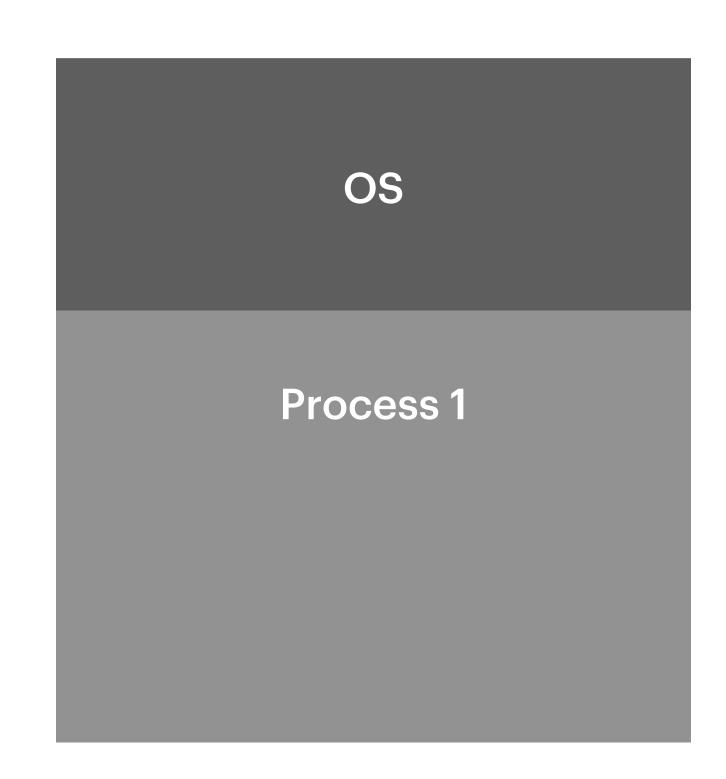
Uni-programming OS

OS allows only one process to reside in main memory

Single process cannot keep io devices busy simultaneously



Multi-programming OS

OS allows multiple process to reside in main memory

Here we can utilise CPU,

degree of multiprogramming = no of running programs in main memory

As the degree of multiprog increases, cpu utilisation also increases, but Upto a certain limit

Process 3

Process 4

Multiprogramming OS types

1) preemptive: process forcefully can be taken out of the CPU.

Q: Can a uni-programming os be preemptive?

2) Non - premptive: A process runs on cpu till its wish.

Multitasking OS

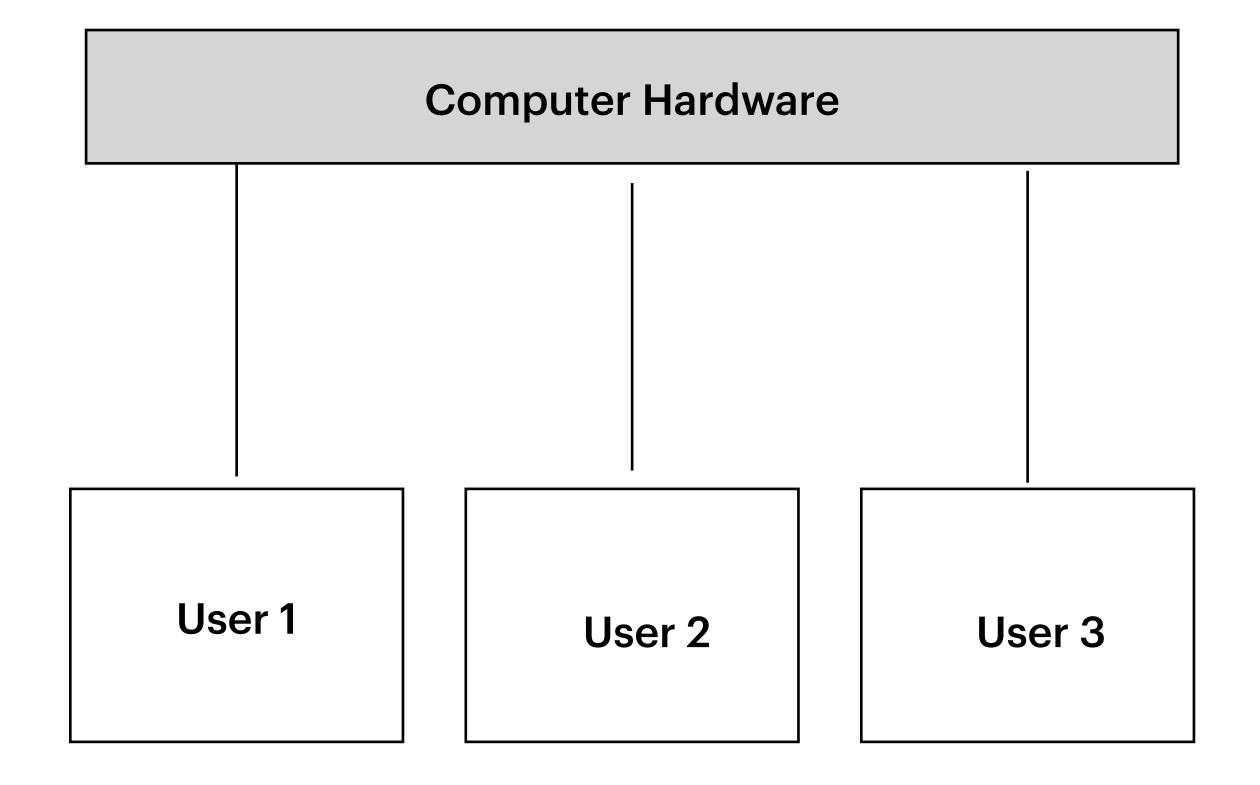
Extension of multi programming OS in which processes execute in round robin fashion

Round robin: to execute each process for limited time only

p1| p2| p3 | p4 | p5|...

Multiuser OS

This os allows multiple user to access single system simultaneously.

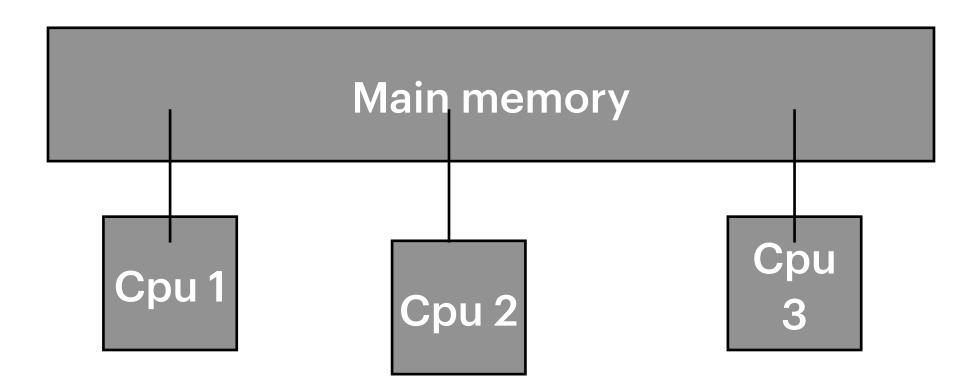


Windows is not multiuser os, unix and Linux are multiuser.

Multiprocessing OS

This os is used in computer systems with multiple CPUs.

1) tightly couples: (Shared memory)



2) loosely coupled: Distributed System

OS Concept

- OS operating system
- OS is more like a resource manager for system
- An operating system is a giant underlying program that provides the bridge between hardware and software.

Resources of a System:

CPU: runs the program
->program is stored in harddisk and it
is brought back to main disk when it is time
for execution.

Main memory: When a program runs it must reside in main memory

Secondary memory: passes data or instruction to main memory

Input / Output devices:

The idea is that the developer of something, say the Firefox browser, doesn't need to write their program specifically to use the hardware on your computer; rather, they write the program for Windows, and Windows figures out how to use the necessary components (mouse, keyboard, screen; but also processor, RAM, and hard drive) to give Firefox the tools to do what it's supposed to. An OS is also responsible for scheduling programs to run at the same time and make sure they fairly share system resources like RAM. (You don't want one program overwriting the data of another program.

the **operating system** tells your computer how to be a useful computer and not just a box of wires.

An **operating system** is a giant underlying program that provides the bridge between hardware and software.

It's software that creates a standard interface for other software to control the underlying hardware. Think of how cars work. Every car has a steering wheel, gas and brake petal and so on. But you can have a high performance engine underneath the hood. An operating system is like everything between the steering wheel and the actual engine that makes the car go. And cars are designed in a way that you don't need to relearn how to drive a car when you get a new one. It's still just a steering wheel and a gas petal.

Process management:

Program under execution is process, keep in mind that a process does not mean a separate CPU.

Waiting time: T2 - T1

Turnaround time: Tn-T1



User submit a program at time instant **T1** and CPU starts executing the programs at **T2**, program execution is completed at **Tn**

As an user, waiting time should be minimum and also turnaround time should also be minimum.

Most of the process exhibit a typical characteristic.

program does not take CPU time for entire duration, it is executed on cpu for a part of time then it waits for IO operation (waiting for one input from user).

IO burst: whenever a cpu tries to access IO

CPU burst: whenever a program tries to access CPU

CPU Burst
IO Burst
CPU Burst
IO Burst
CPU Burst

Minimise waiting time and turn-around time.

