# Optics Lab. and Project (Problem Solving) Orientation & Topics

# Kyu-Tae Lee

Department of Physics, Inha University

E-mail: ktlee@inha.ac.kr

Phone: 032-860-7653

Room: 5N546B

#### **About this course**

#### **GOALS**

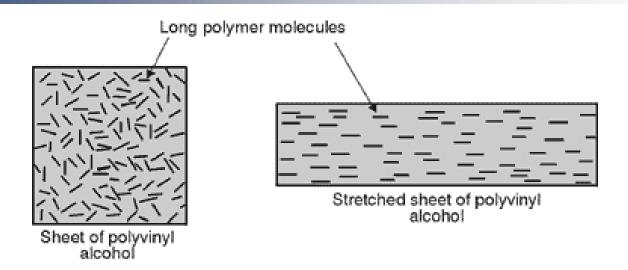
- 각 팀별로 최신 광학기술과 관련된 주제와 최종목표를 설정하고 이를 실제로 구현한다. 광학기술의 기본원리와 응용분야를 이해하고 전산 모의, 설계, 최적화, 제작, 광학 특성 측정 등을 직접 수행한다.
- 프로젝트를 진행하면서 발생하는 문제점을 파악하고 팀별로 토의와 아이디 어를 창출해서 해결방안을 마련하여 학기 중에 프로젝트를 마무리한다.

#### **EVALUATION**

- Bi-weekly Presentation (60%), Discussion (10%), Final Presentation (30%)
- 첫 발표 (9/20), 중간발표 (11/1), 최종발표 (12/20)
- 학기 중에 마무리를 못하면 2019년 동계현장실습에 참여해서 마무리 가능
- 동계현장실습에서 똑같은 주제로 할 필요는 없음

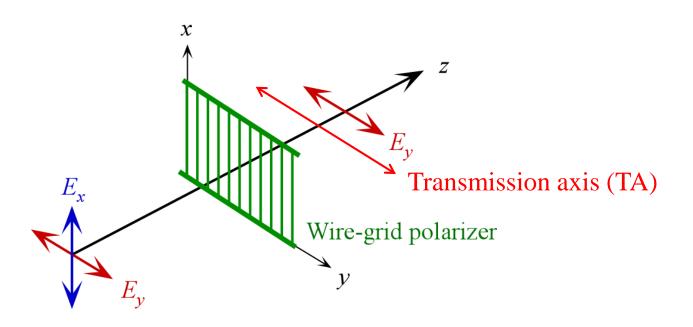
# **Wire-Grid Polarizers**

# **Absorptive Polarizers (H-sheet Polaroid)**



- H-sheet Polaroids are made from polyvinyl alcohol (PVA) plastic with an iodine doping.
- PVA molecules are aligned parallel to one another by heating and stretching of the sheet.
- When exposed to iodine vapor, iodine dopant attaches to the PVA molecules and makes the conducting along the length of the chains.
- Conduction electrons associated with iodine can move easily along the polymer chains, but not perpendicular to them (*i.e.*, acts like a wire mesh).
- Incident light with the E-field parallel to the molecules is absorbed; light with the E-field perpendicular to the polymer chains is transmitted with little absorption.

# Wire-Grid Polarizers (WGPs)

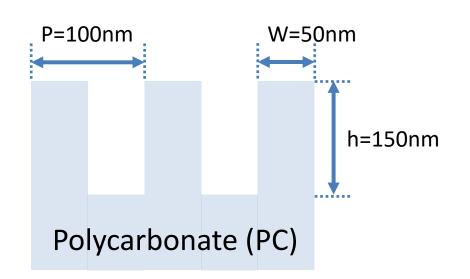


For s-polarization where the E-field is parallel to the direction of wires, incident light can excite electrons in the metal wires to move along the length of the wires, which behave like a metal that reflects light (a small amount of energy lost to Joule heating).

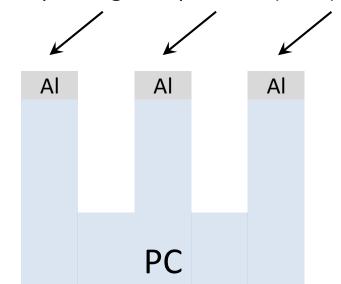
For p-polarization where the E-field is perpendicular to the direction of the wires, the movement of electrons cannot be induced due to a very narrow wire width (subwavelength scale). Thus, most energy is transmitted through the polarizer, which behave like a dielectric.

# Project 1-1. Visible Wire-Grid Polarizers (Al monolayer)

#### Fabrication and Characterization



Oblique Angle Deposition (OAD) of Al



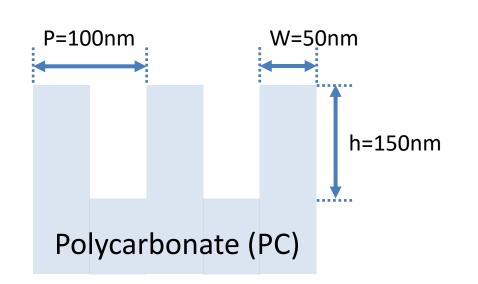
<u>Goals</u>: Understand the working principles of WGP and OAD, and gain knowledge of the operation principles of the fabrication and characterization techniques.

