

# **CS 32 Week 7**

## **Discussion 1I**

Srinath

# Outline

- Algorithm Analysis
- Sorting
- Worksheet 7

# **Algorithm Analysis**

# Algo Analysis : Notations

A way to measure performance of an algorithm. Helps compare various algorithms performing the same task.

## Big-O Notation

A function  $f(N)$  is  $O(g(N))$ , if there exists  $N_0$  and  $k$  such that for all  $N \geq N_0$ ,  $|f(N)| \leq k \cdot g(N)$

$3N^2 - 4N + 2$

Order -

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Order -  $O(N^2)$

Can we also write  $O(N^3)$  ? -

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$$3N^2 - 4N + 2$$

Order -  $O(N^2)$

Can we also write  $O(N^3)$  ? - Yes, but generally report best possible bound

For algorithms, we try to measure the number of **basic steps** it performs for input size of  $N$ .

**Basic steps** : assignment, addition, subtraction etc.

# Algo Analysis : Examples 1

```
int i, j;  
for(i=0; i<10; i++){  
    j = j+1;  
}
```

# Algo Analysis : Examples 1

```
int i, j;  
for(i=0; i<10; i++){  
    j = j+1;  
}
```

$O(1)$

```
int i, j;  
for(i=0; i<100000; i++){  
    j = j+1;  
}
```



# Algo Analysis : Examples 1

```
int i, j;  
for(i=0; i<10; i++){  
    j = j+1;  
}
```

$O(1)$

```
int i, j;  
for(i=0; i<100000; i++){  
    j = j+1;  
}
```

$O(1)$

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i++){  
    j = j+1;  
}
```

# Algo Analysis : Examples 1

```
int i, j;  
for(i=0; i<10; i++){  
    j = j+1;  
}
```

$O(1)$

```
int i, j;  
for(i=0; i<100000; i++){  
    j = j+1;  
}
```

$O(1)$

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i++){  
    j = j+1;  
}
```

$O(N)$

```
int i, j;  
for(i=0; i<100; i++){  
    for(j=0; j<100; j++){  
        cout<<"hello"<<endl;  
    }  
}
```

# Algo Analysis : Examples 1

```
int i, j;  
for(i=0; i<10; i++){  
    j = j+1;  
}
```

$O(1)$

```
int i, j;  
for(i=0; i<100000; i++){  
    j = j+1;  
}
```

$O(1)$

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i++){  
    j = j+1;  
}
```

$O(N)$

```
int i, j;  
for(i=0; i<100; i++){  
    for(j=0; j<100; j++){  
        cout<<"hello"<<endl;  
    }  
}
```

$O(1)$

# Algo Analysis : Examples 2

```
int i, j, M, N;  
cin>>M; cin>>N;  
for(i=0; i<M; i++){  
    for(j=0; j<N; j++){  
        cout<<"bruins"<<endl;  
    }  
}
```

# Algo Analysis : Examples 2

```
int i, j, M, N;  
cin>>M; cin>>N;  
for(i=0; i<M; i++){  
    for(j=0; j<N; j++){  
        cout<<"bruins"<<endl;  
    }  
}
```

$O(M*N)$

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i=i+3){  
    j = j+1  
}
```

# Algo Analysis : Examples 2

```
int i, j, M, N;  
cin>>M; cin>>N;  
for(i=0; i<M; i++){  
    for(j=0; j<N; j++){  
        cout<<"bruins"<<endl;  
    }  
}
```

$O(M*N)$

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i++){  
    for(j=0; j<i; j++){  
        cout<<"bruins" <<endl;  
    }  
}
```

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i=i+3){  
    j = j+1  
}
```

$O(N)$

# Algo Analysis : Examples 2

```
int i, j, M, N;  
cin>>M; cin>>N;  
for(i=0; i<M; i++){  
    for(j=0; j<N; j++){  
        cout<<"bruins"<<endl;  
    }  
}
```

$O(M*N)$

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i++){  
    for(j=0; j<i; j++){  
        cout<<"bruins"<<endl;  
    }  
}
```

$O(N^2)$

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i=i+3){  
    j = j+1  
}
```

$O(N)$

```
int i, j, N;  
cin>>N;  
for(i=10; i<N/2; i++){  
    for(j=5; j<N/2; j++){  
        cout<<"bruins"<<endl;  
    }  
}
```

# Algo Analysis : Examples 2

```
int i, j, M, N;  
cin>>M; cin>>N;  
for(i=0; i<M; i++){  
    for(j=0; j<N; j++){  
        cout<<"bruins"<<endl;  
    }  
}
```

$O(M*N)$

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i++){  
    for(j=0; j<i; j++){  
        cout<<"bruins"<<endl;  
    }  
}
```

$O(N^2)$

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i=i+3){  
    j = j+1  
}
```

$O(N)$

```
int i, j, N;  
cin>>N;  
for(i=10; i<N/2; i++){  
    for(j=5; j<N/2; j++){  
        cout<<"bruins"<<endl;  
    }  
}
```

$O(N^2)$



# Algo Analysis : More Examples

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i = 2*i){  
    j = j+1;  
}
```

# Algo Analysis : More Examples

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i = 2*i){  
    j = j+1;  
}
```

```
int i, j, N;  
cin>>N;  
for(i=1; i<N; i = 2*i){  
    j = j+1;  
}
```

This runs forever..

