

A development project commissioned by the Federal Office for Information Security (BSI)

Project 197

Secure Implementation of a Universal Crypto Library

Architecture Description

Version 1.3.0 / 2018-05-07
Botan 2.4.0-RSCS1



Summary

The objective of this project is the secure implementation of a universal crypto library which contains all common cryptographic primitives that are necessary for the wide use of cryptographic operations. These include symmetric and asymmetric encryption and signature methods, PRFs, hash functions and RNGs. Additionally, security standards such as X.509 and SSL/TLS have to be supported. The library will be provided to manufacturers of VS-NfD products which will help the Federal Office for Information Security (BSI) to evaluate these products.

This document describes the architecture of Botan.

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Architecture Description

Botan 2.4.0-RSCS1

Changelog

Version	Authors	Comment	Date
1.0.0	DN, RK	Initial version	2016-11-11
1.1.0	RK, DN	Add CLI invocation example Fixes in labels for listings in chapter 8 Update configure.py options chapter Update chapter 8 with new test files Update BSI module policy Language fixes found during a review	2017-01-09
1.2.0	RK	Add section on RandomNumberGenerator interface Update to 2.0.1-RSCS1	2017-03-02
1.3.0	RK	Update to 2.4.0-RSCS1	2018-05-07

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1 Introduction

This document describes the architecture of Botan. Botan consists of three main parts: the library itself, a CLI tool, and the test suite. The library itself is divided into separate modules. Botan uses a self-written build system - explained in more detail in chapter 3 - which, e.g., allows to select which modules are compiled when building Botan.

2 Folder structure

This chapter describes the most important parts of the Botan folder structure. The root of the Botan folder structure is listed below. Folders are in bold type.

File-/Foldername	Description
doc	Contains the handbook and more general information such as PGP keys of the maintainer, a roadmap or a todo list for example.
src	Contains all the source code and the build system data.
configure.py	The main build system script that has to be executed before Botan can be compiled. See section 3.1.
license.txt	License information
news.rst	Release notes
readme.rst	A readme describing the most important parts of Botan to get started quickly.
authors.txt	Information about contributors

Furthermore, the **src** folder has the following structure:

Foldername	Description
build-data	All build system related files.
cli	Source code of the command line interface tool.
contrib	Currently contains a Perl wrapper and a patch for encrypted sqlite3 database storage.
fuzzer	Tests for fuzzing various message decoders and math functions using AFL ¹ , libFuzzer ² and Klee ³ .
lib	The library source code.
python	Python bindings
scripts	Build scripts mainly used for continuous

¹ <http://lcamtuf.coredump.cx/afl/>

² <http://llvm.org/docs/LibFuzzer.html>

³ <https://klee.github.io/>

	integration.
tests	The Botan test suite.

2.1 Structure of the Library Code

Botan has a modular design which is represented by the folder structure inside the `lib` folder. Each folder containing an `info.txt` file is a module that can be included or excluded from the build. More details about the build system and the Botan modules are described in chapter 3, especially 3.3. The root structure of the `lib` folder is shown below:

Foldername	Description
asn1	All ASN1 related code like BER or DER encoding and decoding.
base	Helper classes and basics like the <code>secure_allocator</code> . This module can't be excluded from the build.
block	Block ciphers like AES, DES or Blowfish.
codec	Currently base64 and hex encoding.
compression	Source code for the BZip2, LZMA and ZLIB compression formats.
entropy	Entropy sources like <code>RdRand</code> , <code>CryptGenRandom()</code> or <code>/dev/random</code> .
ffi	Foreign function interface: C wrapper
filters	Message processing using filters and pipes
hash	Hash functions
kdf	Key derivation functions
mac	Message authentication codes
math	Big integers, multi precision arithmetic
misc	Everything that does not fit into one of the other categories
modes	All block cipher modes

passhash	Password hashing algorithms
pbkdf	Password based key derivation
pk_pad	Public key padding mechanisms
prov	Botan can use external libraries (providers) to exchange certain own algorithms with algorithms from third party libraries like OpenSSL. Furthermore, it is possible to “disable” Botan's software implementation and run the crypto inside a TPM or via PKCS#11 in a smart card or a HSM. See chapter 6 for more information on this topic.
pubkey	All public key algorithms
rng	All random number generators
stream	All stream ciphers
tls	All TLS related source code
utils	Utilities like a certificate store, character set conversion, date time conversion functions and so on.
x509	All X.509 certificate related source code

Each of these folders can contain subfolders which are treated as modules if they contain an `info.txt` file. These submodules have an implicit dependency on their parent module. For example, the `codec` folder contains the subfolders `base64` and `hex`. Both subfolders have source files and an `info.txt` file. So, these are both single modules, whereas the `codec` folder does not contain source code or an `info.txt` file and is not a module.

3 The Build System

To compile the Botan library it is necessary to configure the build first by executing the `configure.py` python script. The script creates various directories, configuration files and the Makefile that is necessary to compile the library for the specified configuration.

The script tries to detect various options like target CPU, OS and compiler automatically. These options can be overridden if the automatic detection fails. The following subsection gives more details about the `configure.py` script.

3.1 Configure.py Command Line Options

The `configure.py` script has various options which can be grouped into parser, target, build, module and installation specific options.

3.1.1 Parser Options

The parser options control how much output is generated during execution of the `configure.py` script and the tools that are invoked by the script itself, like the compiler.

Parameter	Definition
<code>--verbose</code>	Shows debug messages. Default: disabled
<code>--quiet</code>	Shows only warnings and errors. Default: disabled

3.1.2 Target Options

Target options control for which target architecture the library should be compiled.

Parameter	Definition
<code>--cpu {alpha, arm32, arm64, hppa, ia64, m68k, mips32, mips64, ppc32, ppc64, s390, s390x, sparc32, sparc64, superh, x86_32, x86_64}</code>	Sets the target CPU type/model. There are also a lot of aliases defined. So, for example it's possible to specify "x64" or "sandybridge". Supported architectures are described in "src\build-data\arch". Section 3.3.2 System Architecture Description on page 26 gives more details on this topic.
<code>--os {aix, android, cygwin, darwin, dragonfly, freebsd, haiku, hpux, hurd, includeos, ios, irix, linux, mingw, nacl, netbsd, openbsd, qnx, solaris,</code>	Sets the target operating system. Supported OSes are defined in "src\build-data\os". See section Operating System Description on page 29 for more information.

Parameter	Definition
windows}	
--cc {clang, ekopath, gcc, hpcc, icc, msvc, pgi, sunstudio, xlc}	Sets the desired build compiler. Supported compilers are defined in “src\build-data\cc”. See section Compiler Description on page 27 for details.
--cc-min-version=MAJOR.MINOR	Set the minimal version of the target compiler. Use --cc-min-version=0.0 to support all compiler versions. Default is auto detection.
--cc-bin BINARY	Set path to compiler binary
--cc-abi-flags FLAG	Set compiler ABI flags.
--cxxflags=FLAG	Set compiler flags.
--ldflags=FLAG	Set linker flags.
--ar-command=AR	Set path to static archive creator.
--with-endian {little, big}	Botan tries to guess the byte order automatically. Can be overwritten with this option.
--with-unaligned-mem, --without-unaligned-mem	Use/don't use unaligned memory access.
--with-os-features FEAT, -- without-os-features FEAT	Specify OS features to use/to disable. For example "cryptgenrandom" or "posix_mlock".
--disable-sse2	Disable sse2 intrinsics
--disable-ssse3	Disable ssse3 intrinsics
--disable-sse4.1	Disable sse4.1 intrinsics
--disable-sse4.2	Disable sse4.2 intrinsics
--disable-avx2	Disable avx2 intrinsics
--disable-aes-ni	Disable aes-ni intrinsics
--disable-sha	Disable SHA intrinsics
--disable-altivec	Disable altivec intrinsics
--disable-neon	Disable NEON intrinsics

3.1.3 Build Options

The build options specify for example if debug output should be generated.