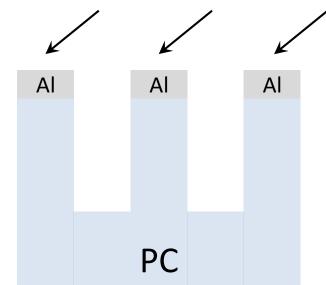
- Fabricate visible WGPs by an e-beam evaporator (at least 2 samples).
- Characterize optical properties of visible WGPs by a spectrophotometer and an ellipsometer.

# **Project 1-2. Visible WGPs (Al monolayer)**

#### Theoretical Analysis and Optimization (WGP)

Oblique Angle Deposition (OAD) of Al



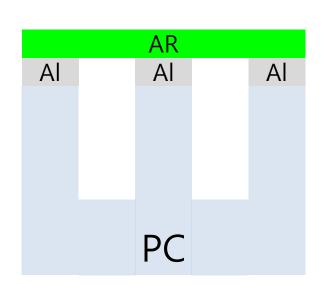


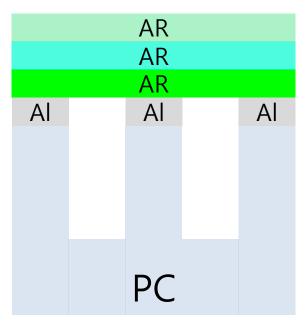
<u>Goals</u>: Study the working principles of WGP, effective medium approximation (EMA), and admittance analysis. Find the optimized WGP parameters.

- Investigate optical properties of WGPs by EMA and admittance analysis.
- Optimize the parameters of the visible WGPs via EMA and admittance analysis.

# Project 1-3. Visible WGPs with Anti-reflection (Al monolayer)

Theoretical Analysis and Optimization (WGP w/ AR 1)



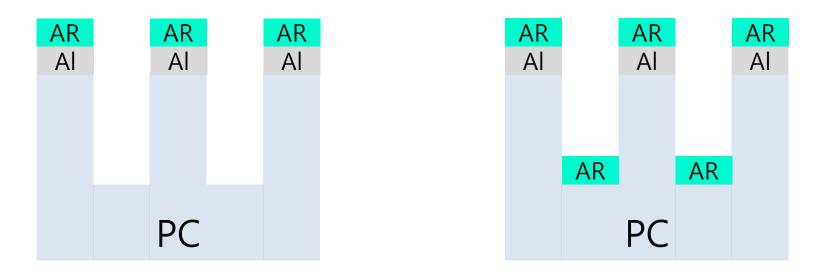


<u>Goals</u>: Study the working principles of WGP, anti-reflection, effective medium approximation (EMA), and admittance analysis. Find the optimized single and triple anti-reflection (AR) layers for visible WGPs.

- Investigate optical properties of WGPs w/ AR by EMA and admittance analysis.
- Optimize single and triple AR layers for the WGPs via EMA and admittance analysis.

# Project 1-4. Visible WGPs with AR (Al monolayer)

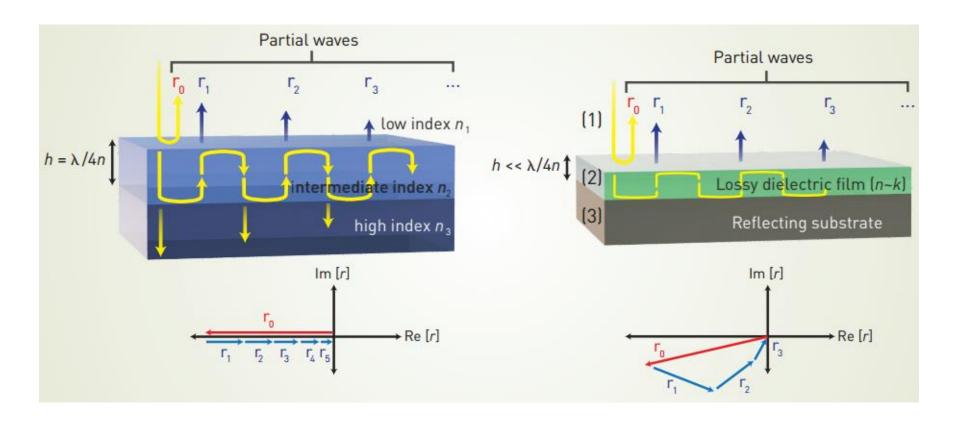
Theoretical Analysis and Optimization (WGP w/ AR 2)



<u>Goals</u>: Study the working principles of WGP, anti-reflection, effective medium approximation (EMA), and admittance analysis. Find the optimized anti-reflection (AR) layers for visible WGPs.

- Investigate optical properties of WGPs w/ AR by EMA and admittance analysis.
- Optimize the AR layers for the WGPs via EMA and admittance analysis.

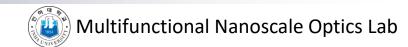
# **Ultrathin Fabry-Pérot Nanocavity**



Trivial phase shift: 0 or  $\pi$ 

Non-trivial phase shift: between  $-\pi$  and  $\pi$ 

Nat. Mater., 12, 20-24 (2013)



