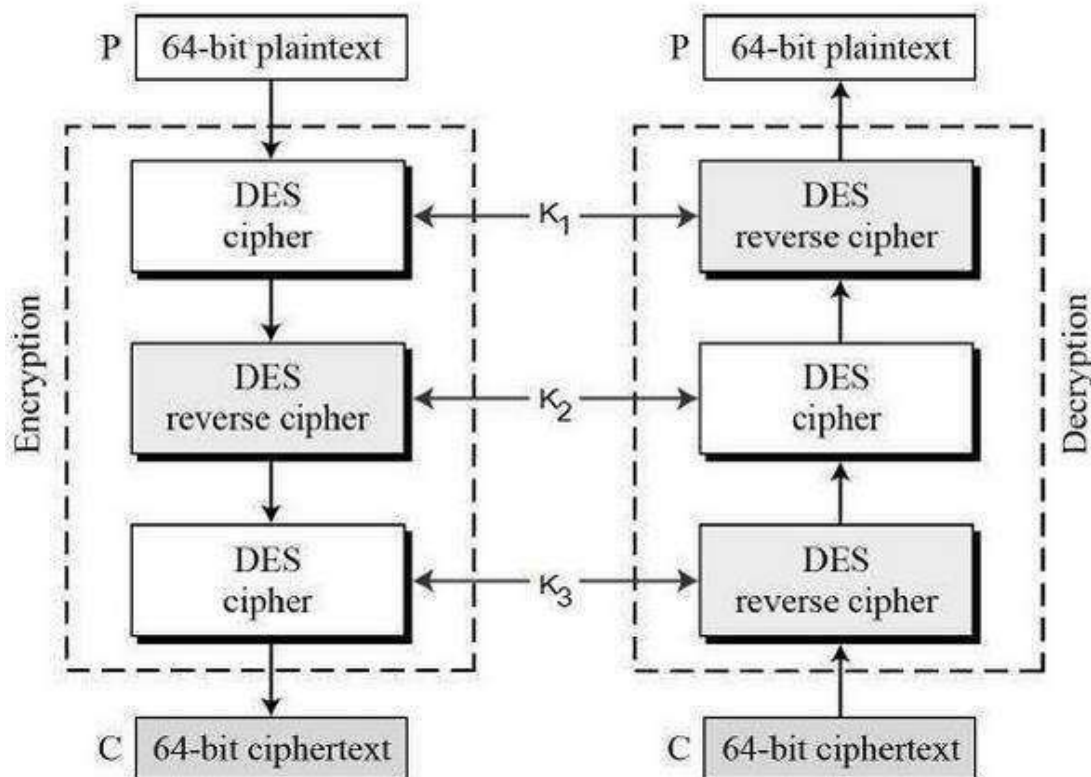
- The function follows an encrypt-decrypt-encrypt (EDE) sequence.

$$C = E(K_1, D(K_2, E(K_1, P)))$$

$$P = D(K_1, E(K_2, D(K_1, C)))$$

- By the use of triple DES with 2-key encryption, it raises the cost of meet-in-the-middle attack to 2^{112} .
- It has the drawback of requiring a key length of $56 \times 3 = 168$ bits which may be somewhat unwieldy.

3-DES with 3 Keys:



The encryption-decryption process is as follows –

Encrypt the plaintext blocks using single DES with key K_1 .

Now decrypt the output of step 1 using single DES with key K_2 .

Finally, encrypt the output of step 2 using single DES with key K_3 .

