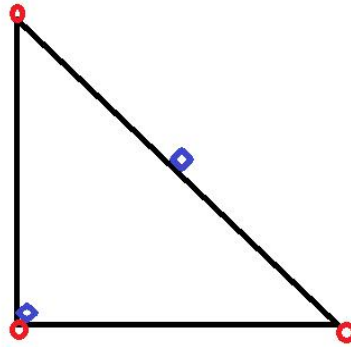




1. Let us consider three data points (0,0) , (1,0) , (0,1) and the initial cluster centers are at the points (0,0) and the mid point of hypotenuse (0.5, 0.5) as shown in fig



 = data point ,  = cluster centre . Now (0,0) will belong to cluster 1 and (1,0) and (0,1) will belong to cluster 2 and k means will stop at this point . This is local solution and its not a global solution . The global solution will have points (0,0) and (0,1) belong to cluster1 with cluster centre at (0,0.5) , and point (1,0) will belong to cluster 2 with cluster centre at (1,0) . This is an example of global convergence failure .

2 . The Objective function for k means can be written as

$$F(Z_{1:N} , M_{1:k}) = \sum_{n=1}^N \text{Dist}(X_n , M_{Z_n})$$

Where $\text{Dist}(X_n , M_{Z_n})$ = distance between a point and its cluster centre , M_{Z_n} = cluster centre of data point n .

$\text{Dist}(X_n , M_{Z_n})$ can be written as the following .

$$\text{Dist}(X_n , M_{Z_n}) = Z_n^T \cdot \text{Dist}_n$$

Where $Z = [0,0,0,0,\dots,0, 1, 0, 0,0,0,\dots]$, Z contains all zeros and a 1 is present at the Z_n^{th} position .

$$\text{Dist}_n = [\text{Dist}(X_n , M_1) , \text{Dist}(X_n , M_2) , \dots, \text{Dist}(X_n , M_k)]$$

Now we can write our equation as

$$F(Z_{1:N} , M_{1:k}) = \sum_{n=1}^N Z_n^T \cdot \text{Dist}_n$$

We have our equation in the form $f(x, y) = x \cdot y$, if we have a function having the product of independent variables as value of the function than that function is a non-convex function .

Here we have $F(Z_{1:N} , M_{1:k})$ as a product of two independent variables Z_n^T , Dist_n hence the objective function $F(Z_{1:N} , M_{1:k})$ is a non-convex function .

We Know that a non-convex function has many local optima but it doesn't lead to a global optima Hence all non-convex functions converge to local optima . Since our objective function $F(Z_{1:N} , M_{1:k})$ is a non-convex function it will also converge to a local optima .

Hence K-Means Converge to a Local Optima .

