

**Hamming Bound.** *Properties(inequalities/bounds) proofs.*

## 1 First Part

$$d_H(x_1, x_2) \leq d_H(x_1, y) + d_H(x_2, y) \leq 2t$$

- Contradiction to given statement
- Decoding should be correct

Only if :

$$Gn : \text{Correct decoding}$$

To show :

$$d(\mathcal{C}) \geq 2t + 1$$

Info conveyed  $\log_2 |\mathcal{C}|$  bits

$$c \in \mathcal{C} (n \text{ time slots})$$

$$\log_{|\mathcal{X}|} |\mathcal{C}| : \mathcal{X} - \text{symbols}$$

$$\text{Rate } R = \frac{\log_{|\mathcal{X}|} |\mathcal{C}|}{n} \text{ (X - symbols per time slot)}$$

To compare 2 different codes info conveyed is not sufficient.  $n$  should also be taken into picture .

$$R \leq 1$$

Rate does not tell about error correcting capabilities.

Better compression  $\rightarrow$  Reduces Quality

## 2 Rate Adaptation

- Given,  $d(\mathcal{C}) = t$  we can correct upto  $\lfloor \frac{d-1}{2} \rfloor$  errors surely.
- Trade - off the error correcting capability and rate.
- Suppose  $n$  is fixed what is the trade-off b/w  $d$  &  $|\mathcal{C}|$ ?

*Theorem : Hamming Bound*

$$\text{Let } |\mathcal{X}| = q ; \text{ let } \mathcal{C} \subseteq \mathcal{X}^n \text{ be a code with } d(\mathcal{C})$$

then

$$|\mathcal{C}| \leq \frac{q^n}{\sum_{i=0}^{\lfloor \frac{d-1}{2} \rfloor} \binom{n}{i} (q-1)^i}$$

(sphere packing bound for hamming metric)

*Proof :*

$$|\mathcal{C}| \left( \sum_{i=0}^t \binom{n}{i} (q-1)^i \right) \leq q^n$$

$\Rightarrow$

$$|\mathcal{C}| \leq \frac{q^n}{\sum_{i=0}^t \binom{n}{i} (q-1)^i}$$

$$B * \left( C, \lfloor \frac{d-1}{2} \rfloor \right) := \{ v \in \mathcal{X}^n : d_H(v, c) \leq \lfloor \frac{d-1}{2} \rfloor \}$$

$$B * \left( C, \lfloor \frac{d-1}{2} \rfloor \right) \cap B * \left( C', \lfloor \frac{d-1}{2} \rfloor \right) = \emptyset \quad \forall \quad C, C' \in \mathcal{C} \text{ (distinct)}$$

$$\sum_{C \in \mathcal{C}} |B * \left( C, \lfloor \frac{d-1}{2} \rfloor \right)| \leq q^n$$

$$\Rightarrow \sum_{C \in \mathcal{C}} \left( \sum_{i=0}^{\lfloor \frac{d-1}{2} \rfloor} \binom{n}{i} (q-1)^i \right) \leq q^n$$

$$\Rightarrow |\mathcal{C}| \left( \sum_{i=0}^{\lfloor \frac{d-1}{2} \rfloor} \binom{n}{i} (q-1)^i \right) \leq q^n$$

$$\Rightarrow |\mathcal{C}| \leq \frac{q^n}{\sum_{i=0}^{\lfloor \frac{d-1}{2} \rfloor} \binom{n}{i} (q-1)^i}$$

Hamming Bound  $\rightarrow$  upper bound on  $|\mathcal{C}|$  such that  $C$  has  $d_{min} = d$  & length =  $n$

- Perfect codes meet hamming bound with equality
- Repetition code

$$d(\mathcal{C}) = q \frac{q^n}{\sum_{i=0}^{\lfloor \frac{q-1}{2} \rfloor} \binom{n}{i} (q-1)^i}$$

### 3 Lecture 4

- $\mathcal{C} \subseteq \mathcal{X}^n \quad |\mathcal{X}| = q$
- $d(\mathcal{C}) \rightarrow \text{minimum distance of the code}$
- $d(\mathcal{C}) = d \Leftrightarrow \lfloor \frac{d-1}{2} \rfloor \text{ errors are surely corrected}$
- $R(\mathcal{C}) = \frac{\log_q |\mathcal{C}|}{n}$

(Normalized amount of information sent through the channel)  $\Rightarrow$  more rate

- Hamming Bound :  $\mathcal{C}$  is a  $n$ -length code with  $d(\mathcal{C})=d$  over a  $q$ -ary alphabet

$$|\mathcal{C}| \leq \frac{q^n}{\sum_{i=0}^{\lfloor \frac{d-1}{2} \rfloor} \binom{n}{i} (q-1)^i}$$

•  $|e_{\max}| = A(n, d, q)$

- A  $n$ -length code with  $d(\mathcal{C}) = d$  over a  $q$ -ary alphabet is  $(n, d)_q$  code.