J1 -> 15 time units

J2 -> 8 time units

J3-> 10 time units

J4-> 3 time units

FCFS: first come first serve: order is maintained while the job / program execution

J1 -> 15 time units

J2 -> 8 time units

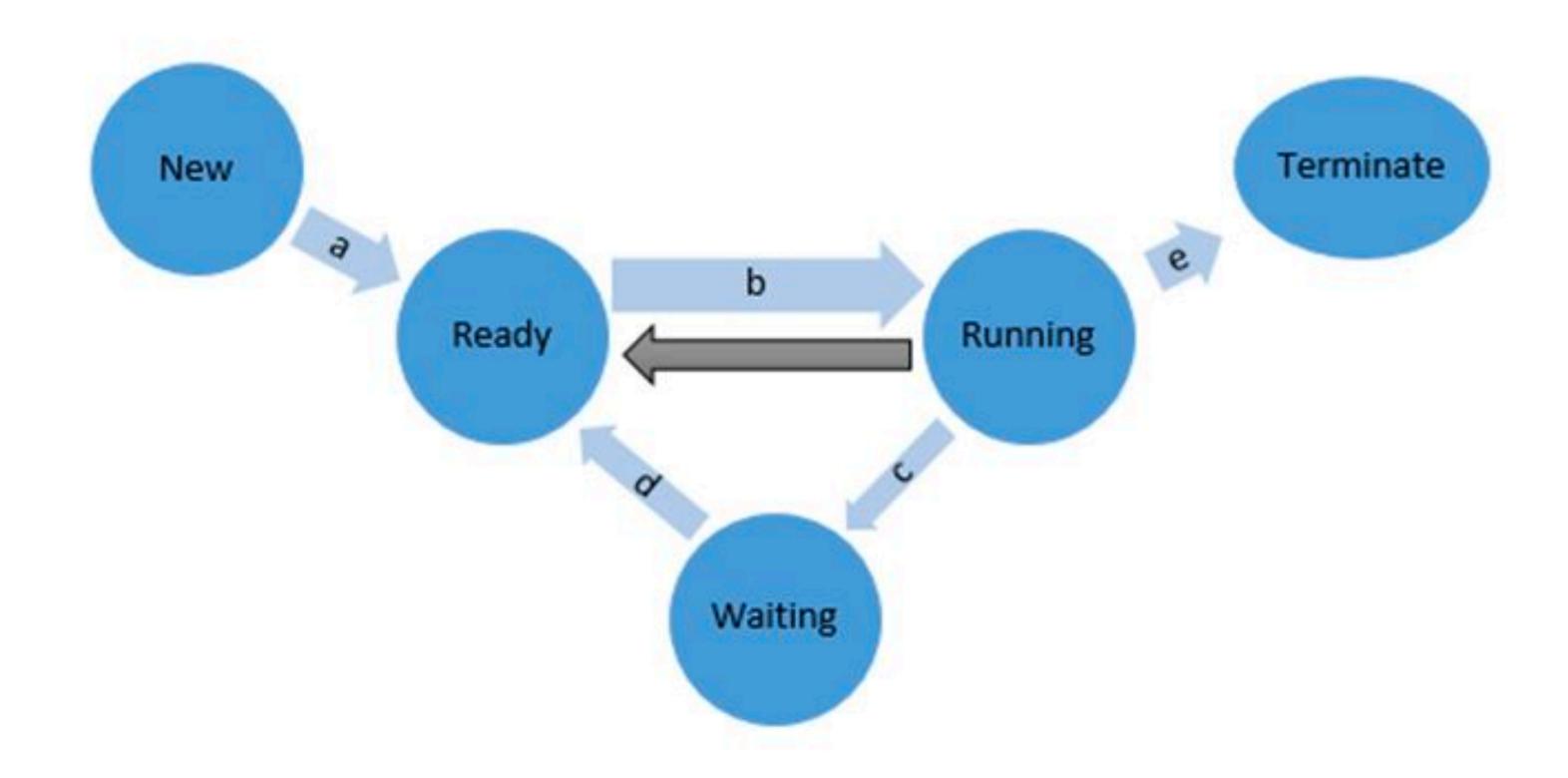
J3-> 10 time units

J4-> 3 time units

SJF: Shortest job first

In SJF, we have to predict will be the next cpu burst time.

Diagram of Process State



Determining Length of Next CPU Burst

- Can only estimate the length
- Can be done by using the length of previous CPU bursts, using exponential averaging

- 1. $t_n = \text{actual length of } n^{th} \text{ CPU burst}$
- 2. τ_{n+1} = predicted value for the next CPU burst
- 3. α , $0 \le \alpha \le 1$
- 4. Define: $\tau_{n+1} = \alpha t_n + (1-\alpha)\tau_n$.

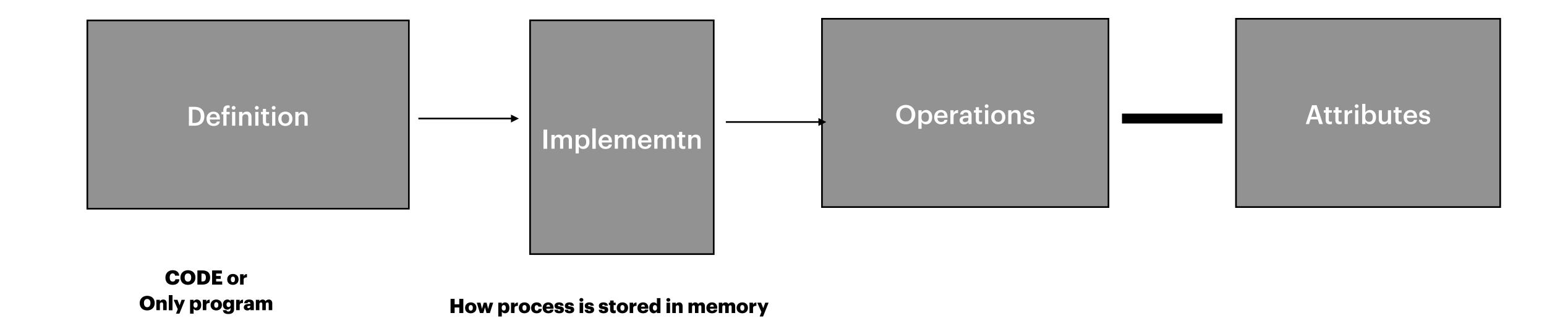
Priority scheduling: A method of scheduling processes based on priority.

Priority = 1/cpu burst time

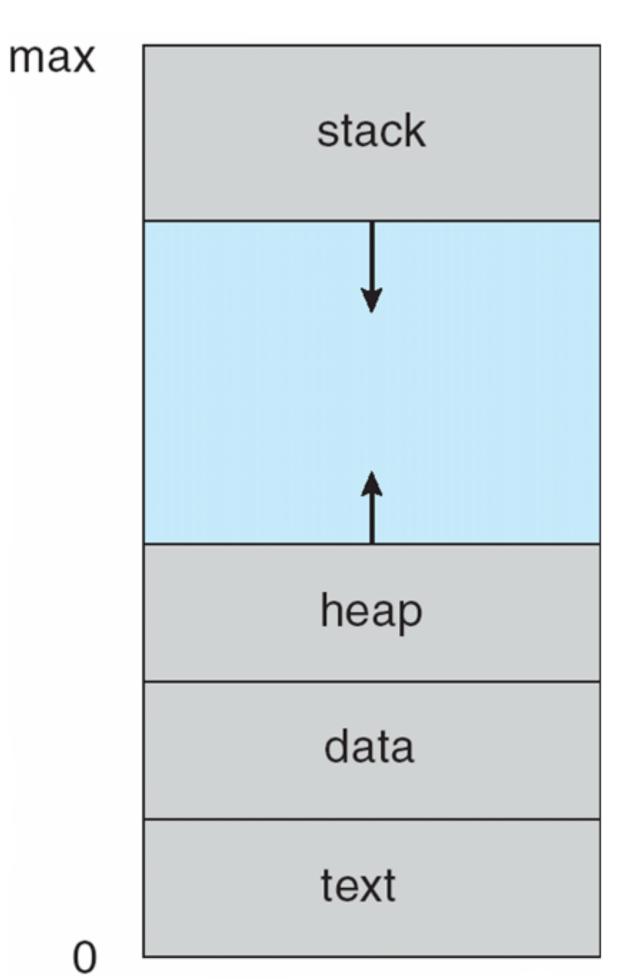
Process Concept (Cont.)

- ? Program under execution
- 2 An instance of a program
- 2 Unit of execution (in CPU terms)
- 2 Locus of control

Process as Data Structure.



Process is stored in Memory: implementation



Stack: local variables, function parameters, return addresses

Heap: for dynamic memory allocation (if memory required during) runtime

Data: will have <u>public</u> and <u>static</u> variables

Text: stores the code (instructions)

Each process is stored in main memory and each process has such kind of implementation

Attributes of a process

Information associated with each process

(also called task control block)

- ?PID
- ?PC
- ?GPR
- ? Type
- ? Size
- ? Priority
- ? State
- ? List of files
- ? Memory limits

Operations on a Process

- Create (resource allocation)
- ? Schedule
- ? Wait / Block
- Suspend, Resume
- ? Terminate (resource deallocation)

Process Control Block (PCB)

PCB is stored in protected ares

PCB is accessed and maintained by os only.