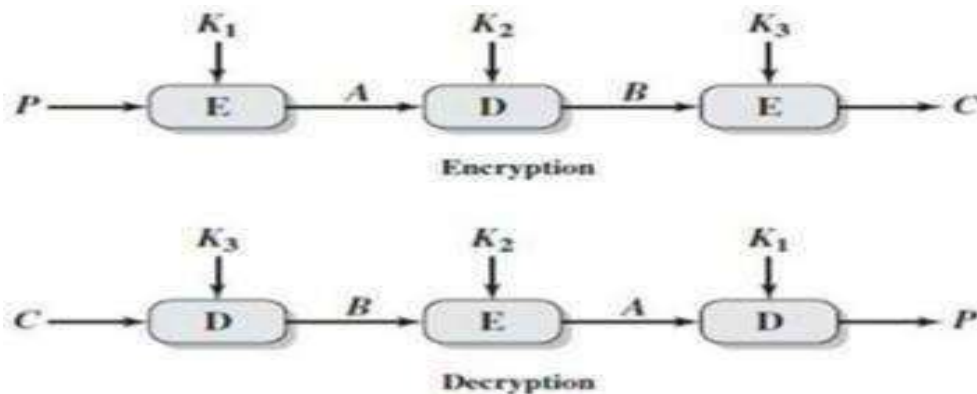
The output of step 3 is the ciphertext.

Decryption of a ciphertext is a reverse process. User first decrypt using K_3 , then encrypt with K_2 , and finally decrypt with K_1 .

- Although the attacks just described appear impractical, anyone using two-key 3DES may feel some concern.
- Thus, many researches now feel that 3-key 3DES is the preferred alternative.
- Use three stages of DES for encryption and decryption with three different keys.
- 3-key 3DES has an effective key length of 168 bits and is defined as,

$$C = E(K_3, D(K_2, E(K_1, P)))$$

$$P = D(K_1, E(K_2, D(K_3, C)))$$



Advanced Encryption Standard (AES Algorithm)

- The Advanced Encryption Standard (AES) was published by the National Institute of Standards and Technology (NIST) in 2001.
- AES is a symmetric block cipher that is intended to replace DES.

The features of AES are as follows –

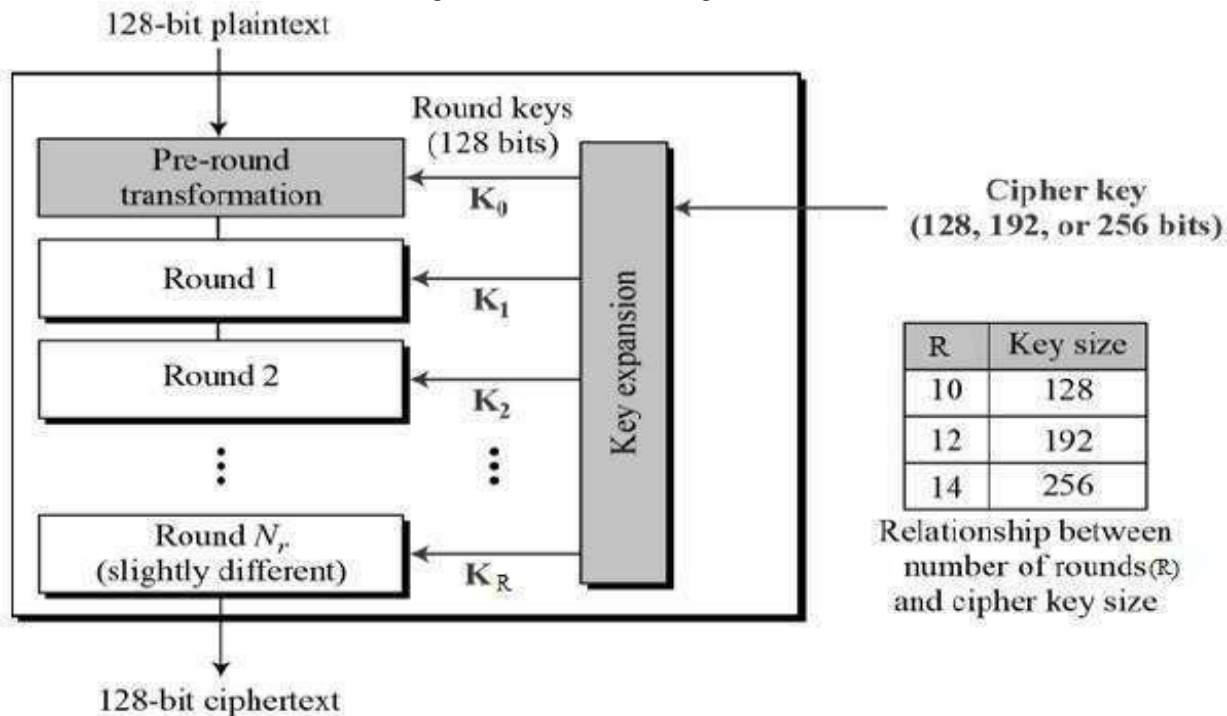
- Symmetric key symmetric block cipher
- 128-bit data, 128/192/256-bit keys
- Stronger and faster than Triple-DES
- Provide full specification and design details
- Software implementable in C and Java