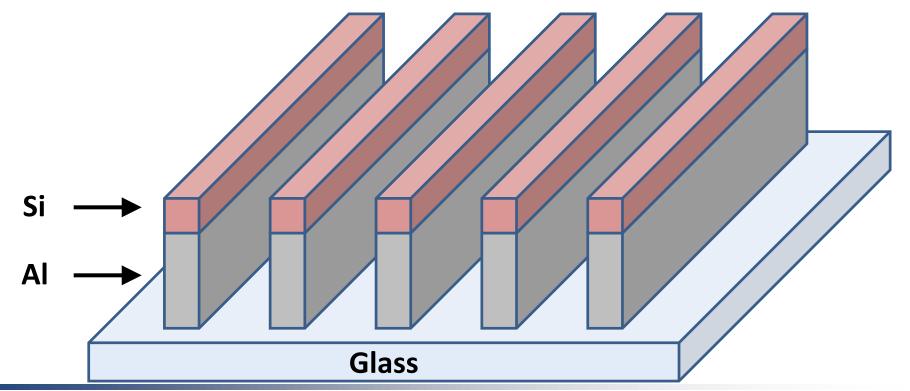
# From planar structure to 1D gratings



TM: a-Si is just ultra-thin layer (no resonance)

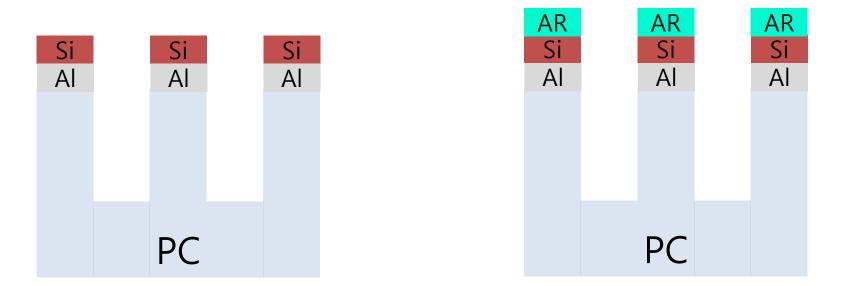


TE: a-Si is bulk (strong resonance behavior)



# Project 1-5. Colored Visible WGPs (Al monolayer)

Theoretical Analysis and Optimization (Colored WGP w/ AR)

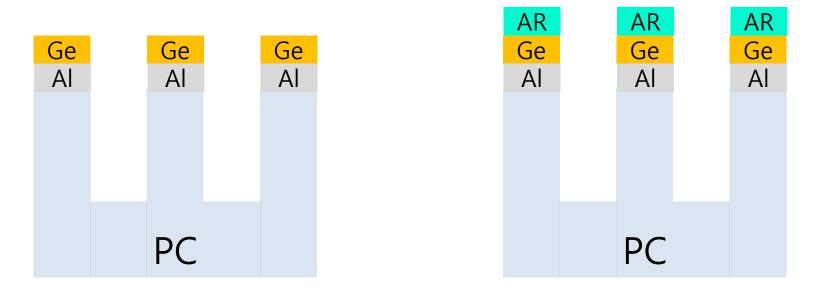


<u>Goals</u>: Study the working principles of WGP, cavity, anti-reflection, effective medium approximation (EMA), and admittance analysis. Study optical properties of colored WGPs and effects of AR on the WGP performance.

- Investigate optical properties of colored WGPs w/o and w/ AR by EMA and admittance analysis.

# Project 1-6. Low-Reflective Visible WGPs (Al monolayer)

Theoretical Analysis and Optimization (Low-reflective WGP w/ AR)



<u>Goals</u>: Study the working principles of WGP, cavity, anti-reflection, effective medium approximation (EMA), and admittance analysis. Study optical properties of low-reflective WGPs and effects of AR on the WGP performance.

- Investigate optical properties of low-reflective WGPs w/o and w/ AR by EMA and admittance analysis.

# Project 2-1. Visible WGPs (Al bilayer)

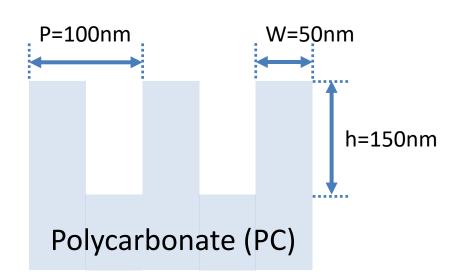
# P=100nm W=50nm Al Al Al Polycarbonate (PC)

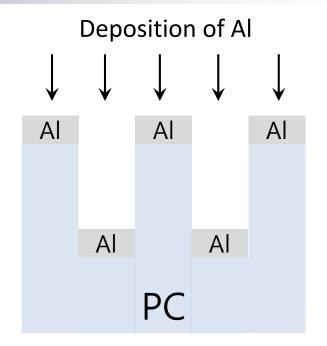
<u>Goals</u>: Understand the working principles of WGP and OAD, and gain knowledge of the operation principles of the fabrication and characterization techniques.

- Fabricate visible WGPs by an e-beam evaporator (at least 2 samples).
- Characterize optical properties of visible WGPs by a spectrophotometer and an ellipsometer.

# Project 2-2. Visible WGPs (Al bilayer)

#### Theoretical Analysis and Optimization (WGP)



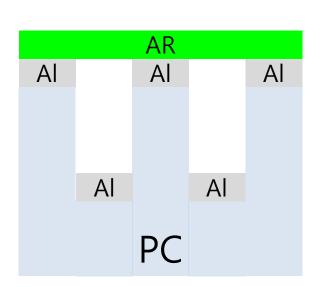


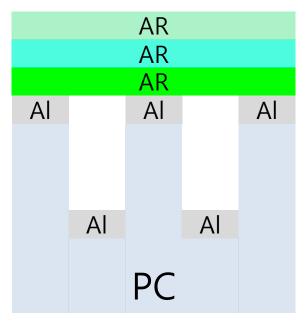
<u>Goals</u>: Study the working principles of WGP, effective medium approximation (EMA), and admittance analysis. Find the optimized WGP parameters.

