

```
### SIR MODEL (OC) =====
```

```
# last updated: March 31, 2023
```

```
library(cowplot)
library(deSolve)
library(ggplot2)
library(graphics)
library(reshape2)
library(tidyverse)
```

```
rm(list=ls()) # clear environment
```

```
### Initialize =====
```

```
# time
dt = 0.05
tvals = seq(0,200,by=dt)
tlen = length(tvals)
```

```
# parameters
beta = 0.00009
gamma = 0.05
C1 = 1
C2 = 0.1
epsilon = 100
vmax = 0.05
```

```
pars = c(beta=beta, gamma=gamma,
          C1=C1, C2=C2, epsilon=epsilon, vmax=vmax)
```

```
# initial conditions
N = 10000
S0 = N - 1
I0 = 1
R0 = 0
Cases0 = 0
```

```
inits_sta = c(S=S0, I=I0, R=R0, Cases=Cases0)
```

```
# transversality condition,  $\lambda(T) = 0$ 
inits_lam = c(lambdaS=0, lambdaI=0, lambdaR=0)
```

```
### Equations =====
```

```
# states (x)
```

```
SIR = function(t, x, pars){  
  with(as.list(c(x, pars)), {  
    v = v_interp(t)  
    dS = -beta*S*I - v*S  
    dI = beta*S*I-gamma*I  
    dR = gamma*I + v*S  
    dCases = beta*S*I  
    return(list(c(dS, dI, dR, dCases)))  
  })  
}
```

```
# adjoints (lambda)
```

```
Lambda = function(t, lambda, pars){  
  state = sapply(1:length(pars$x_interp),  
                 function(i){pars$x_interp[[i]](t)})  
  names(state) = c("S", "I", "R")  
  with(as.list(c(lambda, pars, state)), {  
    v = v_interp(t)  
    dlambdaS = -(C1*beta*I + C2*v - lambdaS*beta*I -  
                 lambdaS*v + lambdaI*beta*I + lambdaR*v)  
    dlambdaI = -(C1*beta*S - lambdaS*beta*S + lambdaI*beta*S -  
                 lambdaI*gamma + lambdaR*gamma)  
    dlambdaR = -(0)  
    return(list(c(dlambdaS, dlambdaI, dlambdaR)))  
  })  
}
```

```
### Forward-Backwards Sweep =====
```

```
delta = 0.01
```

```
test = -1
```

```
count = 0
```

```
# initial guesses
```

```
v = rep(0, tlen)
```

```
v_interp = approxfun(tvals, v, rule=2)
```

```
pars = c(pars, v_interp)
```

```
solx = ode(y=inits_sta, times=tvals, func=SIR, parms=pars)
```

```
x_interp <- lapply(2:ncol(solx),
```

```

                                function(x){approxfun(solx[,c(1,x)],
                                                         rule = 2))}

pars = c(pars, x_interp)
lambda = matrix(0, tlen, 3)

# while loop
while(test < 0 & count < 100){

  print(count)

  # set previous control, state, adjoint
  v_old = v
  x_old = solx
  lambda_old = lambda

  # interpolate control (v)
  pars$v_interp = approxfun(tvals, v, rule=2)

  # solve and interpolate states (x)
  solx = ode(y=init_s, times=tvals, func=SIR, parms=pars)
  pars$x_interp = lapply(2:ncol(solx),
                        function(y){approxfun(solx[,c(1,y)],
                                                rule=2)})

  S = solx[, "S"]
  I = solx[, "I"]
  R = solx[, "R"]
  Cases = solx[, "Cases"]

  # solve and interpolate adjoints (lambda)
  sollambda = ode(y=init_lambda, times=rev(tvals),
                  func=Lambda, parms=pars)
  lambda = sollambda[nrow(lambda):1,]

  lambdaS = lambda[, "lambdaS"]
  lambdaI = lambda[, "lambdaI"]
  lambdaR = lambda[, "lambdaR"]

  # calculate control characteristic and update control
  v_char = with(pars, (-C2*S + lambdaS*S -
                      lambdaR*S)/(2*epsilon))
  v_star = pmin(vmax, pmax(0, v_char))
  v = (v_old + v_star)*0.5

```

```

# test convergence
test = delta*sum(abs(v)) - sum(abs(v_old - v))
print(test)

# update count
count = count + 1
}

### Total Cases =====

TotC = round(Cases[length(Cases)], digits=0)
print(TotC)

### Total Cost (J) =====

Jcases = sum(C1*beta*I*S)*dt
Jvax = sum(C2*v*S + epsilon*v^2)*dt
Jtot = Jcases + Jvax

print(Jcases)
print(Jvax)
print(Jtot)

### Plots =====

OC_states = solx %>% data.frame(S=S, I=I, R=R)
SIR_plot = OC_states %>% gather(key, individuals, S, I, R) %>%
  ggplot(aes(x=time, y=individuals, color=key)) +
  geom_line() +
  ggtitle("(a) SIR model with vax") +
  xlab("days") + ylab("individuals")

OC_vax = data.frame(tvals, v)
v_plot = OC_vax %>% gather(key, vax, v) %>%
  ggplot(aes(x=tvals, y=v, color=key)) +
  geom_line() +
  ggtitle("(b) Optimal vax rate") +
  xlab("days") + ylab("prop. of ind. per day")

plot_grid(SIR_plot, v_plot, ncol = 1, nrow = 2)

```