AES is an iterative rather than Feistel cipher. It is based on ‘substitution–permutation network’. It comprises of a series of linked operations, some of which involve replacing inputs by specific outputs (substitutions) and others involve shuffling bits around (permutations).

Interestingly, AES performs all its computations on bytes rather than bits. Hence, AES treats the 128 bits of a plaintext block as 16 bytes. These 16 bytes are arranged in four columns and four rows for processing as a matrix.

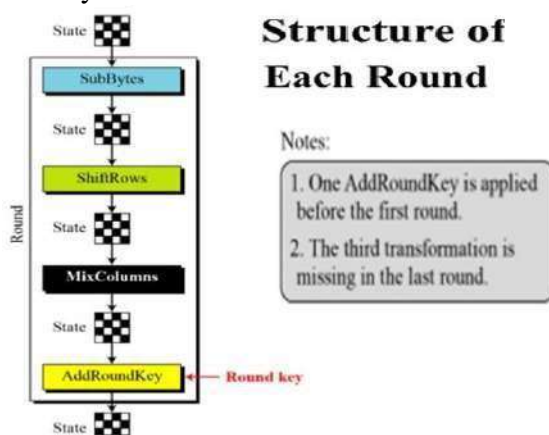
Unlike DES, the number of rounds in AES is variable and depends on the length of the key. AES uses 10 rounds for 128-bit keys, 12 rounds for 192-bit keys and 14 rounds for 256-bit keys. Each of these rounds uses a different 128-bit round key, which is calculated from the original AES key.

The schematic of AES structure is given in the following illustration



ROUNDS

- Unlike DES, the number of rounds in AES is variable and depends on the length of the key.
- AES uses 10 rounds for 128-bit keys,
- 12 rounds for 192-bit keys and
- 14 rounds for 256-bit keys.
- Each of these rounds uses a different 128-bit round key, which is calculated from the original AES key.



Each round comprise of four sub-processes. The first round process is depicted below –
AES Transformations:

There are four transformation functions used in AES Cipher at each round.

1. Substitute Bytes Transformation

2. ShiftRows Transformation
3. MixColumns Transformation
4. AddRoundKey Transformation

1. Byte Substitution (SubBytes)

The 16 input bytes are substituted by values as specified in a table (S-box) given in design.

Each input byte of **State** is mapped into a new byte in the following way:

- The leftmost 4 bits of the byte are used as a row value(in hexadecimal form) and the rightmost 4 bits are used as a column value(in hexadecimal form) in S-box table.

For example, the hexadecimal value {95} references row 9, column 5 of the S-box, which contains the value {2A}. Accordingly, the value {95} is mapped into the value {2A}.