- Investigate optical properties of WGPs by EMA and admittance analysis.
- Optimize the parameters of the visible WGPs via EMA and admittance analysis.

# **Project 2-3. Visible WGPs with AR (Al bilayer)**

#### Theoretical Analysis and Optimization (WGP w/ AR 1)



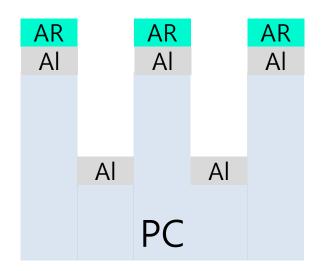


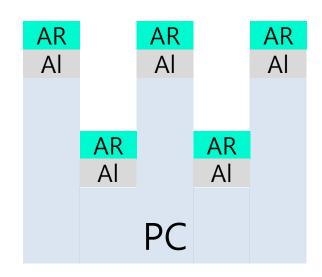
<u>Goals</u>: Study the working principles of WGP, anti-reflection, effective medium approximation (EMA), and admittance analysis. Find the optimized single and triple anti-reflection (AR) layers for visible WGPs.

- Investigate optical properties of WGPs w/ AR by EMA and admittance analysis.
- Optimize single and triple AR layers for the WGPs via EMA and admittance analysis.

# **Project 2-4. Visible WGPs with AR (Al bilayer)**

Theoretical Analysis and Optimization (WGP w/ AR 2)





<u>Goals</u>: Study the working principles of WGP, anti-reflection, effective medium approximation (EMA), and admittance analysis. Find the optimized anti-reflection (AR) layers for visible WGPs.

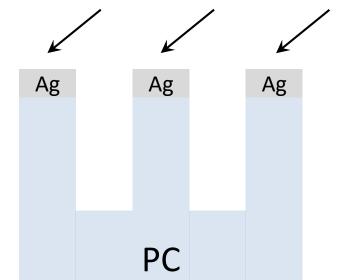
- Investigate optical properties of WGPs w/ AR by EMA and admittance analysis.
- Optimize the AR layers for the WGPs via EMA and admittance analysis.

# Project 3. Visible WGPs (Ag monolayer)

#### Fabrication and Characterization

P=100nm W=50nm
h=150nm
Polycarbonate (PC)

Oblique Angle Deposition (OAD) of Ag

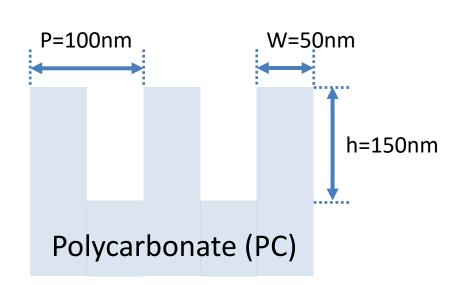


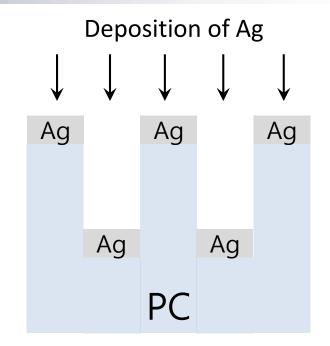
<u>Goals</u>: Understand the working principles of WGP and OAD, and gain knowledge of the operation principles of the fabrication and characterization techniques.

- Fabricate visible WGPs by an e-beam evaporator (at least 2 samples).
- Characterize optical properties of visible WGPs by a spectrophotometer and an ellipsometer.

# **Project 4. Visible WGPs (Ag bilayer)**

#### Fabrication and Characterization





<u>Goals</u>: Understand the working principles of WGP and OAD, and gain knowledge of the operation principles of the fabrication and characterization techniques.

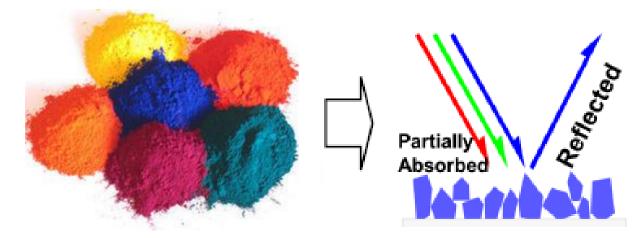
- Fabricate visible WGPs by an e-beam evaporator (at least 2 samples).
- Characterize optical properties of visible WGPs by a spectrophotometer and an ellipsometer.

# **Structural Color Filters**

# **Conventional Coloring Mechanism**



#### **Chemical pigments**



- Low efficiency (30-50%)
- Low stability
- Non-glossy
- Limited color generation

# **Unusual Coloring by Nanostructures**





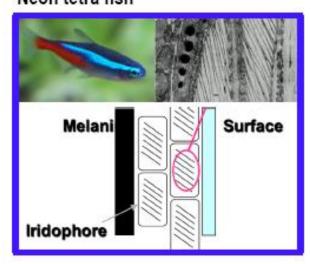








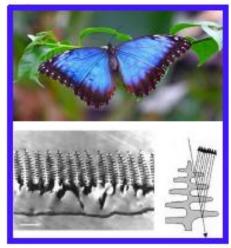
Colors by Nanostructure Neon tetra fish



**Humming bird** 

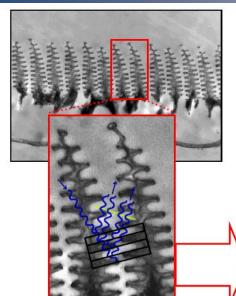


Morpho butterfly



- High efficiency (>70%)
- High stability
- Wide color generation

#### **NanoStructural Color Paint for Automobiles**

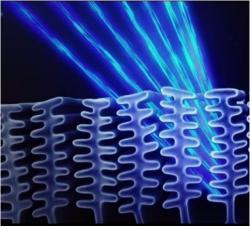


Blue wavelengths fit in the structure while red interferes destructively and is not reflected