Information associated with each process

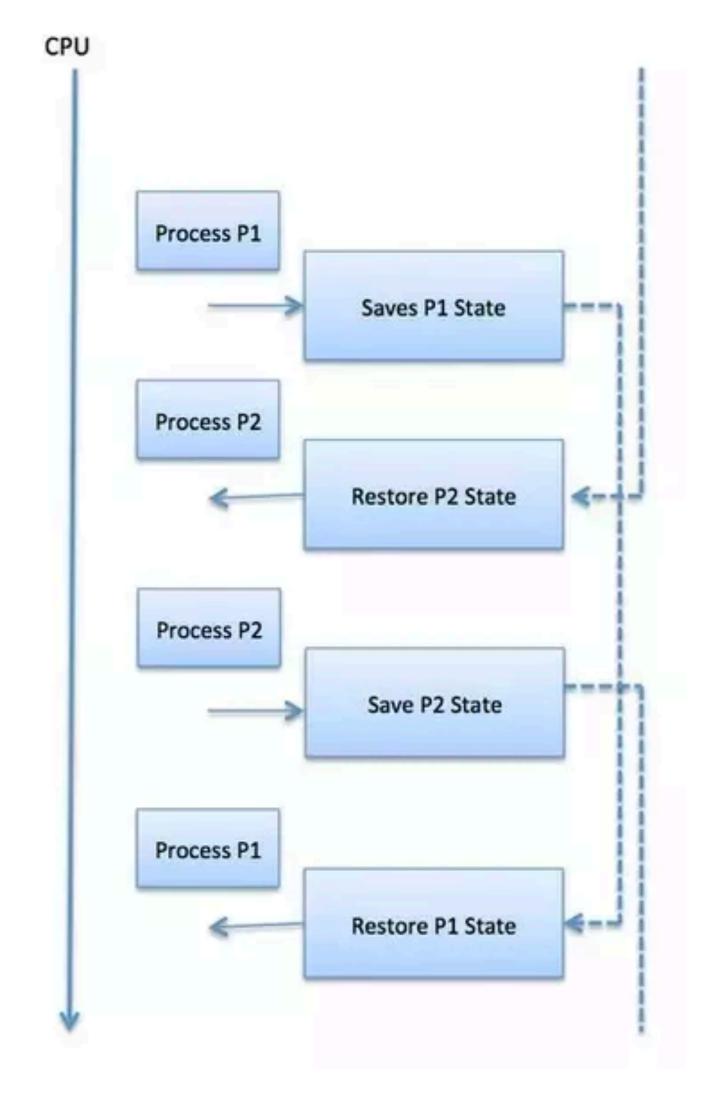
(also called task control block)

- Process state running, waiting, etc
- Program counter location of instruction to next execute
- ? CPU registers contents of all process-centric registers
- **?** CPU scheduling information- priorities, scheduling queue pointers
- ? Memory-management information memory allocated to the process
- 2 Accounting information CPU used, clock time elapsed since start, time limits
- 21/O status information I/O devices allocated to process, list of open files

process state process number program counter registers memory limits list of open files

Context

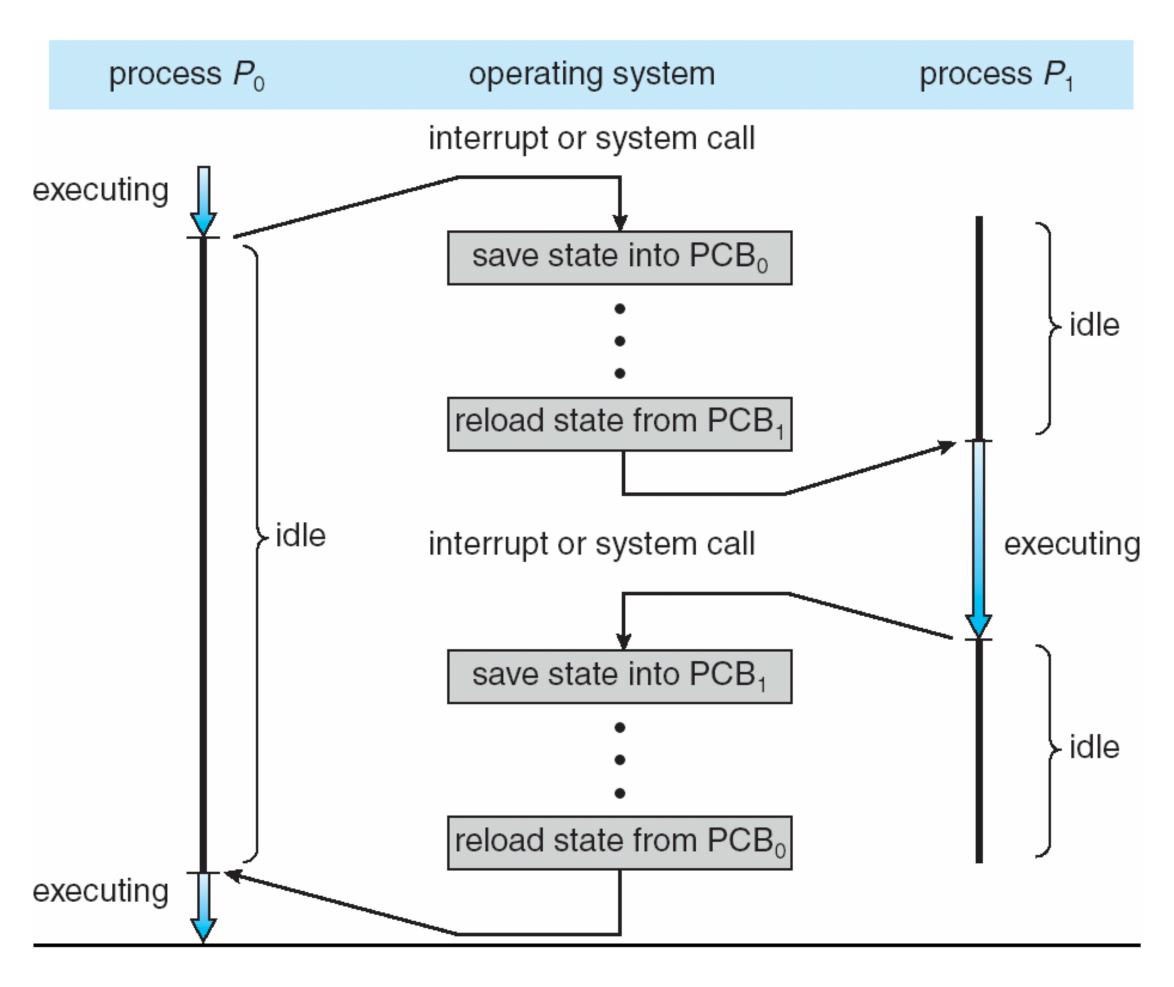
The content of PCB of a process are collectively know as 'Context' of that process.



Q: while running a process can access its PCB from main memory? F/T

Q: a process in the context of computing is? Program in execution

CPU Switch From Process to Process



Threads

- ? So far, process has a single thread of execution
- Consider having multiple program counters per process
 - Multiple locations can execute at once
 - Multiple threads of control -> threads
- Must then have storage for thread details, multiple program counters in PCB
- ? See next chapter

Process Representation in Linux

Represented by the C structure

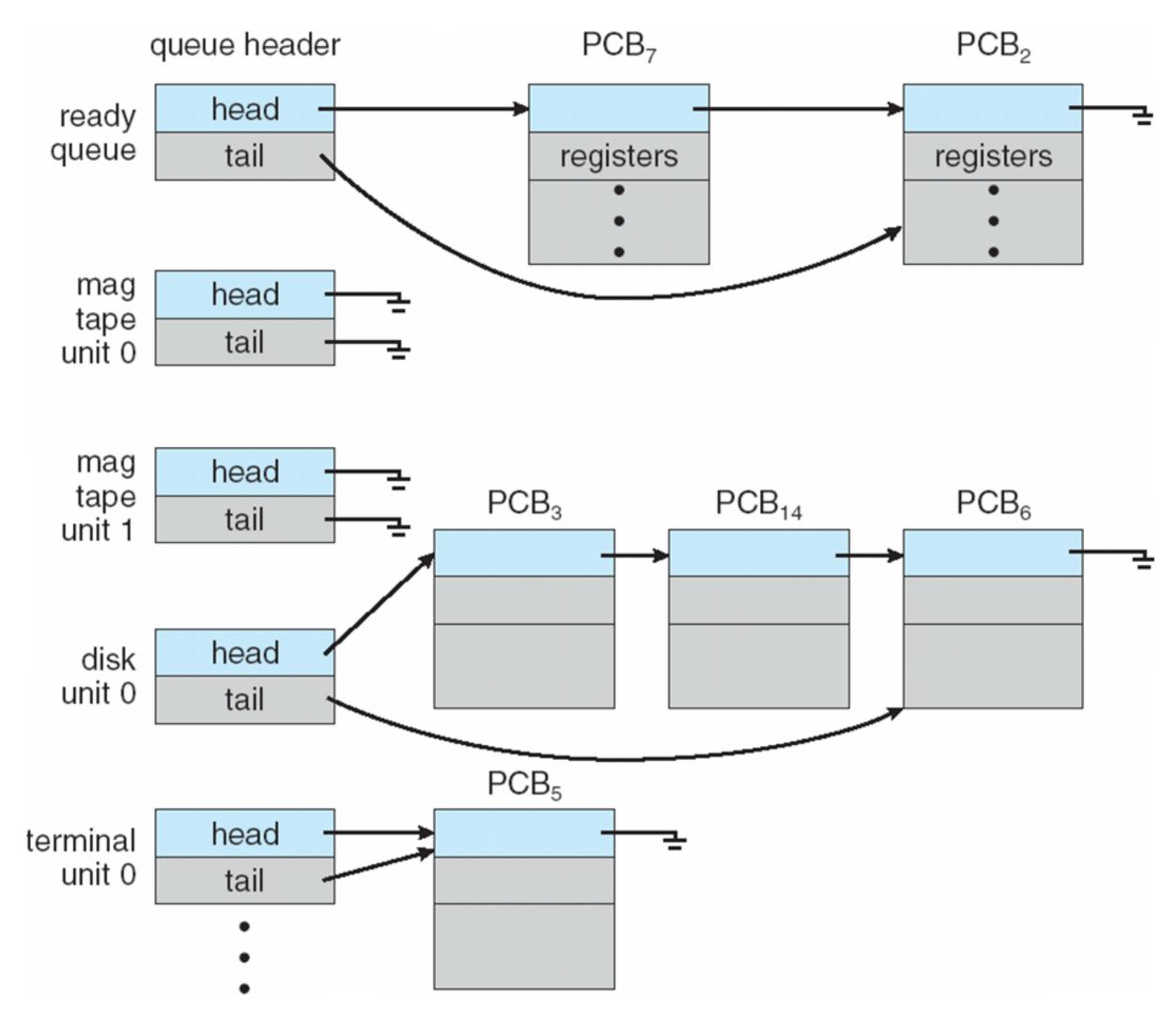
```
task_struct
```

```
pid t pid; /* process identifier */
long state; /* state of the process
* /
unsigned int time ''
information */
                        struct task_struct
                                            struct task_struct
                                                                    struct task_struct
struct task struct
                       process information
                                           process information
                                                                    process information
process's parent
struct list head c
process's children
struct files struc
of open files */
                                                current
struct mm struct *
                                        (currently executing proccess)
space of this process ^/
```