Table 5.2 AES S-Boxes

		y															
		0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
x	0	63	7C	77	7B	F2	6B	6F	C5	30	01	67	2B	FE	D7	AB	76
	1	CA	82	C9	7D	FA	59	47	F0	AD	D4	A2	AF	9C	A4	72	C0
	2	B7	FD	93	26	36	3F	F7	CC	34	A5	E5	F1	71	D8	31	15
	3	04	C7	23	C3	18	96	05	9A	07	12	80	E2	EB	27	B2	75
	4	09	83	2C	1A	1B	6E	5A	A0	52	3B	D6	B3	29	E3	2F	84
	5	53	D1	00	ED	20	FC	B1	5B	6A	CB	BE	39	4A	4C	58	CF
	6	D0	EF	AA	FB	43	4D	33	85	45	F9	02	7F	50	3C	9F	A8
	7	51	A3	40	8F	92	9D	38	F5	BC	B6	DA	21	10	FF	F3	D2
	8	CD	0C	13	EC	5F	97	44	17	C4	A7	7E	3D	64	5D	19	73
	9	60	81	4F	DC	22	2A	90	88	46	EE	B8	14	DE	5E	0B	DB
	A	E0	32	3A	0A	49	06	24	5C	C2	D3	AC	62	91	95	E4	79
	B	E7	C8	37	6D	8D	D5	4E	A9	6C	56	F4	EA	65	7A	AE	08
	C	BA	78	25	2E	1C	A6	B4	C6	E8	DD	74	1F	4B	BD	8B	8A
	D	70	3E	B5	66	48	03	F6	0E	61	35	57	B9	86	C1	1D	9E
	E	E1	F8	98	11	69	D9	8E	94	9B	1E	87	E9	CE	55	28	DF
	F	8C	A1	89	0D	BF	E6	42	68	41	99	2D	0F	B0	54	BB	16

(a) S-box

Here is an example of the SubBytes transformation:

EA	04	65	85
83	45	5D	96
5C	33	98	B0
F0	2D	AD	C5

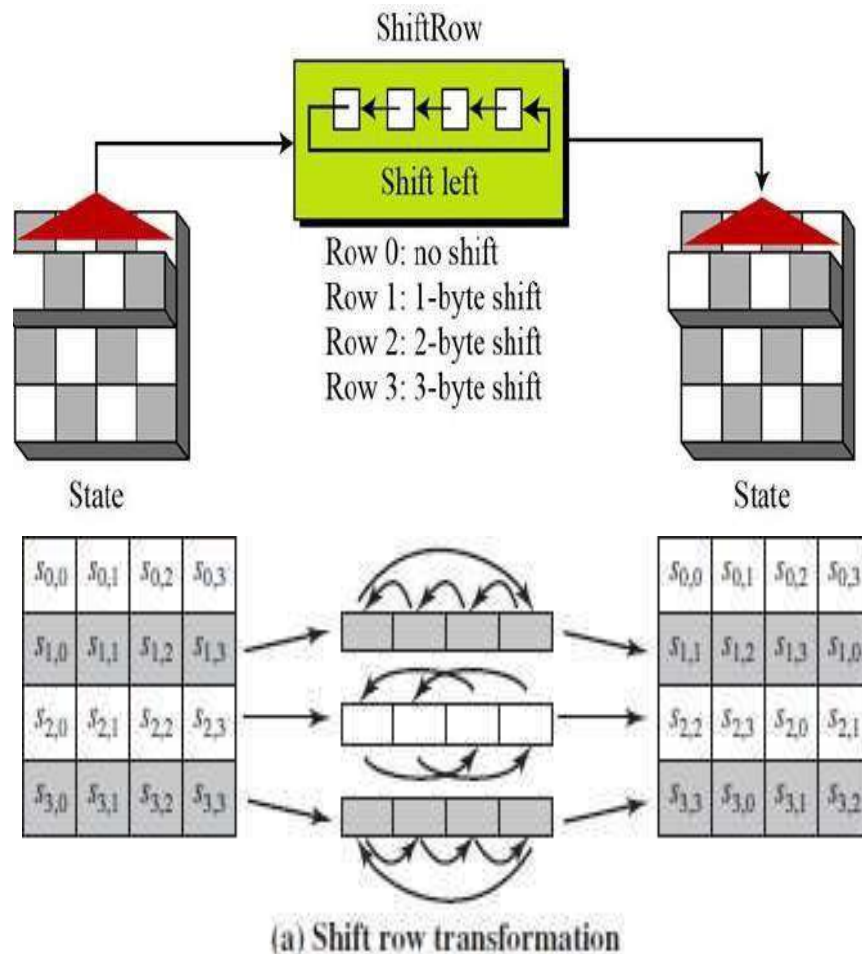
→

87	F2	4D	97
EC	6E	4C	90
4A	C3	46	E7
8C	D8	95	A6

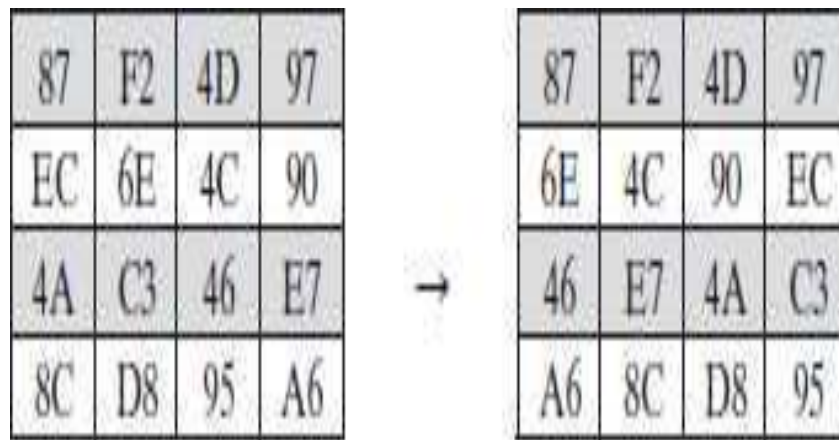
The S-box is constructed in the following fashion (Figure 5.6a).

2. ShiftRows Transformation:

- ☐ In this transformation **bytes** are permuted(shifted).
- ☐ In the Encryption, the transformation is called **Shiftrows** and the shifting is to the left.
- ☐ The number of shifts depends on the row number(0,1,2,or 3) of the state matrix as shown below:



The following is an example of ShiftRows.



The **inverse shift row transformation**, called InvShiftRows, performs the circular shifts in the opposite direction for each of the last three rows, with a 1-byte circular right shift for the second row, and so on.

3. MixColumns Transformation:

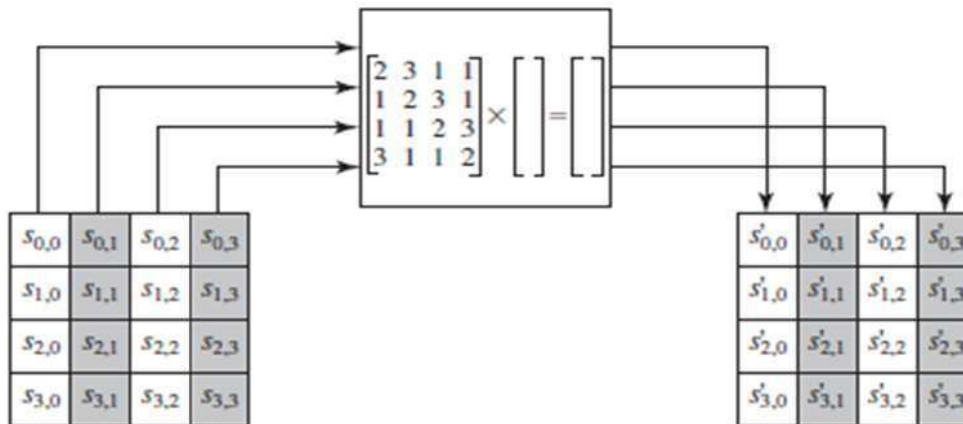
Mixing is the transformation that changes bits inside byte.

This operation takes 4 bytes (a column) and by multiplying it with a constant matrix then mixes them that produces new bytes.

MixColumn: operates on each column individually. Each byte of a column is mapped into a new value.

It takes a column from state and multiply it with a constant square matrix.

