

Preface

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1 Preface

The process of preparing programs for a digital computer is especially attractive, not only because it is economically and scientifically rewarding, but also because it can be an aesthetic experience much like composing poetry or music. The chapters in book are not meant to serve as an introduction to computer programming, the reader is supposed to have had some previous experience. The reader should possess:

1. Some idea of how a stored-program digital computer works (manner in which instructions can be kept in the machine's memory and successively executed.)
2. An ability to put the solution to problems into such explicit terms that a computer can "understand" them.
3. Some knowledge of most elementary computer techniques, such as looping, the use of subroutines and the use of indexed variables.
4. A little knowledge of common computer jargon—"memory", "registers", "bits", "floating point", "overflow", "software".

This set of books is intended for people who will be more than just casually interested in computers, yet it is by no means only for the computer specialist. The main goal has been to make these programming techniques more accessible to the many people working in other fields who can make fruitful use of computers, yet who cannot afford the time to locate all the necessary information that is buried in technical journals. Knuth's approach has been to try and distill the vast literature by studying the techniques that are most basic, in the sense that they can be applied to many types of programming situations. He in this series has attempted to coordinate the ideas into more or less of a "theory", as well as to show how the theory applies to a wide variety of practical problems.

"Non-numerical analysis" is a terribly negative name for this field of study, and "information processing" is too broad a designation for the materials Knuth has considered, as well as "programming techniques" is too narrow. Therefore Knuth names the subject matter covered in this book as "analysis of algorithms". This name is meant to imply "the theory of the properties of particular computer algorithms."

2 General Outline

The complete set of books, entitled The Art of Computer Programming, has the following general outline:

Volume 1. Fundamental Algorithms

Chapter 1. Basic Concepts

Chapter 2. Information Structures

Volume 2. Seminumerical Algorithms

Chapter 3. Random Numbers

Chapter 4. Arithmetic

Volume 3. Sorting and Searching

Chapter 5. Sorting

Chapter 6. Searching

Volume 4. Combinatorial Algorithms

Chapter 7. Combinatorial Searching

Chapter 8. Recursion

Volume 5. Syntactical Algorithms

Chapter 9. Lexical Scanning

Chapter 10. Parsing

Volume 4 deals with such a large topic, it actually represents three separate books (Volumes 4A, 4B and 4C). Two additional volumes on more specialized topics are also planned: Volume 6, *The Theory of Languages*; Volume 7, *Compilers*. Knuth with these volumes tries to concentrate on "classic" techniques that are likely to remain important for many more decades.

There are few words in order about the mathematical content of this set of books. These materials has been organized so that persons with no more than a knowledge of high-school algebra may read it, skimming briefly over the more mathematical portions. The knowledge of elementary calculus will suffice for most of the mathematics in this book. However, there is use of deeper theorems of complex variable theory, probability theory, number theory, etc., at times. The book uses machine-oriented language to specify computer algorithms due to following reasons:

1. A programmer is greatly influenced by language in which programs are written. By understanding a machine-oriented language, the programmer will tend to use a much more efficient method.
2. The programs we require are, with a few exceptions, all rather short, so with a suitable computer there will be no trouble understanding the program.
3. High-level languages are inadequate for discussing important low-level details such as coroutine linkage, random number generation, multi-precision arithmetic, and many problems involving the efficient usage of memory.
4. A person who is more than casually interested in computers should be well schooled in machine language.
5. New algebraic languages go in and out of fashion every five years or so.

Given the decision to use a machine-oriented language, a question arises, which language should be used? Knuth here attempts to design an "ideal" computer with very simple rules of operation which resembles the actual machines very closely.

The best early papers are cited in the book, together with a sampling of more recent works. While referring to the literature, the standard abbreviations for the names of periodicals, except that the most commonly cited journals are abbreviated as follows:

CACM = Communications of the Association for Computing Machinery

JACM = Journal of the Association for Computing Machinery

Comp. J. = The Computer Journal(British Computer Society)

