# Transcript of Videotaped testimony of Dawn Katz in Comm. v. Gregory, 1993

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гне со	OURT: Mr. Schoering has
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	intend to have her testify to and Mr. Polk
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1	KATZ-DIRECT
2	has one or two, we are not talking
3	cross-examination, we are just talking
4	fleshing out her direct.
5	MR. SCHOERING: You should
6	probably put her under oath. Whatever you
7	want to do.
8	THE COURT: I don't think she
9	needs to be under. This is really in lieu
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16	BY MR. SCHOERING:
17	Q. Mr. Katz, you compared hairs in
18	
19	Gregory, to the ones you found in a pair
20	of pantyhose?
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24	J 1
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2 3	the pair of pantyhose that was submitted
	that had negroid characteristics and I
4	compared them to head hair standard that
5	was submitted to me in a sexual assault
6	kit from William Gregory and they were
7	similar in color and microscopic
8	characteristics to the head hair standard.
9	Q. And how do you do a hair
10	analysis?
10	analysis?
11	A. Well, to explain to you how I do

- 12 a hair analysis, I will explain to you
- 13 what a hair looks like under a microscope
- 14 and the structure we are looking at. A
- 15 hair, we look at a hair under a microscope
- 16 and also we look at them with our eyes.
- 17 We also look at it under the microscope.
- 18 They have three different
- 19 structures in them. You could think of it
- 20 like a cross-section of a pencil. It has
- 21 the center part which is called the
- 22 medulla. They have an outside coating
- 23 just like the outside coating of a pencil,
- 24 the paint on the pencil which is called
- 25 the cuticle. Then like the wooden part of

## KATZ-DIRECT

- a pencil would be what we call the cortex
- 3 of a hair and that contains the pigment of
- 4 a hair. Within those three structures we
- 5 look at about 16 different

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- 6 characteristics. And we also can classify
- 7 hairs according to race. There are three
- 8 major races of hairs and by body hair and
- 9 then also look at the color of the hair.
- 10 O. These 16 characteristics on the
- 11 hair found in the pantyhose, how many of
- 12 those characteristics matched with those
- 13 of the defendant?
- 14 A. Well, when we look at each
- 15 characteristic, I have a worksheet that
- 16 names the characteristics you look at. I
- 17 write down the characteristics of the
- 18 person's standard. I look at that first
- 19 in the microscope. Some people -- keep in
- 20 mind that not everyone is going to have
- 21 every characteristic. Some people's
- 22 standard does not have a characteristic
- 23 and that is a characteristic within itself
- 24 to be missing one.

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25 So when I look at the hairs I

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## KATZ-DIRECT

- 2 compare it to every characteristic that
- 3 the person has. They have to match every

- 4 single one of those. If there is one
- 5 thing that is different in the unknown
- 6 hair from the standard that I have made.
- 7 that I have written down, then it is
- 8 dissimilar. It is not -- we wouldn't call
- 9 it similar.
- 10 Q. So it has to match all 16?
- 11 A. It has to match everything that
- 12 that person would have in their standard,
- 13 yes.
- 14 Q. African-American individuals,
- 15 the hair color, is that different under a
- 16 microscope?
- 17 A. Well, the three major races of
- 18 hair are called negroid, mongoloid and
- 19 caucasian. Basically that would be
- 20 African-Americans, then the white races,
- 21 and that would include some of the
- 22 Hispanic races as well, then the mongoloid
- 23 races would be more Oriential origin and
- 24 possibly Native Americans would fit into
- 25 that.

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### KATZ-DIRECT

- 2 And when you look at a
- 3 person's -- the characteristics within the
- 4 races, there are general characteristics.
- 5 The negroid characteristics would be not
- 6 necessarily the dark color, which they
- 7 usually are very dark brown, but the fact
- 8 that they have certain type of medulla,
- 9 that the pigment is clumped and very
- 10 coarse, that the hair itself is coarse, it
- 11 has a lot of variation down the hair as
- 12 far as what we call -- well, sort of call
- 13 it riveting which makes it look kinky.
- 14 That is what most people would understand.
- 15 Just things like that. Every race has
- 16 different characteristics and the
- 17 characteristics in these hairs were more
- 18 negroid.
- 19 O. Within the African-American
- 20 race, are there different shades of hair?
- 21 A. Yes, slightly. But they are
- 22 mostly very dark brown. They are not
- 23 really black even though when you look at