Parameter	Definition
<code>--with-debug-info</code>	Enable debug info. Default: disabled
<code>--debug-mode</code>	Same as <code>--with-debug-info --no-optimizations</code> .
<code>--with-sanitizers</code>	Enable run time checks. Default: disabled
<code>--without-stack-protector</code>	Disable stack smashing protections
<code>--with-coverage</code>	Enable test coverage calculation and disable optimizations. Default: disabled
<code>--with-coverage-info</code>	Enable test coverage calculation. Optimizations enabled. Default: disabled
<code>--enable-shared-library</code> , <code>--disable-shared-library</code>	Enable/disable building shared library. Building shared library is the default option.
<code>--enable-static-library</code> , <code>--disable-static-library</code>	Enable/disable building static library. Building static library is disabled by default.
<code>--optimize-for-size</code>	Informs the build that a smaller binary is preferable. May impact performance. Default: disabled
<code>--no-optimizations</code>	Disables all optimizations. Default: disabled
<code>--amalgamation</code>	Create only a few big header/source files containing the library code: <ul style="list-style-type: none"> - botan_all.h - botan_all.cpp - botan_all_internal.h - botan_all_aesni.cpp - botan_all_ssse3.cpp - botan_all_rdrand.cpp - botan_all_rdseed.cpp - botan_all_avx2.cpp And use these files to build the library. Default: disabled
<code>--single-amalgamation-file</code>	Used in combination with " - -

Parameter	Definition
	amalgamation " to build a single file instead of splitting on ABI: - botan_all.h - botan_all_internal.h - botan_all.cpp Default: disabled
<code>--with-build-dir DIR</code>	Setup the build in DIR
<code>--with-external-includedir DIR</code>	Allows to specify a path to external includes (like Boost or OpenSSL). This is especially needed on Windows where external libraries are not accessible via a default include path like on Linux for example.
<code>--with-external-libdir DIR</code>	Allows to specify a patch to external libs (like Boost or OpenSSL). This is especially needed on Windows where external libraries are not accessible via a default library path like on Linux for example
<code>--with-openmp</code>	Enable use of OpenMP.
<code>--link-method {symlink, hardlink, copy}</code>	Choose how links to include headers are created inside the build dir.
<code>--with-local-config FILE</code>	Include the contents of FILE into build.h (see also 3.4)
<code>--distribution-info STRING</code>	Set a distribution specific version. Generates "BOTAN_DISTRIBUTION_INFO" macro in build.h (see 3.4)
<code>--with-visibility,</code> <code>--without-visibility</code>	Turn on/off visibility ⁴ control. Default: activated
<code>--maintainer-mode</code>	Enable extra compiler warnings. <code>--with-sanitizers</code> is also activated. Default: disabled
<code>--dirty-tree</code>	Cleans build tree. Default: activated
<code>--with-python-versions N.M</code>	Where to install botan.py (the python wrapper/bindings). N.M = 2.7 for example.

4 <https://gcc.gnu.org/wiki/Visibility>

Parameter	Definition
<code>--with-valgrind</code>	Uses valgrind for dynamic analysis.
<code>--with-bakefile</code>	Generates a bakefile which can be used to create Visual Studio or Xcode project files for example.
<code>--unsafe-fuzzer-mode</code>	Disables essential checks for testing.
<code>--with-stack-protector</code> , <code>--without-stack-protector</code>	Enable/disable stack smashing protections. Default: enabled

3.1.4 Documentation Options

Documentation options control how documentation is generated.

Parameter	Definition
<code>--with-documentation</code> , <code>--without-documentation</code>	Enable/disable building/installing the documentation. Default: enabled
<code>--with-sphinx</code> , <code>--without-sphinx</code>	Generate Sphinx ⁵ documentation.
<code>--with-pdf</code> , <code>--without-pdf</code>	Use Sphinx to generate a PDF document.
<code>--with-rst2man</code> <code>--without-rst2man</code>	Use rst2man ⁶ to generate man pages.
<code>--with-doxygen</code> , <code>--without-doxygen</code>	Generate Doxygen documentation.

3.1.5 Module Options

Everything that influences which modules are included in the build is controlled by these module options.

Parameter	Definition
<code>--module-policy</code>	Allows to specify a module policy file which defines required and prohibited modules and additionally modules that should be activated if available. There are currently two policies shipped with Botan: A modern policy and a

⁵ A tool to generate documentation in different output formats, see <http://www.sphinx-doc.org>.

⁶ <http://docutils.sourceforge.net/sandbox/manpage-writer/rst2man.txt>

Parameter	Definition
	bsi policy. Section 3.2 explains more details about this topic.
<code>--enable-modules</code>	Enable specific modules. Example: <code>--enable-modules "aes,tpm,rand"</code>
<code>--disable-modules</code>	Disable specific modules. Example: <code>--disable-modules "aes,tpm,rand"</code>
<code>--list-modules</code>	List available modules
<code>--no-autoload</code>	Only the core modules will be included. Default: deactivated
<code>--minimized-build</code>	Same as <code>--no-autoload</code>
<code>--with-bearssl,</code> <code>--without-bearssl</code>	Enables/disables bearssl provider. Adds an engine that uses BearSSL ⁷ for ECDSA and some hash functions.
<code>--with-boost,</code> <code>--without-boost</code>	Enables/disables boost module
<code>--with-bzip2,</code> <code>--without-bzip2</code>	Enables/disables bzip2 module for BZip2 compression/decompression. Requires BZip2 development libraries to be installed.
<code>--with-lzma,</code> <code>--without-lzma</code>	Enables/disables lzma module for LZMA compression/decompression. Requires LZMA development libraries to be installed.
<code>--with-openssl,</code> <code>--without-openssl</code>	Enables/disables openssl provider. Adds an engine that uses OpenSSL for some public key operations and ciphers/hashes. See chapter 6.1 for a description of this provider.
<code>--with-sqlite3,</code> <code>--without-sqlite3</code>	Enables/disables sqlite3 module. Enables storing TLS session information to an encrypted SQLite database.
<code>--with-zlib,</code> <code>--without-zlib</code>	Enables/disables zlib module for Zlib compression/decompression. Requires Zlib development libraries to be installed.
<code>--with-tpm,</code>	Enables/disables the tpm module. The TPM

⁷ <https://bearssl.org/>

Parameter	Definition
<code>--without-tpm</code>	module allows to access a Trusted Platform Module via the external Trousers library.
<code>--with-everything</code>	Build all modules, except the ones marked as "load_on never" (see section 3.3.1). Default: disabled

3.1.6 Installation Options

Installation options control where the library should be installed.

Parameter	Definition
<code>--program-suffix SUFFIX</code>	Append SUFFIX to program names
<code>--prefix DIR</code>	Set the install prefix
<code>--docdir DIR</code>	Set the documentation install directory
<code>--bindir DIR</code>	Set the binary install directory
<code>--libdir DIR</code>	Set the library install directory
<code>--mandir DIR</code>	Set the man pages install directory
<code>--includedir DIR</code>	Set the include file install directory

3.1.7 Miscellaneous Options

Additional options that do not fit into one of the other option categories.

Parameter	Definition
<code>--house-curve</code>	Adds a custom in-house ECC curve of the format "curve.pem,NAME,OID,CURVEID".

3.2 Module Policy

Module policy files define:

- Modules that must be activated.
- Modules that must be activated if available.
 - For example the `aesni` module is not compatible with all platforms.
- Modules that have to be disabled.

A module that is not mentioned in the module policy will only be enabled if it is a dependency of one of the modules that are activated by the policy or if it is explicitly enabled via the “- - enable-modules” option.

The module policy itself is enforced by calling `configure.py` with the `--module-policy` parameter. There are currently three policies shipped with Botan. A “modern” policy that contains only modern algorithms, a “nist” policy that contains only NIST-approved algorithms and a “bsi” policy that represents the recommendations from BSI technical guideline TR-02102-1. The module policy files are located in `src\build-data\policy`. The `bsi` module policy is listed in the Appendix.

3.3 Build System Internals

Botan uses text files for describing information on Botan modules, supported architectures, supported compilers and supported operating systems.

3.3.1 Module Description

Each module must have an `info.txt` file which contains various information about the module. An `info.txt` file consists of group parameters and key-value pairs.

Group Parameters

For each group parameter, there are multiple entries possible. Each entry has to be placed on a new line. For example:

```
<source>
serpent.cpp
aes.cpp
</source>
```

This example adds the two source files `serpent.cpp` and `aes.cpp` to the list of files that should be compiled.

The following parameters are optional:

Parameter	Examples	Definition
<code><source></source></code>	<code>serpent.cpp</code>	Source files
<code><header:internal></header:internal></code>	<code>serpent_sbox.h</code>	Internal header
<code><header:public></header:public></code>	<code>serpent.h</code>	Public header
<code><header:external></header:external></code>	<code>pkcs11.h</code>	External header

<code>header:external></code>		
<code><requires></requires></code>	hash, modes	Required modules (dependencies)
<code><os></os></code>	windows, cygwin	Only available on specific OS
<code><arch></arch></code>	x86_64	Only available on specific architecture
<code><cc></cc></code>	gcc, clang	Only available if targeting specific compiler
<code><libs></libs></code>	windows -> advapi32.lib	Required external libraries
<code><frameworks></frameworks></code>	darwin -> Security	Required external frameworks
<code><comment></comment></code>	"Loading Module aes_ni"	Shows informative comment if module is included in build during execution of <code>configure.py</code>
<code><warning></warning></code>	"Deprecated Module"	Shows warning if module is included in build during execution of <code>configure.py</code>

Key-Value Pairs

Key-Value pairs only consist of one key word and one value assigned to this.

