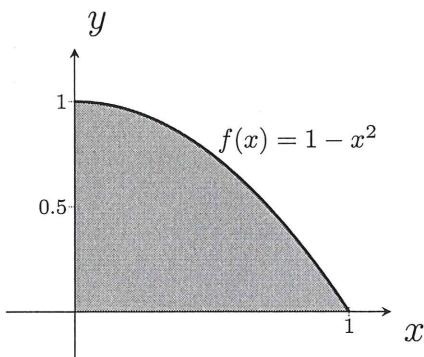
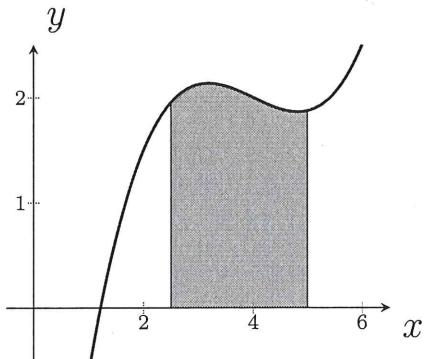
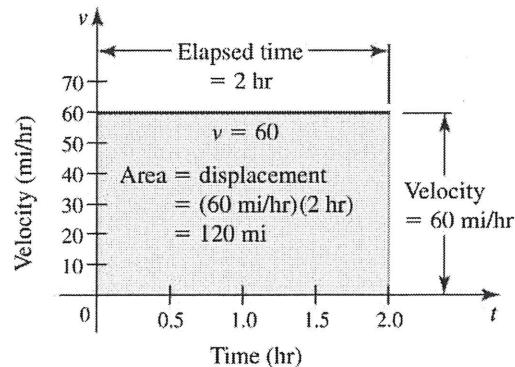
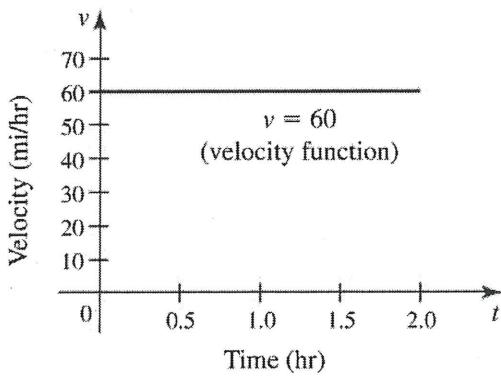
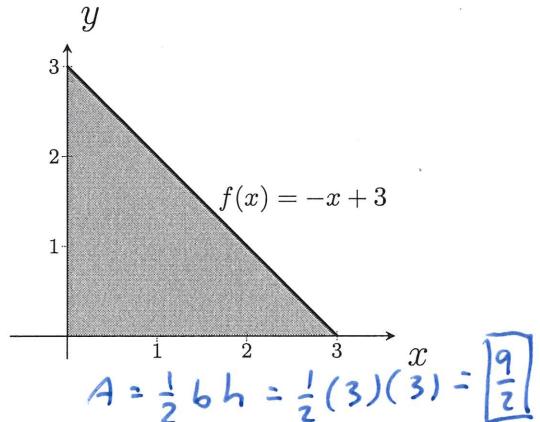
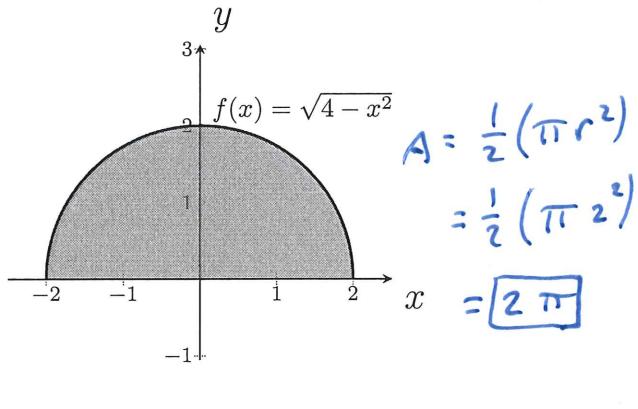


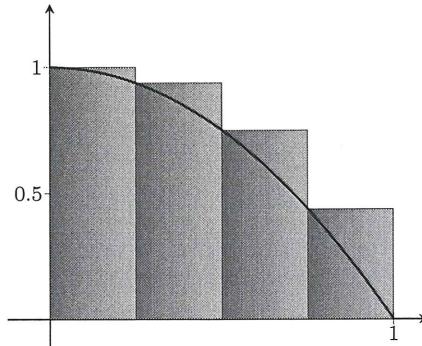
## 5.1: Approximating Areas under Curves