24	the hair it looks black. When they are
25	•
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1	KATZ-DIRECT
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2	microscope and it is just black and you
3	can see no light through it at all it is
4	what we call opaque. An opaque hair is a
5	characteristic of a negroid race of hairs.
6	Q. The hairs on the pantyhose and
7	Mr. Gregory's hairs, were they the same
8	color?
9	A. Yes, they were.
10	Q. Additionally, did you examine
11	the kink pattern?
12	A. Well, I mean, as far as the
13	pattern goes, all the hairs had that same
14	sort of grid like real kinky, flat, sort
15	of wavy pattern to it.
16	Q. And the length of the hairs,
17	were they consistent?
18	A. Pretty much so. Some of the
19	hairs looked like they had been possibly
20	broken. But they were consistent in
21	length.
22	
23	Q. And could you tell the Court what ovoid bodies are?
24	
	A. Ovoid bodies are what we call
25	special characteristics. They don't show
1	213
1	KATZ-DIRECT
2	up in hairs all the time. Special
3	characteristics include things like
4	diseases or head lice. Some people have
5	vermin or they have maybe a double medulla
6	which is something you don't necessarily
7	see very often or maybe the hair is dyed
8	or bleached, some special characteristic
9	that is not in everyone's hair.
10	Ovoid bodies are just a very
11	dark round body that is found in the
12	cortex part of the hair and they don't
13	show up very often, but these hairs had
14	them.
15	Q. Both sets of hairs had them?
16	A. Yes.
17	Q. Does that have anything to do
18	with the clusters of pigment?
	i U

19	A. No, those clusters of pigment
20	would be separate from the ovoid bodies.
21	They don't necessarily carry the pigment
22	of the hair, they are just sort of I
23	
24	of the hair, they are just a different
25	characteristic.
23	characteristic.
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1	KATZ-CROSS
2	Q. So on each of these 16
2	characteristics, the hairs did match?
3 4	
4	, 5
5	Q. And are you able to say with
6	certainty that those hairs came from the
7	same person?
8	A. I can't say that they came from
9	one person to the exclusion of anyone
10	else. I can only say that the
11	characteristics I found in the person
12	standard or the characteristics I found
13	in the unknown hairs were similar to the
14	color and microscope characteristics of
15	the standard that was given. That is all
16	I can say.
17	MR. POLK: I just have one or
18	two questions, Ms. Katz.
19	CROSS-EXAMINATION
20	BY MR. POLK:
21	Q. On the types of medulla, is
22	
23	percentage of persons have a medulla which
24	shows versus a medulla that does not show?
25	A. There is no statistics at all on
23	A. There is no statistics at an on
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1	KATZ-CROSS
1	KAIZ-CROSS
2	hair. There has never been seen study that
2	hair. There has never been any study that
3	has broken it down like that.
4	Q. So on all of these
5	classifications aside from perhaps ovoid
6	bodies, you can't say if this is common or
7	uncommon?

8 A. Well, no. I can tell you just
9 from my own experience by looking at so
10 many hairs and that race of hairs, whether
11 that is a common characteristic or not.

12 But I can't give you a number, put a 13 number. 14 Q. Well, without giving a number, 15 are these common or uncommon? 16 Every characteristic basically 17 that I have got here is fairly common to 18 what we call the negroid race of hairs 19 except for the fact that there was no 20 medulla. That is kind of unusual because 21 most hairs in the negroid race contain a 22 medulla. 23 Q. If there was -- if a person is of multiple races, for example --25 Mixed parentage, yes. 216 KATZ-CROSS 1 2 O. Is that common or uncommon in African-Americans? 4 A. You mean to have a mixed background? I think it is common among everybody, African-American or not, a mixed background. What you have to understand is there are only three major races of hairs. You look at the 10 characteristics in those. Now, when you describe a hair as 11 12 being within that particular race, it by 13 no means describes the person it comes from. It just means that their hairs 15 contain those type of characteristics. 16 Was it possible to do any other 17 types of testing such as DNA or PMG type 18 of testing? 19 A. Well, to my knowledge the only 20 way I could have gotten an enzyme test, 21 enzymes are only found in red blood cells 22 and tissues. If there had been a big glob 23 of tissue on the hairs we might have been 24 able to get some enzymes. For instance, 25 if they ripped off part of a person's 217

## 1 KATZ-CROSS

2 head.

To get DNA testing done you must have many more hairs than I had here. I

- 5 mean, not from the standard, but there
- 6 were only about five hairs that were
- 7 found, that I found in the pantyhose. You
- 8 need to have very good roots on the hairs.
- 9 They have to be very fresh to get the DNA
- 10 testing.
- 11 Q. One last question. You had five
- 12 suspect hairs; is that correct?
- 13 A. What we call unknown hairs.
- 14 Q. Unknown hairs. And were any of 15 those white or gray?
- 16 A. There were some gray hairs in
- 17 the standard, in the standard.
- 18 Q. In the standard; but in the five
- 19 unknown hairs?
- 20 A. In the unknown hairs --
- 21 Q. Because I couldn't find your
- 22 notes about the unknown hairs.
- A. I don't necessarily put
- 24 anything. I just write whether it is
- 25 similar or not. But no, I didn't pull

- 2 those hairs out and look at them on the
- 3 slide yesterday. Of the five hairs, they
- 4 were not gray. They were all the dark
- 5 color.
- 6 Q. Thank you.
- 7 MR. POLK: Judge, that is all I
- 8 have. Now, Judge, for the record, I would
- 9 move for a disclosure in the testimony
- 10 based upon the comments that there are no
- 11 statistical bases or no statistical
- 12 studies and we would suggest it does not
- 13 reach the level of scientific
- 14 acceptability nor can it give (inaudible).
- 15 So we would move for exclusion of the
- 16 evidence as not helpful to the jury.
- 17 THE COURT: We short-circuited
- 18 this thing and Mr. Schoering as he recalls
- 19 the witness will qualify her and she will
- 20 tell about how this is a recognized
- 21 science or not a recognized science. I
- 22 really don't think it is appropriate at