Process Scheduling

- Maximize CPU use, quickly switch processes onto CPU for time sharing
- ? Process scheduler selects among available processes for next execution on CPU
- ? Maintains scheduling queues of processes
 - Job queue set of all processes in the system
 - Ready queue set of all processes residing in main memory, ready and waiting to execute
 - Device queues set of processes waiting for an I/O device
 - Processes migrate among the various queues

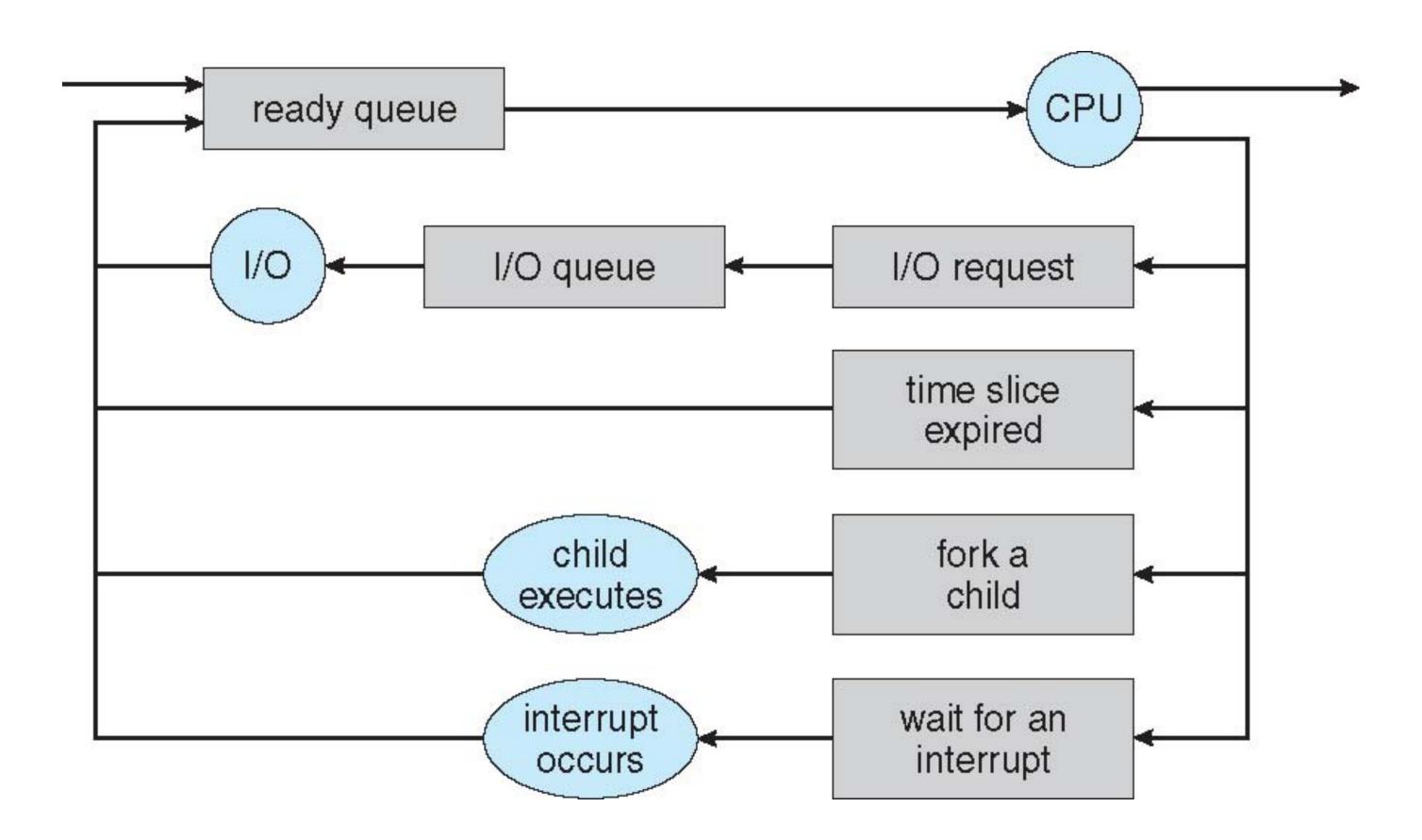
Ready Queue And Various I/O Device Queues



Representation of Process Scheduling

?

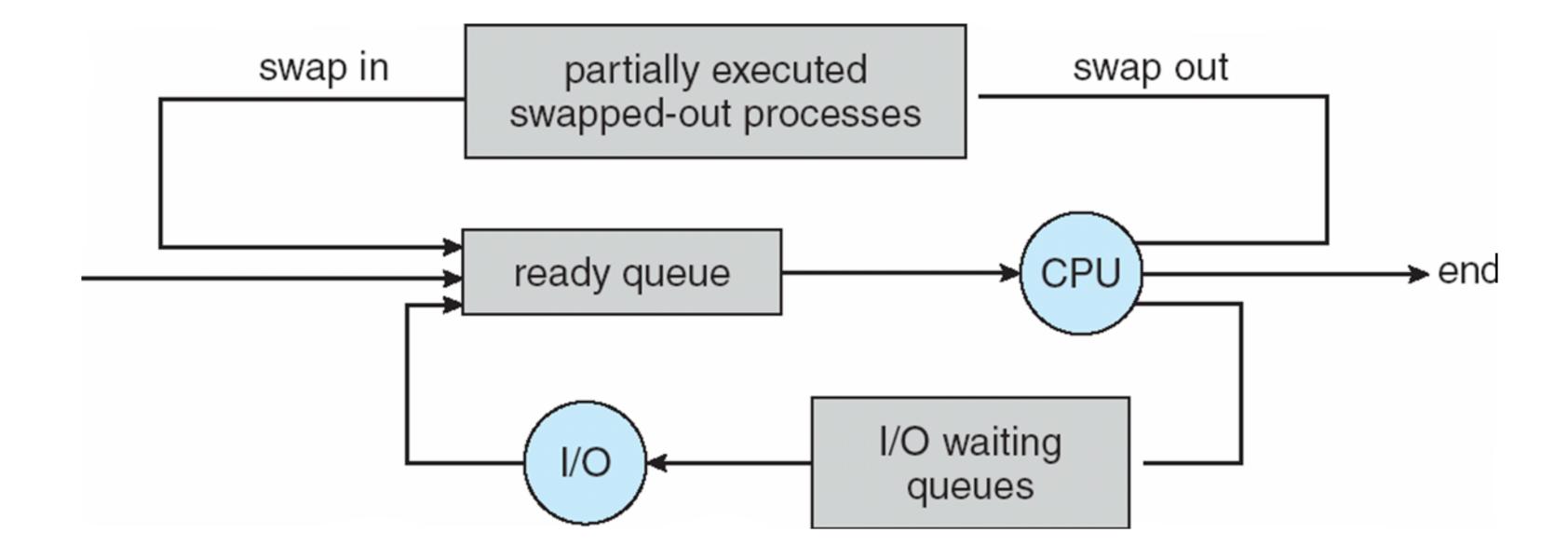
Queueing diagram represents queues, resources, flows



Schedulers

- ? Short-term scheduler (or CPU scheduler) selects which process should be executed next and allocates CPU
 - Sometimes the only scheduler in a system
 - Short-term scheduler is invoked frequently (milliseconds) ⇒ (must be fast)
- ? Long-term scheduler (or job scheduler) selects which processes should be brought into the ready queue
 - Long-term scheduler is invoked infrequently (seconds, minutes) ⇒ (may be slow)
 - The long-term scheduler controls the degree of multiprogramming
- ? Processes can be described as either:
 - I/O-bound process spends more time doing I/O than computations, many short CPU bursts
 - CPU-bound process spends more time doing computations; few very long CPU bursts
- 2 Long-term scheduler strives for good *process mix*

Addition of Medicine Callen can be added if degree of multiple programming needs to decrease Scheduling process from memory, store on disk, bring back in from disk to continue execution: swapping



Multitasking in Mobile Systems

- 2 Some mobile systems (e.g., early version of iOS) allow only one process to run, others suspended
- 2 Due to screen real estate, user interface limits iOS provides for a
 - Single foreground process- controlled via user interface
 - Multiple background processes in memory, running, but not on the display, and with limits
 - Limits include single, short task, receiving notification of events, specific long-running tasks like audio playback
- ? Android runs foreground and background, with fewer limits
 - Background process uses a service to perform tasks
 - Service can keep running even if background process is suspended
 - Service has no user interface, small memory use

Context Switch

- When CPU switches to another process, the system must save the state of the old process and load the saved state for the new process via a context switch
- Context of a process represented in the PCB
- Context-switch time is overhead; the system does no useful work while switching
 - The more complex the OS and the PCB →
 the longer the context switch
- Time dependent on hardware support
 - Some hardware provides multiple sets of registers per CPU → multiple contexts loaded at once

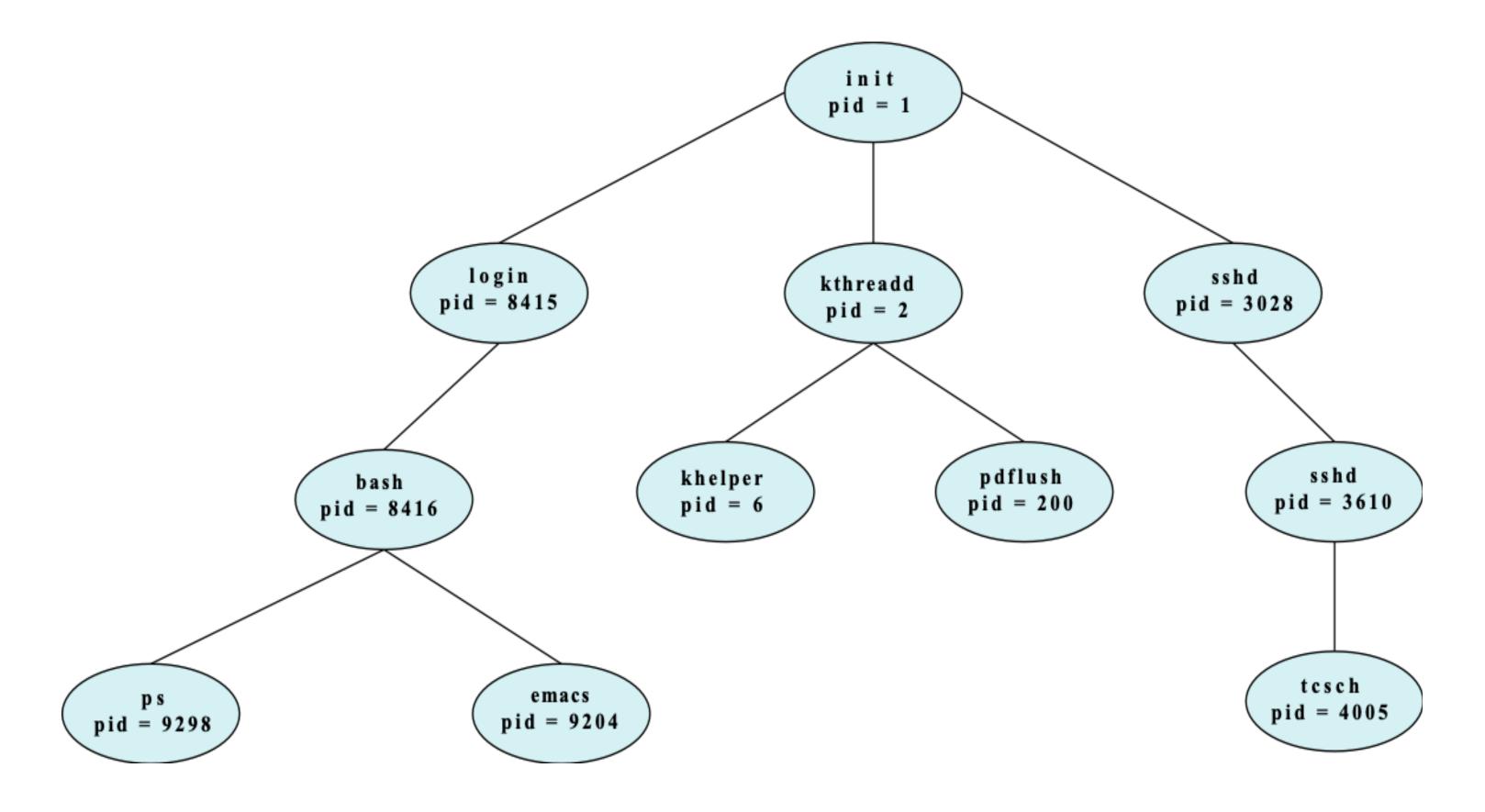
Operations on Processes

- System must provide mechanisms for:
 - process creation,
 - process termination,
 - and so on as detailed next

Process Creation

- Parent process create children processes, which, in turn create other processes, forming a tree of processes
- ? Generally, process identified and managed via a process identifier (pid)
- ? Resource sharing options
 - Parent and children share all resources
 - Children share subset of parent's resources
 - Parent and child share no resources
- ? Execution options
 - Parent and children execute concurrently
 - Parent waits until children terminate

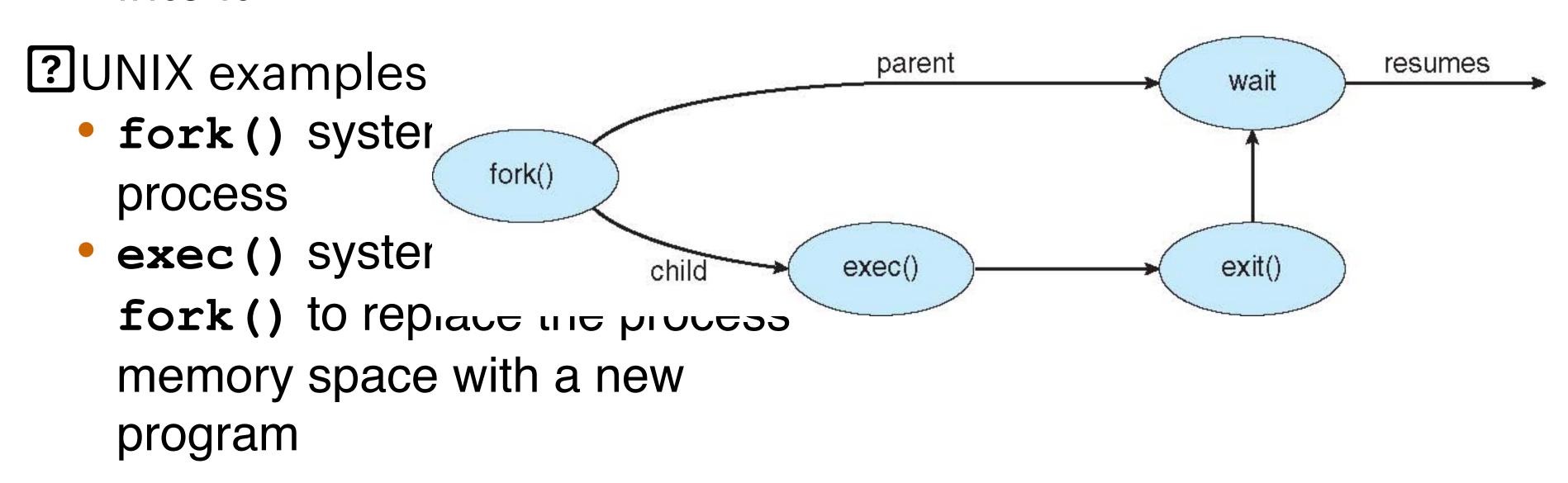
A Tree of Processes in Linux



Process Creation (Cont.)

? Address space

- Child duplicate of parent
- Child has a program loaded into it



C Program Forking Separate Proce^^

```
#include <sys/types.h>
#include <stdio.h>
#include <unistd.h>
int main()
pid_t pid;
   /* fork a child process */
   pid = fork();
   if (pid < 0) { /* error occurred */
      fprintf(stderr, "Fork Failed");
      return 1;
   else if (pid == 0) { /* child process */
      execlp("/bin/ls","ls",NULL);
   else { /* parent process */
      /* parent will wait for the child to complete */
      wait(NULL);
      printf("Child Complete");
   return 0;
```

Creating a Separate Process via Windows API #include <std

```
#include <stdio.h>
#include <windows.h>
int main(VOID)
STARTUPINFO si;
PROCESS_INFORMATION pi;
   /* allocate memory */
   ZeroMemory(&si, sizeof(si));
   si.cb = sizeof(si);
   ZeroMemory(&pi, sizeof(pi));
   /* create child process */
   if (!CreateProcess(NULL, /* use command line */
    "C:\\WINDOWS\\system32\\mspaint.exe", /* command */
    NULL, /* don't inherit process handle */
    NULL, /* don't inherit thread handle */
    FALSE, /* disable handle inheritance */
    0, /* no creation flags */
    NULL, /* use parent's environment block */
    NULL, /* use parent's existing directory */
    &si,
    &pi))
      fprintf(stderr, "Create Process Failed");
     return -1;
   /* parent will wait for the child to complete */
   WaitForSingleObject(pi.hProcess, INFINITE);
   printf("Child Complete");
   /* close handles */
   CloseHandle(pi.hProcess);
   CloseHandle(pi.hThread);
```

Process Termination

- Process executes last statement and then asks the operating system to delete it using the exit() system call.
 - Returns status data from child to parent (via wait())
 - Process' resources are deallocated by operating system
- Parent may terminate the execution of children processes using the abort() system call.

 Some reasons for doing so:
 - Child has exceeded allocated resources
 - Task assigned to child is no longer required
 - The parent is exiting and the operating systems does not allow a child to continue if its parent terminates

Process Termination

- 2 Some operating systems do not allow child to exists if its parent has terminated. If a process terminates, then all its children must also be terminated.
 - cascading termination. All children, grandchildren, etc. are terminated.
 - The termination is initiated by the operating system.
- The parent process may wait for termination of a child process by using the wait() system call. The call returns status information and the pid of the terminated process

```
pid = wait(&status);
```

- If no parent waiting (did not invoke wait()) process is a zombie
- If parent terminated without invoking wait, process is an orphan

Multiprocess Architecture – Chrome Browser

- ? Many web browsers ran as single process (some still do)
 - If one web site causes trouble, entire browser can hang or crash
- ? Google Chrome Browser is multiprocess with 3 different types of processes:
 - Browser process manages user interface, disk and network I/O
 - Renderer process renders web pages, deals with HTML, Javascript. A new renderer created for each we

C www.google.com/chrome/intl/en/male/download-mac.html?brand=CHKZ

Each tab represents a separate process

- Runs in sandbox network I/O, mir security exploits
- Plug-in process for each type of plug-in

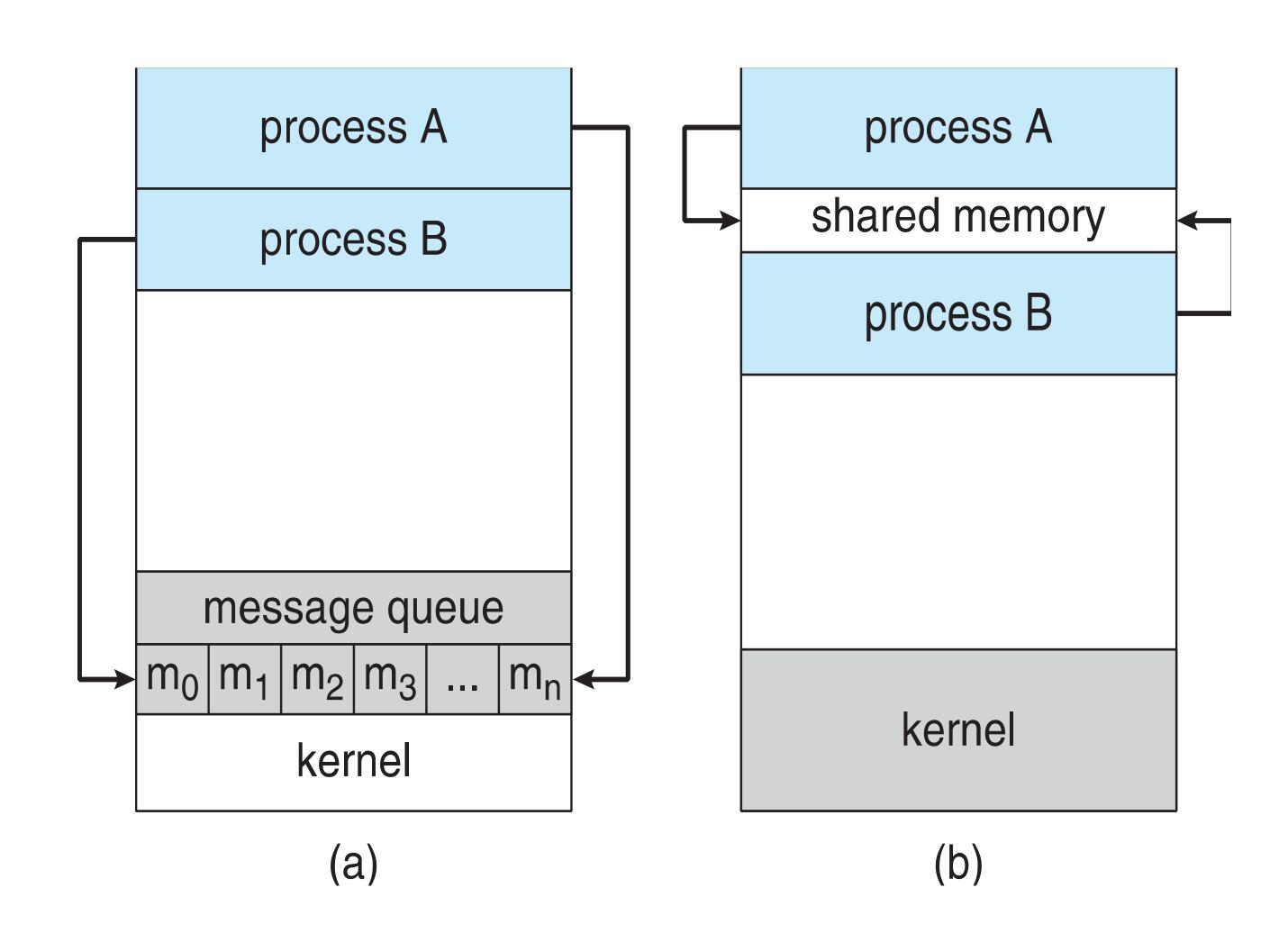
Interprocess Communication

- ? Processes within a system may be *independent* or *cooperating*
- Cooperating process can affect or be affected by other processes, including sharing data
- ? Reasons for cooperating processes:
 - Information sharing
 - Computation speedup
 - Modularity
 - Convenience
- ? Cooperating processes need interprocess communication (IPC)
- Two models of IPC
 - Shared memory
 - Message passing

Communications Models

(a) Message passing. (b)

(b) shared memory.



Cooperating Processes

- ? Independent process cannot affect or be affected by the execution of another process
- ? Cooperating process can affect or be affected by the execution of another process
- ? Advantages of process cooperation
 - Information sharing
 - Computation speed-up
 - Modularity
 - Convenience

Producer-Consumer Problem

- Paradigm for cooperating processes, *producer* process produces information that is consumed by a *consumer* process
 - unbounded-buffer places no practical limit on the size of the buffer
 - bounded-buffer assumes that there is a fixed buffer size

Bounded-Buffer – Shared-Memory Solution

Solution is correct, but can only use BUFFER_SIZE-1 elements

Bounded-Buffer - Producer

Bounded Buffer - Consumer

Interprocess Communication – Shared Memory

- ? An area of memory shared among the processes that wish to communicate
- The communication is under the control of the users processes not the operating system.
- Major issues is to provide mechanism that will allow the user processes to synchronize their actions when they access shared memory.
- 2 Synchronization is discussed in great details in Chapter 5.

Interprocess Communication – Message Passing

- ? Mechanism for processes to communicate and to synchronize their actions
- ? Message system processes communicate with each other without resorting to shared variables
- ? IPC facility provides two operations:
 - send(message)
 - receive(message)
- The *message* size is either fixed or variable

Message Passing (Cont.)

- If processes *P* and *Q* wish to communicate, they need to:
 - Establish a *communication link* between them
 - Exchange messages via send/receive
- ? Implementation issues:
 - How are links established?
 - Can a link be associated with more than two processes?
 - How many links can there be between every pair of communicating processes?
 - What is the capacity of a link?
 - Is the size of a message that the link can accommodate fixed or variable?
 - Is a link unidirectional or bi-directional?

Message Passing (Cont.)

- Implementation of communication link
 - Physical:
 - Shared memory
 - Hardware bus
 - Network
 - Logical:
 - Direct or indirect
 - Synchronous or asynchronous
 - Automatic or explicit buffering

Direct Communication

- Processes must name each other explicitly:
 - send (*P*, *message*) send a message to process P
 - receive(Q, message) receive a message from process Q
- ? Properties of communication link
 - Links are established automatically
 - A link is associated with exactly one pair of communicating processes
 - Between each pair there exists exactly one link
 - The link may be unidirectional, but is usually bi-directional

Indirect Communication

- ? Messages are directed and received from mailboxes (also referred to as ports)
 - Each mailbox has a unique id
 - Processes can communicate only if they share a mailbox
- ? Properties of communication link
 - Link established only if processes share a common mailbox
 - A link may be associated with many processes
 - Each pair of processes may share several communication links
 - Link may be unidirectional or bidirectional

Indirect Communication

? Operations

- create a new mailbox (port)
- send and receive messages through mailbox
- destroy a mailbox

Primitives are defined as:

send(A, message) - send a
message to mailbox A

receive(A, message) – receive a message from mailbox A

Indirect Communication

? Mailbox sharing

- P_1 , P_2 , and P_3 share mailbox A
- P_1 , sends; P_2 and P_3 receive
- Who gets the message?

? Solutions

- Allow a link to be associated with at most two processes
- Allow only one process at a time to execute a receive operation
- Allow the system to select arbitrarily the receiver. Sender is notified who the receiver was.

Synchronization

- Message passing may be either blocking or nonblocking
- ? Blocking is considered synchronous
 - Blocking send -- the sender is blocked until the message is received
 - Blocking receive -- the receiver is blocked until a message is available
- ? Non-blocking is considered asynchronous
 - Non-blocking send -- the sender sends the message and continue
 - Non-blocking receive -- the receiver receives:
 - ? A valid message, or
 - ? Null message
- ? Different combinations possible
 - If both send and receive are blocking, we have a rendezvous

Synchronization (Cont.)

? Producer-consumer becomes trivial

Buffering

- 2 Queue of messages attached to the link.
- 2 implemented in one of three ways
 - Zero capacity no messages are queued on a link.
 Sender must wait for receiver (rendezvous)
 - 2. Bounded capacity finite length of *n* messages
 Sender must wait if link full
 - 3. Unbounded capacity infinite length Sender never waits

Examples of IPC Systems - POSIX

? POSIX Shared Memory

- Process first creates shared memory segment
 shm_fd = shm_open(name, O CREAT | O RDWR, 0666);
- Also used to open an existing segment to share it
- Set the size of the object

```
ftruncate(shm fd, 4096);
```

 Now the process could write to the shared memory

```
sprintf(shared memory,
   "Writing to shared memory");
```

PC POSIX Produce < stdio.h>

```
#include <stdlib.h>
#include <string.h>
#include <fcntl.h>
#include <sys/shm.h>
#include <sys/stat.h>
int main()
/* the size (in bytes) of shared memory object */
const int SIZE = 4096;
/* name of the shared memory object */
const char *name = "OS";
/* strings written to shared memory */
const char *message_0 = "Hello";
const char *message_1 = "World!";
/* shared memory file descriptor */
int shm_fd;
/* pointer to shared memory obect */
void *ptr;
   /* create the shared memory object */
   shm_fd = shm_open(name, O_CREAT | O_RDWR, 0666);
   /* configure the size of the shared memory object */
   ftruncate(shm_fd, SIZE);
   /* memory map the shared memory object */
   ptr = mmap(0, SIZE, PROT_WRITE, MAP_SHARED, shm_fd, 0);
   /* write to the shared memory object */
   sprintf(ptr,"%s",message_0);
   ptr += strlen(message_0);
   sprintf(ptr,"%s",message_1);
   ptr += strlen(message_1);
   return 0;
```

PC POSIX Conclude <stdio.h>

```
#include <stdlib.h>
#include <fcntl.h>
#include <sys/shm.h>
#include <sys/stat.h>
int main()
/* the size (in bytes) of shared memory object */
const int SIZE = 4096;
/* name of the shared memory object */
const char *name = "OS";
/* shared memory file descriptor */
int shm_fd;
/* pointer to shared memory obect */
void *ptr;
   /* open the shared memory object */
   shm_fd = shm_open(name, O_RDONLY, 0666);
   /* memory map the shared memory object */
   ptr = mmap(0, SIZE, PROT_READ, MAP_SHARED, shm_fd, 0);
   /* read from the shared memory object */
   printf("%s",(char *)ptr);
   /* remove the shared memory object */
   shm_unlink(name);
   return 0;
```

Examples of IPC Systems - Mach

? Mach communication is message based

- Even system calls are messages
- Each task gets two mailboxes at creation-Kernel and Notify
- Only three system calls needed for message transfer

msg_send(), msg_receive(), msg_rpc()

Mailboxes needed for commuication, created via

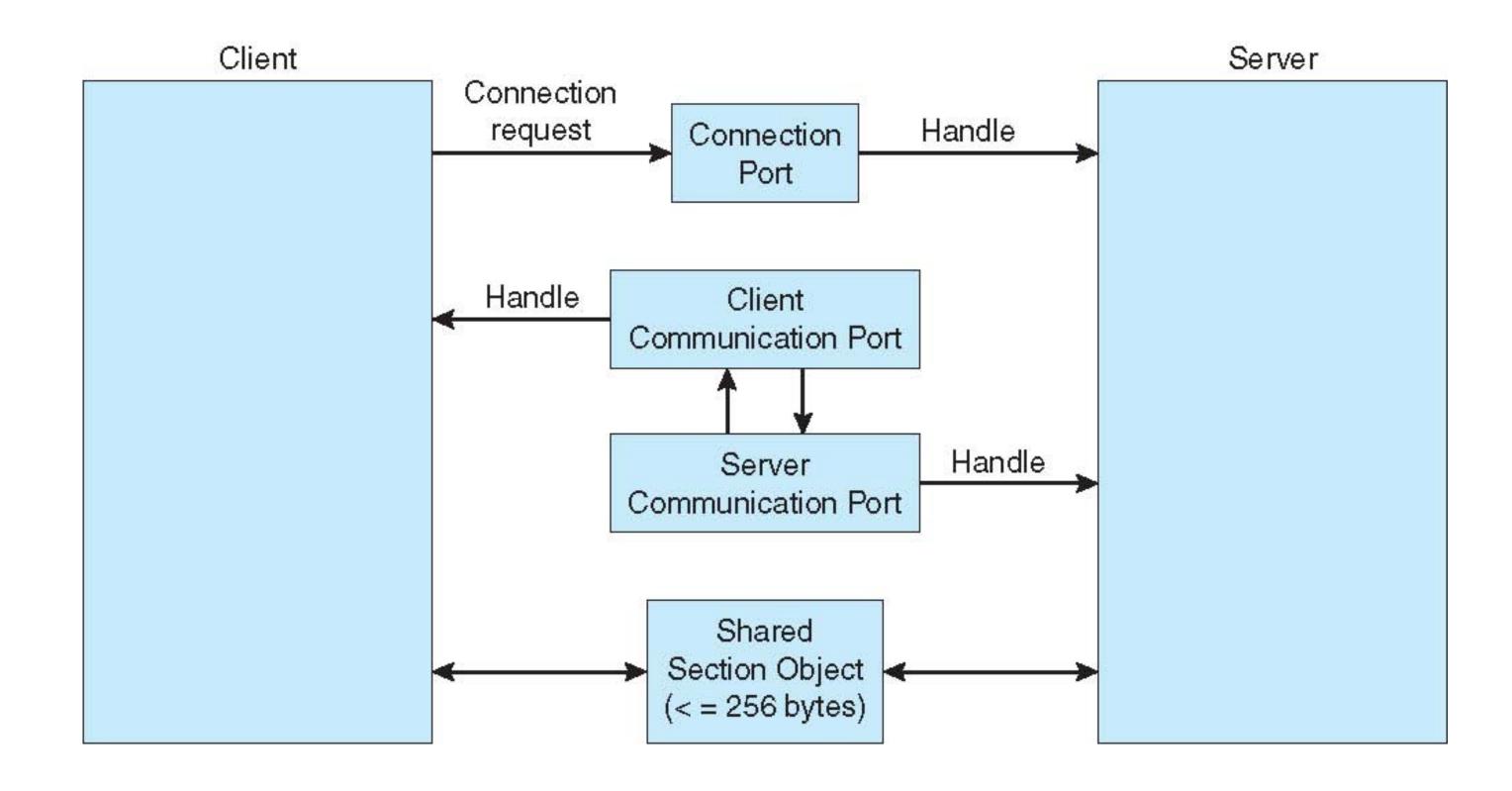
port_allocate()

- Send and receive are flexible, for example four options if mailbox full:
 - Wait indefinitely
 - Wait at most n milliseconds
 - Return immediately
 - Temporarily cache a message

Examples of IPC Systems – Windows

- ? Message-passing centric via advanced local procedure call (LPC) facility
 - Only works between processes on the same system
 - Uses ports (like mailboxes) to establish and maintain communication channels
 - Communication works as follows:
 - The client opens a handle to the subsystem's connection port object.
 - The client sends a connection request.
 - The server creates two private communication ports and returns the handle to one of them to the client.
 - The client and server use the corresponding port handle to send messages or callbacks and to listen for replies.

Local Procedure Calls in Windows



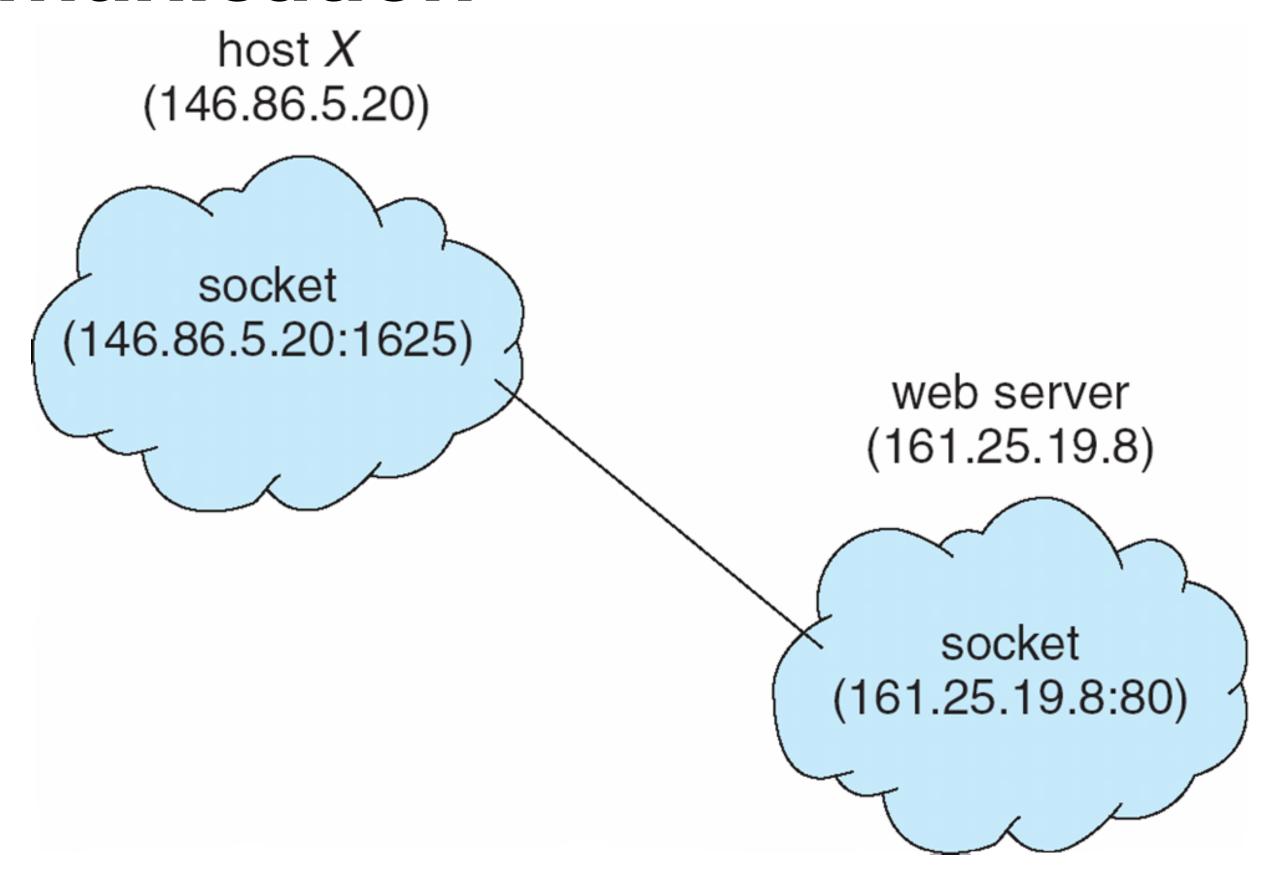
Communications in Client-Server Systems

- ? Sockets
- Remote Procedure Calls
- ? Pipes
- Remote Method Invocation (Java)

Sockets

- ? A socket is defined as an endpoint for communication
- Concatenation of IP address and port a number included at start of message packet to differentiate network services on a host
- The socket **161.25.19.8:1625** refers to port **1625** on host **161.25.19.8**
- ? Communication consists between a pair of sockets
- ? All ports below 1024 are *well known*, used for standard services
- Special IP address 127.0.0.1 (loopback) to refer to system on which process is running

Socket Communication



Sockets in Java

- Three types of sockets
 - Connection-oriented (TCP)
 - Connectionless (UDP)
 - MulticastSocket class data can be sent to multiple recipients

Consider this "Date" server:

```
import java.net.*;
import java.io.*;
public class DateServer
  public static void main(String[] args) {
     try {
       ServerSocket sock = new ServerSocket(6013);
       /* now listen for connections */
       while (true) {
          Socket client = sock.accept();
          PrintWriter pout = new
           PrintWriter(client.getOutputStream(), true);
          /* write the Date to the socket */
          pout.println(new java.util.Date().toString());
          /* close the socket and resume */
          /* listening for connections */
          client.close();
     catch (IOException ioe) {
       System.err.println(ioe);
```

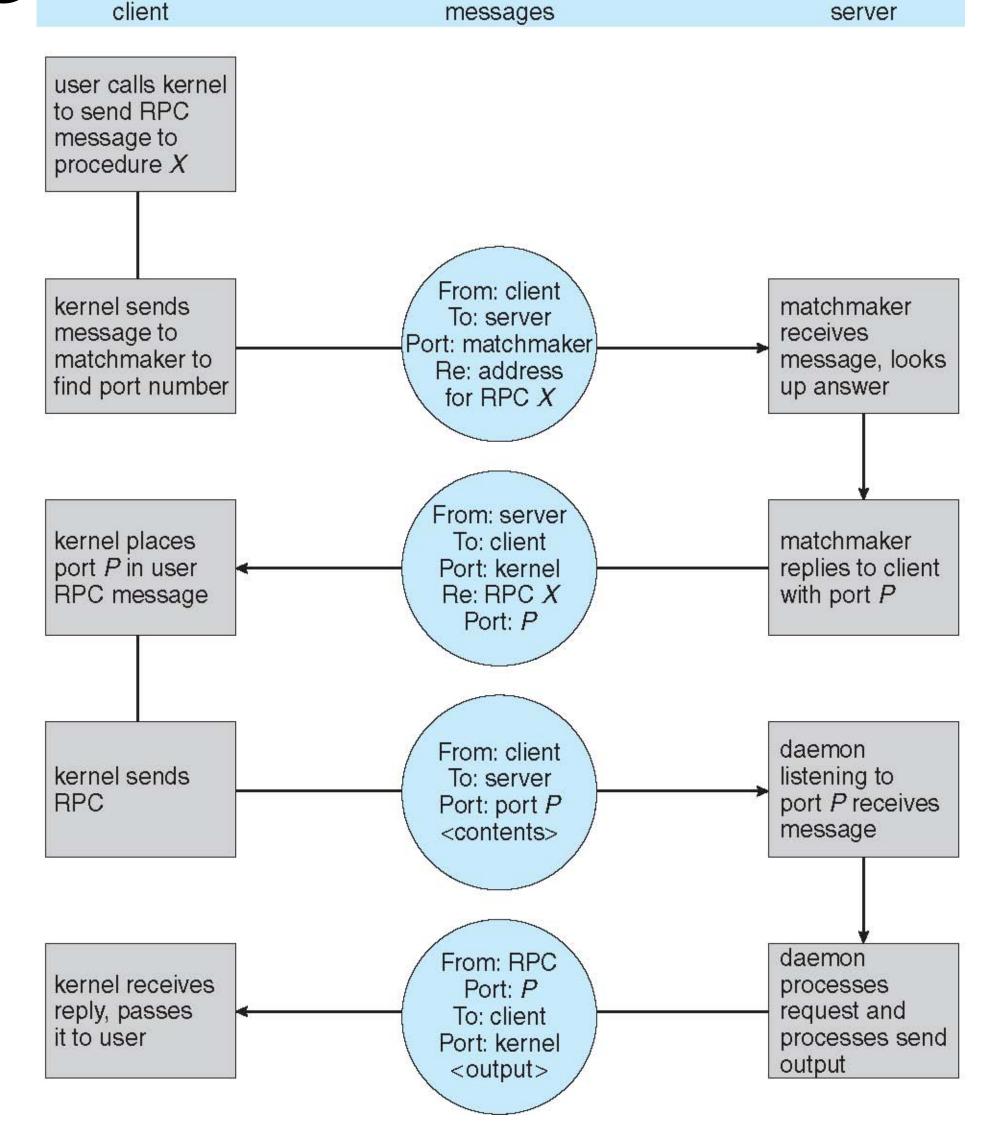
Remote Procedure Calls

- Remote procedure call (RPC) abstracts procedure calls between processes on networked systems
 - Again uses ports for service differentiation
- Stubs client-side proxy for the actual procedure on the server
- The client-side stub locates the server and marshalls the parameters
- The server-side stub receives this message, unpacks the marshalled parameters, and performs the procedure on the server
- ②On Windows, stub code compile from specification written in Microsoft Interface Definition Language (MIDL)

Remote Procedure Calls (Cont.)

- 2 Data representation handled via External Data Representation (XDL) format to account for different architectures
 - Big-endian and little-endian
- Remote communication has more failure scenarios than local
 - Messages can be delivered exactly once rather than at most once
- ②OS typically provides a rendezvous (or matchmaker) service to connect client and server

Execution of RPC

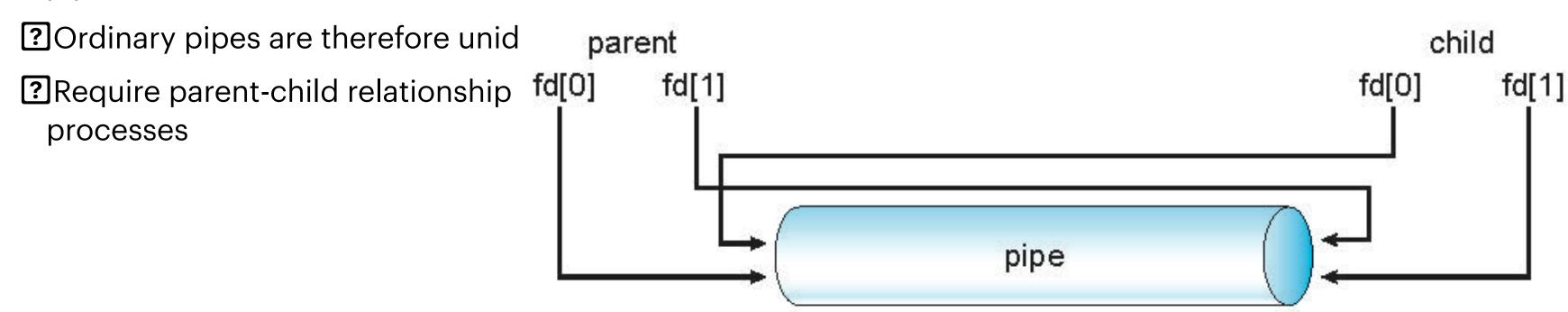


Pipes

- ? Acts as a conduit allowing two processes to communicate
- ? Issues:
 - Is communication unidirectional or bidirectional?
 - In the case of two-way communication, is it half or full-duplex?
 - Must there exist a relationship (i.e., *parent-child*) between the communicating processes?
 - Can the pipes be used over a network?
- 2 Ordinary pipes cannot be accessed from outside the process that created it. Typically, a parent process creates a pipe and uses it to communicate with a child process that it created.
- Named pipes can be accessed without a parent-child relationship.

Ordinary Pipes

- Ordinary Pipes allow communication in standard producerconsumer style
- ? Producer writes to one end (the write-end of the pipe)
- Consumer reads from the other end (the read-end of the pipe)



- ? Windows calls these anonymous pipes
- See Unix and Windows code samples in textbook

Named Pipes

- ? Named Pipes are more powerful than ordinary pipes
- ? Communication is bidirectional
- ? No parent-child relationship is necessary between the communicating processes
- ? Several processes can use the named pipe for communication
- ? Provided on both UNIX and Windows systems

End of Chapter 3