# Algo Analysis : More Examples

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i = 2*i){  
    j = j+1;  
}
```

This runs forever..

```
int i, j, N;  
cin>>N;  
for(i=1; i<N; i = 2*i){  
    j = j+1;  
}
```

$O(\log N)$

```
int i, j, N;  
cin>>N;  
for(i=1; i<N; i++){  
    j = isPrime(i);  
}
```

Assume **isPrime(m)** is of  **$O(m^2)$**

# Algo Analysis : More Examples

```
int i, j, N;  
cin>>N;  
for(i=0; i<N; i = 2*i){  
    j = j+1;  
}
```

This runs forever..

```
int i, j, N;  
cin>>N;  
for(i=1; i<N; i = 2*i){  
    j = j+1;  
}
```

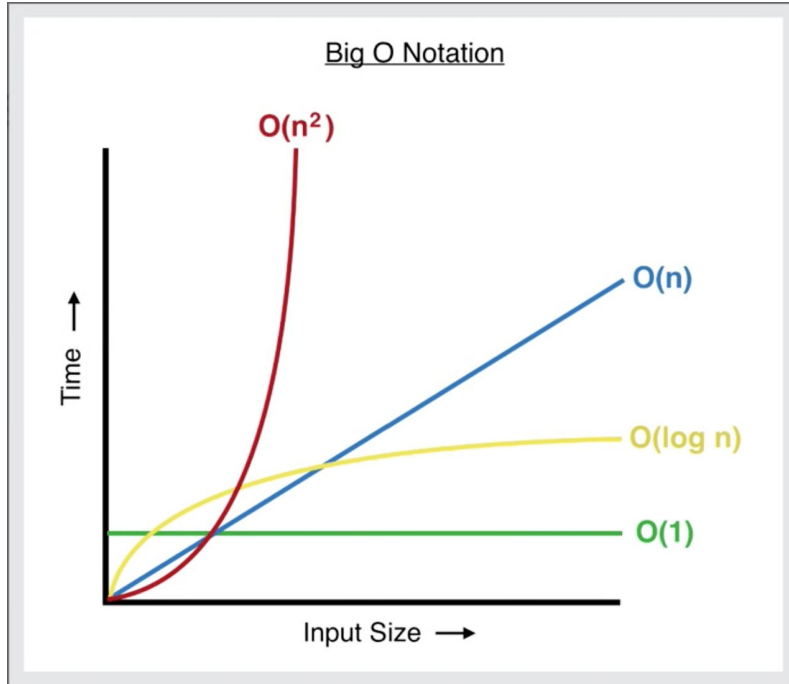
$O(\log N)$

