

# Formal Proofs in Cryptography

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## Part-1: Perfect Security

# Perfect Security

- ▶ In cryptography, a cryptosystem is said to have **perfect security** if an adversary gains no additional information about the plaintext after observing the ciphertext.
- ▶ Contents of this part:
  - ▶ Different definitions of perfect security
  - ▶ Equivalence of these definitions
  - ▶ A practical issue in using a perfectly secure encryption scheme

# Notation and Cryptosystem Components

- ▶ Let  $\mathcal{K}$  denote the set of keys.
- ▶ Let  $\mathcal{M}$  denote the set of messages (plaintexts).
- ▶ Let  $\mathcal{C}$  denote the set of ciphertexts.
- ▶  $E_k : \mathcal{M} \rightarrow \mathcal{C}$  is the encryption function using key  $k$ .
- ▶  $D_k : \mathcal{C} \rightarrow \mathcal{M}$  is the decryption function using key  $k$ .

**Assumption:** Encryption is done with a randomly selected key  $k$  from  $\mathcal{K}$  according to some probability distribution.

# Definition 1: Ciphertext Distribution Independence

## Definition

A cryptosystem is said to be **perfectly secure** if for all messages  $m_1, m_2 \in \mathcal{M}$  and for all ciphertexts  $c \in \mathcal{C}$ :

$$P(C = c \mid M = m_1) = P(C = c \mid M = m_2).$$

- ▶ This definition states that the probability of obtaining any ciphertext  $c$  is independent of the original message  $m$ .
- ▶ Thus, observing the ciphertext provides no clue as to which message was encrypted.

## Definition 2: Shannon's Definition

### Definition

A cryptosystem achieves perfect security if:

$$P(M = m \mid C = c) = P(M = m) \quad \text{for all } m \in \mathcal{M} \text{ and } c \in \mathcal{C}.$$

- ▶ This definition implies that the a-posteriori probability of any message  $m$ , given the ciphertext  $c$ , is the same as the a-priori probability of  $m$ .
- ▶ In other words, the ciphertext  $c$  does not change our beliefs about the likelihood of any message.

## Definition 3: Key-Message Relation

### Definition

For every plaintext  $m$  and every ciphertext  $c$ , there exists a key  $k$  such that:

$$E_k(m) = c.$$

- ▶ This ensures that no matter what message and ciphertext are chosen, there is always a key that could have produced  $c$  from  $m$ .
- ▶ It highlights that the encryption process does not favor any particular plaintext-ciphertext pairing.

## Proof: Equivalence of Definition 1 and Definition 2

**Goal:** Show that the condition

$$P(C = c \mid M = m_1) = P(C = c \mid M = m_2) \quad \forall m_1, m_2 \in \mathcal{M}, c \in \mathcal{C},$$

is equivalent to Shannon's definition:

$$P(M = m \mid C = c) = P(M = m) \quad \forall m \in \mathcal{M}, c \in \mathcal{C}.$$

**Proof:**

► **Assume:**  $P(M \mid C) = P(M)$ . By Bayes' theorem:

$$P(M = m \mid C = c) = \frac{P(C = c \mid M = m)P(M = m)}{P(C = c)}.$$

Setting  $P(M = m \mid C = c) = P(M = m)$  gives:

$$\begin{aligned} \frac{P(C = c \mid M = m)P(M = m)}{P(C = c)} &= P(M = m) \\ \implies P(C = c \mid M = m) &= P(C = c). \end{aligned}$$

► This shows that the ciphertext distribution is independent of the message.



... contd.

- ▶ Conversely, if  $P(C = c \mid M = m) = P(C = c)$  holds, then by applying Bayes' theorem in reverse, we obtain:

$$P(M = m \mid C = c) = \frac{P(C = c)P(M = m)}{P(C = c)} = P(M = m).$$

- ▶ This proves the equivalence of definitions 1 and 2.

## Proof: Key-Message Relation and Perfect Security

- ▶ The key-message relation (every message-ciphertext pair can be produced by some key) ensures that there is no bias in the encryption process.
- ▶ This condition guarantees that for any given  $m$  and  $c$ , the probability  $P(C = c \mid M = m)$  is the same because the set of keys that map  $m$  to  $c$  does not depend on  $m$ .
- ▶ Hence, if this condition is met, it is another way of stating that  $P(C = c \mid M = m)$  is independent of  $m$ , which is equivalent to the other definitions of perfect security.

