

CSCI-C311 Programming Languages

Functional Programming Features

Dr. Hang Dinh



1

Outline and Reading

- After this lecture, you will learn
 - First-class objects and first-class functions
 - Lambda expressions and Lambda Calculus
 - Higher-order functions
- Reading
 - Scott 4e - Section 3.6
 - Scott 4e – Sections 11.6, 11.7
 - Scott 4e – Section C-11.7 (Supplementary section, available on companion website)



2

Classification of Values (or Objects)

- A value (or object) in a programming language can have one of three statuses: *first-class*, *second-class*, or *third-class*.

	Can be passed as a parameter	Can be returned from a subroutine	Can be assigned into a variable
First-class values	✓	✓	✓
Second-class values	✓		
Third-class values	✗		

- A value can have different statuses in different programming languages



3

Classification of Values: Examples

- Values of simple types are first-class in most programming languages.
- Labels (in languages that have them)
 - Usually third-class values
 - Second-class values in Algol.
- Subroutines or functions
 - First-class in all functional languages and most scripting languages.
 - First-class in C#, and with some restrictions, in several other imperative languages (Fortran, Modula-2 and -3, Ada 95, C, and C++)
 - Second-class in most other imperative languages
 - Third-class in Ada 93.



4

First-Class Objects/Values

- Can be used in programs without restriction (when compared to other kinds of objects in the same language).
- Support all the operations generally available to other objects
 - being passed as an argument,
 - being returned from a function,
 - being assigned to (storable in) a variable (in language with side effects)
 - being expressible as an anonymous literal value
 - being constructible at runtime
 - being storable in data structures
 - being comparable for equality with other entities
 - having an intrinsic identity (independent of any given name)



5

First Class Objects: Example and Counter-Example

- Example: strings are first-class in Java (and C++)
- Counter-example: arrays are second-class in C++
- Both can be passed as an argument to a function

```
System.out.println("Hello world");

void init(int a[], int n, int val) {
    for (int i = 0; i < n; i++)
        a[i] = val;
}
```



6

6

First Class Objects: Example & Counter-Example

- Returnable as the result of a function

```
String message() {
    return "Hello";
}
```

```
int[] returnarray() {
    int a[] = {1, 2, 3};
    return a; //error
} //array can't be returned
```

- Storable in variables

```
String text = "Have a nice day.";
int a[] = {1, 2, 3, 4, 5}, b[5];
```



7

7

First Class Objects: Example & Counter-Example

- Expressible as an *anonymous* literal value (in other expressions)
 "Have a nice day." → can be embedded in other expressions
 {1, 2, 3, 4, 5} → can only be used in array declarations

```
String text;
text = "Have a nice day.";
int a[] = {1, 2, 3, 4, 5}, b[5];
b = {1, 2, 3, 4, 5}; // error!
```

- Constructible at runtime

```
String s = "Hello world";
int *a = new int[10]; //create dynamic array using pointer
```



8

8

First Class Objects: Example & Counter-Example

- Storable in data structures (e.g., arrays, structs, classes in Java or C++)

```
String[] words = {"Have", "a", "nice", "day."};  
int[3][] array2D = {{1, 2, 3}, {4, 5, 6}};
```

- Comparable for equality with other entities

```
if (text == "Hello again") //compares the references  
if (a == b) //compares the references; a and b are arrays  
if (a == {1, 2, 3, 4, 5}) ... // error!
```

- Having an intrinsic identity (independent of any given name)

H	e	l	l	o	'\0'
---	---	---	---	---	------

9



9

First Class Functions

- A programming language supports first class functions if it allows functions to be first class objects.
- Supported by
 - all functional languages: Lisp, Scheme, ML,...
 - C#
 - many scripting languages: Python, Perl, JavaScript
- Partially supported by other imperative languages
 - Fortran, C, C++, ...
 - Many don't support anonymous function definitions