The byte values are represented as polynomials with coefficients in $GF(2^8)$ and multiplications are done in $GF(2^8)$



Constant matrices for multiplications:

Figure

Constant matrices used by MixColumns and InvMixColumns

$$\begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \xleftrightarrow{\text{Inverse}} \begin{bmatrix} 0E & 0B & 0D & 09 \\ 09 & 0E & 0B & 0D \\ 0D & 09 & 0E & 0B \\ 0B & 0D & 09 & 0E \end{bmatrix}$$

C C^{-1}

The following is an example of MixColumns:

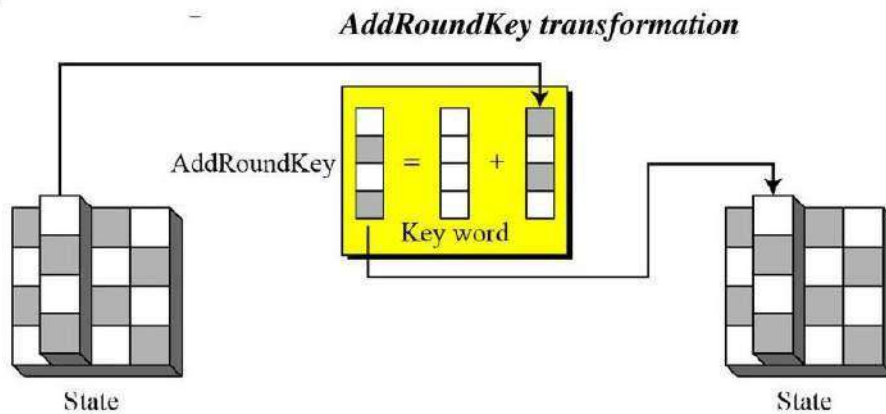
87	F2	4D	97
6E	4C	90	EC
46	E7	4A	C3
A6	8C	D8	95

→

47	40	A3	4C
37	D4	70	9F
94	E4	3A	42
ED	A5	A6	BC

4. AddRoundKey Transformation:

- ❑ To make the ciphertext more secret, we add cipher key to the data in a state.
- ❑ AddRoundKey is same as to MixColumns but performs addition operation instead of multiplication.



The following is an example of AddRoundKey:

47	40	A3	4C
37	D4	70	9F
94	E4	3A	42
ED	A5	A6	BC

 \oplus

AC	19	28	57
77	FA	D1	5C
66	DC	29	00
F3	21	41	6A

 $=$

EB	59	8B	1B
40	2E	A1	C3
F2	38	13	42
1E	84	E7	D6

The first matrix is **State**, and the second matrix is the round key.

AES Key Expansion:

- ❑ The AES key expansion algorithm takes as input a four-word (16-byte) key and produces a linear array of 44 words (176 bytes). This is sufficient to provide a four-word round key for the initial AddRoundKey stage and each of the 10 rounds of the cipher.
- ❑ The key is copied into the first four words of the expanded key. The remainder of the expanded key is filled in four words at a time. Each added word $w[i]$ depends on the immediately preceding word, $w[i - 1]$, and the word four positions back, $w[i - 4]$. In three out of four cases, a simple XOR is used.
- ❑ For a word whose position in the w array is a multiple of 4, a more complex function is used. Figure illustrates the generation of the expanded key, using the symbol g to represent that complex function. The function g consists of the following subfunctions.