# Practical problem in using Perfect Security

## Theorem

*For any perfectly secure encryption scheme,*

$$|K| \geq |M|$$

*i.e. the key space should be larger than the message space.*

- ▶ We will prove this statement by contrapositive (i.e. to prove  $A \Rightarrow B$  we show that  $\neg B \Rightarrow \neg A$ ).
- ▶ Assume that  $|K| < |M|$ , and we have a ciphertext  $c \in C$ .
- ▶ Construct the set  $X = \{\forall k \in K, Dec(k, c)\}$ , i.e. decrypt  $c$  with all possible keys.
- ▶ Clearly  $|X| \leq |K|$ . But we already had  $|K| < |M|$ . This means there exists a message  $m_0 \notin X$ , and another message  $m_1 \in X$ .
- ▶ Hence  $\Pr[C = c | M = m_0] = 0$ , but  $\Pr[C = c | M = m_1] \neq 0$ .
- ▶ Hence the scheme can't be perfectly secure.

# OTP

- ▶ OTP : One Time Pad encryption scheme.
- ▶  $M = K = C = \{0, 1\}^n$
- ▶ KeyGen: generate a key  $k \in K$  uniformly at random. That is, for any key  $k$ , we have that  $\Pr[K = k] = \frac{1}{2^n}$ .
- ▶  $Enc(k, m) = m \oplus k$
- ▶  $Dec(k, c) = c \oplus k$

# OTP is perfectly secure

## Theorem

*OTP is a perfectly secure encryption scheme.*

## Proof.

Left as an exercise.



# Summary and Conclusion

- ▶ We have reviewed multiple definitions of perfect security:
  1. Independence of ciphertext distribution from the plaintext.
  2. Shannon's definition:  $P(M | C) = P(M)$ .
  3. Key-message relation: For any  $m$  and  $c$ , there is a key  $k$  such that  $E_k(m) = c$ .
- ▶ We provided formal proofs demonstrating the equivalence of these definitions.
- ▶ Perfect security requires key space to be larger than message space, i.e. to send an  $n$ -bit message, the communicating parties will need to share a secret key of size  $\geq n$  bits.
- ▶ While the One-Time Pad is a classic example of a system with perfect security, practical constraints usually prevent its widespread use.

Part-2: Pre-requisites for the proofs that follow

# Contents

- ▶ In this part, we discuss the following
  - ▶ Negligible functions
  - ▶ Computational Security
  - ▶ Proving security or insecurity of a cryptographic scheme
  - ▶ Reduction proofs



# Negligible Functions: Motivation

- ▶ In cryptography, we are interested in the security of systems against adversaries.
- ▶ We say an adversary is **efficient** if it runs in polynomial time.
- ▶ Security is defined in an asymptotic sense, where an adversary's advantage should vanish as the security parameter  $n$  increases.

# Definition of Negligible Functions

## Definition

A function  $\mu : \mathbb{N} \rightarrow \mathbb{R}^+$  is **negligible** if for every positive polynomial  $p(n)$  there exists an  $n_0 \in \mathbb{N}$  such that

$$\mu(n) < \frac{1}{p(n)} \quad \text{for all } n \geq n_0.$$

- ▶ Informally, a function is negligible if it decreases faster than the inverse of any polynomial (beyond some threshold  $n_0$ ).
- ▶ Try to compare this definition with asymptotic runtime definition for algorithms that you may have already studied.

# Why do we define negligible functions this way?

- ▶ We permit “efficient” adversaries, i.e. adversaries who are allowed to run in time  $\text{poly}(n)$  where  $n$  is the security parameter.
- ▶ If the adversary is able to “break” a scheme with probability  $p$ , then, potentially, she can increase her probability of breaking the scheme by running the attack algorithm  $\text{poly}(n)$  times.
- ▶ Hence, we want that the probability of breaking the scheme should be such that even if it is multiplied by a polynomial in  $n$ , it still remains negligible.
- ▶ The following two statements are left as exercises for you. If  $\epsilon_1(n)$  and  $\epsilon_2(n)$  are two negligible functions then
  1.  $\epsilon_1(n) + \epsilon_2(n)$  is also negligible.
  2.  $\epsilon_1(n) \times \epsilon_2(n)$  is also negligible.

# Negligible Functions

- ▶  $\mu(n) = 2^{-n}$  is negligible because for any polynomial  $p(n)$ , there exists  $n_0$  such that

$$2^{-n} < \frac{1}{p(n)} \quad \text{for all } n \geq n_0.$$

- ▶  $\mu(n) = n^{-c}$  for some constant  $c > 0$  is **not** negligible because it only decays polynomially.

# Computational Security

- ▶ Consider the following two attacks against an encryption scheme:
  1. Given a  $c \in C$ , the adversary  $\mathcal{A}$  runs  $\text{Dec}(k, c)$  for all keys  $k \in K$ . Assuming that  $\mathcal{A}$  has the ability to identify which is the correct plaintext (e.g. by the syntax of the message),  $\mathcal{A}$  wins with probability 1 in breaking the scheme. Effort required is  $2^n$  if the key size is  $n$  bits.
  2.  $\mathcal{A}$  guesses the secret key and finds the correct message by decrypting the ciphertext with that key. Success probability in this case  $= 1/2^n$  but runtime  $= 1$ .
- ▶ We would like to consider the cases in between these two extremes.
- ▶ We will restrict  $\mathcal{A}$  to run their attack algorithm in time  $\text{poly}(n)$  only. And then we would like their success probability of breaking the scheme to be  $\epsilon(n)$ , which should be negligible in security parameter  $n$ .
- ▶ This setting is called the “computational security” model.

# Proving security or insecurity of a cryptographic scheme

- ▶ If a scheme is insecure then showing one attack against it is enough.
- ▶ If a scheme is secure that absence of certain attacks is not enough. We need to “formally” prove the security of the scheme.
- ▶ Usually, we will follow the “reduction proof” approach to prove the security of various schemes.

# What is a Reduction Proof?

- ▶ A **reduction proof** is a technique used to demonstrate that breaking a cryptographic scheme is at least as hard as solving a well-known hard problem.
- ▶ The idea is to show that if an adversary can break the scheme with non-negligible advantage, then we can construct an algorithm that solves the hard problem with non-negligible probability.

# Basic Structure of a Reduction Proof

1. **Assumption:** Assume there exists an adversary  $\mathcal{A}$  that breaks the cryptosystem with non-negligible advantage.
2. **Construction:** Build a new algorithm  $\mathcal{B}$  that uses  $\mathcal{A}$  as a subroutine.
3. **Contradiction:** Show that  $\mathcal{B}$  solves a known hard problem with non-negligible probability, contradicting its assumed hardness.



## Example: Reduction in the Context of IND-CPA Security

- ▶ **IND-CPA Security:** A scheme is IND-CPA secure if no polynomial-time adversary can distinguish encryptions of any two chosen plaintexts with non-negligible advantage.
- ▶ **Reduction Idea:** Suppose there exists an adversary  $\mathcal{A}$  that achieves a non-negligible advantage  $\epsilon(n)$  in breaking the scheme.
- ▶ **Constructing  $\mathcal{B}$ :** We use  $\mathcal{A}$  to build an algorithm  $\mathcal{B}$  that can, for example, solve a hard problem.

# Reduction Proof Outline

## Step 1: Setup

- ▶ Given an instance of a hard problem (e.g., PRG instance), algorithm  $\mathcal{B}$  prepares the input for the adversary  $\mathcal{A}$  by simulating the cryptosystem.

## Step 2: Interaction with $\mathcal{A}$

- ▶  $\mathcal{B}$  runs  $\mathcal{A}$  on this simulated environment.
- ▶  $\mathcal{A}$  outputs a guess or decision.

## Step 3: Conclusion

- ▶ Based on  $\mathcal{A}$ 's output and some additional computation,  $\mathcal{B}$  decides whether the original instance was from the hard problem's distribution or not.
- ▶ The non-negligible advantage of  $\mathcal{A}$  translates to a non-negligible advantage for  $\mathcal{B}$ , thus contradicting the hardness assumption.

# Formalizing the Advantage

## Adversary's Advantage

The advantage  $\epsilon(n)$  of an adversary  $\mathcal{A}$  is defined as:

$$\epsilon(n) = \left| \Pr[\mathcal{A} \text{ wins}] - \frac{1}{2} \right|.$$

- ▶ For a secure scheme, we require that  $\epsilon(n)$  is a negligible function.
- ▶ A reduction shows that if  $\epsilon(n)$  were non-negligible, then one could solve a hard problem with probability at least  $\epsilon(n)$ , leading to a contradiction.