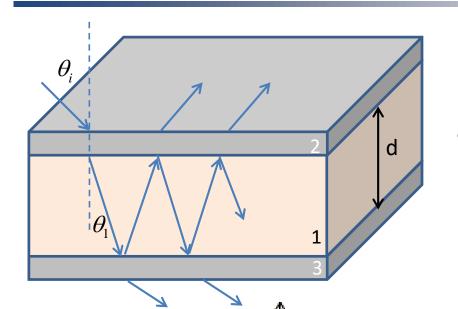
# **NanoStructural Color Paint**







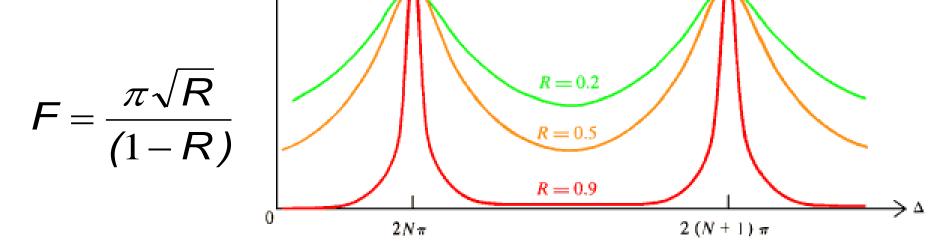
# **Basic Theory of Fabry-Perot Resonance**



# **Resonance condition:**

$$\phi_{r12} + \phi_{r23} + \phi_{propagation} = 2m\pi$$

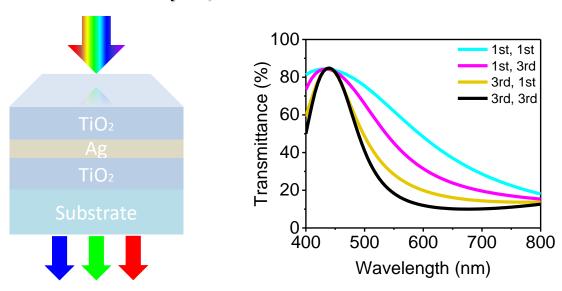
$$\phi_{propagation} = \frac{2\pi n_1}{\lambda} 2d \cos(\theta_1)$$

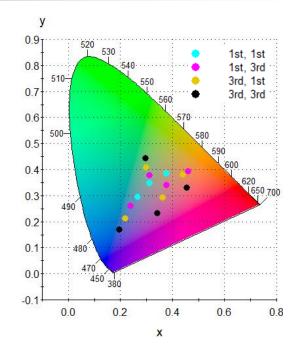




# **Project 5-1. Structural Color Filters (Blue and Magenta)**

#### Theoretical analysis, Fabrication and Characterization



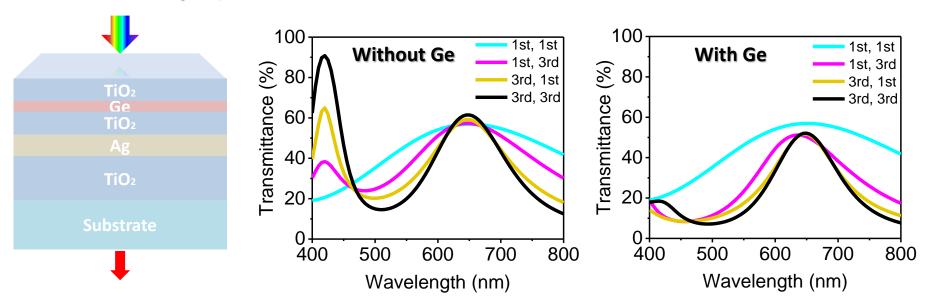


<u>Goals</u>: Understand the working principles of Fabry-Perot cavity, multicavity, higher-order resonance, and gain knowledge of the operation principles of the fabrication and characterization techniques.

- Theoretical analysis of Fabry-Perot cavity (1st order vs high order) and multicavity.
- Fabricate and characterize optical properties of structural color filters by e-beam evaporator, spectrophotometer, and ellipsometer.

# **Project 5-2. Structural Color Filters (Green and Red)**

#### Theoretical analysis, Fabrication and Characterization



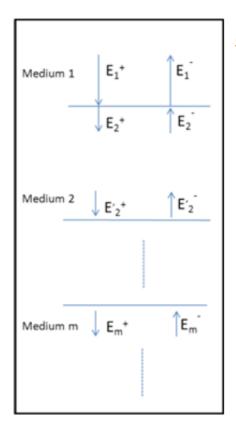
<u>Goals</u>: Understand the working principles of Fabry-Perot cavity, multicavity, higher-order resonance, and gain knowledge of the operation principles of the fabrication and characterization techniques.

- Theoretical analysis of Fabry-Perot cavity (1st order vs high order) and multicavity.
- Fabricate and characterize optical properties of structural color filters by e-beam evaporator, spectrophotometer, and ellipsometer.