```
int i, j, N;  
cin>>N;  
for(i=1; i<N; i++){  
    j = isPrime(i);  
}
```

Assume **isPrime(m)** is of  **$O(m^2)$**

**$O(N^3)$**

# Algo Analysis : Growth functions



# Sorting

# Sorting : Bubble Sort

i = 0	j	0	1	2	3	4	5	6	7
	0	5	3	1	9	8	2	4	7
	1	3	5	1	9	8	2	4	7
	2	3	1	5	9	8	2	4	7
	3	3	1	5	9	8	2	4	7
	4	3	1	5	8	9	2	4	7
	5	3	1	5	8	2	9	4	7
	6	3	1	5	8	2	4	9	7
i = 1	0	3	1	5	8	2	4	7	9
	1	1	3	5	8	2	4	7	
	2	1	3	5	8	2	4	7	
	3	1	3	5	8	2	4	7	
	4	1	3	5	2	8	4	7	
	5	1	3	5	2	4	8	7	
i = 2	0	1	3	5	2	4	7	8	
	1	1	3	5	2	4	7		
	2	1	3	5	2	4	7		
	3	1	3	2	5	4	7		
	4	1	3	2	4	5	7		
i = 3	0	1	3	2	4	5	7		
	1	1	3	2	4	5			
	2	1	2	3	4	5			
	3	1	2	3	4	5			
i = 4	0	1	2	3	4	5			
	1	1	2	3	4				
	2	1	2	3	4				
i = 5	0	1	2	3	4				
	1	1	2	3					
i = 6	0	1	2	3					
		1	2						

# Sorting : Bubble Sort

i = 0	j	0	1	2	3	4	5	6	7
	0	5	3	1	9	8	2	4	7
	1	3	5	1	9	8	2	4	7
	2	3	1	5	9	8	2	4	7
	3	3	1	5	9	8	2	4	7
	4	3	1	5	8	9	2	4	7
	5	3	1	5	8	2	9	4	7
	6	3	1	5	8	2	4	9	7
i = 1	j	0	1	2	3	4	5	6	7
	0	3	1	5	8	2	4	7	9
	1	1	3	5	8	2	4	7	
	2	1	3	5	8	2	4	7	
	3	1	3	5	8	2	4	7	
	4	1	3	5	2	8	4	7	
	5	1	3	5	2	4	8	7	
i = 2	j	0	1	2	3	4	5	6	7
	0	1	3	5	2	4	7	8	
	1	1	3	5	2	4	7		
	2	1	3	5	2	4	7		
	3	1	3	2	5	4	7		
	4	1	3	2	4	5	7		
i = 3	j	0	1	2	3	4	5	6	7
	0	1	3	2	4	5	7		
	1	1	3	2	4	5			
	2	1	2	3	4	5			
	3	1	2	3	4	5			
i = 4	j	0	1	2	3	4	5	6	7
	0	1	2	3	4	5			
	1	1	2	3	4				
	2	1	2	3	4				
i = 5	j	0	1	2	3	4	5	6	7
	0	1	2	3	4				
	1	1	2	3					
i = 6	j	0	1	2	3	4	5	6	7
	0	1	2	3					
	1	1	2						

**Time Complexity :**  
**Space Complexity :**



# Sorting : Bubble Sort

i = 0	j	0	1	2	3	4	5	6	7
	0	5	3	1	9	8	2	4	7
	1	3	5	1	9	8	2	4	7
	2	3	1	5	9	8	2	4	7
	3	3	1	5	9	8	2	4	7
	4	3	1	5	8	9	2	4	7
	5	3	1	5	8	2	9	4	7
	6	3	1	5	8	2	4	9	7
i = 1	0	3	1	5	8	2	4	7	9
	1	1	3	5	8	2	4	7	
	2	1	3	5	8	2	4	7	
	3	1	3	5	8	2	4	7	
	4	1	3	5	2	8	4	7	
	5	1	3	5	2	4	8	7	
i = 2	0	1	3	5	2	4	7	8	
	1	1	3	5	2	4	7		
	2	1	3	5	2	4	7		
	3	1	3	2	5	4	7		
	4	1	3	2	4	5	7		
i = 3	0	1	3	2	4	5	7		
	1	1	3	2	4	5			
	2	1	2	3	4	5			
	3	1	2	3	4	5			
i = 4	0	1	2	3	4	5			
	1	1	2	3	4				
	2	1	2	3	4				
i = 5	0	1	2	3	4				
	1	1	2	3					
i = 6	0	1	2	3					
		1	2						

**Time Complexity :**  $O(N^2)$

**Space Complexity :**  $O(1)$

# Sorting : Selection Sort

```
void selectionSort(int arr[], int n)
{
}
```

# Sorting : Selection Sort

```
void selectionSort(int arr[], int n)
{
    int i, j, min_idx;

    for (i = 0; i < n-1; i++)
    {
        min_idx = i;
        for (j = i+1; j < n; j++){
            if (arr[j] < arr[min_idx])
                min_idx = j;
        }
        swap(&arr[min_idx], &arr[i]);
    }
}
```

# Sorting : Selection Sort

```
void selectionSort(int arr[], int n)
{
    int i, j, min_idx;

    for (i = 0; i < n-1; i++)
    {
        min_idx = i;
        for (j = i+1; j < n; j++){
            if (arr[j] < arr[min_idx])
                min_idx = j;
        }
        swap(&arr[min_idx], &arr[i]);
    }
}
```

**Time Complexity :**  
**Space Complexity :**

# Sorting : Selection Sort

```
void selectionSort(int arr[], int n)
{
    int i, j, min_idx;