24	this time for me to rule on whether or not the evidence could come in. I mean, it is kind of unfair to him because I told him
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KAIZ-CROSS to short-circuit it. MR. POLK: I understand. I was going on the absence of statistics. That was my issue. 6 THE COURT: I do not think that the mere fact that something has not been -- there are no statistics or studies on the distribution of these 10 characteristics within the population means the fact would mean that she 12 couldn't testify that they are the same, although she doesn't know how common they 13 14 are except they are the same. 15 MR. POLK: That is a point I 16 want to raise. If we could have a 17 continuing objection then. We may ask for 18 a ruling again (inaudible). 19 THE COURT: What we will do is 20 get our jury back in here and do the custody of witnesses and then she will be 22 recalled to testify. 23 \* \* \* 24 (15:25)25

## Trial Testimony (Jury present)

1	KATZ-DIRECT
2	DAWN KATZ,
3	called as a witness, having been first
4	duly sworn, was examined and testified
5	as follows:
6	THE COURT: Please be seated.
7	Please keep your voice up. Would you
8	spell your first and last names for me,
9	please.
10	THE WITNESS: Dawn, D-a-w-n,
11	Katz, K-a-t-z.
12	THE COURT: Answer
13	Mr. Schoering's questions, please.

MR. SCHOERING: Thank you,
15 Judge.
16 DIRECT EXAMINATION
17 BY MR. SCHOERING:
18 Q. Ms. Katz, would you please tell
19 the ladies and gentlemen of the jury what
20 you do for a living.
21 A. I'm a forensic serologist.
22 Q. What is a forensic serologist?
23 A. A forensic serologist is
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25 fluid analysis and fiber and hair
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1 KATZ-DIRECT
<ul><li>2 comparisons on evidence that come from the</li><li>3 scene of an alleged crime.</li></ul>
4 Q. And how long have you held your
5 present position?
6 A. I have been with the State
7 Police Jefferson Regional Crime Lab for
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, , ,
have had to qualify you for this position?
13 A. I have a bachelor of science
14 degree in biology from the University of
15 Kentucky. I worked for five years in
16 cancer research before I came to the State
17 Police five years ago. I trained for an
18 entire year under other qualified
19 serologists in the state of Kentucky
20 before I was qualified to do case work
21 myself. I'm also a member of the
22 Midwestern Association of Forensic
23 Scientists.
24 Q. Okay, ma'am, and in the past
25 have you had the opportunity to testify in
23 have you had the opportunity to testify in
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1 KATZ-DIRECT
2 courts in Kentucky regarding hair
3 comparisons?
4 A. Yes, I have.
5 MR. SCHOERING: Your Honor, at
6 this point I would ask that Ms. Katz be

- 7 qualified as an expert.
- THE COURT: I will take up any
- 9 objections if and when they are made.
- 10 MR. SCHOERING: Thank you,
- 11 Judge.
- 12 Q. Ma'am, on July 1st, 1992 did you
- 13 receive certain evidence in the case of
- 14 Commonwealth versus William Gregory?
- 15 A. Yes, I did.
- 16 Q. What evidence did you receive on 17 that date?
- 18 A. I received several pieces of
- 19 evidence. I received a pair of pantyhose
- 20 with the legs knotted together. I
- 21 received a red T-shirt, a lug wrench, two
- 22 separate packages containing dark-colored
- 23 fibers. I received a sexual assault kit
- 24 from William Gregory that contained some
- 25 various standards. We had pubic hair

## 1 KATZ-DIRECT

- 2 standards, saliva swabs, and blood
- 3 standard from him. I also received a bra
- 4 and a flat sheet and a satin comforter and
- 5 a sexual assault kit from Kelli Vowels
- 6 that contained several standards from her,
- 7 pubic hair standard, head hair standard,
- 8 her saliva swabs and a blood standard.
- 9 Q. Ma'am, who did you receive these 10 exhibits from?
- 11 A. I received those from Technician
- 12 Fowler at the local police department.
- 13 Q. And that would be on 7-1-92?
- 14 A. Yes.
- 15 Q. At the time you had these
- 16 exhibits in your possession, were they in
- 17 your exclusive control and custody?
- 18 A. Until I work on them they are
- 19 kept in the evidence locker and it is
- 20 locked when I'm not using it and then they
- 21 are kept there again after I finished my
- 22 analysis, locked until they are picked up.
- Q. Thank you, ma'am.
- MR. POLK: Can we approach for a
- 25 second?