10



10

Lambda Expressions

- Anonymous function definitions also called *lambda expressions*
 - They describe functions by directly describing their behavior.
 - Inspired from *lambda calculus*, a branch of mathematics that studies functions, recursion, computability. Invented by A. Church in 1930.
- In strict sense of the term, first class functions also require
 - lambda expressions that can be embedded in other expressions
 - nested lambda expressions with *unlimited extent* (i.e., keeping them alive even after their scopes are no longer active)
- C++11 and Java 8 provide lambda expressions but without unlimited extent

11



11

Java Lambda Expressions

- In Java, a lambda expression is a short code block that takes parameters
 - similar to method declarations,
 - but without a name and can be implemented right in the body of a method
- Syntax of Java lambda expression: *parameter_list -> body*
- Examples:


```
n -> System.out.println(n);
(x, y) -> { return x+y; }
(x, y) -> x+y
(int x, int y) -> x+y
```

12

Java Lambda Expressions in Action

- Lambda expressions are usually passed as parameters to a function

```
import java.util.ArrayList;

public class Main {
    public static void main(String[] args) {
        ArrayList<Integer> numbers = new ArrayList<Integer>();
        numbers.add(5);
        numbers.add(9);
        numbers.add(8);
        numbers.add(1);
        numbers.forEach( (n) -> { System.out.println(n); } );
    }
}
```

Try this code at https://www.w3schools.com/java/java_lambda.asp

Use a lambda expression in the ArrayList's forEach() method to print every item in the list



13

Java Lambda Expressions in Action

- Java lambda expressions can be stored in variables if
 - the variable's type is an interface which has only one method
 - the lambda expression has the same number of parameters and same return type as the interface's method.

```
interface StringFunction {
    String run(String str);
}

public class Main {
    public static void main(String[] args) {
        StringFunction exclaim = (s) -> s + "!";
        StringFunction ask = (s) -> s + "?";
        System.out.println(exclaim.run("Hello")); //print "Hello!"
        System.out.println(ask.run("Hello")); //print "Hello?"
    }
}
```



14

Lambda Expressions in Scheme/Racket

- Common syntax of lambda expression in Scheme/Racket:

```
(lambda (parameter1 parameter2 ...) expression )
```

- Example:

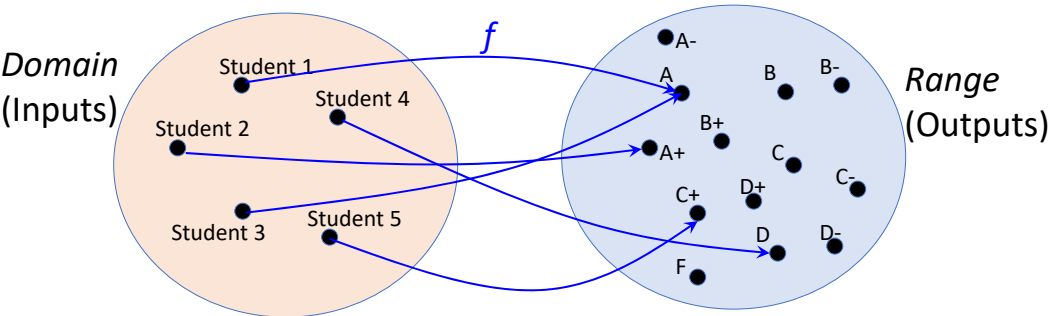
```
> (lambda (x y) (+ x y))  
#<procedure>  
> ((lambda (x y) (+ x y)) 1 2)  
3
```



15

Mathematical Foundations of Functions

- A function is a single-valued mapping: it consists of three parts
 - *Domain*: any non-empty set
 - *Range*: any non-empty set
 - An association that maps each element in domain to one element in the range.



16

Mathematical Foundations of Functions

- If f is a function with domain A and range B , we write

$$f: A \rightarrow B$$

- The element in B that is associated with the element x in A is denoted $f(x)$.
- Function f is also defined as a subset of the cross-product $A \times B$:

$$f = \{(x, y) \in A \times B \mid y = f(x)\}$$

- Example: Function *sqrt* is defined by one of the following ways:

- $\text{sqrt}: \mathbb{R}^+ \rightarrow \mathbb{R}$ $\text{sqrt}(x) = y$ if $y \geq 0$ and $y^2 = x$
- $\text{sqrt} = \{(x, y) \in \mathbb{R}^+ \times \mathbb{R} \mid y \geq 0 \text{ and } y^2 = x\}$

where \mathbb{R}^+ denotes the set of nonnegative numbers, and \mathbb{R} denotes the set of all real numbers.