Math. Comp. = Mathematics of Computation

AMM = American Mathematical Monthly

SICOMP = SIAM Journal on Computing

FOCS = IEEE Symposium on Foundations of Computer Science

SODA = ACM-SIAM Symposium on Foundations of Computer Science

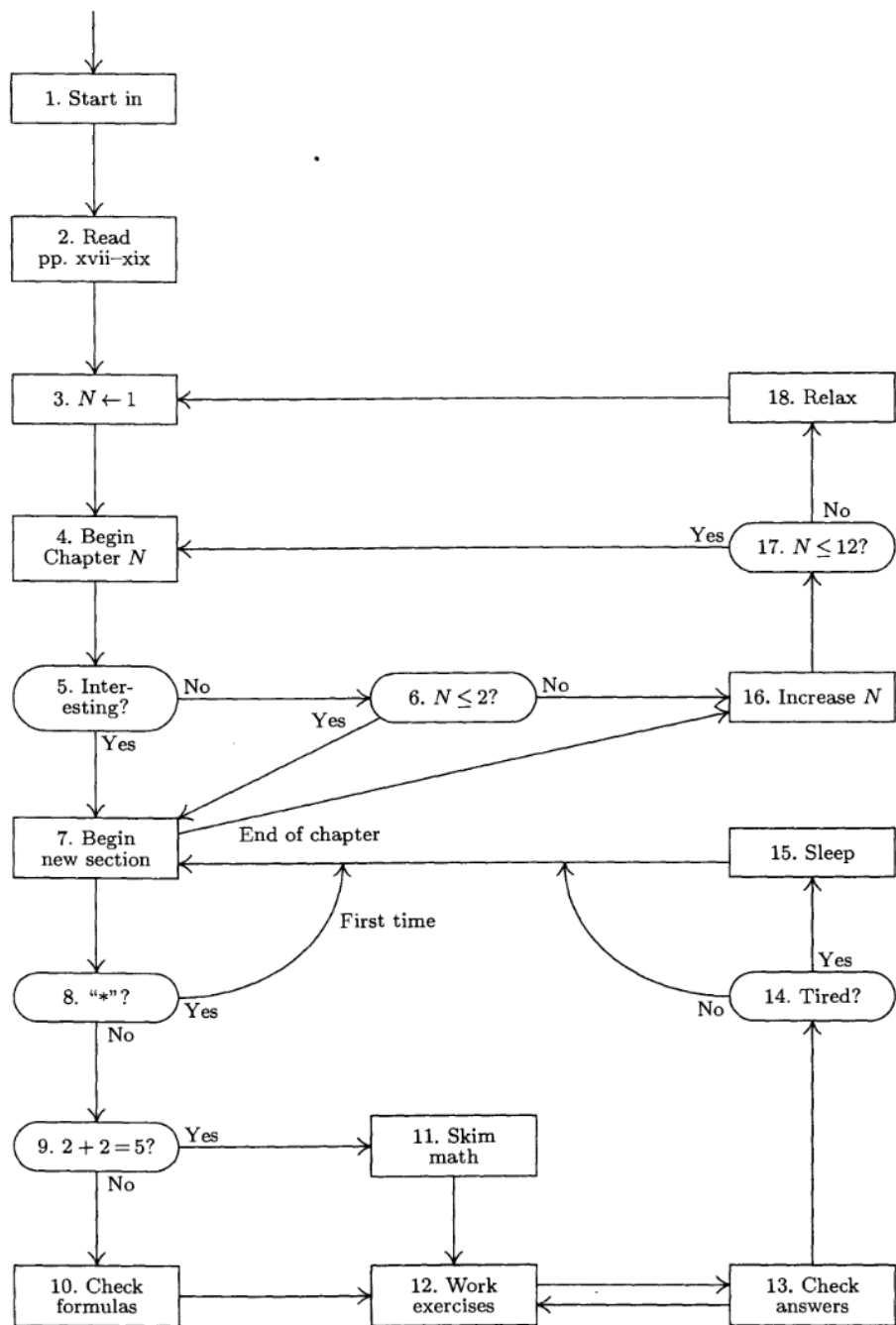
STOC = ACM Symposium on Theory of Computing

Crelle = Journal für die reine und angewandte Mathematik

As an example, "CACM 6(1993), 555-563" stands for the reference given in preceding paragraph of this preface. "CMath" stands for the book Concrete Mathematics.

3 Procedure for Reading this book

1. Begin reading this procedure, unless you have already begun to read it. *Continue to follow the steps faithfully*
2. Read the Notes on the exercises, on pages xv-xvii
3. Set N equal to 1
4. Begin reading Chapter N. Do not read the quotations that appear at the beginning of the chapter.
5. Is the subject of the chapter interesting to you? If so, go to step 7; if not, go to step 6.
6. Is $N \leq 2$? If not, go to step 16; if so, scan through the chapter anyway. (Chapter 1 and 2 contain important introductory material and also a review of basic programming techniques, You should at least skim over the sections on notation and about MIX.)
7. Begin reading the next section of the chapter; if you have already reached the end of the chapter, however, go to step 16.
8. Is section number marked with "*" ? If so, you may omit this section on first reading (it covers a rather specialized topic that is interesting but not essential); go back to step 7.
9. Are you mathematically inclined? If math is all Greek to you, go to step 11; otherwise proceed to step 10.
10. Check the mathematical derivations made in this section (and report errors). Go to step 12.
11. After you have worked on the exercises to your satisfaction, check your answers with the answer printed in the corresponding answer at the rear of the book. Also read the answers to the exercises you did not have time to work. Note: In most cases it is reasonable to read the answer to exercise n before working on exercise n+1, so steps 12-13 are usually done simultaneously.
12. Are you tired? If not, go back to step 7.
13. Go to sleep. Then, wake up, and go back to step 7.
14. Increase N by one. If $N = 3, 5, 7, 9, 11$, or 12, begin the next volume of this set of books.
15. If N is less than or equal to 12, go back to step 4.
16. Congratulations. Now try to get your friends to purchase a copy of Volume 1 and to start reading it. Also, go back to step 3.



Flow chart for reading this set of books.