1	KATZ-DIRECT
2	(Conference at the bench.)
3	BY MR. SCHOERING:
4	Q. Would you please open what I
5	have marked as Commonwealth's Exhibit 80.
6	Thank you, ma'am.
7	And do those appear to be the
8	pantyhose that you examined?
9	A. Yes, they are. They have my
10	laboratory number, the exhibit number and
11	my initials on the waistband.
12	Q. Did the bag that those were
13	contained in also have your initials on
14	it?
15	A. Yes, the bag and the envelope
16	have my laboratory number, exhibit number
17	and my initials.
18	Q. Thank you, ma'am.
19	MR. SCHOERING: Your Honor, at
20	this point the Commonwealth would move
21	Exhibit 80 into evidence.
22	THE COURT: It will be admitted.
23	MR. SCHOERING: Thank you,
24	Judge.
25	Q. Ms. Katz, what were you
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1	KATZ-DIRECT
2	requested to do with all of the exhibits
3	that were submitted to you?
4	A. Well, I was asked to just look
5	for any blood or hairs that I could find

6 on these exhibits.

- Q. Were you asked to make any 7 8 comparisons?
- A. Yes, I was asked to compare them 9 10 back to the standards that were submitted
- to me in the sexual assault kits.
- Q. Ma'am, regarding the pantyhose, 12
- 13 let me ask you, did you find any hairs in
- 14 those?
- A. Yes, I did. I did collect hairs 15
- 16 from those.
- Q. Do you know approximately how 17

- 18 many hairs you found in those?
  19 A. I found five hairs.
  20 Q. And you also at that time had
- 21 the evidence kit taken from the defendant,
- 22 William Gregory; is that correct?
- A. Yes, I did.
- Q. Did that kit contain head hair
- 25 samples of William Gregory?

## 1 KATZ-DIRECT

- A. Yes, that is one of the
- 3 standards that comes in the kit. There
- 4 was a head hair standard.
- 5 Q. And did you perform a comparison
- 6 between the hairs that were in the kit
- 7 from Mr. Gregory and the hairs found in
- 8 the pantyhose?

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- 9 A. Yes, I did. I did a hair
- 10 comparison on those.
- 11 Q. Could you please explain to the
- 12 ladies and gentlemen of the jury how you
- 13 perform hair comparisons.
- 14 A. There are three major structures
- 15 within hair. We look at hairs visually
- 16 and then we also look at them under a
- 17 microscope. There are three major
- 18 structures that contain most of the
- 19 characteristics. If you think about a
- 20 pencil as a cross-section, that is what a
- 21 hair is like. The center part of the
- 22 pencil which is the lead is what we call
- 23 the medulla which is the center part of
- 24 the hair. Then the pencil has an outside
- 25 coating, the paint on the outside of it,

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#### KATZ-DIRECT

- 2 and a hair has an outside coating as well,
- 3 that is called a cuticle. Then the wooden
- a part of the pencil is what what we would
- 5 call the cortex of the hair, and the
- 6 cortex contains the pigment granules and
- 7 the color of the hair.

- 8 We look at several
- 9 characteristics within these three

- 10 structures of the hair. We can also
- 11 categorize hairs by their race, the part
- 12 of the body that they come from, and we
- 13 also look at their color.
- 14 Q. The hairs that you found in the
- 15 pantyhose, first of all, I think you
- 16 testified those were head hairs; is that
- 17 correct?

- 18 A. Yes, they were head hairs.
- 19 Q. What race did you determine
- 20 those hairs to have an origin from?
- A. There are three major races of
- 22 hairs. There is the negroid race,
- 23 caucasian and mongoloid race. The
- 24 characteristics that I found in the
- 25 unknown hairs were the general

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## 1 KATZ-DIRECT

- 2 characteristics of the negroid race.
  - Q. Could you please explain to the
- 4 ladies and gentlemen of the jury your
- 5 findings as a result of the comparison of
- 6 the hairs from the pantyhose and the hairs
- 7 from the defendant?
- 8 A. Well, I determined that the
- 9 hairs I found in the pantyhose were
- 10 similar in color, microscopic
- 11 characteristics from the head hair
- 12 standards that came from Mr. Gregory.
- 13 Q. That is a term that you all use
- 14 when you make a match, that they are
- 15 similar?
- 16 MR. POLK: Objection, Judge.
- 17 MR. SCHOERING: That is fine.
- 18 O. Ma'am, what does that mean?
- 19 A. That means that I have -- I have
- 20 looked at the standard, the head hair
- 21 standard I'm given first and I have
- 22 written down a range of characteristics on
- 23 a worksheet that I have.
- When I look at the three
- 25 components I told you about in the hair, I

- 2 look at the characteristics of those. I
- 3 determine the race of the hair, the body
- 4 area and its color, and that includes the
- 5 length of the hair, and I write all of
- 6 this down. I have a range of
- 7 characteristics in the standard. And some
- 8 people will not have all of those
- 9 characteristics and that is a
- 10 characteristic in itself to be missing a
- 11 characteristic. I will write all that
- 12 down.
- Then I will look at the unknown
- 14 hairs and I will look for those same
- 15 characteristics within those unknown
- 16 hairs. The unknown hair must have all of
- 17 those same characteristics for me to say
- 18 that it is similar. If it is different in
- 19 any one of those characteristics I will
- 20 call them dissimilar. So what it means is
- 21 that the unknown hair has the same
- 22 characteristics as the known standard.
- Q. Thank you, ma'am. And how many
- 24 characteristics are we talking about that
- 25 you look at or you look for?