17

Lambda Calculus

- The definition of function as a set is *nonconstructive*:
 - It doesn't tell us how to compute $f(x)$
- Church designed the **lambda calculus** to address this limitation
 - It also provides a *meta-language* for formal definition of functions
 - Any *computable* function can be written as a lambda expression
- A lambda expression can be defined recursively as
 1. a *name* or a *number*
 2. a lambda *abstraction* consisting of the letter λ , a name, a dot, and a lambda expression
 3. a function *application* consisting of two adjacent lambda expressions (the first one is not a number)
 4. a parenthesized lambda expression.

18



18

Lambda Calculus

- When two expressions appear adjacent to one another: `sqrt n`
 - the first is interpreted as a function to be applied to the second.
 - The application associates left-to-right: `f x y` means `(f x) y` rather than `f (x y)`
 - Application before abstraction: `λx.A B` means `λx.(A B)` rather than `(λx.A) B`
- The letter λ introduces the lambda calculus equivalent of a formal parameter.
- Examples:
 - `λ x. x` describes the identity function $f(x) = x$
 - `(λ x. x) 7` means the identity function is applied to the constant 7 and is evaluated to 7.
 - `λ x. 7` describes the constant function $f(x) = 7$



19

Lambda Calculus

- To accommodate arithmetic, we allow lambda expressions of the form `op x y`
 - to denote the arithmetic expression $(x \text{ op } y)$
 - where `op` is the name of one of standard arithmetic functions: `plus`, `minus`, `times`...
- Examples:
 - `λ x. times x x` describes a function $f(x) = x^2$
 - `(λ x. times x x) 7` means the function $f(x) = x^2$ is applied to the constant 7 and is evaluated to 49.
 - `λ x. λ y. times x y` describes the function with two variables $f(x, y) = xy$



20

Computability

- A function is called *computable* if there exists an *algorithm* to compute it.
- 1930s: Different formalizations of the notion of an algorithm
 - Turing's model of computing was *Turing machine* (learn more in CSCI-B401)
 - Church's model of computing was *lambda calculus*
- *Church-Turing* thesis
 - Intuitive notion of algorithms = Turing machine algorithms
 - A function is computable if and only if it is computed by a Turing machine.

23



23

Higher-Order Functions

- A *higher-order function* (or *functional form*) takes a function as an argument or returns a function as its result
 - Its domain or range is the set of functions from A to B , for some sets A and B .
- A *higher-order language* supports higher-order functions and allows functions to be constituents of data structures.
- Examples of built-in higher-order functions
 - In Scheme/Racket: *map*, *apply*
- Higher-order functions are great for building things

24



24

Higher-Order Functions: Common Uses

- One common use is to build new functions from existing ones

```

1 | #lang racket
2 | (define make-double
3 |   (lambda (f) [lambda (x) (f x x)]))
4 | )
5 | (define twice (make-double +))
6 | (define square (make-double *))

> (make-double +)
#<procedure>
> (twice 1)
2

```



25

Higher Order Functions: Common Uses

- **Currying** (named for logician Haskell Curry)
 - replace a multi-argument function with a function that takes a single argument and returns a function that expects the remaining arguments.

```

1 | #lang racket
2 | (define curried-plus
3 |   (lambda (a) [lambda (b) (+ a b)]))
4 | )
5 | (define plus3 (curried-plus 3))

> (curried-plus 3)
#<procedure>
> ((curried-plus 3) 4)
7
> (plus3 4)
7

```



26

Higher-Order Functions in Imperative Languages?

- Why aren't higher-order functions more common in imperative programming languages?
- First, need function *constructor* to create new functions on the fly
 - Function *constructors* are a significant departure from syntax and semantics of traditional imperative languages.
- Second, the ability to specify functions as return values increases the cost of storage management.
 - It requires eliminate function nesting
 - Or requires that we give local variables unlimited extent.