    for (i = 0; i < n-1; i++)
    {
        min_idx = i;
        for (j = i+1; j < n; j++){
            if (arr[j] < arr[min_idx])
                min_idx = j;
        }
        swap(&arr[min_idx], &arr[i]);
    }
}
```

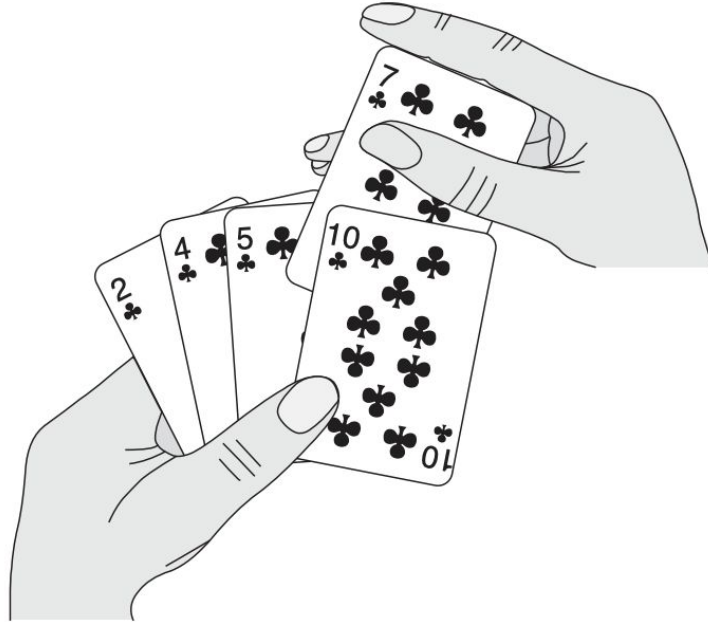
**Time Complexity :**  $O(N^2)$

**Space Complexity :**  $O(1)$

# Sorting : Insertion Sort

6, 8, 21, 3, 9

Assume sorting a set of playing cards.



# Sorting : Insertion Sort

6, 8, 21, 3, 9

**6**, 8, 21, 3, 9

**6**, **8**, 21, 3, 9

**6**, **8**, **21**, 3, 9

**6**, **8**, **21**, **3**, 9

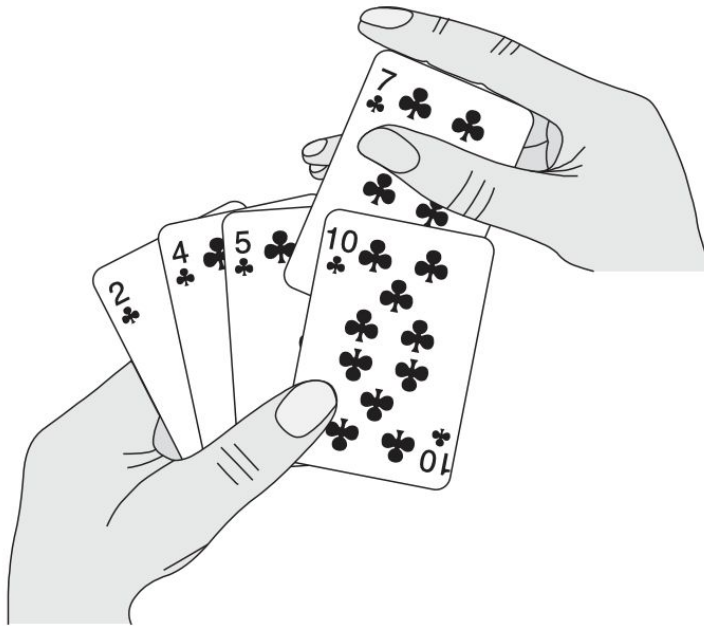
**3**, **6**, **8**, **21**, 9

**3**, **6**, **8**, **21**, **9**

**3**, **6**, **8**, **9**, **21**

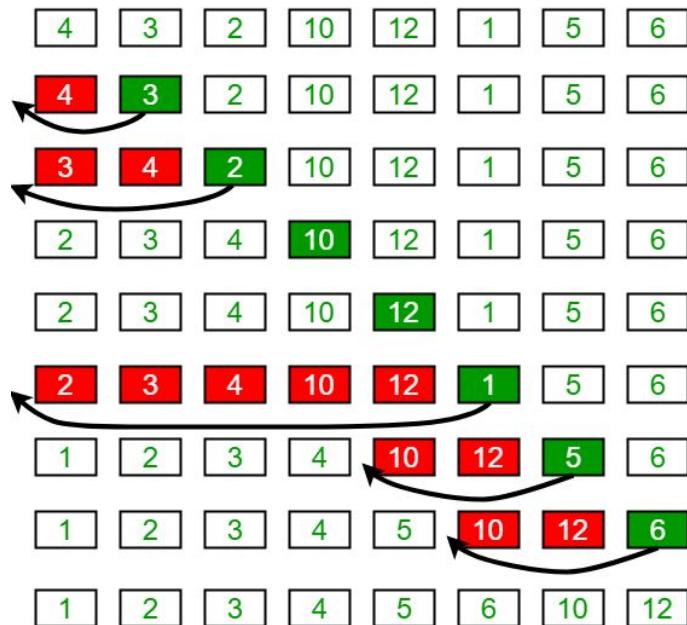
**3**, **6**, **8**, **9**, **21**

Assume sorting a set of playing cards.



# Sorting : Insertion Sort

## Insertion Sort Execution Example





# Sorting : Insertion Sort

```
void insertion_sort(int * A, int N){  
    if(A == NULL || N <= 1) return;  
    for(int j=1; j<N; j++){  
        for(int i=j-1; i>=0; i--){  
            if(A[i] < A[i+1]) break;  
            else{  
                int tmp = A[i];  
                A[i] = A[i+1];  
                A[i+1] = tmp;  
            }  
        }  
    }  
}
```

**Time Complexity :**  
**Space Complexity :**

# Sorting : Insertion Sort

```
void insertion_sort(int * A, int N){  
    if(A == NULL || N <= 1) return;  
    for(int j=1; j<N; j++){  
        for(int i=j-1; i>=0; i--){  
            if(A[i] < A[i+1]) break;  
            else{  
                int tmp = A[i];  
                A[i] = A[i+1];  
                A[i+1] = tmp;  
            }  
        }  
    }  
}
```

**Time Complexity :**  $O(N^2)$

**Space Complexity :**  $O(1)$

# Sorting : Merge-Sort

Given two sorted arrays, seq1, seq2 How can we get a single sorted array containing all the elements?

Seq1 : 2, 4, 6, 8, 9, 11, 18, 20

Seq2 : 1, 3, 5, 7, 10, 15

Sorted Array : 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 15, 18, 20

# Sorting : Merge-Sort

Given two sorted arrays, seq1, seq2 How can we get a single sorted array containing all the elements?

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Seq2 : 1, 3, 5, 7, 10, 15

Sorted Array : 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 15, 18, 20

```
void MERGE(int * A, int p, int q, int r) {  
    int n1 = q-p+1;  
    int n2 = r-q; // not considering q  
    int L[n1+1];  
    int R[n2+1];  
  
    for(int i=0; i<n1; i++){  
        L[i] = A[p+i];  
    }  
    L[n1] = INT_MAX;  
  
    for(int i=0; i<n2; i++){  
        R[i] = A[q+i+1];  
    }  
    R[n2] = INT_MAX;  
  
    int i = 0;  
    int j = 0;  
    for(int k=p; k<=r ; k++){  
        if(L[i] <= R[j]){  
            A[k] = L[i];  
            i = i+1;  
        }else {  
            A[k] = R[j];  
            j = j+1;  
        }  
    }  
    return;  
}
```

The Merge Step

# Sorting : Merge-Sort

Given two sorted arrays, seq1, seq2 How can we get a single sorted array containing all the elements?

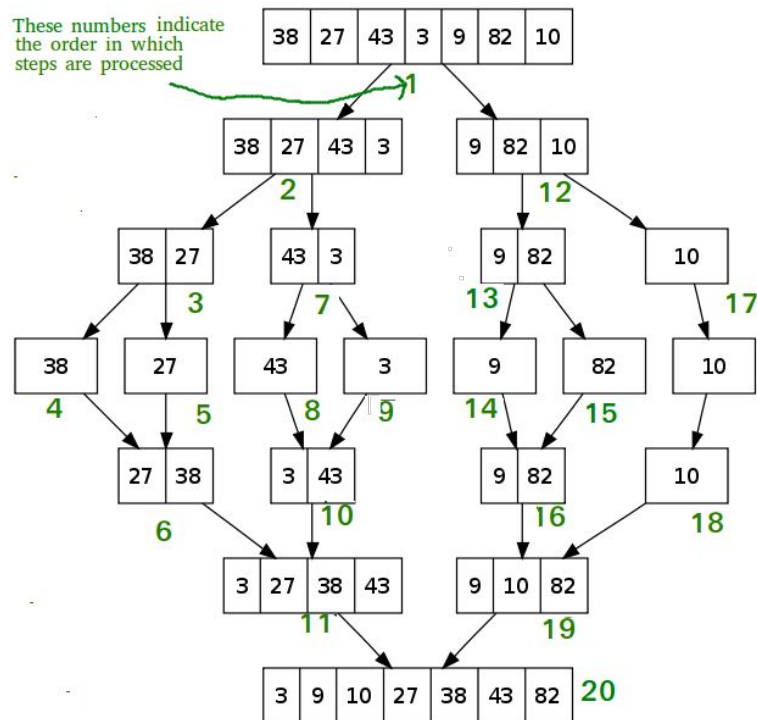
Seq1 : 2, 4, 6, 8, 9, 11, 18, 20

Seq2 : 1, 3, 5, 7, 10, 15

Sorted Array : 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 15, 18, 20

## Merge Sort