## KATZ-DIRECT

- A. There is approximately 16
- 3 characteristics.

- 4 Q. And what are some of those
- 5 characteristics?
- 6 A. Well, I would look at the tip of
- 7 the hair to determine whether it was cut
- 8 or broken or split, any kind of -- that
- ind of thing. Most head hairs of course
- 10 are going to have a razor cut. Most
- 11 people have their hair cut.
- We look at the roots to
- 13 determine whether they are normal, if they
- 14 have been stretched or if they have pieces
- 15 of tissue on them, if they are shriveled.
- 16 We look at the diameter of the hair itself
- 17 in general.
- 18 I told you about the cuticle.
- 19 the outside of the hair. It can be thick
- 20 or it can have color to it. It can have

- 21 pigment granules in it. There are several
- 22 things there. Hairs have scales. I look
- 23 at the scales on the hair. I look at the
- 24 pigment granules themselves and determine
- 25 the size of those and what color it is.

#### 1 KATZ-DIRECT

- 2 And I look at the medulla and determine if
- 3 there even is a medulla. Some people
- 4 don't have one. I look at the
- 5 characteristics of the medulla. Then I
- 6 also look to see if there is any kind of
- 7 artificial treatment to the hair, dye or
- 8 bleach, if there is any damage to the
- 9 hair, if it has been burned or broken, if
- 10 the hair is diseased.
- 11 Special characteristics like
- 12 diseases in the hair, if there are things
- 13 like ovoid bodies which are just very dark
- 14 areas in a hair, if there are head lice,
- 15 vermin, anything like that, those are some
- 16 things we look for.
- 17 Q. And as to each of these
- 18 characteristics that you examine, between
- 19 Mr. Gregory's sample and the sample out of
- 20 the stocking, did they match?
- A. Yes, they were the same.
- Q. Ma'am, was either the
- 23 defendant's hair or the hairs that you
- 24 found in the pantyhose treated in any way,
- 25 were you able to detect?

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## 1 KATZ-DIRECT

- 2 A. I didn't see any treatment to
- 3 them.

- O. No evidence of treatment?
- 5 A. No, no evidence of dying or
- 6 anything like that.
- 7 Q. Ma'am, do most African-American
- 8 hairs that you have examined have
- 9 medullas?
- 10 A. Well, it seems to be a pretty
- 11 common characteristic in the negroid race.

- 12 As a matter of fact, they usually have a
- 13 very prominent medulla. Most dark-colored
- 14 hairs, like there are dark brown hairs, a
- 15 medulla is very prominent usually.
- 16 Q. And did the samples taken from
- 17 the pantyhose have a medulla?
- 18 A. These hairs had no medulla.
- 19 That was one of the characteristics they
- 20 were lacking.
- Q. Did the samples that you
- 22 obtained from Mr. Gregory have a medulla?
- A. No, they did not.
- Q. Ma'am, the length of the hairs,
- 25 could you describe that? And I'm speaking

#### KATZ-DIRECT

- 2 of the ones that were found in the
- 3 pantyhose.

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- 4 A. They were approximately two to
- 5 five inches long. Some of them looked
- 6 like they might have been broken. So some
- 7 of them are shorter.
- 8 Q. Ma'am, did the color of the
- 9 hairs found in the pantyhose match those
- 10 submitted by William Gregory?
- 11 A. Yes, they were the same in
- 12 color.
- 13 Q. Could you please describe the
- 14 ovoid bodies and what your findings were
- 15 in relation to that?
- 16 A. Well, I find ovoid bodies in the
- 17 standard and also in the unknown hairs.
- 18 This isn't something we see very often.
- 19 It is kind of an unusual characteristic.
- 20 It is different from the pigment of a
- 21 hair. There would be pigment granules in
- 22 the cortex like I told you that would give
- 23 the hair its color. These ovoid bodies
- 24 are just dark, round, they sort of float
- 25 in the cortex. They don't move around but

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#### KATZ-CROSS

2 they look like they are floating in there.

- 3 They are just little dark, round bodies
- 4 and they are found -- I don't see them
- 5 that often. That is why I thought it was
- 6 unusual. I circled that and thought that
- 7 was an unusual characteristic.
- Q. That was present in both the
- 9 sample submitted to you from the defendant
- 10 and the sample from the pantyhose?
- 11 A. Yes.
- 12 Q. Thank you very much, ma'am.
- 13 Please answer Mr. Polk's questions.
- 14 CROSS-EXAMINATION
- 15 BY MR. POLK:
- 16 Q. Ms. Katz, you will probably have
- 17 to help me with some of the terms because
- 18 I will mispronounce them. Let's start
- 19 with William's hair, the ones you received
- 20 as the known standards. About how many
- 21 hairs were they?
- 22 A. I didn't count them but we
- 23 require that we have at least 15 hairs
- 24 from all over the head, because a person's
- 25 standard can vary on different areas of