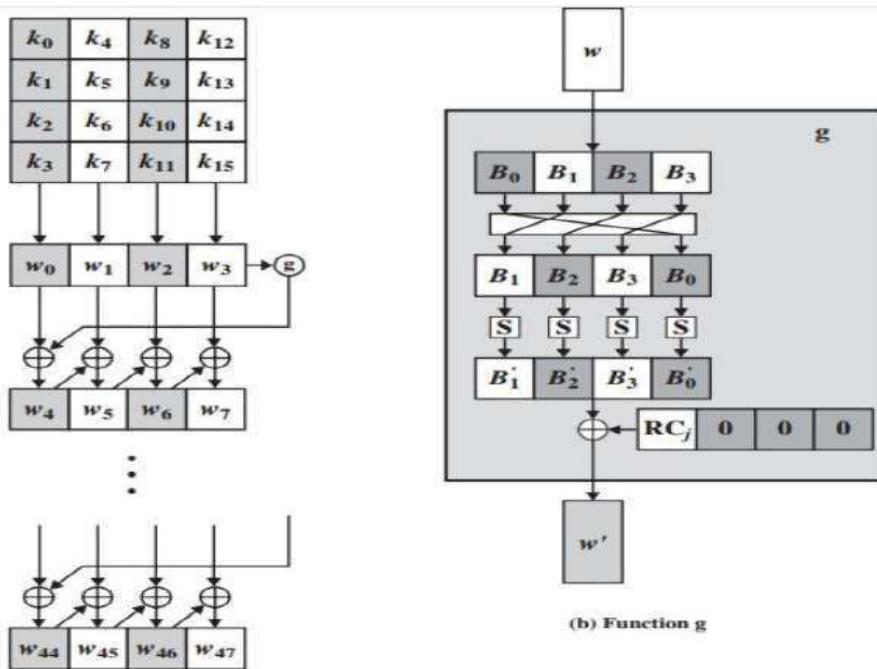


Figure 1: AES Key Expansion

Figure 1: AES Key Expansion

1. RotWord performs a one-byte circular left shift on a word. This means that an input word $[B_0, B_1, B_2, B_3]$ is transformed into $[B_1, B_2, B_3, B_0]$.
2. SubWord performs a byte substitution on each byte of its input word, using the S-box (Table 5.2a).
3. The result of steps 1 and 2 is XORed with a round constant, $Rcon[j]$.

The round constant is a word in which the three rightmost bytes are always 0. Thus, the effect of an XOR of a word with Rcon is to only perform an XOR on the leftmost byte of the word. The round constant is different for each round and is defined as $Rcon[j] = (RC[j], 0, 0, 0)$, with $RC[1] = 1$, $RC[j] = 2 \cdot RC[j-1]$ and with multiplication defined over the field $GF(2^8)$. The values of $RC[j]$ in hexadecimal are

j	1	2	3	4	5	6	7	8	9	10
RC[j]	01	02	04	08	10	20	40	80	1B	36

For example, suppose that the round key for round 8 is

EA D2 73 21 B5 8D BA D2 31 2B F5 60 7F 8D 29 2F

Then the first 4 bytes (first column) of the round key for round 9 are calculated as follows:

i (decimal)	temp	After RotWord	After SubWord	Rcon (9)	After XOR with Rcon	w[i-4]	w[i] = temp \oplus w[i-4]
36	7F8D292F	8D292F7F	5DA515D2	1B000000	46A515D2	EAD27321	AC7766F3

ANALYSIS OF AES

Security

- *AES was designed after DES. Most of the known attacks on DES were already tested on AES.*
- *Brute-Force Attack*
- *AES is definitely more secure than DES due to the larger-size key.*
- *Statistical Attacks*
- *Numerous tests have failed to do statistical analysis of the ciphertext.*
- *Differential and Linear Attacks*
- *There are no differential and linear attacks on AES as yet.*

Implementation

- *AES can be implemented in software, hardware, and firmware. The implementation can use table lookup process or routines that use a well-defined algebraic structure.*

Simplicity and Cost

- *The algorithms used in AES are so simple that they can be easily implemented using cheap processors and a minimum amount of memory.*

UNIT –III**Asymmetric Encryption**

Mathematics of Asymmetric Key Cryptography, Asymmetric Key Cryptography

Primes and Related Congruence Equations**PRIMES**

Asymmetric-key cryptography uses prime numbers extensively.

A prime is divisible only by itself and 1.