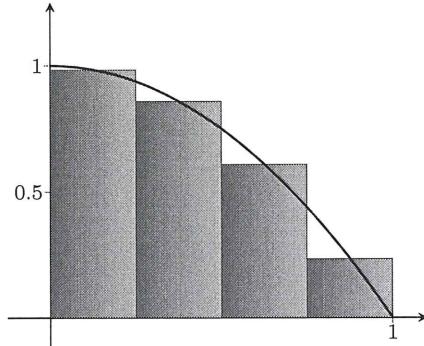
Finding the area under the curve is simple in some cases:



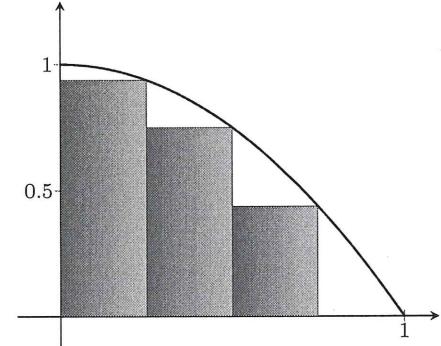
For functions whose curves are irregular shapes, we can approximate the area using rectangles:



Left rectangles

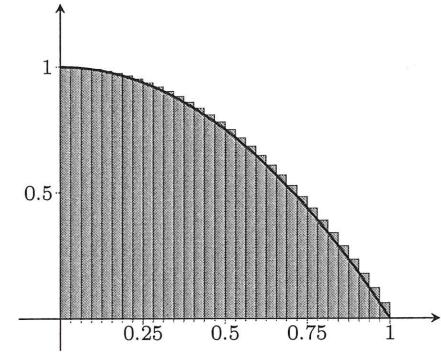
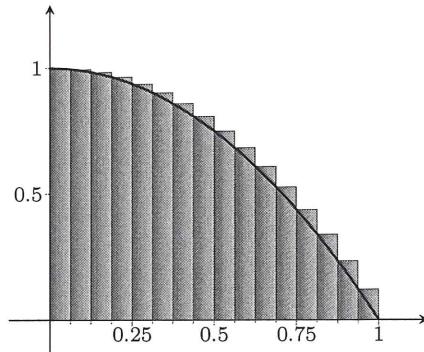
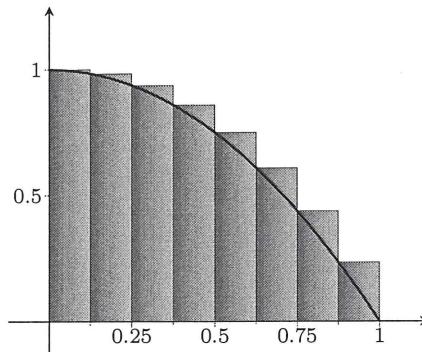


Midpoint rectangles



Right rectangles

These approximations are much more accurate when more rectangles are used:



## Definition. (Riemann Sum)

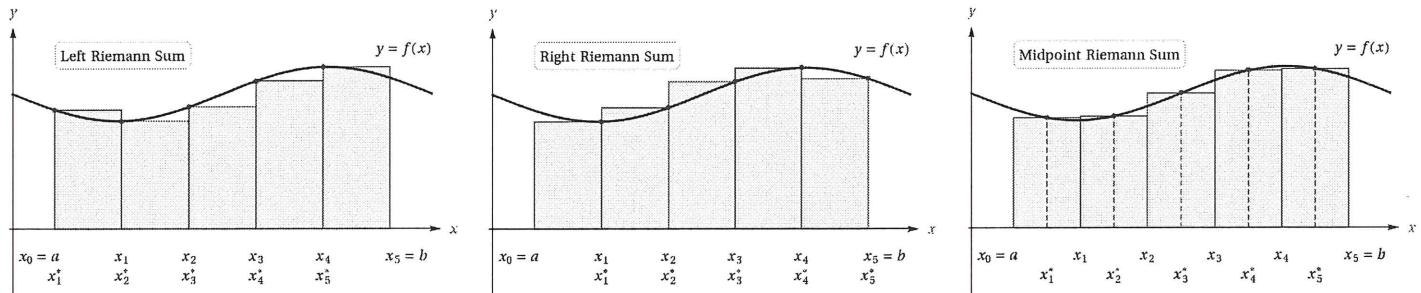
Suppose  $f$  is defined on a closed interval  $[a, b]$ , which is divided into  $n$  subintervals of equal length  $\Delta x$ . If  $x_k^*$  is any point in the  $k$ -th subinterval  $[x_{k-1}, x_k]$ , for  $k = 1, 2, \dots, n$ , then

$$f(x_1^*)\Delta x + f(x_2^*)\Delta x + \cdots + f(x_n^*)\Delta x$$

is called a **Riemann sum** for  $f$  on  $[a, b]$ . This sum is called

- a **left Riemann sum** if  $x_k^*$  is the left endpoint of  $[x_{k-1}, x_k]$ ,
- a **right Riemann sum** if  $x_k^*$  is the right endpoint of  $[x_{k-1}, x_k]$ , and
- a **midpoint Riemann sum** if  $x_k^*$  is the midpoint of  $[x_{k-1}, x_k]$ , for  $k = 1, 2, \dots, n$ .

In general, midpoint rectangles give better approximations than left or right rectangles.



When estimating the area under the graph on the interval  $[a, b]$ , we define

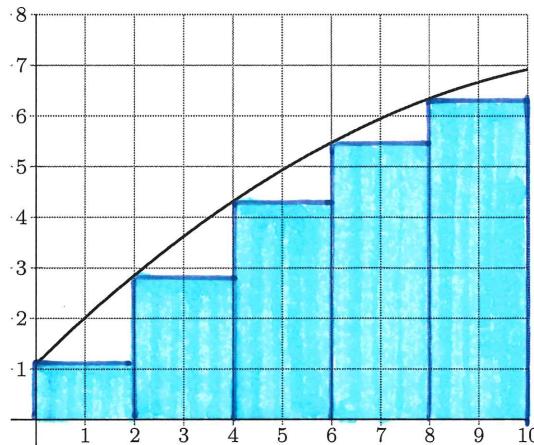
$$\Delta x = \frac{b - a}{n}$$

to be the width of the rectangles. The height of the rectangles is given by  $f(x_i)$ , where the  $x_i$ 's are  $\Delta x$  apart.

**Example.** Use the plots below to estimate the area under the given graph of  $f(x)$ :

Sketch five rectangles and use them to find a lower estimate for the area under the given graph of  $f(x)$  from  $x = 0$  to  $x = 10$ .

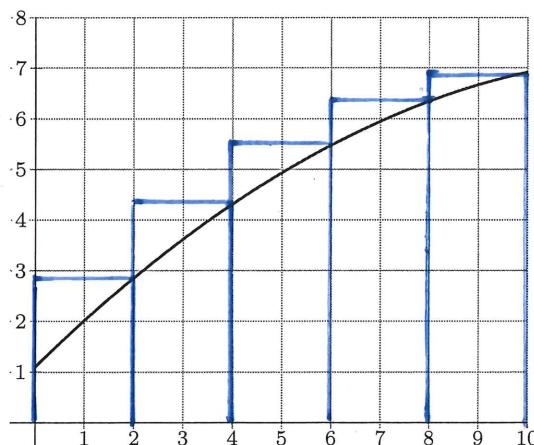
$$\Delta x = \frac{10-0}{5} = 2$$



$$A \approx 2(1) + 2(3) + 2(4.3) + 2(5.5) + 2(6.4) \\ = 2(20) = 40$$

Sketch five rectangles and use them to find an upper estimate for the area under the given graph of  $f(x)$  from  $x = 0$  to  $x = 10$ .

$$\Delta x = \frac{10-0}{5} = 2$$



$$A \approx 2(3 + 4.3 + 5.5 + 6.2 + 6.8) \\ = 2(25.8) = 51.6$$

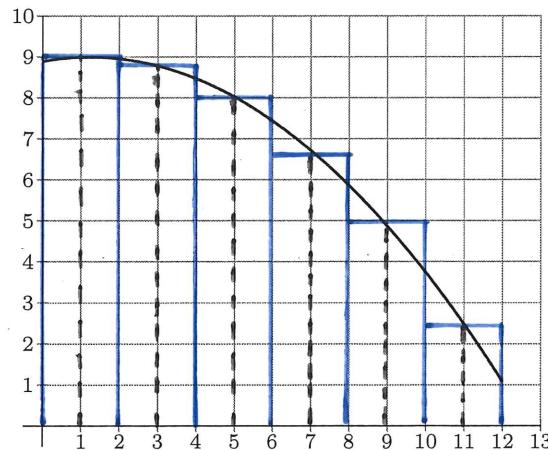
Use six right rectangles to estimate the area under the given graph of  $f(x)$  from  $x = 0$  to  $x = 12$ . Is the estimate an under-approximation or an over-approximation? Why?

$$\begin{aligned}\Delta x &= \frac{12-0}{6} = 2 \\ A &\approx 2(9 + 8.5 + 7.5 \\ &\quad + 5.9 + 3.7 + 1.1) \\ &= 2(35.7) = 71.4 \\ \text{Underestimate since } f(x) &\text{ is decreasing.}\end{aligned}$$



Use six midpoint rectangles to estimate the area under the given graph of  $f(x)$  from  $x = 0$  to  $x = 12$ . Talk about the quality of this estimate.

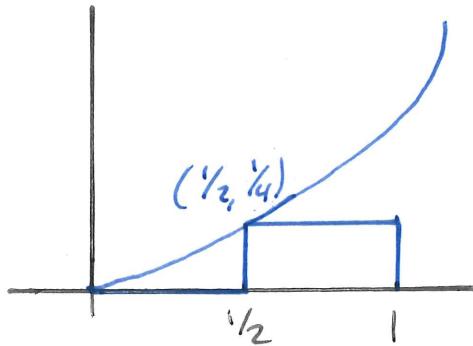
$$\begin{aligned}\Delta x &= \frac{12-0}{6} = 2 \\ A &\approx 2(9 + 8.8 + 8 + 7.6 \\ &\quad + 5 + 2.5) \\ &= 2(40.9) \\ &= 81.8\end{aligned}$$



The midpoint Riemann is a good approximation since the over & under estimates are roughly equal.

**Example.** Consider  $f(x) = x^2$  on the interval  $[0, 1]$ . True area:  $\frac{1}{3} = 0.\bar{3}$

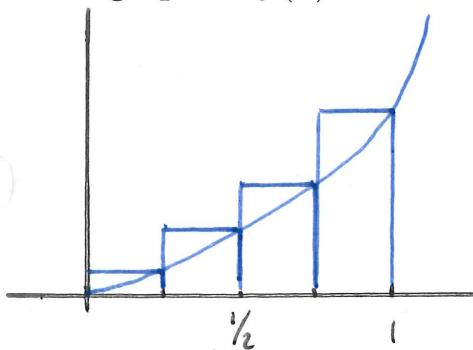
- a) Use two rectangles of equal width to find a lower sum for the area under the graph of  $f(x)$ .



$$\Delta x = \frac{1-0}{2} = \frac{1}{2}$$

$$A \approx \frac{1}{2}(0) + \frac{1}{2}\left(\frac{1}{4}\right) = \frac{1}{8} = 0.125$$

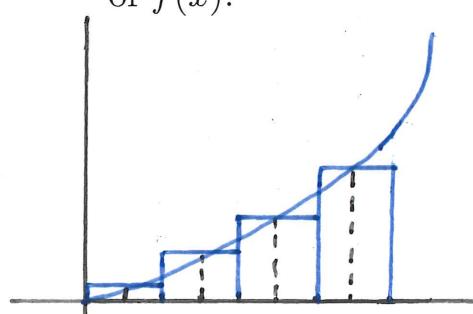
- b) Use four rectangles of equal width to find an upper sum for the area under the graph of  $f(x)$ .



$$\Delta x = \frac{1-0}{4} = \frac{1}{4}$$

$$A \approx \frac{1}{4}\left(\frac{1}{4}\right)^2 + \frac{1}{4}\left(\frac{1}{2}\right)^2 + \frac{1}{4}\left(\frac{3}{4}\right)^2 + \frac{1}{4}(1)^2 \\ = \frac{15}{32} = 0.46875$$

- c) Use four midpoint rectangles of equal width to estimate the area under the graph of  $f(x)$ .



$$\Delta x = \frac{1-0}{4} = \frac{1}{4}$$

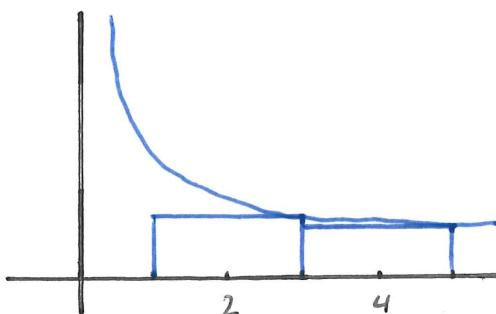
$$A \approx \frac{1}{4}\left(\frac{1}{8}\right)^2 + \frac{1}{4}\left(\frac{3}{8}\right)^2 + \frac{1}{4}\left(\frac{5}{8}\right)^2 + \frac{1}{4}\left(\frac{7}{8}\right)^2 \\ = \frac{21}{64} = 0.328125$$

**Example.** Consider  $f(x) = \frac{1}{x}$  on the interval  $[1, 5]$ . True area:  $\ln(5) \approx 1.609438$

- a) Use two rectangles of equal width to find a lower sum for the area under the graph of  $f(x)$ .

$$\Delta x = \frac{5-1}{2} = 2$$

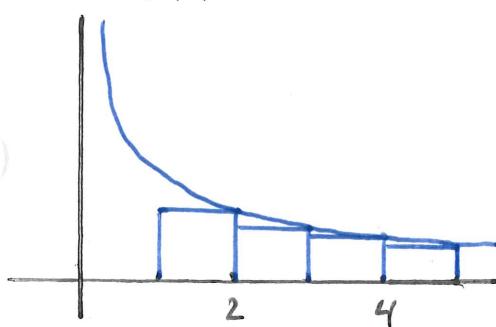
$$A \approx 2\left(\frac{1}{3}\right) + 2\left(\frac{1}{5}\right) = \frac{16}{15} = 1.0\bar{6}$$



- b) Use four rectangles of equal width to find a lower sum for the area under the graph of  $f(x)$ .

$$\Delta x = \frac{5-1}{4} = 1$$

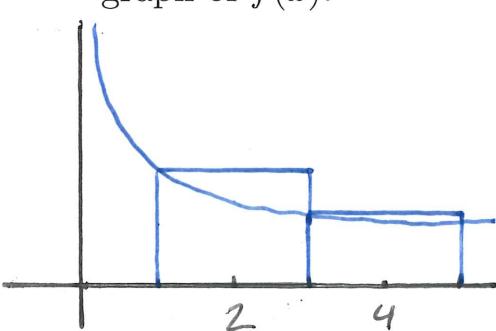
$$A \approx 1\left(\frac{1}{2}\right) + 1\left(\frac{1}{3}\right) + 1\left(\frac{1}{4}\right) + 1\left(\frac{1}{5}\right) = \frac{77}{60} = 1.28\bar{3}$$



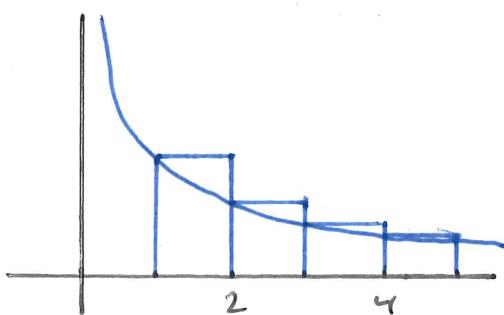
- c) Use two rectangles of equal width to find an upper sum for the area under the graph of  $f(x)$ .

$$\Delta x = \frac{5-1}{2} = 2$$

$$A \approx 2(1) + 2\left(\frac{1}{3}\right) = \frac{8}{3} = 2.\bar{6}$$



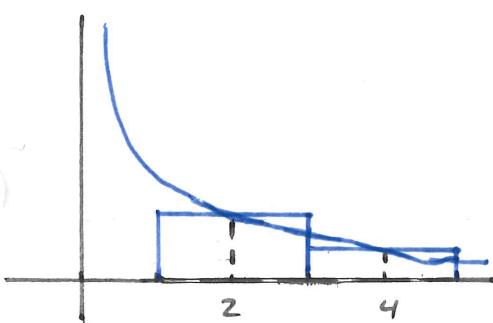
- d) Use four rectangles of equal width to find an upper sum for the area under the graph of  $f(x)$ .



$$\Delta x = \frac{5-1}{4} = 1$$

$$A \approx 1(1) + 1\left(\frac{1}{2}\right) + 1\left(\frac{1}{3}\right) + 1\left(\frac{1}{4}\right) = \frac{25}{12} = 2.08\bar{3}$$

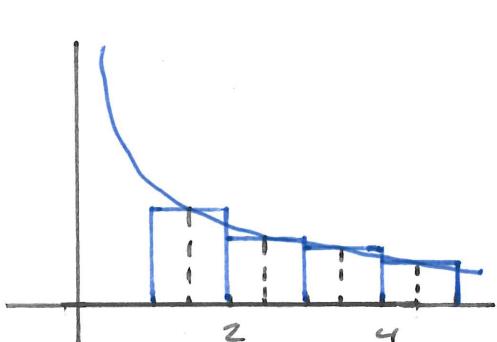
- e) Use two midpoint rectangles of equal width to estimate the area under the graph of  $f(x)$ .



$$\Delta x = \frac{5-1}{2} = 2$$

$$A \approx 2\left(\frac{1}{2}\right) + 2\left(\frac{1}{4}\right) = \frac{3}{2} = 1.5$$

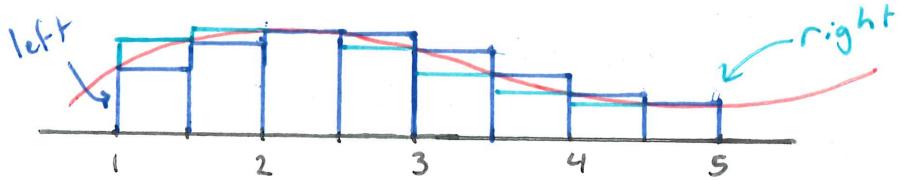
- f) Use four midpoint rectangles of equal width to estimate the area under the graph of  $f(x)$ .



$$\Delta x = \frac{5-1}{4} = 1$$

$$A \approx 1\left(\frac{2}{3}\right) + 1\left(\frac{2}{5}\right) + 1\left(\frac{2}{7}\right) + 1\left(\frac{2}{9}\right)$$

$$= \frac{496}{315} = 1.5\overline{746031}$$



**Example.** Use the tabulated values of  $f$  to evaluate both the left and right Riemann sums. ( $n = 8, [1, 5]$ )

$x$	1	1.5	2	2.5	3	3.5	4	4.5	5
$f(x)$	0	2	3	2	2	1	0	2	3

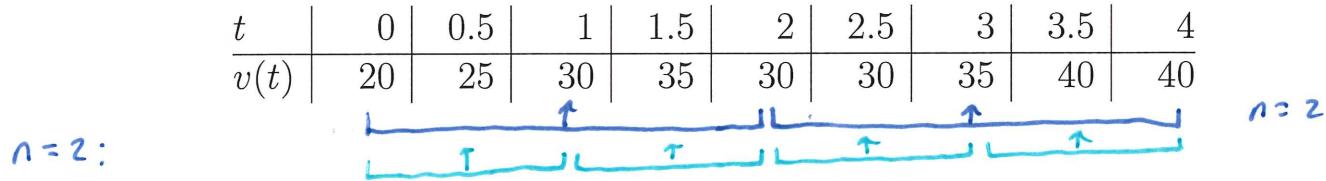
$$\Delta x = \frac{5-1}{8} = \frac{1}{2}$$

$$A_L = \frac{1}{2}(0) + \frac{1}{2}(2) + \frac{1}{2}(3) + \frac{1}{2}(2) + \frac{1}{2}(2) + \frac{1}{2}(1) + \frac{1}{2}(0) + \frac{1}{2}(2) = \boxed{6}$$

$$A_R = \frac{1}{2}(2) + \frac{1}{2}(3) + \frac{1}{2}(2) + \frac{1}{2}(2) + \frac{1}{2}(1) + \frac{1}{2}(0) + \frac{1}{2}(2) + \frac{1}{2}(3) = \boxed{\frac{15}{2}}$$

When velocity is a continuous function, the area between the curve and the  $x$ -axis gives the displacement.

**Example.** The velocities (in  $m/s$ ) of an automobile moving along a straight freeway over a four-second period are given in the following table. Find the midpoint Riemann sum approximation to the displacement on  $[0, 4]$  with  $n = 2$  and  $n = 4$  subintervals.



$$\Delta t = \frac{4-0}{2} = 2$$

$$s \approx 2(30) + 2(35) = \boxed{130 \text{ m}}$$

$n=4:$

$$\Delta t = \frac{4-0}{4} = 1$$

$$s \approx 1(25) + 1(35) + 1(30) + 1(40) = \boxed{130 \text{ m}}$$

**Example.** Use the following table of recorded velocities answer the following questions:

Time (min)	Velocity (m/sec)	Time (min)	Velocity (m/sec)
0	1.0	35	1.2
5	1.2	40	1.0
10	1.7	45	1.8
15	2.0	50	1.5
20	1.8	55	1.2
25	1.6	60	0
30	1.4		

- a) Estimate the total displacement using 12 subintervals of length 5 with left-endpoint values.

$$\Delta t = \frac{60 - 0}{12} = 5 \text{ min} = 300 \text{ sec}$$

$$S \approx 300 (1.0 + 1.2 + 1.7 + 2.0 + 1.8 + 1.6 + 1.4 + 1.2 + 1.0 + 1.8 + 1.5 + 1.2)$$

$$= 300 (17.4)$$

$$= \boxed{5220 \text{ m}}$$

- b) Estimate the total displacement using 12 subintervals of length 5 with right-endpoint values.

$$S \approx 300 (1.2 + 1.7 + 2.0 + 1.8 + 1.6 + 1.4 + 1.2 + 1.0 + 1.8 + 1.5 + 1.2 + 0)$$

$$= 300 (16.4)$$

$$= \boxed{4920 \text{ m}}$$

### Definition.

If  $a_m, a_{m+1}, \dots, a_n$  are real numbers and  $m$  and  $n$  are integers such that  $m \leq n$ , then

$$\sum_{i=m}^n a_i = a_m + a_{m+1} + \dots + a_{n-1} + a_n$$

**Example.** Rewrite the sums without sigma notation and evaluate:

$$a) \sum_{k=1}^3 \frac{k-1}{k}$$

$$= \frac{0}{1} + \frac{1}{2} + \frac{2}{3} = \boxed{\frac{7}{6}}$$

$$b) \sum_{k=1}^4 (-1)^k \cos(k\pi)$$

$$= -\cos(\pi) + \cos(2\pi) - \cos(3\pi) + \cos(4\pi) \\ = -(-1) + 1 - (-1) + 1 = \boxed{4}$$

**Example.** Rewrite the following sum without sigma notation. Note that  $n$  denotes the number of rectangles and the letters  $i, j$ , and  $k$  are typically used for indexing.

$$\sum_{k=1}^n \frac{1}{n}(k^2 + 1)$$

$$= \frac{1}{n} \left( (1^2 + 1) + (2^2 + 1) + (3^2 + 1) + \dots + (n^2 + 1) \right)$$

$$= \frac{1}{n} \left( 1 + 4 + 9 + \dots + n^2 \right) + \frac{1}{n} \left( \underbrace{1 + 1 + \dots + 1}_{n\text{-times}} \right)$$

$$= \frac{1}{n} (1 + 4 + 9 + \dots + n^2) + 1$$

**Example.** Which of the following summations represent the sum  $1 + 2 + 4 + 8 + 16 + 32$ ?

$$\text{a) } \sum_{k=1}^6 2^{k-1}$$

$$= 2^0 + 2^1 + 2^2 + 2^3 + 2^4 + 2^5$$

$$= 1 + 2 + 4 + 8 + 16 + 32$$

$$\text{b) } \sum_{k=0}^5 2^k$$

$$= 2^0 + 2^1 + 2^2 + 2^3 + 2^4 + 2^5$$

$$= 1 + 2 + 4 + 8 + 16 + 32$$

$$\text{c) } \sum_{k=-1}^4 2^{k+1}$$

$$= 2^0 + 2^1 + 2^2 + 2^3 + 2^4 + 2^5$$

$$= 1 + 2 + 4 + 8 + 16 + 32$$

All equivalent

**Example.** Which of the following summations represent the sum  $1 - 2 + 4 - 8 + 16 - 32$ ?

$$\text{a) } \sum_{k=1}^6 (-2)^{k-1}$$

$$= (-2)^0 + (-2)^1 + (-2)^2 + (-2)^3 + (-2)^4 + (-2)^5$$

$$= 1 - 2 + 4 - 8 + 16 - 32$$

$$\text{b) } \sum_{k=0}^5 (-1)^k 2^k$$

$$= (-1)^0 2^0 + (-1)^1 2^1 + (-1)^2 2^2$$

$$+ (-1)^3 2^3 + (-1)^4 2^4 + (-1)^5 2^5$$

$$= 1 - 2 + 4 - 8 + 16 - 32$$

$$\text{c) } \sum_{k=-2}^3 (-1)^{k+1} 2^{k+2}$$

$$= (-1)^{-1} 2^0 + (-1)^0 2^1 + (-1)^1 2^2$$

$$+ (-1)^2 2^3 + (-1)^3 2^4 + (-1)^4 2^5$$

$$= -1 + 2 - 4 + 8 - 16 + 32$$

Equivalent

Not equivalent

**Example.** Express the following sums in sigma notation:

a)  $-1 + 4 - 9 + 16 - 25$

$$= \sum_{k=1}^5 (-1)^k k^2$$

c)  $\frac{5 \cdot 1}{1+1} + \frac{5 \cdot 2}{2+1} + \frac{5 \cdot 3}{3+1} + \frac{5 \cdot 4}{4+1} + \frac{5 \cdot 5}{5+1} + \frac{5 \cdot 6}{6+1}$

$$= \sum_{k=1}^6 \frac{5k}{k+1}$$

b)  $\frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16}$

$$= \sum_{k=1}^4 \left(\frac{1}{2}\right)^k = \sum_{k=1}^4 2^{-k}$$

d)  $4 + 9 + 14 + \dots + 44$

$$= \sum_{k=0}^8 4 + 5k$$

**Example.** Suppose that  $\sum_{k=1}^n a_k = 0$  and  $\sum_{k=1}^n b_k = 1$ , evaluate the following

a)  $\sum_{k=1}^n 8a_k = 8 \sum_{k=1}^n a_k$

$$= 8(0)$$

$$= \boxed{0}$$

b)  $\sum_{k=1}^n 250b_k = 250 \sum_{k=1}^n b_k$

$$= 250(1)$$

$$= \boxed{250}$$

c)  $\sum_{k=1}^n (a_k + 1) = \sum_{k=1}^n a_k + \sum_{k=1}^n 1$

$$= 0 + n(1)$$

$$= \boxed{n}$$

d)  $\sum_{k=1}^n (b_k - 1) = \sum_{k=1}^n b_k - \sum_{k=1}^n 1$

$$= 1 - n(1)$$

$$= \boxed{1-n}$$

## Theorem 5.1: Sums of Powers of Integers

Let  $n$  be a positive integer and  $c$  a real number.

$$\sum_{k=1}^n c = cn$$

$$\sum_{k=1}^n k^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\sum_{k=1}^n k = \frac{n(n+1)}{2}$$

$$\sum_{k=1}^n k^3 = \frac{n^2(n+1)^2}{4}$$

**Example.** Prove that  $\sum_{k=1}^n k = \frac{n(n+1)}{2}$

$$2 \sum_{k=1}^n k = n(n+1)$$

$$\Rightarrow \boxed{2 \sum_{k=1}^n k = \frac{n(n+1)}{2}}$$

$$\left. \begin{aligned} & \sum_{k=1}^n k = 1 + 2 + \dots + (n-1) + n \\ & + \sum_{k=1}^n k = n + (n-1) + \dots + 2 + 1 \\ & \hline 2 \sum_{k=1}^n k = (n+1) + (n+1) + \dots + (n+1) + (n+1) \end{aligned} \right\} n - \text{times}$$

**Example.** Evaluate the following sums

$$\text{a) } \sum_{k=1}^{10} k = \frac{10(11)}{2} = \boxed{55}$$

$$\text{b) } \sum_{k=1}^{10} (1+k^2) = \sum_{k=1}^{10} 1 + \sum_{k=1}^{10} k^2 = 10 + \frac{10(11)(21)}{6} = \boxed{395}$$

$$\text{c) } \sum_{k=1}^{10} k^3 = \frac{10^2(11)^2}{4} = 25(121) = \boxed{3025}$$

$$\begin{aligned} \text{d) } \sum_{k=1}^7 (-2k-4) &= -2 \sum_{k=1}^7 k - \sum_{k=1}^7 4 \\ &= (-2) \frac{7(8)}{2} - 4(7) \\ &= -56 - 28 = \boxed{-84} \end{aligned}$$

$$\begin{aligned} \text{e) } \sum_{k=1}^6 (3-k^2) &= \sum_{k=1}^6 3 - \sum_{k=1}^6 k^2 \\ &= 3(6) - \frac{6(7)(13)}{6} \\ &= 18 - 91 = \boxed{-73} \end{aligned}$$

$$\begin{aligned} \text{f) } \sum_{m=1}^3 \frac{2m+2}{3} &= \frac{2}{3} \sum_{m=1}^3 m + \sum_{m=1}^3 \frac{2}{3} \\ &= \frac{2}{3} \frac{3(4)}{2} + \frac{2}{3}(3) \\ &= 4 + 2 = \boxed{6} \end{aligned}$$

### Definition. (Left, Right and Midpoint Riemann Sums in Sigma Notation)

Suppose  $f$  is defined on a closed interval  $[a, b]$ , which is divided into  $n$  subintervals of equal length  $\Delta x$ . If  $x_k^*$  is a point in the  $k$ th subinterval  $[x_{k-1}, x_k]$ , for  $k = 1, 2, \dots, n$ , then the **Riemann sum** for  $f$  on  $[a, b]$  is  $\sum_{k=1}^n f(x_k^*)\Delta x$ . Three cases arise in practice.

- $\sum_{k=1}^n f(x_k^*)\Delta x$  is a **left Riemann sum** if  $x_k^* = a + (k - 1)\Delta x$ .
- $\sum_{k=1}^n f(x_k^*)\Delta x$  is a **right Riemann sum** if  $x_k^* = a + k\Delta x$ .
- $\sum_{k=1}^n f(x_k^*)\Delta x$  is a **midpoint Riemann sum** if  $x_k^* = a + (k - 1/2)\Delta x$ .

**Example.** For the function  $f(x) = 3x^2$ , find a formula for the upper sum obtained by dividing the interval  $[0, 1]$  into  $n$  equal subintervals.

$$\begin{aligned} \Delta x &= \frac{1-0}{n} = \frac{1}{n} \\ x_k &= 0 + k\Delta x = \frac{k}{n} \\ f(x_k) &= 3\left(\frac{k}{n}\right)^2 \end{aligned} \quad \left. \begin{array}{l} \sum_{k=1}^n 3\left(\frac{k}{n}\right)^2 \cdot \frac{1}{n} = \frac{3}{n^3} \sum_{k=1}^n k^2 = \frac{3}{n^3} \frac{n(n+1)(2n+1)}{6} \\ = \boxed{\frac{2n^2 + 3n + 1}{2n^2}} \end{array} \right\}$$

Now take the limit of the sum as  $n \rightarrow \infty$  to calculate the area under  $f(x) = 3x^2$  over  $[0, 1]$ .

$$\lim_{n \rightarrow \infty} \sum_{k=1}^n 3\left(\frac{k}{n}\right)^2 \cdot \frac{1}{n} = \lim_{n \rightarrow \infty} \frac{2n^2 + 3n + 1}{2n^2} = \boxed{1}$$

**Example.** For the function  $f(x) = 2x$ , find a formula for the upper sum obtained by dividing the interval  $[0, 3]$  into  $n$  equal subintervals.

$$\left. \begin{array}{l} \Delta x = \frac{3-0}{n} = \frac{3}{n} \\ x_k = 0 + k \Delta x = \frac{3k}{n} \\ f(x_k) = 2\left(\frac{3k}{n}\right) \end{array} \right\} \sum_{k=1}^n 2\left(\frac{3k}{n}\right) \frac{3}{n} = \frac{18}{n^2} \sum_{k=1}^n k = \frac{18}{n^2} \frac{n(n+1)}{2} = \frac{9n+9}{n}$$

Now take the limit of the sum as  $n \rightarrow \infty$  to calculate the area under  $f(x) = 2x$  over  $[0, 3]$ .

$$\lim_{n \rightarrow \infty} \sum_{k=1}^n 2\left(\frac{3k}{n}\right) \frac{3}{n} = \lim_{n \rightarrow \infty} \frac{9n+9}{n} = \boxed{9}$$