- 2 the head.
- Q. First of all, you got around 15
- 4 I guess or more?
  - A. Approximately 15, more or less.
- 6 Q. When you say a person's hair can
- 7 vary depending on where it is drawn, let's
- 8 say I pluck a hair from this side and
- 9 pluck a hair from this side (indicating),
- 10 are you saying they may be dissimilar?
- 11 A. Not dissimilar. They are still
- 12 your standard. We know where they came
- 13 from.
- 14 Q. If you didn't know where they
- 15 came from, could they be considered
- 16 dissimilar?
- 17 A. That is why we ask that you have
- 18 hairs taken from all over the head in
- 19 different areas because yes, if you don't
- 20 have a good standard from someone and you
- 21 have a hair that doesn't fit within the

- 22 characteristics you have from the
- 23 standard, it could be considered
- 24 dissimilar.
- Q. I guess in the same way there is

#### 1 KATZ-CROSS

- 2 a possibility that you could take a random
- 3 hair from my head and a random hair from
- 4 Mr. Schoering's head and look at it and
- 5 they could seem similar?
- 6 A. They may have overlapping
- 7 characteristics, that is why we look at so
- 8 many different things. If you look at any
- 9 two persons' hairs you may find that they
- 10 have one or two of the same
- 11 characteristics.
- 12 Q. Now, on the head hairs that you
- 13 know were William's, the ones that were
- 14 sent to you under his name, of the 15 were
- 15 all of them the same color?
- 16 A. I have here they are from a
- 17 medium to a dark, what I call an espresso
- 18 brown, which is a very dark brown. There
- 19 are varying shades of brown. Now, they
- 20 are all the same shade, the espresso
- 21 color, but they were from a medium to the
- 22 dark.
- Q. Were there some gray hairs too?
- A. There were also some gray hairs,
- 25 yes, in the standard.

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- 2 Q. So of William's hairs, some were
- as you termed it espresso brown but some
- 4 were gray?
- A. Uh-huh.
- 6 Q. Of the unknown hairs, the hairs
- 7 of the attacker that were found in the
- 8 pantyhose, were any of them gray?
- A. No, there were no gray hairs.
- 10 There were five hairs and none of those
- 11 were gray.
- 12 Q. And did you by any chance write

- 13 down the number of gray hairs out of the
- 14 15 from William's sample?
- 15 A. No, I did not.
- 16 Q. Could it have been as many as
- 17 three or four?
- 18 A. I really don't remember. I
- 19 would have to look at the hairs again.
- Q. You don't remember, that is
- 21 fine.
- Now, on hair comparisons, you
- 23 are going to have to help me out a little
- 24 bit here, my understanding is it is not
- 25 based on mathematics; is that a fair

#### KATZ-CROSS

- 2 statement?
- 3 A. Well, there are no statistics on
- 4 what percentage of these characteristics
- 5 would be found in a certain population,
- 6 no.

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- 7 Q. So as far as, say, the
- 8 percentage of people who have a hair color
- 9 called espresso brown, there is not a book
- 10 you can look at and say 32 percent of the
- 11 population has that, right?
- 12 A. No.
- 13 Q. And there is not a percentage
- 14 that we know of people whose roots are
- 15 normal as opposed to abnormal I guess or
- 16 stretched, there is no statistical study
- 17 saying which is more common or which is
- 18 uncommon; is that a fair statement?
- 19 A. No, that is just -- roots are
- 20 going to be normal unless something has
- 21 been done to the hair, for instance, if it
- 22 has been pulled out or something like
- 23 that. So that is something -- there is no
- 24 statistics. That is just a very common
- 25 characteristic.

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## KATZ-CROSS

Q. Now, on both the unknown hairsand William's hairs, they were both normal

- 4 in that respect, correct?
- 5 A. Well, some of the roots were
- 6 shriveled, and shriveled roots are just
- 7 old hairs that just fall out.
- 8 Q. That is normal?
- 9 A. That is just normal in everyone,
- 10 yes.
- 11 Q. So whether they were normal or
- 12 it is time for them to fall out, both of
- 13 those are pretty typical, right?
- 14 A. Yes, that is pretty typical.
- 15 Q. Now, when we get into some of
- 16 the other things, like let's talk about
- 17 pigment granules for a second, in pigment
- 18 granules, as I understand it, there is a
- 19 couple of different things you can say. I
- 20 guess you can say that the pigment
- 21 granules are fine or medium or large; is
- 22 that a fair statement?
- A. We call them fine, medium or
- 24 coarse. The large doesn't mean the same
- 25 thing as coarse. Coarse means that they

## KATZ-CROSS

- 2 are very prominent, that they are clumps
- 3 of pigment as opposed to being very small
- 4 tiny little pigment. But pigment can
- 5 still be coarse and small or coarse and
- 6 large.

- 7 Q. So coarse means they are big
- 8 clumps, right?
- 9 A. Right.
- 10 Q. How big of a clump is big? Is
- 11 there a measure for that?
- 12 A. No. When you look at the hair
- 13 under the microscope, instead of seeing
- 14 the pigment granules fairly uniformly
- 15 across a hair, what you would see is just
- 16 clumps of the granules. You might see
- 17 like clear spaces in between the clumps
- 18 but they are still forming the pigment of
- 19 the hair, instead of being fairly
- 20 uniformly distributed.
- 21 O. But is there a dividing line
- 22 that is written down somewhere between one

- 23 that is coarse and not coarse or is it a
- 24 subjective decision that each examiner has
- 25 to make?

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## KATZ-CROSS

- 2 A. Well, we have pictures that we
- 3 can use. I mean, some hairs you look at,
- 4 it is definitely coarse, you can tell.
- 5 There are pictures that you can use in our
- 6 training manuals to give you examples of
- 7 what really looks coarse. But it is
- 8 fairly subjective. It is something you
- 9 have to look at yourself. It is something
- 10 you learn after you have looked at
- 11 hundreds and hundreds of hairs.
- 12 Q. Is it something that two
- 13 examiners of excellent qualifications
- 14 acting in good faith could disagree on
- 15 some of these factors?
- 16 A. Well, I guess that is possible,
- 17 I mean, for two different people to look
- 18 at something and see something different.
- 19 Q. Now, we talked a minute ago
- 20 about the color of the hair and your
- 21 phrase was medium to dark espresso brown;
- 22 is that right?
- 23 A. Yes.

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- Q. And I obtained what I thought
- 25 was sort of a table of normal values of

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- 2 hair and I didn't see that term. Is that
- 3 a standard term that all forensic
- 4 scientists agree on?
- 5 A. What standard term?
- 6 Q. Espresso brown.
- A. No, it is a color. It is a
- 8 very, very dark brown. You have seen
- espresso coffee, it is almost black, it is
- 10 a very, very dark brown color.
- 11 Q. I always thought it was black.
- 12 A. Well, it is not. And hair may
- 13 look black but when you look at it under a
- 14 microscope, when a hair is truly black, it

- is what we call opaque. There is no lightcoming through it and you can't seeanything. It is just dark black. You
- 18 can't make out any characteristics.
- 19 Q. Is the expression "espresso
- 20 brown," is that a standard that all
- 21 forensic scientists recognize?
- A. It is a color they recognize,
- 23 yes.

- Q. That is a term that all forensic
- 25 scientists, people who do hair

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## **KATZ-CROSS**

- 2 comparisons, agree that a certain shade is 3 that?
- 4 A. Right, when you are describing a
- 5 color you have to use something that is
- 6 similar to that color. People have to be
- 7 able to relate that color to something
- 8 they have seen before, so the espresso,
- 9 everyone -- well, not everyone -- but most
- 10 people have seen espresso coffee that is
- 11 very, very dark brown, almost black like
- 12 you said. That is why I use that term to
- 13 describe this color because it was a very,
- 14 very dark brown.
- 15 Q. And I assume you use some
- 16 measuring device such as they use for
  - 7 photography to gauge the color?
- 18 A. No.
- 19 Q. Do you use some type of
- 20 electronic device to get a color value for 21 it?
- A. No. The color is just, like I
- 23 told you, you relate it back to something
- 24 you have seen that color before.
- 25 What you have to understand is

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- 2 when we are doing a hair comparison, we
- 3 have the known hairs on this side of the
- 4 microscope and the unknown hairs on this
- 5 side of the microscope (indicating). We

- 6 look at them and we can look at them right
- 7 beside each other. If the colors are not
- 8 the same shade, then they don't match in
- 9 color. So we have the two shades to look
- 10 at and we can tell if they are the same in 11 color.
- 12 Q. So, again, it is a subjective
- 13 decision with the examiner?
- 14 A. No, that is not terribly
- 15 subjective. It is either the same color
- 16 it is not. I think anyone who would look
- 17 at a hair comparison under the microscope
- 18 could tell whether they are the same
- 19 color.
- 20 Q. Well, do you all have -- in this
- 21 case did you all take photographs from the
- 22 microscope?
- A. We don't have that capability.
- 24 No, we do not. I wish we did. I wish I
- 25 could take pictures and show them to you.

## KATZ-CROSS

- 2 That is easier to describe hair
- 3 comparisons.
- 4 Q. That way we all could have
- looked?

- 6 A. Yes.
- 7 Q. Now, maybe I'm missing this, my
- 8 understanding for you to make a conclusion
- 9 that the hairs are similar, every single
- 10 characteristic has to match; is that
- 11 correct?
- 12 A. That's right. Like I told you,
- 13 I have the hairs right there under the
- 14 microscope beside each other.
- 15 Q. If there is a single thing that
- 16 is different, you can exclude one person?
- 17 A. Yes. If the other
- 18 characteristics don't fit within.
- 19 Q. So of those 16, any one
- 20 different you exclude the person, you say
- 21 this is definitely not the person's hair?
- A. We say it is dissimilar.
- Q. Okay, dissimilar. But when you

24 are saying the hairs are similar and could

25 have common origin, would you agree you

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#### KATZ-CROSS

are not saying with any degree of

certainty that they do have common origin?

A. Oh, no. I don't see how you can

5 possibly say that. You have got two sets

6 of hair there in front of you, you are

7 looking at them, they have the same

8 characteristics. I can't say with any

9 certainty that they don't belong to

10 someone because they have the same

11 characteristics. I would have to say that

12 they more than likely belong to this

13 person.

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14 Q. They might?

15 A. More than likely they belong to

16 this person. I would say more than might.

17 I can't explain to you unless you have

18 done hair comparisons how very uncommon it

19 is -- well, I can't explain to you. I

20 look at so many different characteristics.

21 They all have to be the same.

Q. Wouldn't you agree, though, with

23 the general statement that hair evidence

24 cannot be associated with a given

25 individual with a high degree of

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#### KATZ-REDIRECT

2 certainty?

3 A. No, I wouldn't agree with that

4 at all.

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5 Q. You recognize there are forensic

6 scientists who feel that way?

7 A. There are forensic scientists

8 that would dispute anything I would say,

9 yes.

MR. POLK: I don't have any

11 other questions.

THE COURT: Mr. Schoering?

13 MR. SCHOERING: Thank you,

14 Judge. Just a few more.

## 15 REDIRECT EXAMINATION

- 16 BY MR. SCHOERING:
- 17 Q. Ms. Katz, Mr. Polk asked you a
- 18 number of questions about the espresso
- 19 terminology used to describe the color of
- 20 these hairs. Were they the same color,
- 21 the two hairs that you looked at under the
- 22 microscope?

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- A. Yes, they were the same shade of
- 24 color. Like I told you, I have them right
- 25 there beside each other. There are

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## KATZ-REDIRECT

- 2 various shades of brown. You would be
- 3 surprised. When you look at a dark color
- 4 of hair as brown, they look the same,
- 5 looking at them, but when you put them
- 6 under the microscope there are very subtle
- 7 differences in shading, and these were the 8 same.
- 9 Q. Does the microscope have a light
- 10 on it?
- 11 A. Yes, it does.
- 12 Q. Where is the light?
- 13 A. It has two different lights. It
- 14 has one coming from the top and one from
- 15 the bottom as well. So there is light
- 16 going through the hair.
- 17 Q. So whatever terminology you
- 18 used, they were the same color?
- 19 A. That's correct.
- Q. I believe you testified on
- 21 cross-examination that any person, any two
- 22 different people's hair may have one or
- 23 two characteristics that overlap, correct?
- A. Yes, that's correct.
- 25 Q. For instance, Mr. Polk's hair

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#### KATZ-REDIRECT

- 2 and mine may appear the same under one or
- 3 two of these same characteristics but you
- 4 wouldn't know until you looked at it?
- 5 A. That's right, I would have to

- 6 look at it under the microscope.
  - Q. Ma'am, is it unusual to have 16
- 8 characteristics overlap, all 16 of these
- 9 that you have talked about, hairs from two
- 10 different people?
- 11 A. I really don't run across --
- 12 where you would run across something like
- 13 that would be in families. I mean, you
- 14 have to compare hairs from brothers and
- 15 sisters that have the same genetic
- 16 background and carried a lot of the same
- 17 genetic characteristics from the same
- 18 parents, you might run into very similar
- 19 characteristics in two people. But, in
- 20 general, you wouldn't see that kind of an
- 21 overlap in two people you would just pick
- 22 off the street.

- Q. Ma'am, Mr. Polk asked you about
- 24 the statistics and what was unusual and
- 25 what was usual. Did you testify regarding

## 250

- 2 the absence of a medulla in negroid hairs,
- 3 is that usual or unusual?
- 4 A. I testified before that we
- 5 usually see that. As a matter of fact,
- 6 one of the characteristics of negroid
- 7 hairs is a very prominent medulla and that
- 8 even in very dark brown hairs and in dark
- 9 caucasian hairs that the medulla is
- 10 usually very prominent. It is usual to
- 11 see medulla in dark hairs.
- 12 Q. And there was not a medulla
- 13 present in either of the samples you
- 14 looked at, correct?
- 15 A. No, there was not.
- 16 Q. Again, with the presence of the
- 17 ovoid bodies that you saw in the hair, was
- 18 that usual or unusual?
- 19 A. That is unusual.
- 20 Q. That is all I have, thank you.
- 21 RECROSS-EXAMINATION
- 22 BY MR. POLK:
- Q. Ms. Katz, what percentage of
- 24 African-Americans do not have a medulla;

1	KATZ-RECROSS
2	A. I told you, there is no
3	statistics on this. I can tell you this
4	is the first time I have ever had a
5	negroid origin hair that has not had a
6	medulla in it.
7	Q. What percentage of people have
8	ovoid bodies in them?
9	A. This is probably the first time
10	I have ever seen an ovoid body in a human
11	hair. I have seen them in cattle hair
12	before.
13	MR. POLK: I don't have any more
14	questions.
15	MR. SCHOERING: Nothing further.
16	THE COURT: Thank you very much
17	ma'am. You may step down. You are
18	excused.
19	* * *