# Putting It All Together

- ▶ Negligible functions quantify what it means for an adversary's advantage to be insignificant.
- ▶ Reduction proofs allow us to leverage the assumed hardness of computational problems to argue the security of cryptographic schemes.
- ▶ The common theme is that any non-negligible advantage in breaking the scheme leads to a contradiction with established hardness assumptions.

## Part-3: PRG

# Contents

- ▶ In this part, we will cover the following:
  - ▶ Formal definition of a PRG
  - ▶ Formal definition of an IND-CPA secure encryption scheme
  - ▶ Using PRG to construct an IND-CPA secure encryption scheme.  
To prove that the scheme is secure, we will show a reduction proof.
  - ▶ Finally, we will show some examples of constructing secure and insecure PRG's from a given secure PRG.

# What is a Pseudorandom Generator?

- ▶ A **pseudorandom generator** (PRG) is a deterministic polynomial-time algorithm  $G$  that takes a short, uniformly random seed  $s$  and outputs a longer string  $G(s)$ .
- ▶ Formally, if  $s \in \{0, 1\}^n$  then:

$$G : \{0, 1\}^n \rightarrow \{0, 1\}^{\ell(n)},$$

where  $\ell(n) > n$ .

- ▶ The output of  $G$  is *indistinguishable* from a truly random string of length  $\ell(n)$  by any polynomial-time algorithm.

# Properties of a PRG

- ▶ **Expansion:** The function  $G$  stretches a short seed into a longer string.
- ▶ **Pseudorandomness:** For any polynomial-time distinguisher  $D$ , the difference in probability between  $D$  distinguishing  $G(s)$  and a truly random string is negligible:

$$\left| \Pr_{s \leftarrow \{0,1\}^n} [D(G(s)) = 1] - \Pr_{r \leftarrow \{0,1\}^{\ell(n)}} [D(r) = 1] \right| < \text{negl}(n).$$



# The Encryption Scheme

**Setup:** Let  $G : \{0, 1\}^n \rightarrow \{0, 1\}^{\ell(n)}$  be a PRG.

**Key Generation:**

- ▶ The secret key  $k$  is chosen uniformly at random from  $\{0, 1\}^n$ .

**Encryption:**

To encrypt a message  $m \in \{0, 1\}^{\ell(n)}$  :  $c = m \oplus G(k)$ ,

where  $\oplus$  denotes bitwise XOR.

**Decryption:**

Given  $c$  and key  $k$ ,  $m = c \oplus G(k)$ .

# Correctness of the Scheme

- ▶ The decryption recovers the original message because:

$$c \oplus G(k) = (m \oplus G(k)) \oplus G(k) = m \oplus (G(k) \oplus G(k)) = m \oplus 0 = m.$$

- ▶ Thus, the scheme is correct.

# Security Notion: IND-CPA

- ▶ We wish to prove that the encryption scheme is IND-CPA secure, meaning that no efficient adversary can distinguish the encryptions of two messages of their choice.
- ▶ In the IND-CPA experiment, the adversary chooses two messages  $m_0, m_1 \in \{0, 1\}^{\ell(n)}$ . A random bit  $b$  is chosen, and the challenge ciphertext is:

$$c = m_b \oplus G(k).$$

- ▶ The adversary then outputs a guess  $b'$  for  $b$ . The scheme is secure if the adversary's advantage

$$\epsilon(n) = \left| \Pr[b' = b] - \frac{1}{2} \right|$$

is negligible.

# Reduction to the PRG Security

- ▶ We will now use the “reduction proof” paradigm that we studied in the previous part.
- ▶ The setup will be as follows:
  - ▶ We want to prove that (Security of PRG)  $\Rightarrow$  (Secure encryption scheme). That is, the scheme is secure if the construction uses a PRG.
  - ▶ To achieve this, we will assume that we have an adversary  $\mathcal{A}$  who can break the security of the encryption scheme.
  - ▶ Then we will show that this  $\mathcal{A}$  can be used as a subroutine to construct an adversary  $\mathcal{B}$  which breaks the security of the PRG itself.
  - ▶ This will prove that the scheme is secure if it uses a PRG.

## Reduction to the PRG Security ... contd.

- ▶ Assume there exists an adversary  $\mathcal{A}$  that can distinguish encryptions with a non-negligible advantage  $\epsilon(n)$ . (i.e. breaks the security of the encryption scheme).
- ▶ We construct a distinguisher  $\mathcal{D}$  that uses  $\mathcal{A}$  to distinguish the output of  $G$  from truly random strings. (i.e. breaks the security of the PRG)
- ▶ The distinguisher  $\mathcal{D}$  is given a string  $w$  of length  $\ell(n)$  which is either  $G(k)$  for a random  $k$  or a truly random string.
- ▶  $\mathcal{D}$  will call  $\mathcal{A}$  as a subroutine. But  $\mathcal{A}$  works for an encryption scheme, not a PRG. Therefore,  $\mathcal{D}$  will setup an instance of an encryption scheme first, and then use  $\mathcal{A}$  internally.

# Constructing the Distinguisher $\mathcal{D}$

1.  $\mathcal{D}$  receives  $w \in \{0, 1\}^{\ell(n)}$ .
2.  $\mathcal{D}$  chooses two messages  $m_0, m_1 \in \{0, 1\}^{\ell(n)}$  (these can be chosen arbitrarily).
3. It then simulates the IND-CPA challenger by computing:

$$c = m_b \oplus w,$$

where  $b \in \{0, 1\}$  is chosen uniformly at random.

4.  $\mathcal{D}$  gives  $c, m_0, m_1$  to the adversary  $\mathcal{A}$  and receives a guess  $b'$ .
5. If  $b' = b$ , then  $\mathcal{D}$  outputs 1 (i.e., it believes  $w$  was pseudorandom); otherwise, it outputs 0 (believing that  $w$  was random).

# Analysis of $\mathcal{D}$

- ▶ **Case 1:**  $w = G(k)$  for some random key  $k$ .  
In this case,  $c = m_b \oplus G(k)$  is a valid encryption of  $m_b$ .  
Hence, by the assumption on  $\mathcal{A}$ :

$$\Pr[\mathcal{D} \text{ outputs } 1] = \Pr[b' = b] = \frac{1}{2} + \epsilon(n).$$

- ▶ **Case 2:**  $w$  is truly random.  
In this case,  $c$  is independent of  $m_b$  (since  $w$  is uniform).  
Therefore, no matter what  $\mathcal{A}$  does:

$$\Pr[\mathcal{D} \text{ outputs } 1] = \frac{1}{2}.$$

**Conclusion:**  $\mathcal{D}$  distinguishes between  $G(k)$  and a truly random string with advantage  $\epsilon(n)$ , which is non-negligible. This contradicts the security of the PRG.

# Conclusion

- ▶ We constructed an encryption scheme based on a PRG where the ciphertext is computed as  $c = m \oplus G(k)$ .
- ▶ Under the assumption that  $G$  is a secure PRG, the encryption scheme is IND-CPA secure.
- ▶ The proof uses a reduction: any adversary breaking the encryption scheme would imply a distinguisher for the PRG, contradicting its assumed pseudorandomness.



## Example 1: Iterative Expansion

- ▶ **Construction:** Given a  $G : \{0, 1\}^n \rightarrow \{0, 1\}^{2n}$ , construct  $G_1 : \{0, 1\}^n \rightarrow \{0, 1\}^{3n}$  by iterating  $G$ .
- ▶ **Idea:** Use the output of  $G$  to generate a new seed and concatenate the outputs.
- ▶ **Procedure:**
  1. Let  $s_0 \in \{0, 1\}^n$ .
  2. Compute  $G(s_0) = x_0 \parallel x_1$  where  $x_0, x_1 \in \{0, 1\}^n$ .
  3. Compute  $G(x_1) = x_3 \parallel x_4$  where  $x_3, x_4 \in \{0, 1\}^n$ .
  4. Output  $G_1(s) = x_0 \parallel x_3 \parallel x_4$ .
- ▶ **Security:** Under the assumption that  $G$  is secure, the concatenated output is indistinguishable from random.
- ▶ Formal proof is given after a few slides. First, we would like to develop some intuition about the PRG's.

## Example 2: XOR of Two Independent PRG Outputs

- ▶ **Construction:** Define  $G_2(s_1, s_2) = G(s_1) \oplus G(s_2)$ , where  $s_1$  and  $s_2$  are independent seeds.
- ▶ **Idea:** The XOR of two independent pseudorandom strings is pseudorandom.
- ▶ **Security Argument:**
  - ▶ Since both  $G(s_1)$  and  $G(s_2)$  are indistinguishable from random, their XOR is also indistinguishable from a random string.
  - ▶ Any efficient distinguisher for  $G_2$  would contradict the security of  $G$ .