A  $(n, d)_q$  code  $\mathcal{C}$  is perfect if it satisfies H-bound with equality .

Eg : -  $(n, d=n)_2$  code (Repetition code)  $n = \text{odd}$

$$e_{\text{rep}} = \{(0, 0, \dots, 0), (1, 1, \dots, 1)\}$$

$$|\mathcal{C}| = 2 \frac{q^n}{\sum_{i=0}^{\lfloor \frac{d-1}{2} \rfloor} \binom{n}{i} (q-1)^i} = \frac{2^n}{\sum_{i=0}^{\lfloor \frac{d-1}{2} \rfloor} (q-1)^i} = 2$$

$$\Rightarrow |\mathcal{C}| = \frac{q^n}{\sum_{i=0}^{\lfloor \frac{d-1}{2} \rfloor} \binom{n}{i} (q-1)^i} \Rightarrow \text{perfect code}$$

Eg : -  $(n, d=n)_q$  code

$$\mathcal{C} = \{(i_0, i_1, \dots, i_x) \mid i \in \mathcal{X}\}$$

- Singleton bound: – Let  $\mathcal{C}$  be a  $(n, d)_q$  code. Then

$$|\mathcal{C}| \leq q^{n-d+1}$$

*Proof* : –

$$1 \leq d \leq n$$

$(d - 1)$  columns are ignored.

rows of this punctured table remain unique.

rows of this table differ in atleast  $d$  position .

This process of removing columns is called puncturing.

$\Rightarrow$  totally  $|\mathcal{C}|$  still remain.

$\Rightarrow \leq q^{n-d+1}$

(max no. of unique vectors of length  $n-d+1$ )

- For  $d = n$  rep. code meets singleton bound with equality

- Maximum distance separable codes (MDS) :-

$(n, d)_q$  code which meets singleton bound with equality

- Lower Bound : – (Gilbert – Varshamov)  $(G - V)$  bound

Let  $A(n, q, d)$  be the maximum cardinality of any  $(n, d)_q$ . Then,

$$A(n, d, q) = \frac{q^n}{\sum_{i=0}^{d-1} \binom{n}{i} (q-1)^i}$$

(sphere covering bound)

Sphere can overlap but the centre of one sphere can not lie inside another sphere.

*Proof*:- Let  $e_{max}$  be  $(n, d)_q$  code with  $|\mathcal{C}|_{max} = A(n, d, q)$

$|B * (c, d - 1)| = \sum_{i=0}^{d-1} \binom{n}{i} (q-1)^i$  Then,

$$\bigcup_{c \in \mathcal{C}} B * (c, d - 1) = \mathcal{X}^n$$

If there is a vector in  $\mathcal{X}^n$  which does not belong to the union .

$\Rightarrow$  we add that vector to code.(as minimum distance is not changed)

$\Rightarrow$  Our code is not a maximum cardinality code

$\Rightarrow$  contradiction

$\Rightarrow$  Such a vector does not exist,

$\Rightarrow \sum_{c \in \mathcal{C}} |B * (c, d - 1)| \geq q^n$

$\Rightarrow A(n, d, q) \geq \frac{q^n}{\sum_{i=0}^{d-1} \binom{n}{i} (q-1)^i}$

- For  $q=2$ ,

$$\frac{2^n}{\sum_{i=0}^{d-1} \binom{n}{i}} \leq A(n, d, q = 2) \leq \frac{2^n}{\sum_{i=0}^{\lfloor \frac{d-1}{2} \rfloor} \binom{n}{i}}$$

This is called 'sandwich property'

- *Behaviour of the bounds as  $n$  grows larger:-*

$$n|d = \partial n \quad \partial d = \frac{d}{n} \quad (\text{relative distance}) \quad \text{is kept} \quad \text{const} \in (0, 1)$$

what happens to the inequality above ?

$$2^{nH(\lambda)} \leq A(n, q = 2, d) \leq 2^{nH(\frac{\lambda}{2})}$$

## References

- [1] None