Parameter	Examples	Definition
load_on KEYWORD	auto (default), request, vendor, dep, always, never	auto: loaded unless "--no-autoload" or "--minimized-build" is set request: only when explicitly set on command line vendor: requires external dependency dep: can only be loaded if needed by dependency always: load always never: loading disabled
<code><defines></defines></code>	AES_NI -> 20131128	API-version of the module is increased on each API change. Can be checked from

		application code during compile time (see 3.4).
need_isa INTRINSIC	sse2, ssse3, avx2, aes-ni, altivec	Required CPU intrinsic
mp_bits BITS	64, default: 0	Required architecture word size for this module

“<defines>MODULNAME -> API-VERSION</defines>” is the only mandatory parameter. See appendix: Module Description Example for a concrete module file example.

3.3.2 System Architecture Description

Each supported architecture must have a text file with architecture specific information in `src/build-data/arch` consisting of group parameters and key-value pairs.

Group Parameters

For each group parameter, there are multiple entries possible. Each entry has to be placed in a new line. See the example in section 3.3.1 for more information.

Parameter	Examples	Definition
<aliases></aliases>	amd64, x64	Names under which the architecture is known.
<submodels></submodels>	atom, core2, sandybridge, corei7	Processor models that implement the architecture
<submodel_aliases></submodel_aliases>	core2duo -> core2 nehalem -> corei7	Names under which the processor models are also known
<isa_extensions></isa_extensions>	sse2, ssse3, sse4.1, aesni, rdrand	Instruction set extensions available on this architecture

Key-Value Pairs

Key-Value pairs only consist of one key word and one value assigned to this.

Parameter	Examples	Definition
endian	big, little	Endianness of the architecture
unaligned	no (default), ok	Unaligned memory access supported?
wordsize	32 (default), 64	Word size of the architecture

family	x86, sparc, ppc	Architecture family name
--------	-----------------	--------------------------

See appendix: Architecture Description Example for a concrete architecture description example.

3.3.3 Compiler Description

Each supported compiler must have a text-file with compiler specific information in `src/build-data/cc`, again consisting of group parameters and key-value pairs.

Group Parameters

For each group parameter, there are multiple entries possible. Each entry has to be placed in a new line. See the example in section 3.3.1 for more information.

Parameter	Examples	Definition
so_link_commands	<pre>default -> "\$(CXX) - shared -fPIC -Wl,-soname,\$ (SONAME_ABI)" default-debug -> "\$(CXX) - shared -fPIC -Wl,-soname,\$ (SONAME_ABI)" solaris -> "\$(CXX) -shared - fPIC -Wl,-h,\$(SONAME_ABI)" openbsd -> "\$(CXX) -shared - fPIC"</pre>	Command needed to link shared object
binary_link_commands	<pre>linux -> "\$(LINKER) -Wl,-rpath=\\\$ORIGIN" linux-debug -> "\$(LINKER) -Wl,-rpath=\\\$ORIGIN" default -> "\$(LINKER)" default-debug -> "\$(LINKER)"</pre>	Command needed to link application object
mach_opt	<pre>i386 -> "- mtune=generic" nehalem -> "-</pre>	Machine specific options which are passed to the compiler

	<code>march=corei7"</code> <code>sandybridge -> "- march=corei7-avx"</code> <code>x86_32 -> "- march=SUBMODEL"</code>	
<code>mach_abi_linking</code>	<code>all -> "-pthread - fstack-protector"</code> <code>x86_64 -> "-m64"</code>	Machine specific ABI flags. Flags set here are included at compile and link time
<code>isa_flags</code>	<code>sse2 -> "-msse2"</code> <code>sse4.1 -> "-msse4.1"</code> <code>aesni -> "-maes -mpclmul - mssse3"</code> <code>rdrand -> "-mrdrnd"</code>	Instruction set extension flags

Key-Value Pairs

Key-Value pairs only consist of one key word and one value assigned to this.

Parameter	Examples	Definition
<code>binary_name</code>	<code>g++</code>	Binary name of the compiler
<code>linker_name</code>	<code>link</code>	Binary name of the linker
<code>macro_name</code>	<code>GCC</code>	Name of the compiler
<code>output_to_exe</code>	<code>-o</code>	Output option of the compiler. Default: <code>-o</code>
<code>add_include_dir_option</code>	<code>-I</code>	Include directory option of the compiler. Default: <code>-I</code>
<code>add_lib_dir_option</code>	<code>-L</code>	Library directory option of the compiler. Default: <code>-L</code>
<code>add_lib_option</code>	<code>-l</code>	Library file option of the compiler. Default: <code>-l</code>
<code>add_framework_option</code>	<code>-framework</code>	Framework option of the compiler. Default: <code>-framework</code>
<code>preproc_flags</code>	<code>-E</code>	Flags for the preprocessor
<code>compile_flags</code>	<code>-c</code>	Compiler specific flag to compile a file

debug_info_flags	-g	Flag to generate debug information
optimization_flags	-O2	Flags for optimized code generation
size_optimization_flags	-Os	Flags for optimized code generation when optimizing for code size
coverage_flags	--coverage	Flags to generate coverage data
sanitizer_flags	-D_GLIBCXX_DEBUG -fsanitize=address	Flags to activate sanitizer
stack_protector_flags	-fstack-protector-all	Flags to enable stack smashing protection
shared_flags	-fPIC	Flags for dynamic library generation
lang_flags	-std=c++11 -D_REENTRANT	Language specific flags
warning_flags	-Wall -Wextra	Default Warning flags
maintainer_warning_flags	-Wold-style-cast -Werror	Activated warnings if --maintainer-mode is specified
visibility_build_flags	-fvisibility=hidden	Flags to control symbol visibility
visibility_attribute	__attribute__((visibility("default")))	Compiler specific visibility attributes
ar_command	pathCC -ar -o	Command used to create library archives
ar_options	-ar -o	Options of the static archive builder.
ar_output_to	/OUT:	Output option of the static archive builder.

See appendix: Compiler Description Example for a concrete compiler description example.

3.3.4 Operating System Description

Each supported operating system must have a text file with OS specific information in `src/build-data/os` consisting of group parameters and key-value pairs.

Group Parameters

For each group parameter, there are multiple entries possible. Each entry has to be placed in a new line. See the example in section 3.3.1 for more information.

Parameter	Examples	Definition
<code><aliases></aliases></code>	win32, MSWin32	Names under which the OS is also known
<code><target_features></target_features></code>	cryptgenrandom, virtual_lock, rtlsecurezeromemory	Features/API-calls that are available on this OS. Generates macros in Build.h (see 3.4). For example: BOTAN_TARGET_OS_HAS_CRYPTGENRANDOM

Key-Value Pairs

Key-Value pairs only consist of one key word and one value assigned to this.

Parameter	Examples	Definition
os_type	windows	To which OS family does the OS belong. For example Android, Linux, Darwin, Cygwin, Hurd, OpenBSD all have <code>os_type = unix</code> Default: <code>None</code>
program_suffix	exe	File extension for executables
obj_suffix	obj	File extension for object files. Default: <code>o</code>
soname_suffix	so	File extension of dynamic shared libraries.
soname_pattern_patch	"libbotan- {version_major}. {version_minor}.so. {abi_rev}. {version_patch}"	Shared library name with ABI version and patch level information. For example: libbotan-1.11.so.24.24
soname_pattern_abi	"libbotan- {version_major}. {version_minor}.so. {abi_rev}"	Shared library name with ABI version information. For example: libbotan-1.11.so.24
soname_pattern_base	"libbotan- {version_major}. {version_minor}.so"	Base shared library name. For example: libbotan-1.11.so
static_suffix	lib	File extension for static libraries. Default: <code>a</code>
ar_command	"ar"	Command used to create library archives. Default: <code>ar</code>
ar_options	crs	Command options passed to the <code>ar_command</code>
ar_output_to	/OUT:	Output option of the static archive builder.
install_root	c:\\Botan	Default install path. Default:

		<code>/usr/local</code>
<code>header_dir</code>	<code>include</code>	Default name of the folder where the header files are stored. Default: <code>include</code>
<code>bin_dir</code>	<code>bin</code>	Default name of the folder where the binary files are stored. Default: <code>bin</code>
<code>lib_dir</code>	<code>lib</code>	Default name of the folder where the library files are stored. Default: <code>lib</code>
<code>doc_dir</code>	<code>docs</code>	Default name of the folder where the documentation files are stored. Default: <code>share/doc</code>
<code>man_dir</code>	<code>man</code>	Default name of the folder where the documentation files are stored. Default: <code>share/man</code>
<code>use_stack_protector</code>	<code>true</code>	Whether to use the stack smashing protector. Default: <code>true</code>
<code>so_post_link_command</code>	<code>install_name_tool -change</code>	Specifies an additional post-link command to be executed after linking.
<code>cli_exe_name</code>	<code>"botan"</code>	Name of the command line tool executable. Default: <code>botan</code>
<code>lib_prefix</code>	<code>"lib"</code>	Prefix for shared libraries. Default: <code>lib</code>
<code>library_name</code>	<code>"botan-{major}"</code>	Name of the shared library. Default: <code>botan-{major}</code>

See appendix: Operating System Description Example for a concrete operating system description example.

3.4 Build.h

The `build.h` header file is generated and overwritten each time the `configure.py` script is executed. This is done by extending and modifying the template file `"src/build-data/buildh.in"`. The `build.h` header file can be included in a Botan header or

source file.

This header file is helpful in order to verify which modules are included in the currently used version of the library. This information can be derived from the macros that begin with "BOTAN_HAS" followed by the module name. For example the macro "BOTAN_HAS_TLS_20150319" that shows the application developer that TLS support is enabled and which API-version is included.

There are a lot more configuration macros present. The most important ones are listed below:

3.4.1 Informational Parameters

Option	Definition
BOTAN_VERSION_MAJOR	Botan major version number
BOTAN_VERSION_MINOR	Botan minor version number
BOTAN_VERSION_PATCH	Botan patch level
BOTAN_VERSION_DATESTAMP	Expands to an integer of the form YYYYMMDD if this is an official release, or 0 otherwise
BOTAN_VERSION_RELEASE_TYPE	unreleased / released
BOTAN_VERSION_VC_REVISION	Git commit SHA1 hash of the release
BOTAN_DISTRIBUTION_INFO	A macro expanding to a string that is set at build time using the <code>--distribution-info</code> option

3.4.2 Configurable Options

The following parameters are configurable after execution of the `configure.py` script inside the `build.h` header file.

General Options

Option	Definition
BOTAN_DEFAULT_BUFFER_SIZE	Default value: 1024. How much to allocate for a buffer of no particular size
BOTAN_MLOCK_ALLOCATOR_MIN_ALLOCATION	Default value: 16. Minimum size to allocate out of the mlock ⁸ pool in bytes
BOTAN_MLOCK_ALLOCATOR_MAX_ALLOCATION	Default value: 128. Maximum size to allocate out of the mlock pool in bytes
BOTAN_MLOCK_ALLOCATOR_MAX_LOCKED	Default: 512. Total maximum amount of RAM

⁸ A memory pool that is locked into volatile memory on supported platforms, see section 4.4.

_KB	(in KiB) that is locked into memory, even if the OS allows more
BOTAN_BLOCK_CIPHER_PAR_MULT	Default: 4. Multiplier on a block cipher's native parallelism
BOTAN_MP_WORD_BITS	How many bits per limb in a BigInt
BOTAN_USE_VOLATILE_MEMSET_FOR_ZERO	Default: 1. If enabled uses memset via volatile function pointer to zero memory, otherwise does a byte at a time write via a volatile pointer.

Blinding Options

Option	Definition
BOTAN_POINTGFP_USE_SCALAR_BLINDING	Default: 1. If enabled the ECC implementation will use scalar blinding with $\text{order.bits()}/2$ bit long masks.
BOTAN_POINTGFP_RANDOMIZE_BLINDING_BITS	Default: 80. Set number of bits used to generate mask for blinding the representation of an ECC point. Set to zero to disable this side-channel countermeasure.
BOTAN_BLINDING_REINIT_INTERVAL	Default: 32. Normally blinding is performed by choosing a random starting point (plus its inverse, of a form appropriate to the algorithm being blinded), and then choosing new blinding operands by successive squaring of both values. This is much faster than computing a new starting point but introduces some possible correlation. To avoid possible leakage problems in long-running processes, the blinder periodically reinitializes the sequence. This value specifies how often a new sequence should be started.

Random Number Generation Options

Option	Definition
BOTAN_RNG_DEFAULT_RESEED_INTERVAL	Default: 1024. Enforce reseed after asking the RNG X times for randomness.
BOTAN_RNG_RESEED_POLL_BITS	Default: 256. Number of bits of entropy to attempt to gather from the entropy sources
BOTAN_RNG_AUTO_RESEED_TIMEOUT	Default: 10 milliseconds. Stops automatic reseeding after X milliseconds even if not

Option	Definition
	enough entropy is collected.
BOTAN_RNG_RESEED_DEFAULT_TIMEOUT	Default: 50 milliseconds. Stops manual reseeding after X milliseconds even if not enough entropy is collected.
BOTAN_ENTROPY_DEFAULT_SOURCES	Specifies (in order) the list of entropy sources that will be used to seed an in-memory RNG: "rdseed", "rdrand", "darwin_secrandom", "dev_random", "system_rng", "proc_walk", "system_stats"
BOTAN_SYSTEM_RNG_DEVICE	Controls the RNG used by the system RNG interface. For example: "/dev/urandom"
BOTAN_SYSTEM_RNG_POLL_DEVICES	List of devices that are polled for randomness if "/dev/random" is used as System_RNG. Default: "/dev/urandom", "/dev/random", "/dev/srandom"
BOTAN_ENTROPY_PROC_FS_PATH	Default: /proc. Directory which will be monitored by the ProcWalking_EntropySource and the contents provided to the RNG. Set to empty string to disable.
BOTAN_SYSTEM_RNG_POLL_REQUEST	Default: 64. How many bytes to read from the system PRNG.
BOTAN_SYSTEM_RNG_POLL_TIMEOUT_MS	Default: 20. Maximum block time in ms even if not enough entropy is collected.
BOTAN_ENTROPY_INTEL_RNG_POLLS	Default: 32. Specifies how many times to read from the RdRand/RdSeed RNG. Each read generates 32 bits of output.
BOTAN_ENTROPY_RDRAND_RETRIES	Default: 10. Within each poll there are at maximum 10 retries to make a new poll to the RNG. According to Intel, RdRand is guaranteed to generate a random number within 10 retries on a working CPU.
BOTAN_ENTROPY_RDSEED_RETRIES	Default: 20. RdSeed is not guaranteed to generate a random number within a specific number of retries.

3.5 Makefile

The Makefile is generated from a template in `src/build-data/makefile`. Currently only Nmake and Gmake are supported. Nmake is used on Windows with Visual C++ and Gmake on all other platforms.

The following targets are supported:

Target	Definition
<code>nmake/make all</code>	Compiles library, CLI and test suite
<code>nmake/make</code>	same as " <code>nmake/make all</code> "
<code>nmake/make botan</code> <code>nmake/make libs</code>	Compiles the library
<code>nmake/make botan-test</code> <code>nmake/make tests</code>	Compiles the test suite
<code>nmake/make cli</code>	Compiles the CLI
<code>nmake/make install</code>	Install Botan to default directory
<code>nmake/make docs</code>	Generate documentation and also API-documentation if <code>--with-sphinx</code> or <code>--with-doxygen</code> was used during configure stage
<code>nmake/make clean</code>	Cleans output of compilation
<code>nmake/make distclean</code>	Same as " <code>clean</code> " + remove output of <code>configure.py</code> artifacts
<code>make valgrind</code>	Runs test in valgrind environment

4 Interfaces

Botan provides specific interfaces for algorithms and operations. In the following, an overview of the most important interfaces is given. For the basic cryptographic primitives, these are:

Interface Name	Defined in
BlockCipher	block_cipher.h
StreamCipher	stream_cipher.h
HashFunction	hash.h
MessageAuthenticationCode	mac.h
KDF	kdf.h
PBKDF	pbkdf.h

Other commonly used interfaces are:

Interface Name	Definiton in	Description
Cipher_Mode	cipher_mode.h	Common interface of all cipher modes.
AEAD_Mode	aead.h	Interface for AEAD (Authenticated Encryption with Associated Data) modes. Inherits from Cipher_Mode.
Stream_Cipher_Mode	stream_mode.h	Interface for stream cipher modes. Inherits from Cipher_Mode.
BlockCipherModePadding Method	mode_pad.h	Interface for block cipher mode padding methods
EME	eme.h	Message-encoding methods for encryption
EMSA	emsa.h	Message-encoding methods for signatures with appendix
PK_Encoder	pubkey.h	Public key encryption
PK_Decryptor	pubkey.h	Public key decryption
PK_Signer	pubkey.h	Public key signing
PK_Verifier	pubkey.h	Public key verification

PK_Key_Agreement	pubkey.h	Public key key agreement
PK_Encryptor_EME	pubkey.h	Public key encryption paired with an encoding scheme
PK_Decryptor_EME	pubkey.h	Public key decryption paired with an encoding scheme
PK_KEM_Encryptor	pubkey.h	Public key key encapsulation mechanism encryption
PK_KEM_Decryptor	pubkey.h	Public key key encapsulation mechanism decryption
Public_Key	pk_keys.h	Interface for all public keys
Private_Key	pk_keys.h	Interface for all private keys
PK_Key_Agreement_Key	pk_keys.h	Interface for all key agreement keys. Inherits from Private_Key.
RandomNumberGenerator	rng.h	Interface for all cryptographic random number generators

4.1 Object Creation

Object creation is identical for all basic cryptographic primitives. This is explained in subsection 4.1.1. Other important factory functions with a different method signature are explained in subsection 4.1.2.

4.1.1 Common Factory Functions

Botan has a common way of creating an object that implements basic cryptographic primitives. For this purpose, two methods are defined as explained in the following:

T::create

To create an algorithm object, the following function is provided:

```
std::unique_ptr<T> T::create( const std::string& algo, const
std::string& provider = "" )
```

Parameters:

- **algo**: name of the algorithm
- **provider**: (optional) provider to use (see chapter 6)

Return value:

- `std::unique_ptr<T>` to the algorithm object or `nullptr` if the algorithm/provider combination cannot be found.

Examples:

- `BlockCipher::create("AES-128");`
- `BlockCipher::create("AES-128", "base");`
- `BlockCipher::create("AES-256");`
- `BlockCipher::create("AES-256", "openssl");`

The first and second example have the same effect. If no provider is specified, then the base provider is automatically selected.

T::create_or_throw

There is an additional function to create algorithm objects which in contrast to the previous one does not return a `nullptr` if the desired algorithm/provider combination cannot be found but instead throws a `Lookup_Error` exception. The function signature is the same as before:

```
std::unique_ptr<T> T::create_or_throw( const std::string& algo,
const std::string& provider = "" )
```

4.1.2 Other Factory Functions

Some of the other commonly used interfaces also provide factory functions to get an object for this mechanism:

Cipher modes

```
Cipher_Mode* get_cipher_mode( const std::string& algo, Cipher_Dir
direction );
```

Examples:

- `get_cipher_mode("AES-128/XTS", ENCRYPTION);`
- `get_cipher_mode("AES-128/CBC/NoPadding", ENCRYPTION);`
- `get_cipher_mode("AES-128/CBC/PKCS7", DECRYPTION);`

Authenticated Encryption with Associated Data Modes

```
AEAD_Mode* get_aead( const std::string& name, Cipher_dir direction
);
```

Examples:

- `get_aead("AES-128/GCM", ENCRYPTION);`
- `get_aead("Serpent/EAX", DECRYPTION);`

Message-encoding Methods for Encryption

```
EME* get_eme( const std::string& algo_spec );
```

Examples:

- `get_eme("PKCS1v15");`
- `get_eme("OAEP");`
- `get_eme("Raw");`

Message-encoding Methods for Signatures with Appendix

```
EMSA* get_emsa( const std::string& algo_spec );
```

Example:

- `get_emsa("PSSR");`
- `get_emsa("EMSA_PKCS1");`
- `get_emsa("Raw");`

Block Cipher Padding Mode Methods

```
BlockCipherModePaddingMethod* get_bc_pad( const std::string& algo_spec );
```

Examples:

- `get_bc_pad("NoPadding");`
- `get_bc_pad("PKCS7");`

4.2 Other Common Functions

Currently there is another function common to all basic cryptographic primitives:

T::providers

The interface can be called to get a list of available providers for a specific algorithm:

```
std::vector<std::string> T::providers( const std::string& algo )
```

Parameter:

- `algo`: name of the algorithm

Return value:

- vector of strings containing available providers for the desired algorithm or empty vector if no providers implementing this algorithm were found.

Example:

- `BlockCipher::providers("AES-128");` → Returns “base” and if Botan was configured with OpenSSL support, also “openssl”.

4.3 Random Number Generation

All interfaces in Botan that require cryptographically secure random numbers require passing a reference to a `Botan::RandomNumberGenerator` in their function signature. This has the advantage that it's always clear where the random numbers come from respectively which random number generator is used. For example, the factory function to create a private key has the following signature:

```
std::unique_ptr<Private_Key> create_private_key( const
std::string& algo_name, RandomNumberGenerator& rng, const
std::string& algo_params="" );
```

By passing the random number generator reference it is transparent to the caller that the private key is generated from the random number generator the caller has passed as a reference to the function.

An application developer can use one of the random number generators provided by Botan, e.g., the HMAC_DRBG deterministic random number generator implemented in `src/lib/rng/hmac_drbg/hmac_drbg.cpp`. An application developer may also define a custom random number generator type and pass an instance to Botan interfaces that accept a `RandomNumberGenerator` reference.

All random number generators in Botan implement the `RandomNumberGenerator` interface. This interface provides the following important member functions. Functions marked with `= 0` are pure virtual and thus *must* be implemented by classes deriving from the `RandomNumberGenerator` interface.

- **virtual void** `randomize(uint8_t output[], size_t length) = 0`: Extracts *length* random bytes from the random number generator and writes the output to *output*.
- **virtual void** `add_entropy(const uint8_t input[], size_t length) = 0`: Incorporates *length* bytes of entropy from the input buffer *input* into the random number generator's entropy pool.
- **virtual void** `randomize_with_input(uint8_t output[], size_t output_len, const uint8_t input[], size_t input_len)`: Incorporates *input_len* bytes of entropy from the input buffer *input* into the random number generator's entropy pool and then extracts *output_len* random bytes from the random number generator and writes the output to *output*.
- **virtual void** `randomize_with_ts_input(uint8_t output[], size_t output_len)`: Incorporates a 64 bit system timestamp and a 64 bit processor timestamp into the random number generator's entropy pool and then extracts *output_len* random bytes

from the random number generator and writes the output to *output*.

- **virtual** `size_t` `reseed(Entropy_Sources& srcs, size_t poll_bits = BOTAN_RNG_RESEED_POLL_BITS, std::chrono::milliseconds poll_timeout = BOTAN_RNG_RESEED_DEFAULT_TIMEOUT)`: Polls the *entropy_sources* for up to *poll_bits* bits of entropy or until the *poll_timeout* expires, calls `add_entropy()` on this random generator and returns an estimate of the number of bits collected. The default value for *poll_bits* is `BOTAN_RNG_RESEED_POLL_BITS`, which defaults to 128. The default value for *poll_timeout* is `BOTAN_RNG_RESEED_DEFAULT_TIMEOUT`, which defaults to 50 milliseconds.
- **virtual void** `reseed_from_rng(RandomNumberGenerator& rng, size_t poll_bits = BOTAN_RNG_RESEED_POLL_BITS)`: Polls the *rng* for *poll_bits* bits of entropy and calls `add_entropy()` on this random generator. The default value for *poll_bits* is `BOTAN_RNG_RESEED_POLL_BITS`, which defaults to 128.

4.4 Secure Memory

Sensitive information is stored and processed in a special type `secure_vector`. This type is defined in the header file “`secmem.h`”. A secure vector is an `std::vector` with a custom allocator.. This custom allocator is named `secure_allocator` and is also defined in “`secmem.h`”. The `secure_allocator` makes sure that the memory is securely deleted and overwritten after usage. Additionally, if supported by the platform, it is ensured that the memory locations which contain sensitive information are locked into volatile memory and not swapped out to disk. All Unix-like operating systems as well as Windows provide support for memory locking.

5 CPU specific optimizations

Sometimes multiple implementations for the same algorithm are available in Botan. These alternate implementations use CPU instructions that are not available on all platforms and either speed up the algorithm or improve security in terms of side channel resistance. A “base” software implementation is always provided. For example, for the AES-128 block cipher three implementations are available:

- A table-based implementation vulnerable to side channels.
- A constant time version using SSSE3 SIMD extensions on modern x86 CPUs.
- A constant time and fast version which using x86 AES-NI instructions.

If the CPU specific optimizations are available at runtime, they are automatically used if enabled in the build.

6 Provider Model / Using External Libraries

The idea behind the provider model is to allow exchanging certain implementations in Botan with either other software implementations from external libraries or with a hardware implementation inside a HSM, e.g, a smart card or TPM. Botan currently ships three different providers: OpenSSL, PKCS#11 and TPM.

6.1 OpenSSL

The OpenSSL provider is enabled during configure stage with the parameter `-with-openssl`. This will result in the following changes: The OpenSSL module is enabled and `build.h` (see 3.4) is extended with the following macro: `BOTAN_HAS_OPENSSL 20151219`.

All files in “`botan\src\lib\prov\openssl`” belong to the OpenSSL provider/module:

- `info.txt`
- `openssl.h`
- `openssl_block.cpp`
- `openssl_ec.cpp`
- `openssl_hash.cpp`
- `openssl_rc4.cpp`
- `openssl_rsa.cpp`

The OpenSSL module provides implementations for block ciphers, elliptic curve cryptography, hash functions, RC4 and RSA. For example, to use the OpenSSL implementation for AES-128 instead of Botans own, the block cipher object has to be created as follows:

```
BlockCipher::create( "AES-128", "openssl" );
```

instead of:

```
BlockCipher::create( "AES-128" );
```

which would use Botans own implementation.

6.2 PKCS#11

The PKCS#11 provider is enabled by default and allows to run the cryptographic operations in hardware on a smart card or HSM. Additionally, it provides for example functionality to initialize smart cards / HSMs, change PINs and iterate over objects on the device. The following example shows how to encrypt something with RSA using the PKCS#11 provider:

```
std::string padding = "Raw";
PK_Encoder_EME encryptor( public_key, rng, padding, "pkcs11" );
```

```
auto encrypted = encryptor.encrypt( plaintext, rng );
```

The provider is the last argument in the `PK_Encryptor_EME` constructor. By specifying “pkcs11” we make sure that this operation is performed using the PKCS#11 provider.

6.3 TPM

The TPM provider usage is equivalent to the one of the OpenSSL and PKCS#11 providers. It can be enabled with the `configure.py` parameter `--with-tpm`. Internally it uses the external Trousers library to access the Trusted Platform Module.

6.4 BearSSL

The BearSSL provider is enabled during configure stage with the parameter `--with-bearssl`. This will result in the following changes: The BearSSL module is enabled and `build.h` (see 3.4) is extended with the following macro: `BOTAN_HAS_BEARSSL 20170628`.

All files in “`botan\src\lib\prov\bearssl`” belong to the BearSSL provider/module:

- `info.txt`
- `openssl.h`
- `openssl_ec.cpp`
- `openssl_hash.cpp`

The BearSSL module provides implementations for elliptic curve cryptography and hash functions. For example, to use the BearSSL implementation for SHA-256 instead of Botans own, the hash function object has to be created as follows:

```
HashFunction::create( "SHA-256", "bearssl" );
```

instead of:

```
HashFunction::create( "SHA-256" );
```

which would use Botans own implementation.

7 Command Line Interface (CLI)

Botan offers a set of command line tools to handle some common tasks on the command line. The command line tool is invoked with `botan <cmd> <cmd-options>`. It offers the following commands:

Command	Description
<code>asn1print</code>	Prints the ASN.1 structure of the given FILE.
<code>base64_dec</code>	Base64 decodes the given FILE.
<code>base64_enc</code>	Base64 encodes the given FILE.
<code>cert_info</code>	Prints the contents of the given X.509 certificate FILE.
<code>cert_verify</code>	Verifies a certificate chain.
<code>config</code>	Print the library configuration.
<code>cpuid</code>	Prints information about the supported CPUID flags of the current CPU.
<code>dl_group_info</code>	Prints parameters of a given DL group.
<code>ec_group_info</code>	Prints parameters of a given ECC group.
<code>factor</code>	Factors a given integer using a combination of trial division by small primes, and Pollard's Rho algorithm.
<code>gen_dl_group</code>	Generates a DL group.
<code>gen_pkcs10</code>	Generates a PKCS#10 certificate signing request (CSR).
<code>gen_prime</code>	Generates a random prime.
<code>gen_self_signed</code>	Generates a self-signed certificate.
<code>hash</code>	Calculates the message digest of given files.
<code>help</code>	Prints available commands.
<code>hex_dec</code>	Hex decode a given file.
<code>hex_enc</code>	Hex encode a given file.
<code>http_get</code>	Performs a HTTP GET query against the given URL and prints the result.
<code>is_prime</code>	Checks if the given integer is prime.
<code>keygen</code>	Generates a new public key keypair.
<code>mod_inverse</code>	Calculate the modular inverse.
<code>ocsp_check</code>	Performs an OCSP online check for a given certificate and prints the result.

Command	Description
pkcs8	Provides PKCS#8 key container handling.
rng	Generates random bytes.
sign	Signs a given file using a public key signature algorithm and prints the base64 encoded signature.
sign_cert	Signs a given PKCS#10 CSR using a CA key.
speed	Performs speed tests of given algorithms.
timing_test	Performs timing tests.
tls_ciphers	Print the ciphersuites supported by the given TLS policy and TLS version.
tls_client	Provides a TLS command line client.
tls_client_hello	Decodes the given TLS client hello.
tls_server	Provides a TLS command line server.
verify	Verifies the public key signature on a given file.
version	Prints the Botan version.

The following command line example verifies the peer certificate from file “peer.crt” using intermediate CA cert from file “inter.crt” and root CA from file “root.crt”:

```
$ botan cert_verify peer.crt inter.crt root.crt
```

```
Certificate passes validation checks
```

8 Test Suite

Botan contains an extensive test suite that aims to cover the library source code with positive and negative tests. The test suite is organized in the `src/tests/` folder as follows. Folders are typed in **bold**.

File/Folder Name	Description
data	Test vectors for known answer tests
<code>main.cpp</code>	Test runner main loop
<code>test_aead.cpp</code>	Tests for AEAD modes
<code>test_asn1.cpp</code>	Tests for the ASN1 module
<code>test_bigint.cpp</code>	Tests for the BigInt module
<code>test_block.cpp</code>	Tests for block ciphers
<code>test_certstor.cpp</code>	Tests for the certificate store
<code>test_compression.cpp</code>	Tests for the compression module
<code>test_datastore.cpp</code>	Tests for the DataStore class
<code>test_dh.cpp</code>	Tests for Diffie Hellman
<code>test_dl_group.cpp</code>	Tests for discrete logarithm
<code>test_dlies.cpp</code>	Tests for DLIES
<code>test_dsa.cpp</code>	Tests for DSA
<code>test_ecc_pointmul.cpp</code>	Tests for ECC point multiplication
<code>test_ecdh.cpp</code>	Tests for ECDH
<code>test_ecdsa.cpp</code>	Tests for ECDSA
<code>test_ecgdsa.cpp</code>	Tests for ECGDSA
<code>test_ecies.cpp</code>	Tests for ECIES
<code>test_eckdsa.cpp</code>	Tests for ECKDSA
<code>test_entropy.cpp</code>	Tests for entropy sources
<code>test_ffi.cpp</code>	Tests for the FFI (C bindings)
<code>test_filters.cpp</code>	Tests for the filters module
<code>test_fuzzer.cpp</code>	Basic fuzzing tests
<code>test_gf2m.cpp</code>	Tests for GF(2 ^m)
<code>test_hash.cpp</code>	Tests for hash functions
<code>test_hash_id.cpp</code>	Tests for module hash_id
<code>test_kdf.cpp</code>	Tests for key derivation functions
<code>test_mac.cpp</code>	Tests for message authentication codes

File/Folder Name	Description
test_modes.cpp	Tests for block cipher modes of operation
test_mp.cpp	Tests for multi-precision integer handling
test_name_constraint.cpp	Tests for X.509 name constraints
test_ocsp.cpp	Tests for OCSP
test_octetstring.cpp	Unit tests for the OctetString class
test_pad.cpp	Tests for block cipher padding modes
test_pk_pad.cpp	Public key padding tests
test_pkcs11_high_level.cpp	PKCS#11 high level tests
test_pkcs11_low_level.cpp	PKCS#11 low level tests
test_pkcs11.cpp	Base class for PKCS#11 tests
test_pkcs11.h	Base class for PKCS#11 tests
test_pubkey.cpp	Base classes for public key algorithm tests
test_pubkey.h	Base classes for public key algorithm tests
test_rng_kat.cpp	Known-answer tests for random number generators
test_rng.cpp	Tests for random number generators
test_rng.h	Random number generators useful for testing
test_rsa.cpp	Tests for RSA
test_runner.cpp	Implementation of a test runner
test_runner.h	Header file of the test runner
test_simd.cpp	Tests for SIMD extensions
test_stream.cpp	Stream cipher tests
test_tls_cbc.cpp	Tests for TLS CBC padding
test_tls_messages.cpp	TLS messages unit tests
test_utils.cpp	Tests of the utils module
test_workfactor.cpp	Workfactor tests
test_x509_path.cpp	X.509 path validation tests
test_xmss.cpp	XMSS tests
tests.cpp	Base classes for tests
tests.h	Base classes for tests
unit_ecc.cpp	ECC unit tests
unit_ecdh.cpp	ECDH unit tests
unit_ecdsa.cpp	ECDSA unit tests

File/Folder Name	Description
unit_tls_policy.cpp	TLS_Policy unit tests
unit_tls.cpp	TLS client/server tests
unit_x509.cpp	X.509 unit tests

8.1 Test Vectors

Many of the tests are so called known answer tests (KAT). These tests use test vectors from different sources such as RFCs, scientific papers or the NIST Cryptographic Algorithm Validation Program⁹ to make sure cryptographic algorithms are implemented correctly. Test vectors are stored as text files in the `tests/data` folder and have the file ending `.vec`. Vector files can contain comments, prefixed with the pound sign, which are ignored during parsing. The following excerpt show parts of the vector file for the AES block cipher `aes.vec`.

```
[AES-128]
Key = 000102030405060708090A0B0C0D0E0F
In = 00112233445566778899AABBCCDDEEFF
Out = 69C4E0D86A7B0430D8CDB78070B4C55A

Key = 00010203050607080A0B0C0D0F101112
In = 506812A45F08C889B97F5980038B8359
Out = D8F532538289EF7D06B506A4FD5BE9C9
```

Listing 1: Excerpt of the aes.vec test vector file

First, the algorithm to be used is written in angle brackets [], in this case AES-128. Following, the test vectors for this algorithm are listed, in this case two test vectors each containing of a *Key*, an input value *In* and an output value *Out*. The `aes.vec` vector file also contains test vectors for AES-192 and AES-256, each followed by a new section starting with the algorithm name, in this case [AES-192] and [AES-256].

8.2 Test Framework

The test suite offers several classes for testing library functionality, explained in more detail in the following.

⁹ <http://csrc.nist.gov/groups/STM/cavp/>

8.2.1 Class Test

The `Test` class offers basic functionality for writing a test. A test that does not require test vectors, such as a unit test, uses this class. Adding a test involves deriving from the `Test` class and overriding the `run()` member function. Listing 2 shows an excerpt of the `HMAC_DRBG` unit test class. The actual test functions are omitted here to keep the example short.

```
class HMAC_DRBG_Unit_Tests : public Test
{
public:
    std::vector<Test::Result> run() override
    {
        std::vector<Test::Result> results;
        results.push_back(test_reseed_kat());
        results.push_back(test_reseed());
        results.push_back(test_max_number_of_bytes_per_request());
        results.push_back(test_broken_entropy_input());
        results.push_back(test_check_nonce());
        results.push_back(test_prediction_resistance());
        results.push_back(test_fork_safety());
        results.push_back(test_randomize_with_ts_input());
        return results;
    }
};
```

Listing 2: Excerpt from the HMAC_DRBG unit test class (test_rng.cpp)

The `Test` class also offers some utility functions, such as access to a random number generator useful for testing via `Test::rng()` or a timestamp via `Test::timestamp()`.

8.2.2 Class Text_Based_Test

The `Text_Based_Test` class is used for implementing a test with test vectors. Adding a test involves deriving from the `Text_Based_Test` class and overriding the `run_one_test()` member function. Listing 3 shows an excerpt of the block cipher known answer test class. The code was modified in order to provide a minimal example.

```

class Block_Cipher_Tests : public Text_Based_Test
{
public:
    Block_Cipher_Tests() : Text_Based_Test("block", {"Key", "In", "Out"}) {}

    Test::Result run_one_test(const std::string& algo, const VarMap& vars)
override
    {
        const std::vector<uint8_t> key      = get_req_bin(vars, "Key");
        const std::vector<uint8_t> input    = get_req_bin(vars, "In");
        const std::vector<uint8_t> expected = get_req_bin(vars, "Out");

        std::unique_ptr<Botan::BlockCipher> cipher(
            Botan::BlockCipher::create(algo));

        Test::Result result(algo);
        cipher->set_key(key);
        std::vector<uint8_t> buf = input;

        cipher->encrypt(buf);

        result.test_eq(provider, "encrypt", buf, expected);

        // always decrypt expected ciphertext vs what we produced above
        buf = expected;
        cipher->decrypt(buf);

        cipher->clear();

        result.test_eq(provider, "decrypt", buf, input);

        return result;
    }
};

```

Listing 3: Modified Block cipher known answer test class from test_block.cpp

In this example, the `Text_Based_Test` base class is initialized such that it looks for test vectors in the `block` folder and that each test vector shall contain the keys *Key*, *In* and *Out*. Upon test execution, the test runner will create an instance of the `Block_Cipher_Tests` class and iterate over all `.vec` files in the `block` folder, invoking `run_one_test()` for each test vector in each `.vec` file. The algorithm name given in angle brackets in the `.vec` file, e.g., “AES-128”, and the test vector values, e.g., *Key*, *In* and *Out*, are given as parameters `algo` and `vars`.

8.2.3 Class `Test::Result`

The test runner collects the test results from all registered tests. Each test function, such as `Test::run()` and `Text_Based_Test::run_one_test()`, returns a `Test::Result` or `std::vector<Test::Result>` object containing the results of this specific test. At the beginning of a test function, a `Test::Result` object is created, giving the test name as a parameter. For known answer tests, this is usually the algorithm name, as in Listing 3. For each test

case, a suitable function is called on the `Test::Result` object, automatically reporting the result to the test runner. It offers several functions for this, the most important listed below.

- `confirm(provider, what, expression)`: Test that the given expression evaluates to `true`.
- `test_eq(provider, what, produced, expected)`: Test that `produced` and `expected` are equal. This functions is offered for many different types, including container types.
- `test_ne(provider, what, produced, expected)`: Test that `produced` and `expected` are not equal. This functions is offered for many different types, including container types.
- `test_lt(provider, what, produced, expected)`: Test that `produced` is less than `expected`.
- `test_gte(provider, what, produced, expected)`: Test that `produced` is greater than or equal to `expected`.
- `test_throws(provider, what, function)`: Test that the `function` throws an exception.

8.2.4 Test Registration

Finally, a test must be registered with the test runner using the `BOTAN_REGISTER_TEST` macro. This macro automatically creates code to register the given test class with the test runner under the given name, as seen in Listing 4. For each test class, this macro must appear exactly once.

```
class Block_Cipher_Tests : public Text-Based_Test
{
public:
    Block_Cipher_Tests() : Text-Based_Test("block", {"Key", "In", "Out"}) {}

    Test::Result run_one_test(const std::string& algo, const VarMap& vars)
override
    {
        ...
    }
};

BOTAN_REGISTER_TEST("block", Block_Cipher_Tests);
```

Listing 4: Registering the block cipher known answer tests

Appendix

1 Module Description Example

This is not a real example, just one that shows all available options:

```
<defines>
ASN1 -> 20131128
</defines>

load_on auto
mp_bits 32
need_isa aesni

<requires>
bigint
oid_lookup
</requires>

<header:internal>
mp_generic:mp_madd.h
mp_asmi.h
</header:internal>

<header:public>
serpent.h
</header:public>

<source>
serpent.cpp
</source>

<arch>
x86_32
</arch>

<cc>
msvc
</cc>

<os>
```

```
windows  
cygwin  
mingw  
</os>
```

```
<libs>  
windows -> advapi32.lib  
mingw -> advapi32  
</libs>
```

```
<frameworks>  
darwin -> Security  
</frameworks>
```

```
<comment>"Loading module ASN1"</comment>
```

```
<warning>"Deprecated Module"</warning>
```

2 Architecture Description Example

The following example shows the x86_64 architecture description:

```
endian little
unaligned ok
wordsize 64
```

```
family x86
```

```
<aliases>
amd64
x86-64
em64t
x64
</aliases>
```

```
<submodels>
k8
barcelona
atom
nocona
core2
corei7
sandybridge
ivybridge
</submodels>
```

```
<submodel_aliases>
core2duo -> core2
intelcore2 -> core2
intelcore2duo -> core2
```

```
nehalem -> corei7
westmere -> corei7
```

```
sledgehammer -> k8
opteron -> k8
amdopteron -> k8
athlon64 -> k8
```

```
</submodel_aliases>
```

```
<isa_extensions>
```

```
sse2
```

```
ssse3
```

```
sse4.1
```

```
sse4.2
```

```
avx2
```

```
aesni
```

```
clmul
```

```
rdrand
```

```
rdseed
```

```
sha
```

```
bmi2
```

```
</isa_extensions>
```

3 Compiler Description Example

The following example shows the compiler description for gcc.

```
macro_name GCC
```

```
binary_name g++
```

```
output_to_exe "-o "
add_include_dir_option -I
add_lib_dir_option -L
add_lib_option -l
```

```
lang_flags "-std=c++11 -D_REENTRANT"
```

```
# This should only contain flags which are included in GCC 4.8
warning_flags "-Wall -Wextra -Wpedantic -Wstrict-aliasing -
Wstrict-overflow=5 -Wcast-align -Wmissing-declarations -Wpointer-
arith -Wcast-qual -Wzero-as-null-pointer-constant -Wnon-virtual-
dtor"
```

```
maintainer_warning_flags "-Wold-style-cast -Wsuggest-override -
Wshadow -Werror -Wno-error=old-style-cast -Wno-error=zero-as-null-
pointer-constant -Wno-error=strict-overflow -Wno-error=deprecated-
declarations"
```

```
compile_flags "-c"
debug_info_flags "-g"
optimization_flags "-O3"
size_optimization_flags "-Os"
```

```
shared_flags "-fPIC"
coverage_flags "--coverage"
```

```
# GCC 4.8
sanitizer_flags "-D_GLIBCXX_DEBUG -fsanitize=address"
```

```
# GCC 4.9 and later
#sanitizer_flags "-D_GLIBCXX_DEBUG -fsanitize=address,undefined -
fno-sanitize-recover=undefined"
```

```
visibility_build_flags "-fvisibility=hidden"
visibility_attribute '__attribute__((visibility("default")))'
```

```
<so_link_commands>
```

```
# The default works for GNU ld and several other Unix linkers
```

```
default -> "${CXX} -shared -fPIC -Wl,-soname,$(SONAME_ABI)"
```

```
default-debug -> "${CXX} -shared -fPIC -Wl,-soname,$(SONAME_ABI)"
```

```
# Darwin, HP-UX and Solaris linkers use different syntax
```

```
darwin -> "${CXX} -dynamiclib -fPIC -install_name $(LIBDIR)/$(SONAME_ABI)"
```

```
hpux -> "${CXX} -shared -fPIC -Wl,+h,$(SONAME_ABI)"
```

```
solaris -> "${CXX} -shared -fPIC -Wl,-h,$(SONAME_ABI)"
```

```
# AIX and OpenBSD don't use sonames at all
```

```
aix -> "${CXX} -shared -fPIC"
```

```
openbsd -> "${CXX} -shared -fPIC"
```

```
</so_link_commands>
```

```
<binary_link_commands>
```

```
linux -> "${LINKER} -Wl,-rpath=\${ORIGIN}"
```

```
linux-debug -> "${LINKER} -Wl,-rpath=\${ORIGIN}"
```

```
default -> "${LINKER}"
```

```
default-debug -> "${LINKER}"
```

```
</binary_link_commands>
```

```
<isa_flags>
```

```
sse2 -> "-msse2"
```

```
ssse3 -> "-mssse3"
```

```
sse4.1 -> "-msse4.1"
```

```
sse4.2 -> "-msse4.2"
```

```
avx2 -> "-mavx2"
```

```
bmi2 -> "-mbmi2"
```

```
aesni -> "-maes -mpclmul -mssse3"
```

```
rdrand -> "-mrdrnd"
```

```
rdseed -> "-mrdseed"
```

```
sha -> "-msha"
```

```
altivec -> "-maltivec"
```



```
</isa_flags>
```

```
<mach_opt>
```

```
# Avoid using -march=i[3456]86, instead tune for generic
```

```
i386      -> "-mtune=generic"
```

```
i486      -> "-mtune=generic"
```

```
i586      -> "-mtune=generic"
```

```
i686      -> "-mtune=generic"
```

```
# Translate to GCC-speak
```

```
nehalem   -> "-march=corei7"
```

```
sandybridge -> "-march=corei7-avx"
```

```
ivybridge  -> "-march=core-avx-i"
```

```
ppc601     -> "-mpowerpc -mcpu=601"
```

```
cellppu    -> "-mcpu=cell"
```

```
e500v2     -> "-mcpu=8548"
```

```
# No scheduler in GCC for anything after EV67
```

```
alpha-ev68 -> "-mcpu=ev67"
```

```
alpha-ev7  -> "-mcpu=ev67"
```

```
# The patch from Debian bug 594159 has this, don't know why  
though...
```

```
sh4        -> "-m4 -mieee"
```

```
# Default family options (SUBMODEL is substituted with the actual  
# submodel name). Anything after the quotes is what should be  
# *removed* from the submodel name before it's put into SUBMODEL.
```

```
alpha      -> "-mcpu=SUBMODEL" alpha-
```

```
arm32      -> "-march=SUBMODEL"
```

```
arm64      -> "-march=SUBMODEL"
```

```
superh     -> "-mSUBMODEL" sh
```

```
hppa       -> "-march=SUBMODEL" hppa
```

```
ia64       -> "-mtune=SUBMODEL"
```

```
m68k       -> "-mSUBMODEL"
```

```
mips32     -> "-mips1 -mcpu=SUBMODEL" mips32-
```

```
mips64     -> "-mips3 -mcpu=SUBMODEL" mips64-
```

```
ppc32      -> "-mcpu=SUBMODEL" ppc
```

```

ppc64      -> "-mcpu=SUBMODEL" ppc
sparc32    -> "-mcpu=SUBMODEL -Wa,-xarch=v8plus" sparc32-
sparc64    -> "-mcpu=v9 -mtune=SUBMODEL"
x86_32     -> "-march=SUBMODEL"
x86_64     -> "-march=SUBMODEL"

```

```

all_x86_32 -> "-momit-leaf-frame-pointer"
all_x86_64 -> "-momit-leaf-frame-pointer"
</mach_opt>

```

Flags set here are included at compile and link time

```

<mach_abi_linking>
all      -> "-pthread -fstack-protector"

```

```

cilkplus -> "-fcilkplus"
openmp   -> "-fopenmp"

```

```

mips64    -> "-mabi=64"
s390      -> "-m31"
s390x     -> "-m64"
sparc32   -> "-m32 -mno-app-regs"
sparc64   -> "-m64 -mno-app-regs"
ppc64     -> "-m64"
x86_64    -> "-m64"

```

```

netbsd    -> "-D_NETBSD_SOURCE"
qnx       -> "-fexceptions -D_QNX_SOURCE"
</mach_abi_linking>

```

4 Operating System Description Example

The following example shows the operating system description for Linux.

```
os_type unix
```

```
soname_suffix "so"
```

```
lib_prefix "lib"
```

```
<target_features>
```

```
clock_gettime
```

```
gettimeofday
```

```
posix_mlock
```

```
gmtime_r
```

```
dlopen
```

```
readdir
```

```
timegm
```

```
sockets
```

```
threads
```

```
filesystem
```

```
</target_features>
```

```
<aliases>
```

```
linux-gnu
```

```
</aliases>
```

5 BSI Module Policy File

The following listing shows the bsi module policy file. Note that some modules can not be prohibited because they are dependencies of other modules listed as required, e.g., sha1 is required by the tls module. Such modules are listed as prohibited, but commented out and thus ignored by `configure.py`.

```
<required>
# block
aes

# modes
gcm
cbc
mode_pad

# stream
ctr

# hash
sha2_32
sha2_64
sha3

# mac
cmac
hmac
gmac

# kdf
kdf1_iso18033
sp800_108
sp800_56c

# pk_pad
eme_oaep
emsa_pssr
emsa1
iso9796

# pubkey
dlies
dh
rsa
dsa
ecdsa
ecgdsa
```

```

ecies
eckcdsa
ecdh
xmss

# rng
auto_rng
hmac_drbg
</required>

<if_available>
# block
aes_ni
aes_ssse3

# modes
clmul
clmul_ssse3
pmull

# hash
sha2_32_x86
sha2_32_armv8

# entropy sources
darwin_secrandom
dev_random
proc_walk
rdrand
rdseed
win32_stats

# rng
rdrand_rng
system_rng

# utils
http_util # needed by x509 for OCSP online checks
locking_allocator
simd
</if_available>

<prohibited>
# block
aria
blowfish
camellia
cascade

```

```
cast
des
gost_28147
idea
idea_sse2
kasumi
lion
misty1
noekeon
noekeon_simd
seed
serpent
serpent_simd
shacal2
shacal2_x86
shacal2_simd
sm4
threefish
threefish_avx2
twofish
xtea

# modes
ccm
chacha20poly1305
eax
ocb
siv
cfb

# stream
chacha
chacha_sse2
ofb
rc4
salsa20
shake_cipher

# kdf
hkdf
kdf1
kdf2
prf_x942
sp800_56a

# pubkey
cecpq1
curve25519
```

```
ed25519
elgamal
gost_3410
mce
mceies
rfc6979
newhope
sm2
```

```
# pk_pad
#eme_pkcs1 // needed for tls
#emsa_pkcs1 // needed for tls
emsa_raw
emsa_x931
```

```
# hash
blake2
comb4p
gost_3411
md4
#md5 // needed for tls
rmd160
#sha1 // needed for tls
#sha1_sse2 // needed for tls
shake
skein
sm3
streebog
tiger
whirlpool
keccak
```

```
# rng
chacha_rng
```

```
# mac
cbc_mac
poly1305
siphhash
x919_mac
```

```
# misc
bcrypt
</prohibited>
```