## Example 3: Concatenating with a Constant

- ▶ **Construction:** Define  $H_1(s) = G(s) || 0^{\ell(n)}$  (concatenate  $G(s)$  with a fixed string of zeros).
- ▶ **Problem:**
  - ▶ The constant portion  $0^{\ell(n)}$  is easily identifiable and not random.
  - ▶ A distinguisher can check whether the last  $\ell(n)$  bits are all zeros, which occurs with probability 1 in  $H_1(s)$  but with negligible probability for a truly random string.
- ▶ **Conclusion:**  $H_1$  is insecure as a PRG.

## Example 4: Repeating the Output

- ▶ **Construction:** Define  $H_2(s) = G(s) \| G(s)$  (concatenate the same PRG output twice).
- ▶ **Problem:**
  - ▶ The repetition in  $H_2(s)$  creates a structural pattern.
  - ▶ A distinguisher can check if the first half of the output is identical to the second half. For  $H_2(s)$  this check always passes, while for a truly random string it passes only with negligible probability.
- ▶ **Conclusion:**  $H_2$  is insecure since the redundancy is easily exploitable.

## Part-4: The hybrid argument

# What is a Hybrid Argument?

- ▶ A **hybrid argument** is a proof technique used to show that two distributions (or cryptographic games) are indistinguishable.
- ▶ The idea is to define a series of intermediate distributions (hybrids) between the real and ideal distributions.
- ▶ If each consecutive pair of hybrids is indistinguishable, then the first and the last are also indistinguishable.

# How to Construct Hybrid Distributions

- ▶ Identify the original distribution/game  $H_0$  and the target (ideal) distribution/game  $H_k$ .
- ▶ Define a sequence of hybrids  $H_0, H_1, \dots, H_k$  such that:

$$H_0 \approx H_1 \approx \dots \approx H_k.$$

- ▶ Prove that for each  $i$  the difference between  $H_i$  and  $H_{i+1}$  is negligible or zero.

## Example: PRG-Based Encryption Scheme

- ▶ Consider an encryption scheme based on a pseudorandom generator (PRG)  $G$ .
- ▶ Let the encryption of a message  $m$  be:

$$c = m \oplus G(k),$$

where  $k$  is a secret key.

- ▶ To prove IND-CPA security, we can use a hybrid argument:
  - ▶  $H_0$ :  $c = m \oplus G(k)$  (real encryption).
  - ▶  $H_1$ :  $c = m \oplus r$  where  $r$  is chosen uniformly at random.
- ▶ If  $G(k)$  is indistinguishable from random, then  $H_0 \approx H_1$ . Since  $m \oplus r$  is also uniformly random (for fixed  $m$ ), the scheme is secure.



# Analysis and Transitivity of Indistinguishability

- ▶ The hybrid argument relies on the **transitivity** of indistinguishability:

If  $H_0 \approx H_1$  and  $H_1 \approx H_2$ , then  $H_0 \approx H_2$ .

- ▶ By breaking a complex proof into smaller hybrid steps, we can focus on proving that each small change is undetectable.
- ▶ The overall security follows from the fact that a polynomial-time adversary cannot distinguish between the endpoints of the hybrid sequence.

# Summary

- ▶ The hybrid argument is a powerful and versatile technique in cryptographic proofs.
- ▶ It simplifies the task of proving indistinguishability by bridging the gap between two distributions through intermediate steps.
- ▶ This technique is widely used in security proofs for encryption schemes, digital signatures, and other cryptographic primitives.

# Coming back to Example 1: The iterative PRG

## Given

A secure pseudorandom generator  $G : \{0, 1\}^n \rightarrow \{0, 1\}^{2n}$ . For any seed  $s \in \{0, 1\}^n$ , write:

$$G(s) = x_1 \| x_2,$$

where  $x_1, x_2 \in \{0, 1\}^n$ .

## Further Computation

Compute:

$$G(x_2) = x_3 \| x_4, \quad \text{with } x_3, x_4 \in \{0, 1\}^n.$$

## Definition of $G_1$

Define the  $3n$ -bit PRG:

$$G_1(s) = x_1 \| x_3 \| x_4.$$

# Security Goal

- ▶ We wish to show that if  $G$  is a secure PRG, then the function  $G_1 : \{0, 1\}^n \rightarrow \{0, 1\}^{3n}$  is also a secure PRG.
- ▶ That is, for any polynomial-time distinguisher  $\mathcal{A}$ , the advantage in distinguishing  $G_1(s)$  from a uniformly random string in  $\{0, 1\}^{3n}$  is negligible.

# Overview of the Hybrid Argument

- ▶ We define three hybrids:
  - ▶  $H_0$ : The real output  $G_1(s) = x_1 \| x_3 \| x_4$ .
  - ▶  $H_1$ : Replace  $x_1$  by a uniform random string  $r_1 \in \{0, 1\}^n$ ; output  $r_1 \| x_3 \| x_4$ .
  - ▶  $H_2$ : Replace  $x_3 \| x_4$  by a uniform random string  $r_2 \in \{0, 1\}^{2n}$ ; output  $r_1 \| r_2$ .
- ▶ Finally, note that  $H_2$  is distributed uniformly over  $\{0, 1\}^{3n}$ .

## Hybrid $H_0$ and $H_1$

- ▶ We take  $H_0$  as the real construction and  $H_2$  as a construction which produces uniformly random strings.
- ▶  $H_0$ :  $G_1(s) = x_1 \| x_3 \| x_4$ , where

$$G(s) = x_1 \| x_2 \quad \text{and} \quad G(x_2) = x_3 \| x_4.$$

- ▶  $H_1$ : Replace  $x_1$  with a uniformly random  $r_1 \in \{0, 1\}^n$  but keep  $x_3$  and  $x_4$  as before:

$$H_1 = r_1 \| x_3 \| x_4.$$

- ▶ **Claim:** No PPT distinguisher can distinguish  $H_0$  from  $H_1$  with non-negligible advantage, otherwise we could break the pseudorandomness of the first  $n$ -bit block of  $G(s)$ .

## Hybrid $H_1$ and $H_2$

- ▶  $H_1$ :  $r_1 \| x_3 \| x_4$ , where  $r_1$  is uniformly random and  $x_3 \| x_4 = G(x_2)$  is pseudorandom.
- ▶  $H_2$ : Replace  $x_3 \| x_4$  with a uniformly random string  $r_2 \in \{0, 1\}^{2n}$ :

$$H_2 = r_1 \| r_2.$$

- ▶ **Claim:** If there were a distinguisher that could distinguish  $H_1$  from  $H_2$  with non-negligible advantage, then we could break the security of  $G$  on input  $x_2$ .

# Concluding the Hybrid Argument

- ▶ By the transitivity of indistinguishability:

$$H_0 \approx H_1 \quad \text{and} \quad H_1 \approx H_2.$$

- ▶ Since  $H_2$  is uniformly distributed in  $\{0,1\}^{3n}$ , it follows that the output of  $G_1(s)$  is indistinguishable from uniform.
- ▶ Therefore,  $G_1$  is a secure PRG.
- ▶ Now, we formalize this intuition into a formal proof by filling the missing details about the adversary.



# Reduction: From a Distinguisher for $G_1$ to a Breaker for $G$

- ▶ Suppose there exists a PPT distinguisher  $\mathcal{A}$  with non-negligible advantage  $\epsilon(n)$  in distinguishing  $G_1(s)$  from a uniform string in  $\{0, 1\}^{3n}$ .
- ▶ We construct a PPT algorithm  $\mathcal{B}$  that breaks the pseudorandomness of  $G$  by distinguishing either:
  1. The first  $n$  bits  $x_1$  in  $G(s) = x_1 \| x_2$ , or
  2. The output  $G(x_2) = x_3 \| x_4$ .
- ▶  $\mathcal{B}$  uses  $\mathcal{A}$  as a subroutine in the corresponding hybrid transition.

**Case 1:** If  $\mathcal{A}$  distinguishes  $H_0$  from  $H_1$ , then  $\mathcal{B}$  distinguishes the first block  $x_1$  of  $G(s)$  from uniform.

**Case 2:** If  $\mathcal{A}$  distinguishes  $H_1$  from  $H_2$ , then  $\mathcal{B}$  distinguishes the output  $G(x_2)$  from uniform.

# Conclusion of the Reduction Proof

- ▶ In either case, a non-negligible advantage for  $\mathcal{A}$  would yield a non-negligible advantage for  $\mathcal{B}$  in breaking the security of  $G$ .
- ▶ Since  $G$  is assumed secure, such a PPT algorithm  $\mathcal{A}$  cannot exist.
- ▶ Therefore,  $G_1$  is a secure PRG.

# Summary and Final Remarks

- ▶ We constructed a  $3n$ -bit PRG  $G_1(s) = x_1 \| x_3 \| x_4$  using two calls to a secure PRG  $G$ .
- ▶ A hybrid argument was employed, replacing portions of the output with truly random bits step by step.
- ▶ The reduction shows that any advantage in distinguishing  $G_1$  from uniform implies an advantage against  $G$ , contradicting its security.
- ▶ Hence,  $G_1$  is secure.

## Part-5: The security of PRF based encryption

# Encryption Scheme Overview

- ▶ **Setup:** Let  $f : \mathcal{K} \times \mathcal{R} \rightarrow \{0, 1\}^n$  be a secure pseudorandom function (PRF).
- ▶ **Encryption:** To encrypt a message  $m \in \{0, 1\}^n$ :
  - ▶ Choose a random  $r \in \mathcal{R}$  (with  $\mathcal{R}$  typically  $\{0, 1\}^n$ ).
  - ▶ Compute  $c = f(k, r) \oplus m$ .
  - ▶ Output ciphertext  $(r, c)$ .
- ▶ **Decryption:** Given ciphertext  $(r, c)$  and key  $k$ :
  - ▶ Recover  $m = c \oplus f(k, r)$ .

# IND-CPA Security

- ▶ The goal is to prove that the above encryption scheme is IND-CPA secure.
- ▶ Informally, no polynomial-time adversary can distinguish between the encryptions of any two chosen messages.
- ▶ We will prove that if  $f$  is a secure PRF, then the encryption scheme is IND-CPA secure.

# Hybrid Argument Overview

- ▶ We use a hybrid argument to bridge the real encryption scheme with an ideal scheme that is perfectly secure.
- ▶ The key idea is to replace the PRF  $f(k, \cdot)$  with a truly random function.
- ▶ If an adversary could distinguish the real scheme from the ideal one, then we could build a distinguisher for the PRF.

# Hybrid Definitions

- ▶ Hybrid<sub>0</sub>: The real encryption scheme.

$$\text{Enc}_k(m) = (r, f(k, r) \oplus m)$$

- ▶ Hybrid<sub>1</sub>: Replace  $f(k, \cdot)$  with a truly random function  $F(\cdot)$ .  
Thus, the encryption becomes:

$$\text{Enc}'(m) = (r, F(r) \oplus m).$$



# Indistinguishability Between Hybrids

- ▶ By the security of the PRF  $f$ , no PPT distinguisher can tell apart  $f(k, \cdot)$  from a truly random function  $F(\cdot)$ .
- ▶ Hence, the outputs in  $\text{Hybrid}_0$  and  $\text{Hybrid}_1$  are indistinguishable.
- ▶ Formally, if there exists an adversary  $\mathcal{A}$  that can distinguish between these two hybrids with non-negligible advantage, then we can construct a distinguisher for the PRF  $f$ .

# Security of the Ideal Scheme

- ▶ Consider Hybrid<sub>1</sub>:

$$(r, F(r) \oplus m).$$

- ▶ Given that  $F$  is a truly random function and  $r$  is uniformly random:
  - ▶  $F(r)$  is uniformly random.
  - ▶  $F(r) \oplus m$  is a one-time pad encryption of  $m$ .
- ▶ Therefore, Hybrid<sub>1</sub> provides perfect secrecy (i.e., it is IND-CPA secure).

# Concluding the Hybrid Argument

- ▶ We have:

$$\text{Hybrid}_0 \approx \text{Hybrid}_1,$$

and  $\text{Hybrid}_1$  is perfectly secure.

- ▶ Thus, the real encryption scheme is IND-CPA secure.
- ▶ If an adversary  $\mathcal{A}$  were to break the encryption scheme, then it would also break the PRF security of  $f$ , contradicting the assumption that  $f$  is a secure PRF.

# Summary

- ▶ We defined an encryption scheme using a PRF  $f$  as:

$$E_k(m) = (r, f(k, r) \oplus m).$$

- ▶ The proof uses a hybrid argument, replacing  $f$  with a truly random function.
- ▶ The ideal scheme is equivalent to a one-time pad encryption, which is perfectly secure.
- ▶ Hence, the security of the PRF implies the IND-CPA security of the encryption scheme.

## Further Reading

- ▶ Jonathan Katz and Yehuda Lindell, *Introduction to Modern Cryptography*.