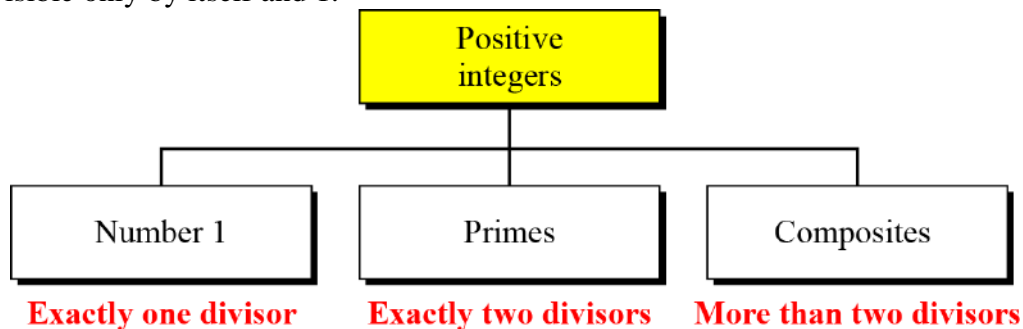


Figure Three groups of positive integers

Example 1:

What is the smallest prime?

The smallest prime is 2, which is divisible by 2 (itself) and 1.

Example 2:

List the primes smaller than 10.

There are four primes less than 10: 2, 3, 5, and 7. It is interesting to note that the percentage of primes in the range 1 to 10 is 40%. The percentage decreases as the range increases.

Cardinality of Primes

We can use infinite Number of Primes.

Number of Primes

$\pi(x)$ is the number of primes less than or equal to x . π is not similar to mathematics π .

The primes under 25 are 2, 3, 5, 7, 11, 13, 17, 19 and 23 so $\pi(3) = 2$, $\pi(10) = 4$ and $\pi(25) = 9$.

$$[n / (\ln n)] < \pi(n) < [n/(\ln n - 1.08366)]$$

A Table of values of $\pi(x)$

n	x	$\pi(x)$
1	10	4
2	100	25
3	1,000	168
4	10,000	1,229
5	100,000	9,592
6	1,000,000	78,498
7	10,000,000	664,579
8	100,000,000	5,761,455

Example 1

Find the number of primes less than 1,000,000.

The approximation gives the range 72,383 to 78,543.

The actual number of primes is 78,498.

Checking for Primeness

Given a number n , how can we determine if n is a prime? The answer is that we need to see if the number is divisible by all primes less than

$$\sqrt{n}$$

We know that this method is inefficient, but it is a good start.

Theorem

If n is composite, then n has a prime divisor less than or equal to \sqrt{n} .

Example 1:

Is 97 a prime?

The floor of $\pi(97) = 9$. The primes less than 9 are 2, 3, 5, and 7. We need to see if 97 is divisible by any of these numbers. It is not, so 97 is a prime.

Example 2:

Is 301 a prime?

The floor of $\pi(301) = 17$. We need to check 2, 3, 5, 7, 11, 13, and 17. The numbers 2, 3, and 5 do not divide 301, but 7 does. Therefore 301 is not a prime.

Fermat's Little Theorem

First Version: if p is prime and a is positive integer, then

$$a^{p-1} \equiv 1 \pmod{p}$$

Second Version:

$$a^p \equiv a \pmod{p}$$

This means that if we divide a^p by p then the remainder should be ' a '.

Example 1:

Find the result of $6^{10} \pmod{11}$.

We have $6^{10} \pmod{11} = 1$. This is the first version of Fermat's little theorem where $p = 11$.

Example 2

Find the result of $3^{12} \pmod{11}$.

Here the exponent (12) and the modulus (11) are not the same. With substitution this can be solved using Fermat's little theorem.

$$3^{12} \pmod{11} = (3^{11} \times 3) \pmod{11} = (3^{11} \pmod{11}) (3 \pmod{11}) = (3 \times 3) \pmod{11} = 9$$

Multiplicative Inverses

$$a^{-1} \pmod{p} = a^{p-2} \pmod{p}$$

Example

The answers to multiplicative inverses modulo a prime can be found without using the extended Euclidean algorithm: