

### TALK 3

## TATE CONSTRUCTIONS - STRUCTURE OF $F_A^*(\mathcal{O})$

### § RECAP (BRIEF)

$\mathcal{O}$  a d.v.r.,  $c \geq 0$ ,  $\pi \in \mathcal{O}$  a fixed uniformizer.  
 $\mathcal{C}_{\mathcal{O}}(c) :=$  category of pairs  $(A, \lambda_A)$ ;  $\lambda_A: A \rightarrow \mathcal{O}$ ;  
 $A$  regular at  $P_A := \ker(\lambda_A)$ ;  $\text{ht}(P_A) = c$ .

$$F_A^i(\mathcal{O}) := \text{Ext}_A^i(\mathcal{O}, \mathcal{O})^{tf}$$

~~The~~  $F_A^c(\mathcal{O})$  is a free  $\mathcal{O}$ -module of rank 1 (Talk 1). In this talk, we aim at obtaining a generator for this  $\mathcal{O}$ -module, and ~~this~~ This consequently also furnishes generators for all  $\mathcal{O}$ -modules  $F_A^i(\mathcal{O})$  as we will see.

In §3 As in Talk 2, the rings  $A$  we will be interested in, are of the form  $A = P/I$  where  $P = \mathcal{O}[[t_1, \dots, t_n]]$ ,  $I$  is generated minimally by  $f_1, \dots, f_m$  for

$$f_i = w^{d_i} t_i + g_i; \quad g_i \in (\mathfrak{t})^2$$

$$(\mathfrak{t} = (t_1, \dots, t_n))$$

# § DG ~~Algebras~~ (Differential Graded) Algebras

Let  $R$  be a ring.

Def'n  $A$  (homological) complex of  $R$ -modules

$(A, \partial)$  is said to be a DG-algebra over

$R$  if (below  $|x|$  is the integer  $\lambda$  s.t.  $x \in A_\lambda$ )  
also called "degree of  $x$ "

(i)  $A^\# := \bigoplus_i A_i$  is a graded  $R$ -algebra  
i.e.  $A_0 = R$ ,  $A_\lambda \cdot A_\mu \subset A_{\lambda+\mu}$  and  $A_i = 0$   $\forall i < 0$ .

(ii) Each  $A_i$  is finitely generated  $R$ -module.

(iii)  $A^\#$  is skew symmetric i.e.

$$x \cdot y = (-1)^{|x||y|} y \cdot x$$

$$x^2 = 0 \quad \text{if } |x| \text{ odd}$$

(iv)  $\partial(x \cdot y) = (\partial x) \cdot y + (-1)^{|x|} x \cdot \partial y$

$\Downarrow$  equiv.

$\therefore A \otimes A \xrightarrow{\quad} A$  is a map of complexes

Remark -  $H(A, \partial) = Z(A, \partial) / B(A, \partial)$  is a graded  $R$ -algebra.

Example (1) ~~(KOSZUL COMPLEX)~~  $X_1, \dots, X_n$  be  
(of degree 1)

indeterminates and  $\underline{h} := (h_1, \dots, h_n) \in R^n$ . Then

the complex  $K(\underline{h}, R) := (\wedge^\bullet R[X_1, \dots, X_n], d)$

where 'd' is determined by  $dT_i = h_i$  is

called the Koszul complex. It has the

property,  $\sup \{ i \mid H_i(K(\underline{h}, M)) \neq 0 \} = n - \text{depth}(\underline{h}, M)$

Example (2) Adjoining a variable to  
dissolve a homology class (Tate '56)

Let  $(A., \partial)$  be a DG-algebra over  $R$  and  $t \in Z_{i-1}(A., \partial)$  a cycle of degree  $(i-1)$ .

Prop'n ([Tate56, §2]) ~~There~~ Let  $T$  be an indeterminate. Then there exists a canonical procedure for constructing a DG algebra extension  $(A.\langle T \rangle, \partial') \supset (A., \partial)$  (where  $|T|=i$ ) s.t.  $(A.\langle T \rangle)_\lambda = A_\lambda \quad \forall \lambda < i$  and  $B_{i-1}(A.\langle T \rangle) = B_{i-1}(A.) + Rt$

In particular,  $H_{i-1}(A.\langle T \rangle) = \frac{H_{i-1}(A.)}{Rt}$

Pf:- Give it!

We denote the above ext'n by  $[A.\langle T \rangle; dT=t]$

Doing this process iteratively gives us an infinite free resolution of  $R/J$

Cor. ([Thm 1, Tate56]) There exists a

free, acyclic DG-algebra, denoted  $R\langle u \rangle$ , which is a free resolution of  $R/J$ .

Remark:- If  $\underline{h} = (h_1, \dots, h_n) \in Z_0(A.)$ , then  $[R\langle T_1, \dots, T_n \rangle; dT_i = h_i] = K(\underline{h}, R)$ .

$\rightarrow R\langle u \rangle$  is called the acyclic closure of  $R/J$ .



Def'n: A ~~R-linear~~ derivation  $\theta: \frac{A\langle U \rangle}{R} \rightarrow \frac{A\langle U \rangle}{R}$

is a  $R$ -linear map s.t.

$$(i) \theta(xy) = \theta(x)y + (-1)^{|x|} x\theta(y);$$

$$(ii) \theta(x^{(i)}) = \theta(x)x^{(i-1)} \quad \text{for } x \in A_{\text{even}}, i \geq 1$$

$$(iii) \partial \circ \theta = (-1) \theta \circ \partial$$

Prop'n ([Iye 01], Prop. 1.4) (\*) ~~If  $f = \text{rank}_R(J/J^2)$~~

~~$\geq n$ , then there~~ If  $a_1, \dots, a_n$  form a  $R/J$ -basis of a free direct summand

of  $J/J^2$  &  $\{x_1, \dots, x_n\}$  satisfy  ~~$\partial x_i = a_i$~~

~~&~~ of degree 1 in  $R\langle U \rangle$  satisfy  $\partial x_i = a_i$ .

Then  $\exists$  a derivation  $\theta_i: R\langle U \rangle \rightarrow R\langle U \rangle$

$$\text{s.t. } \theta_i(x_j) = \delta_{ij}.$$

We apply the Structure of  $F_A^*(\mathcal{O})$

We apply the above discussion to the case of  $R = A = \mathcal{O}[t_1, \dots, t_n] / (f_1, \dots, f_m)$

$$f_i = wt_i + g_i; \quad g_i \in (t)^2.$$

$$J = P_A, \text{ so that } R/J = \mathcal{O}.$$

Let  $\underline{X} = (X_1, \dots, X_n)$  be indeterminates in degree 1 forming  $[A\langle \underline{X} \rangle; dX_i = t_i]$   
 $= K(\mathbb{k}, A)$ .

If  $g_i = \sum g'_{ij} t_j$  for  $g'_{ij} \in \mathbb{k}$ ,

$Z_i = w_i X_i + \sum g'_{ij} X_j$  are minimal generators of  $H_1(A\langle \underline{X} \rangle)$ . By adjoining divided power variables  $\mathbb{P}_\mathbb{k} \underline{Y} = \{Y_1, \dots, Y_m\}$  s.t.  $dY_i = Z_i$ , we can dissolve these classes i.e.  $A\langle \underline{X}, \underline{Y} \rangle := [A\langle \underline{X} \rangle \langle \underline{Y} \rangle; dY_i = Z_i]$ .

So,  $H_1(A\langle \underline{X}, \underline{Y} \rangle) = 0$ .

As in Tate's theorem above, we can keep doing this process to obtain an acyclic

closure  $\varepsilon: A\langle \underline{U} \rangle \xrightarrow{\text{q.i.s.}} \mathcal{O}$ , where

$\underline{U} = (U_1, U_2, \dots)$  and  $U_i = \begin{cases} X_i & 1 \leq i \leq n \\ Y_j & n+1 \leq i \leq n+m \\ & i-n \end{cases}$

~~The  $\varepsilon$  diff~~  $\Rightarrow \text{Hom}_A(A\langle \underline{U} \rangle, A\langle \underline{U} \rangle) \xrightarrow{\text{q.i.s.}} \text{Hom}_A(A\langle \underline{U} \rangle, \mathcal{O})$

Hence two ways to interpret  $\text{Ext}_A^*(\mathcal{O}, \mathcal{O})$ .

$\text{End}_A(A\langle \underline{U} \rangle)$  is again a DG-algebra, hence  $\text{Ext}_A^*(\mathcal{O}, \mathcal{O})$  gets  $\mathcal{O}$ -algebra structure (not comm.)

$t_{n-c+1}, \dots, t_n$  form a basis of  $(P_A/P_A^2)^{tf}$  (Talk 2)

Consequently by Prop'n (\*), we get  $\theta_i: A\langle U \rangle \rightarrow A\langle U \rangle$   
 $n-c+1 \leq i \leq n$

with the properties

(a)  $\theta$  is a  $\Gamma$ -derivation &  $\theta_i(X_j) = \delta_{ij}$

(b)  $d\theta_i + \theta_i d = 0$

$\Rightarrow \{\theta_i\}$  is a subset of  $Z_{\neq}^1(\text{End}_A(A\langle U \rangle, A\langle U \rangle))$

$\Rightarrow \theta_A := \varepsilon \circ \theta_n \circ \dots \circ \theta_{n-c+1}: A\langle U \rangle \rightarrow \mathcal{O}$

is a class in  $\text{Ext}_A^c(\mathcal{O}, \mathcal{O})$

Also  $\theta_A(X_{n-c+1} \dots X_n) = 1$

Lemma. Let  $\theta: A\langle U \rangle \rightarrow \mathcal{O}$  be any

$A$ -linear chain map of (upper) degree ' $c$ ' s.t.

$\theta(A\langle X \rangle) = \mathcal{O}$ . The class  $[\theta] \in \text{Ext}_A^c(\mathcal{O}, \mathcal{O})$

generates the free  $\mathcal{O}$ -module  $F_A^c(\mathcal{O})$ . In

particular, it is true for  $\theta_A$  above.

Pf  $\div$  Suppose not. Then there exists  $\beta: A\langle U \rangle \rightarrow \mathcal{O}$

a chain map of upper degree ' $c$ ' s.t.  $\theta - \bar{w} \beta$

is zero in  $F_A^c(\mathcal{O})$  or  $\bar{w}^m(\theta - \bar{w} \beta) = 0$  in

$\text{Ext}_A^1(\mathcal{O}, \mathcal{O})$ . This means there is a

$A$ -linear homotopy  $\beta: A\langle U \rangle \rightarrow A\langle U \rangle$



of upper degree  $(c-1)$  s.t.  $\omega^m(\theta - \omega\alpha) = \beta d$

By hypothesis,  $\exists \alpha \in A\langle X \rangle$  s.t.  $\theta(\alpha) = 1$ .

$$\Rightarrow \omega^m(\theta(\alpha) - \omega\alpha(\alpha)) = \cancel{\omega^m} \beta d(\alpha) = 0$$

$$\Rightarrow \omega^m = \omega^{m+1}\alpha(\alpha) \in \omega^{m+1}\mathcal{O}, \Rightarrow \square$$