# **Simulation Code**

# Project 6. Code Transfer Matrix Method by Any Language

#### Develop simulation code and Theoretical analysis



Propagation through mediums at normal incidence

Superposition of electric field one wave with positive direction(E<sup>+</sup>) one wave with negative direction(E<sup>-</sup>)

#### Propagating through an interface:

$$\begin{bmatrix} E_{i}^{\ +}_{-} \\ E_{i}^{\ -} \end{bmatrix} = \frac{1}{t_{i,j}} \begin{bmatrix} 1 & r_{i,j} \\ r_{i,j} & 1 \end{bmatrix} \begin{bmatrix} E_{j}^{\ +}_{-} \\ E_{j}^{\ -} \end{bmatrix}$$

$$r_{i,j} = -r_{j,i} = \frac{N_{i} - N_{j}}{N_{i} + N_{j}}, \qquad t_{i,j} = \frac{2N_{i}}{N_{i} + N_{j}}, \quad t_{j,i} = \frac{2N_{j}}{N_{i} + N_{j}};$$

r, t are, respectively, the complex amplitude reflection and transmission Fresnel coefficients; N is the complex refractive index of the layer

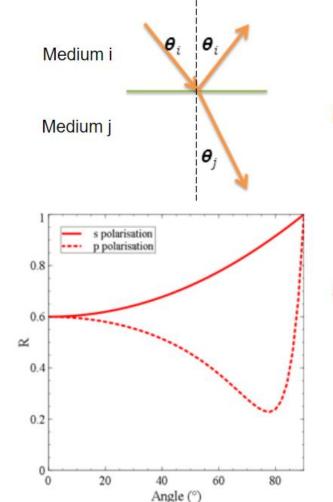
#### Propagating within a layer:

$$\begin{bmatrix} E_i + \\ E_i \end{bmatrix} = \begin{bmatrix} \Phi^{-1} & o \\ o & \Phi \end{bmatrix} \begin{bmatrix} E_i + \\ E_i \end{bmatrix} \qquad \Phi = e^{-i\frac{2\pi}{\lambda}N_i d}$$

Where d is the thickness of medium,  $\omega$  is the frequency of the propagating light and c is the speed of light

# Project 6. Code Transfer Matrix Method by Any Language

#### Develop simulation code and Theoretical analysis



At interface

#### P polarization:

$$\begin{split} r_{i,j} &= \frac{N_{j} cos \pmb{\theta}_{i} - N_{i} cos \pmb{\theta}_{j}}{N_{j} cos \pmb{\theta}_{i} + N_{i} cos \pmb{\theta}_{j}} \\ t_{i,j} &= \frac{2N_{i} cos \pmb{\theta}_{i}}{N_{j} cos \pmb{\theta}_{i} + N_{i} cos \pmb{\theta}_{j}} \end{split}$$

#### S polarization:

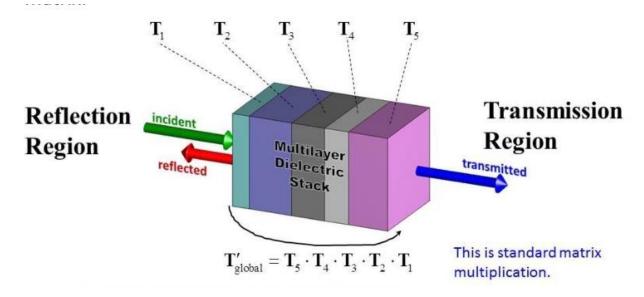
$$\begin{split} r_{i,j} &= \frac{N_i cos \pmb{\theta}_i - N_j cos \pmb{\theta}_j}{N_i cos \pmb{\theta}_i + N_j cos \pmb{\theta}_j} \\ t_{i,j} &= \frac{2N_i cos \pmb{\theta}_i}{N_i cos \pmb{\theta}_i + N_j cos \pmb{\theta}_j} \end{split}$$

Fresnel coefficiencts for oblique incidence Within the layer

 $\Phi = e^{-i\frac{2\pi}{\lambda}N_md/cos\theta_j}$ 

# Project 6. Code Transfer Matrix Method by Any Language

#### Develop a simulation code and Theoretical analysis

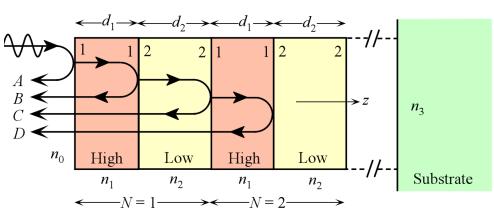


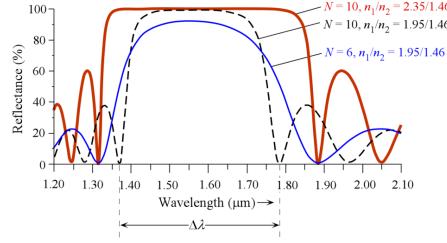
<u>Goals</u>: Develop a simulation code, study optical properties of metal-dielectric-metal Fabry-Perot cavity, and confirm the results by a commercial thin-film software (Essential Macleod).

- Code transfer matrix method by any language (python, matlab, C, etc).
- Study optical properties of a simple Fabry-Perot cavity, and confirm the results by Essential Macleod.

# Theoretical analysis, Design and Optimization

#### **Dielectric mirrors**





$$r_{12} = (n_1 - n_2)/(n_1 + n_2)$$

(positive number  $\rightarrow$  no phase change)

$$r_{21} = (n_2 - n_1)/(n_2 + n_1)$$

(negative  $\rightarrow \pi$  phase change)

The phase difference between *A* and *B* is

$$0 + \pi + 2k_1d_1 = 0 + \pi + 2(2\pi n_1/\lambda_o)(\lambda_o/4n_1) = 2\pi$$

Thus, waves *A* and *B* are in phase and **interfere constructively**.