```
void MERGE_SORT(int * A, int p, int r) {  
    if(p < r){  
        int q = (p+r)/2;  
        MERGE_SORT(A, p, q);  
        MERGE_SORT(A, q+1, r);  
        MERGE(A, p, q, r);  
    }  
}
```



# Sorting : Merge-Sort

Given two sorted arrays, seq1, seq2 How can we get a single sorted array containing all the elements?

Seq1 : 2, 4, 6, 8, 9, 11, 18, 20

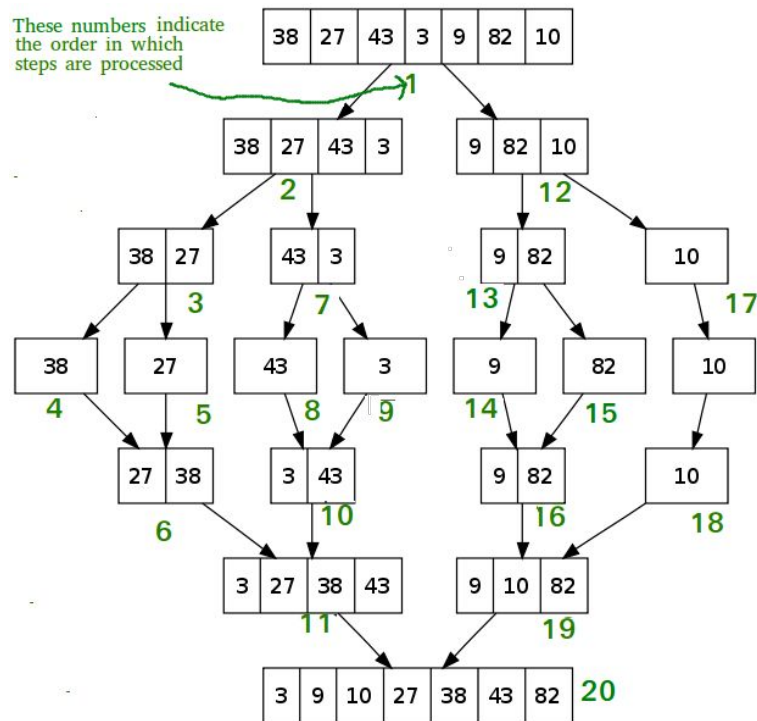
Seq2 : 1, 3, 5, 7, 10, 15

Sorted Array : 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 15, 18, 20

## Merge Sort

```
void MERGE_SORT(int * A, int p, int r) {  
    if(p < r){  
        int q = (p+r)/2;  
        MERGE_SORT(A, p, q);  
        MERGE_SORT(A, q+1, r);  
        MERGE(A, p, q, r);  
    }  
}
```

**Time Complexity :**  
**Space Complexity :**



# Sorting : Merge-Sort

Given two sorted arrays, seq1, seq2 How can we get a single sorted array containing all the elements?

Seq1 : 2, 4, 6, 8, 9, 11, 18, 20

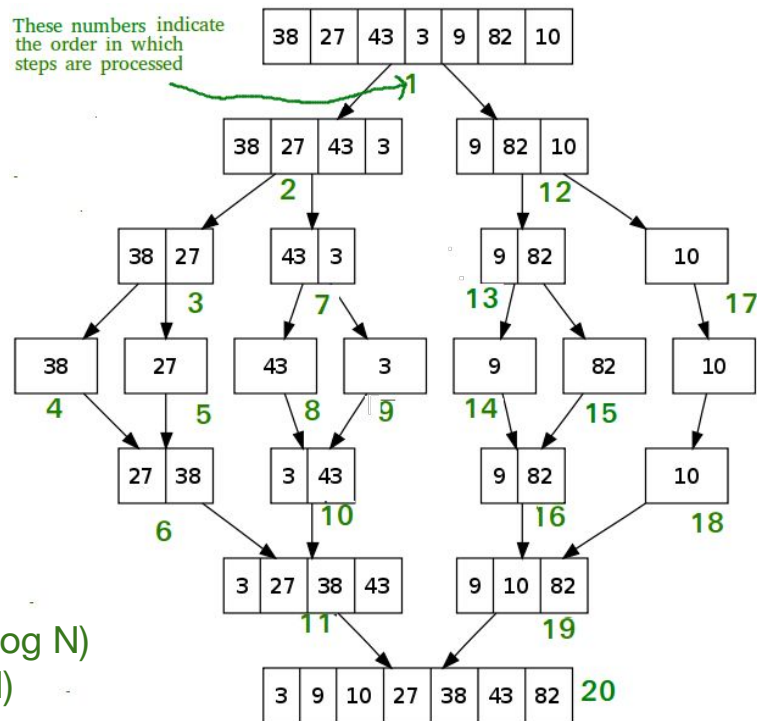
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Sorted Array : 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 15, 18, 20

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```
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        MERGE_SORT(A, p, q);  
        MERGE_SORT(A, q+1, r);  
        MERGE(A, p, q, r);  
    }  
}
```

**Time Complexity :**  $O(N \log N)$   
**Space Complexity :**  $O(N)$



# Sorting : Merge-Sort

**Time Complexity :**  $O(N \log N)$

How did we get this?

```
void MERGE_SORT(int * A, int p, int r) {  
    if(p < r){  
        int q = (p+r)/2;  
        MERGE_SORT(A, p, q);  
        MERGE_SORT(A, q+1, r);  
        MERGE(A, p, q, r);  
    }  
}
```



# Sorting : Merge-Sort

**Time Complexity :**  $O(N \log N)$

How did we get this?

$$T(N) = 2 * T(N/2) + O(N), T(1) = 1$$

Can you solve this recursion ?

```
void MERGE_SORT(int * A, int p, int r) {  
    if(p < r){  
        int q = (p+r)/2;  
        MERGE_SORT(A, p, q);  
        MERGE_SORT(A, q+1, r);  
        MERGE(A, p, q, r);  
    }  
}
```

# Sorting : Merge-Sort

**Time Complexity :**  $O(N \log N)$

How did we get this?

```
void MERGE_SORT(int * A, int p, int r) {  
    if(p < r){  
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        MERGE_SORT(A, p, q);  
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    }  
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```

$$T(N) = 2 * T(N/2) + O(N), T(1) = 1$$

Can you solve this recursion ?

$$T(N) = 2 * ( 2 * T(N/4) + O(N/2) ) + O(N)$$

# Sorting : Merge-Sort

**Time Complexity :**  $O(N \log N)$

How did we get this?

```
void MERGE_SORT(int * A, int p, int r) {  
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Can you solve this recursion ?

$$\begin{aligned} T(N) &= 2 \cdot (2 \cdot T(N/4) + O(N/2)) + O(N) \\ &= 4 \cdot T(N/4) + 2 \cdot O(N/2) + O(N) \end{aligned}$$

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Using base case, Set  $N/2^k = 1$

$$\Rightarrow N = 2^k$$

$$\Rightarrow k = \log N$$

$$\Rightarrow T(N) = 2^k \cdot T(1) + k \cdot O(N)$$

$$\Rightarrow T(N) = N \cdot 1 + \log N \cdot O(N)$$

Therefore,  $T(N) \sim O(N \log N)$



# Sorting : Quick Sort

Given an array **A** of **n** elements, rearrange(partition) the array such that **A[n-1]** is at **q** th position and

**A[i] ≤ A[q]** for **0 ≤ i ≤ q** and

**A[i] > A[q]** for **q < i < n**

Array : 2, 8, 7, 1, 3, 5, 6, 4

Re-arranged : 2, 1, 3, 4, 7, 5, 6, 8

There might be other arrangements which satisfy above conditions.

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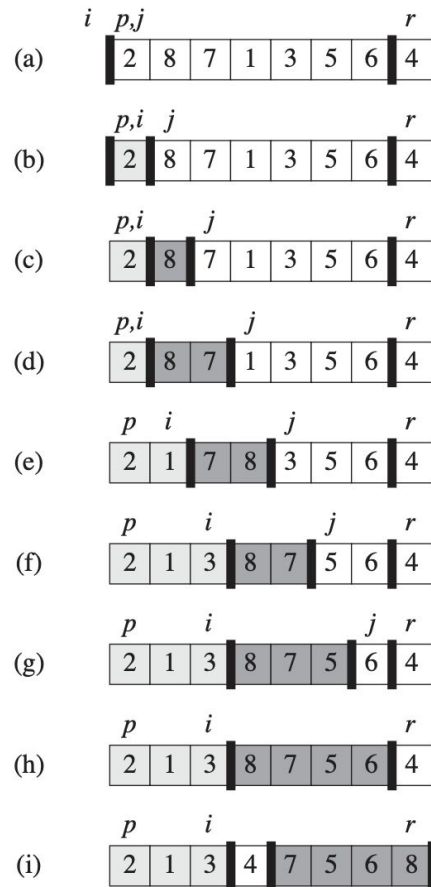
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## The Partition Step

```
void EXCHANGE(int * A, int i, int j){  
    int tmp = A[i];  
    A[i] = A[j];  
    A[j] = tmp;  
}
```

```
int PARTITION(int * A, int p, int r){  
    int pivot = A[r];  
    int i = p-1;  
    for(int j=p ; j<r ; j++){  
        if(A[j] <= pivot){  
            i = i+1;  
            EXCHANGE(A, i, j);  
        }  
    }  
    EXCHANGE(A, i+1, r);  
    return i+1;  
}
```

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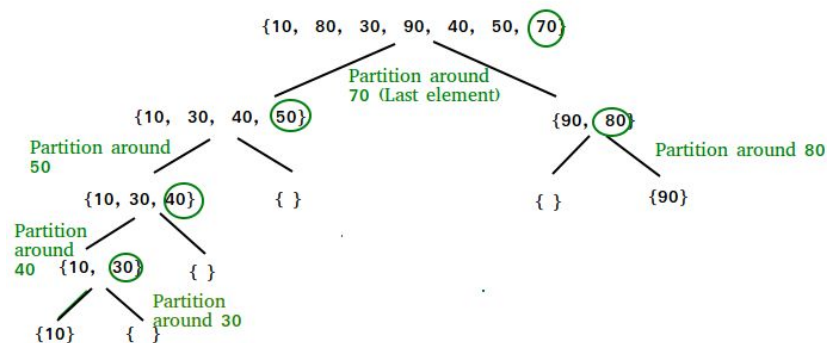
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## Quicksort

```
void QUICKSORT(int * A, int p, int r){  
    if(p < r){  
        int q = PARTITION(A, p, r);  
        QUICKSORT(A, p, q-1);  
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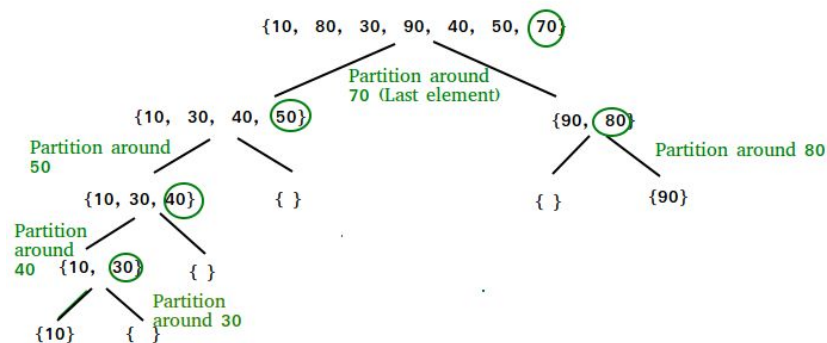
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**Time Complexity :**  
**Space Complexity :**



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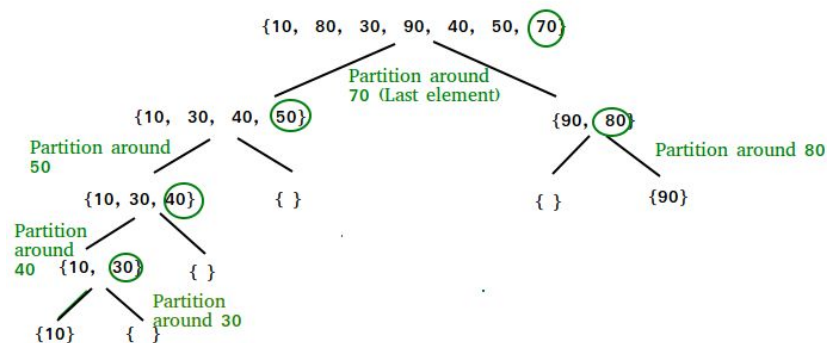
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**Time Complexity :**  $O(N^2)$ -Worst case,  $O(N \log N)$  - best/average case  
**Space Complexity :**  $O(N)$ -Worst case,  $O(\log N)$  - best/average case



# Recursion

# Recursion : Exercise

Count number of Nodes?

```
struct Node { ...; vector<Node*> children; };
```

```
int countNodes(Node* t){
```

```
    ....  
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```



# Recursion : Exercise

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struct Node { ...; vector<Node*> children; };

int countNodes(Node* t){
    if (t == nullptr)
        return 0;
    int totalOfChildren = 0;
    for (int k = 0; k < t->children.size(); k++)
    {
        totalOfChildren += countNodes(t->children[k]);
    }
    return 1 + totalOfChildren;
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Why the "+=" instead of "="? -

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Why isn't the return statement inside the loop? What would happen if it were? -

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Why isn't the return statement inside the loop? What would happen if it were? - Will explore only in left most branch and return.

# References

Algorithms and Data Structures (I usually refer these)

- **Introduction to Algorithms, T.H Cormen**
- [https://web.cs.ucla.edu/~srinath/static/pdfs/DataStructures&Algorithms\\_Cormen.pdf](https://web.cs.ucla.edu/~srinath/static/pdfs/DataStructures&Algorithms_Cormen.pdf)
- **Algorithm Design, Eva Tardos**
- [https://web.cs.ucla.edu/~srinath/static/pdfs/AlgorithmDesign\\_%20EvaTardos.pdf](https://web.cs.ucla.edu/~srinath/static/pdfs/AlgorithmDesign_%20EvaTardos.pdf)

Basic sorting implementations - <https://web.cs.ucla.edu/~srinath/programming.html>

Content in some of the slides is taken from Sonia Jaiswal.