This can be upgraded to a description of  $F_A^*(\mathcal{O})$

Fact:  $\text{Ext}_A^*(k(P), k(P))$  is the exterior algebra over  $\text{Ext}_A^1(k(P), k(P))$ . Since  $\text{Ext}_A^*(\mathcal{O}, \mathcal{O})_P = \text{Ext}_A^*(k(P), k(P))$

$$\& F_A^*(\mathcal{O}) \subset \text{Ext}_A^*(\mathcal{O}, \mathcal{O})_P, \text{ hence } F_A^*(\mathcal{O})^{k(P)}$$

is also a strictly graded commutative, hence

$$\text{inducing a map, } \xi_A: \Lambda^* F_A^1(\mathcal{O}) \rightarrow F_A^*(\mathcal{O})$$

$$\text{Thm: (i) } \mathcal{O}^c \simeq \text{Hom}_{\mathcal{O}}(P_{P^2}, \mathcal{O}) \simeq \text{Ext}_A^1(\mathcal{O}, \mathcal{O})$$

(ii)  $\xi_A$  is bijective.

$$\text{Pf: (i) } 0 \rightarrow P \rightarrow A \rightarrow \mathcal{O} \rightarrow 0 \rightsquigarrow$$

$$0 \rightarrow \text{Hom}_A(\mathcal{O}, \mathcal{O}) \xrightarrow{\sim} \text{Hom}_A(A, \mathcal{O}) \xrightarrow{\text{adj. is}} \text{Hom}_A(P, \mathcal{O}) \rightarrow \text{Ext}_A^1(\mathcal{O}, \mathcal{O}) \rightarrow 0$$

$$\mathcal{O}^c \xrightarrow{\sim} \text{Hom}_{\mathcal{O}}(P_{P^2}, \mathcal{O})$$

(ii) Key idea: By previous lemma,

$$\xi_A(\Lambda^c F_A^1(\mathcal{O})) = F_A^c(\mathcal{O}), \text{ since } \theta_{n-c+1}, \dots, \theta_n$$

form a basis of  $F_A^1(\mathcal{O}) = \text{Ext}_A^1(\mathcal{O}, \mathcal{O})$ .  $\square$

Then use  $\xi_A$  is an isom. after localizing at  $P$ .  $\square$

$\Sigma_A$  is functorial :- If  $\varphi: A \rightarrow B$  in  $\mathcal{C}_D(c)$

then

$$\begin{array}{ccccc} \Lambda^* F_A^1(\mathcal{O}) & \xrightarrow{\Sigma_A} & F_A^*(\mathcal{O}) \\ \Lambda^* F_{\varphi}^1(\mathcal{O}) \uparrow & \cup & \uparrow F_{\varphi}^*(\mathcal{O}) \\ \Lambda^* F_B^1(\mathcal{O}) & \xrightarrow{\Sigma_B} & F_B^*(\mathcal{O}) \end{array}$$

Prop'n :- If  $\varphi$  is an isom. at  $P_A$ , then  $F_{\varphi}^*(\mathcal{O})$  is a bijective.

Pf :-  $0 \rightarrow I \rightarrow P_A \rightarrow P_B \rightarrow 0 \xrightarrow{\otimes_A \mathcal{O}}$

$$\Rightarrow \frac{I}{P_A I} \rightarrow \frac{P_A}{P_A^2} \rightarrow \frac{P_B}{P_B^2} \rightarrow 0 \xrightarrow{\text{Hom}(-, \mathcal{O})}$$

$$\text{Hom}_{\mathcal{O}}\left(\frac{P_B}{P_B^2}, \mathcal{O}\right) \xrightarrow{\sim} \text{Hom}_{\mathcal{O}}\left(\frac{P_A}{P_A^2}, \mathcal{O}\right) \text{ since}$$

$\frac{I}{P_A I}$  is a torsion  $\mathcal{O}$ -module □

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[IKM 24] S.B. IYENGAR, C.B. KHARE & J. MANNING, "CONGRUENCE MODULES AND THE WILES-LENSTRA-DIAMOND NUMERICAL CRITERION IN HIGHER CODIMENSIONS".

[IYE 01] S.B. IYENGAR, "FREE SUMMANDS OF CONORMAL MODULES AND CENTRAL ELEMENTS IN HOMOTOPY LIE ALGEBRAS OF LOCAL RINGS".

[TATE 56] J. TATE, "HOMOLOGY OF NOETHERIAN RINGS AND LOCAL RINGS".