 $\Delta\lambda$  = Reflectance bandwidth (Stop-band for transmittance)

$$\frac{\Delta \lambda}{\lambda_o} \approx (4/\pi) \arcsin\left(\frac{n_1 - n_2}{n_1 + n_2}\right)$$

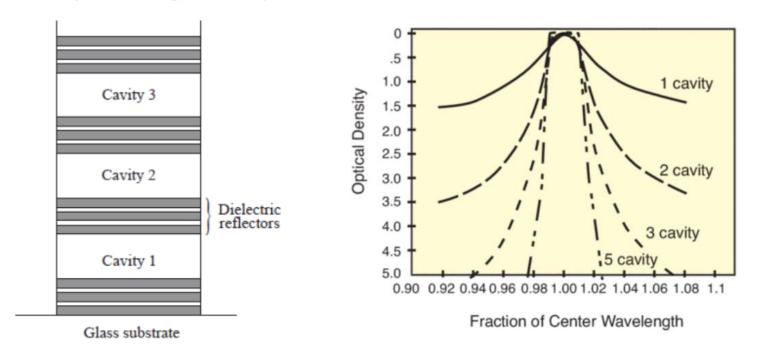
$$R_{N} = \left[ \frac{n_{1}^{2N} - (n_{0}/n_{3})n_{2}^{2N}}{n_{1}^{2N} + (n_{0}/n_{3})n_{2}^{2N}} \right]^{2}$$



32

# Project 7. Ultra-Narrow Band-Pass Filters at 1550 nm

#### Theoretical analysis, design and optimization

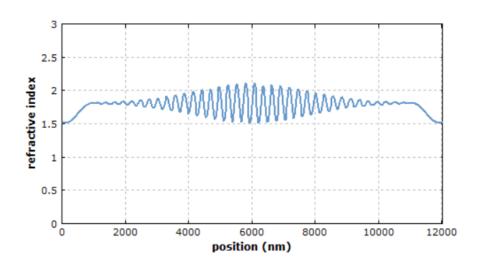


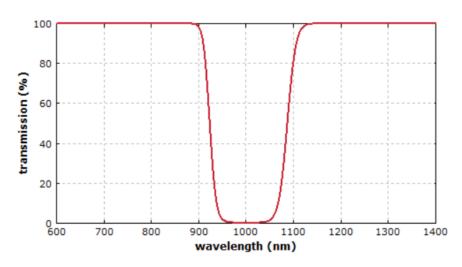
<u>Goals</u>: Understand the working principles of dielectric mirrors, Fabry-Perot cavity, and multicavity. Design the band-pass filters (bandwidth < 1 nm).

- Theoretical analysis, design and optimization of ultra-narrow band-pass filters at 1550 nm based on multicavity via Essential Macleod.

# **Project 8. Rugate Filters for RGB Reflection Colors**

#### Theoretical analysis, design and optimization



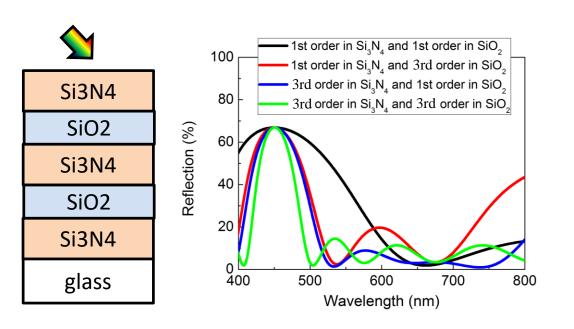


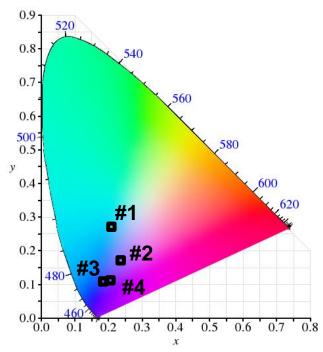
<u>Goals</u>: Understand the working principles of rugate filters (gradient-index filters) and design rugate filters at visible frequencies for RGB reflection color generation.

- Theoretical analysis, design and optimization of the structural color filters based on rugate filters for RGB reflection colors by Essential Macleod.

# **Project 9. Higher-Orders in Dielectric Mirrors (Color Filters)**

#### Theoretical analysis, design and optimization





<u>Goals</u>: Understand the working principles of dielectric mirrors and higher-orders, and design the structural color filters creating RGB reflection colors.

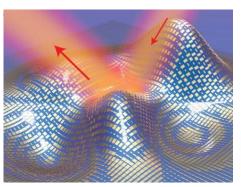
- Theoretical analysis, design and optimization of dielectric mirrors with higherorders for RGB color generation via Essential Macleod.

