

EC5.102: Information and Communication

(Lec-1)

Source coding-1

(3-March-2025)

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About my teaching style

- I will be using a combination of slides and board.
- Be super interactive.. ask questions..
- Discuss learnings of the class with your friends.
- Refer to the suggested reference books.
- There will be breakout sessions to solve problems (very important).
- There will be self-quizes/surprize-quizes in the class.. :P
- NO LAPTOPS, NO MOBILES in the class!

Calendar

	Mar							Apr						
Mon			3	10	17	24	31		7	14	21	28		
Tues			4	11	18	25		1	8	15	22	29		
Wed			5	12	19	26		2	9	16	23	30		
Thurs			6	13	20	27		3	10	17	24			
Fri			7	14	21	28		4	11	18	25			
Sat		1	8	15	22	29		5	12	19	26			
Sun		2	9	16	23	30		6	13	20	27			

- Note: Quiz-2 on 3-April
- No class on 27-March
- Make-up class: 5-March (Tutorial slot)
- Note: 9 classes before quiz-2, 5 classes after quiz-2
- Quiz-2 on 31-March, Class on 3-April?

References

- Thomas M. Cover and Joy A. Thomas, “Elements of Information Theory”, Wiley India press, Edition 2.
- Raymond Yeung, “A First Course in Information Theory”.
- David J. C. MacKay, “Information Theory, Inference and Learning Algorithms”, Cambridge university press, 2003.

Recap

- Introduction to random variables
 - ▶ Random variable (RV), Joint RVs, Conditional RV
 - ▶ pmf, pdf, cdf
 - ▶ Mean (or expected value) and variance of a RV
- Introduction to information theory
 - ▶ Entropy, Joint entropy, Conditional entropy
 - ▶ Relative entropy, mutual information
 - ▶ Relation between these basic entities

Next agenda

- Block diagram of a digital communication system
 - ▶ Analog to digital converter
 - ▶ Source coding
 - ▶ Channel coding
 - ▶ Modulation
 - ▶ Communication channel
- Introduction to cryptography
- Introduction to networks

Introduction to source coding (Data compression)

Introduction to data compression

- Suppose you have been given a file with contents:

a c b a a a d a a b b a a b c d

- To transmit this file, you need to assign a sequence of 0s & 1s to each alphabet.

- For example, one possible assignment could be

a = 0 0 b = 0 1 c = 1 0 d = 1 1

- The file is then given by

0 0, 1 0, 0 1, 0 0, 0 0, 0 0, 1 1, 0 0, 0 0, 0 1, 0 1, 0 0, 0 0, 0 1, 1 0 1 1

- The file has 32 bits
- Can you represent this file with fewer number of bits? **Yes!**
- This is **data compression!**
- The process of converting alphabet of a file into bit-sequence is called as “**source encoding**”.

Definition: Source code

- Example of a source code:

- ▶ File: **a** **c** **b** **a** **a** **a** **d** **a** **a** **b** **b** **a** **a** **b** **c** **d**
- ▶ To transmit this file, we assign: **a** = 0 0 **b** = 0 1 **c** = 1 0 **d** = 1 1
- ▶ 0 0 is called as the “codeword” of **a**.

- **Definition of a source code:**

- ▶ Consider a r.v. X with support set \mathcal{X} .
- ▶ Let \mathcal{D}^* be the set of finite-length strings of symbols from a D -ary alphabet. We can assume that the D -ary alphabet $\mathcal{D} = \{0, 1, \dots, D - 1\}$.
- ▶ A **source code** C is defined as a mapping from \mathcal{X} to \mathcal{D}^* .
- ▶ $C(x)$: Codeword of $x \in \mathcal{X}$.
- ▶ $\ell(x)$: Length of $C(x)$

- Encoding and decoding

Expected length of a source code $C(x)$

- Example continued...
 - File: a c b a a a d a a b b a a b c d
 - Source code: a = 0 0 b = 0 1 c = 1 0 d = 1 1
 - What is pmf of X ?
- The **expected length** $L(C)$ a source code $C(x)$ for a r.v. X with pmf $p(x)$ is defined as

$$L(C) = \mathbb{E}_X[\ell(X)] = \sum_{x \in \mathcal{X}} p(x)\ell(x)$$

- Rate = Number of bits after source encoding / Number of symbols = $L(C)$
- For “good” compression we wish to have $L(C)$ as low as possible!
 - Can we choose a source code s.t. length of any codeword is say 1?
 - Will it be a “good source code”?
 - How to define a “good”?

How to define a “good” source code?

- Let X be a discrete RV with support set \mathcal{X} and pmf $\{p(x)\}$ where $x \in \mathcal{X}$.
- Consider a source code $C : \mathcal{X} \rightarrow \mathcal{D}^*$ with expected length $L(C)$.
- How to define “good” source code?
 - ▶ $L(C)$ should be low for good compression.
 - ▶ How much low? Hint: We should not lose “information” contained in rv X !!
- A source code is said to be “optimal” if $L(C) = H(X)$.
- Coding efficiency $\eta := H(X) / L(C)$.
- For “lossless” data compression, $L(C) \geq H(X)$: **Source coding theorem (SCT)**
(Note: This is NOT a formal statement!)

Optimal source code for our example

- pmf of X : $\mathbb{P}[X = a] = 1/2$, $\mathbb{P}[X = b] = 1/4$, $\mathbb{P}[X = c] = 1/8$, $\mathbb{P}[X = d] = 1/8$
- Can you construct an optimal source code?
- Consider the following source code:

$a = 0$ $b = 1\ 0$ $c = 1\ 1\ 0$ $d = 1\ 1\ 1$

- What is the expected length $L(C) = \sum_{x \in \mathcal{X}} p(x)l(x)$ of this source code?

$$L(C) = \frac{1}{2} \times 1 + \frac{1}{4} \times 2 + \frac{1}{8} \times 3 + \frac{1}{8} \times 3 = 1.75 \text{ bits}$$

- What is entropy $H(X) = -\sum_{x \in \mathcal{X}} p(x) \log p(x)$ of this pmf?

$$H(X) = \frac{1}{2} \times 1 + \frac{1}{4} \times 2 + \frac{1}{8} \times 3 + \frac{1}{8} \times 3 = 1.75 \text{ bits}$$

- This is an optimal code since $L(C) = H(X)$.
- Note: This optimal code is a “variable-length” code!
- Can you construct an optimal “fixed-length” code?

Key idea in SCT!

Source coding: Questions of interest

- Is there an algorithm to design an optimal code systematically?
- Is optimal code unique?
- What if I don't know the pmf of X ?
- What is the intuition behind the result that “for lossless source coding, the minimum value of expected length of source code $L(C)$ is equal to entropy $H(X)$ ”?
- What will happen if $L(C) < H(X)$?
- Is it desirable to design a source code with $L(C) < H(X)$?
- Can I design a “fixed-length” source code which is optimal?

Self-quiz

- What is a source code? Binary vs D-ary source code?
- How to define expected length $L(C)$ of source code C ?
- When a code C is said to be “optimal”?
- Fixed-length vs variable-length source code
- What is (rough) statement of “source coding theorem”?