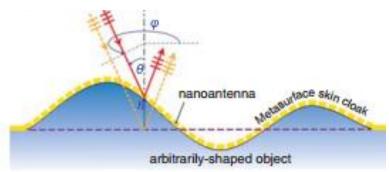
# **Invisibility Cloak based on Ray Optics**

# **Issues of Existing Invisibility Cloak**

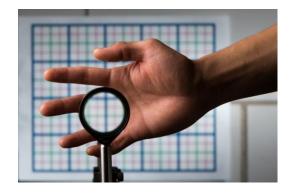


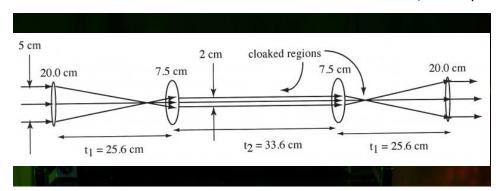






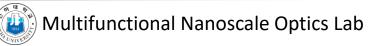
Science 349, 1310 (2015)



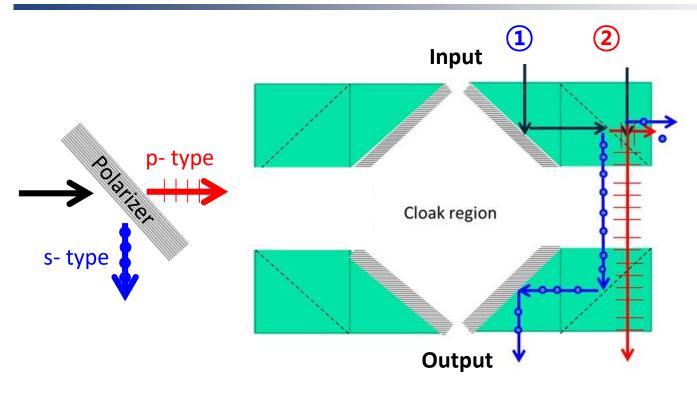


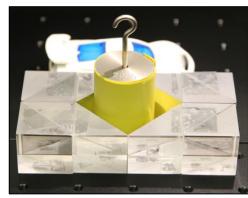
Opt. Express 22, 29465 (2014)

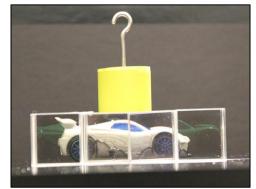
- Narrow band and low efficiency
- Small area and expensive
- Too bulky → low cloaking ratio



## **Example: Polarizers + Plane Mirrors**







- 1. No focusing optics
- 2. Good angle-insensitivity
- 3. Easy implementation
- 4. Wide field of view

Sci. Rep., 6, 38965 (2016)

# **Project 10. Ray Optics Cloaking**

New design and ray-tracing simulation

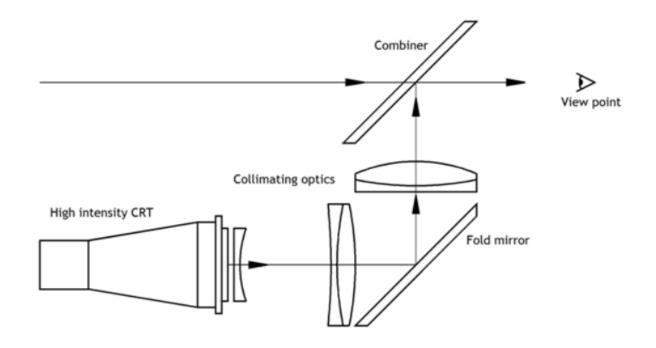
Ray Optics Cloaking

<u>Goals</u>: Develop a new design of the ray optics cloaking and confirm the optical cloaking performance by ray-tracing simulation (Zemax). If time allows, implement your own optical system design (should be cheap!) with commercial elements.

# **Optical Lens and Mirror Design**

# **Project 11. Heads-up Display Lens and Mirror**

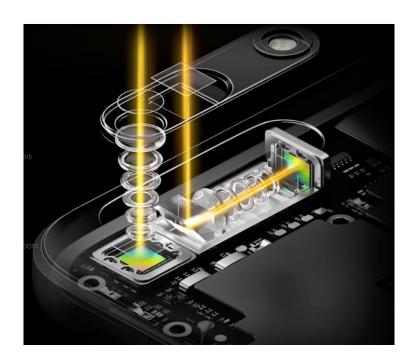
#### Design Lens and Mirrors for Heads-up Display

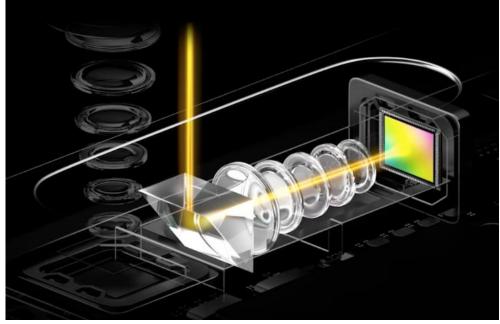


<u>Goals</u>: Understand the working principles of optical systems for heads-up display, and design optical lenses and mirrors by ray-tracing software (Zemax). If time allows, implement your own optical system design (should be cheap!) with commercial elements.

## **Project 12. Smart Phone Camera Lens**

#### Design Lenses for Smart Phone Camera





<u>Goals</u>: Understand the working principles of a camera lens of recent smart phones, a role of each element of the camera lens and design the camera lens by ray-tracing software (Zemax).

# **Project 13. Any Optics Topics**



# **List of Project Topics**

- 1. Wire-Grid Polarizers
- 2. Fabry-Perot Cavity-based Structural Color Filters
- 3. Transfer Matrix Method Code
- 4. Ultra-Narrow Band-Pass Filters
- 5. Dielectric Mirror-based Structural Color Filters
- 6. Optical Cloaking
- 7. Lens and Mirror Design for Heads-up Display
- 8. Smart Phone Camera Lens Design