



# Outline

## 1 Genre classification problems

- Typology of genre labels
- Topology of Functional Text Dimensions
- Automatic prediction of Functional Dimensions

## 2 Applications of genre classification

- Genres of Web corpora for BERT, Roberta, GPT
- Analysis of COVID corpora

## 3 Lexicogrammatical cartography

- Explaining neural networks through linguistics
- Matching functions to features
- Features of text difficulty

# Genre topography on the Web scale



*Saying sensible and useful things about any text (Halliday, 1985)*

*Language looks different when you look at a lot of it at once (Sinclair, 1991)*

**Problems:**  
1000s of genres  
Hybridism

# 6,500 genres from (Adamzik, 1995)

*Abänderungsantrag*  
*Abbestellung*  
*Abbruchgenehmigung*  
*Abdankungserklärung*  
*Abecedarium*  
*Abendblatt*  
*Abendgebet*  
*Abendgespräch*  
*Abendnachrichten*  
*Abendprogramm*  
*Abendzeitung*  
*Abenteuerroman*  
*Aberkennung*  
*Abfahrtsplan*  
*Abfindungserklärung*  
*Abgabebewilligung*  
*Abgabeordnung*  
*Abgangsmeldung*  
*Abgangszeugnis*  
*Abgeordnetenrede*  
*Abgesang [im Meistersang]*  
*Abhandlung*  
*Abhang [ind. Hymne]*  
*Abhörverbot*  
*Abiturientenzeugnis*  
*Abiturzeugnis*  
*Abkommen*

*Abrüstungsverhandlungen*  
*Absage*  
*Absatz*  
*Absatzgarantie*  
*Abschiedsbrief*  
*Abschiedsgespräch*  
*Abschiedsrede*  
*Abschilderung*  
*Abschlußarbeit*  
*Abschlußbesprechung*  
*Abschlußbilanz*  
*Abschlußgespräch*  
*Abschlußrechnung*  
*Abschlußzeugnis*  
*Abschnitt*  
*Abschrift*  
*Abschußliste*  
*Abschußplan*  
*Abschwörungsformel*  
*Absichtserklärung*  
*Absolutorium [Reifezeugnis;  
 österr.: Bestätigung einer Hochschule über erbrachte Leistungen]*  
*Abstammungsklage*  
*Abstammungsnachweis*  
*Abstammungsurkunde*  
*Abstimmungsunterlagen*

*Adversaria [vor Augen liegende  
 Kladde mit ungeordneten Konzepten, Notizen]*  
*Agenda [Notizbuch]*  
*Agende [Kirch]*  
*Agentenroman*  
*Agenturbericht*  
*Agenturmeldung*  
*Agitpropstück*  
*Ahnenprobe*  
*Ahnentafel*  
*Akkordzettel*  
*Akkreditiv [Beglaubigungsschreiben eines Diplomaten]*  
*Akquisitionsliste  
 [Anschaffungsliste]*  
*Akte*  
*Aktenband*  
*Aktenfaszikel*  
*Aktenheft*  
*Aktennotiz*  
*Aktenstück*  
*Aktenvermerk*  
*Aktie*  
*Aktiengesetz*  
*Akzept [Bank]*  
*Akzessionsliste [Verzeichnis von*

# Hybridisation of genres

**Brown** A) Press: reportage, B) Press: editorial, C) Press: Reviews, D) Religion, E) Skill and hobbies, F) Popular lore, G) Belles-lettres, H) Miscellaneous, J) Learned, K) Fiction: general, L) Fiction: mystery and crime ...

## Reportage (A) or Editorial (B)?

The most positive element to emerge from the Oslo meeting of North Atlantic Treaty Organization Foreign Ministers has been the freer, franker, and wider discussions, animated by much better mutual understanding than in past meetings. This has been a working session of an organization that, by its very nature, can only proceed along its route step by step and without dramatic changes. . .  
 ("NATO Welds Unity" The Christian Science Monitor, 1961)

# Hybridisation and democratisation



Pro...  
o...

Third doctor in Russia "falls" out of a window.

EDIT: While it is possible to read this situation any number of ways, what seems most likely to me is that they committed suicide -- at least partly as a protest. One guy had gone on record saying he was forced to work WHILE he was ill -- symptoms and all. He "fell" from the window after he'd recovered. The Russian authorities would be better off acknowledging that there's a problem. But their default setting is "Move along, nothing to see here", which just makes the situation look more suspicious.

# Functional Text Dimensions (Sharoff, 2021)

**news** To what extent does the text provide an informative report of recent events? (Prototype: *newswires*)

## Rating for functions with respect to prototypes

|    |                     |                                |
|----|---------------------|--------------------------------|
| 0  | none;               | Ignore hesitations             |
| 0  | slightly;           | ↑                              |
| .5 | somewhat or partly; | ↓                              |
| 1  | strongly.           | Emphasise confident judgements |

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# Functional Text Dimensions (Sharoff, 2021)

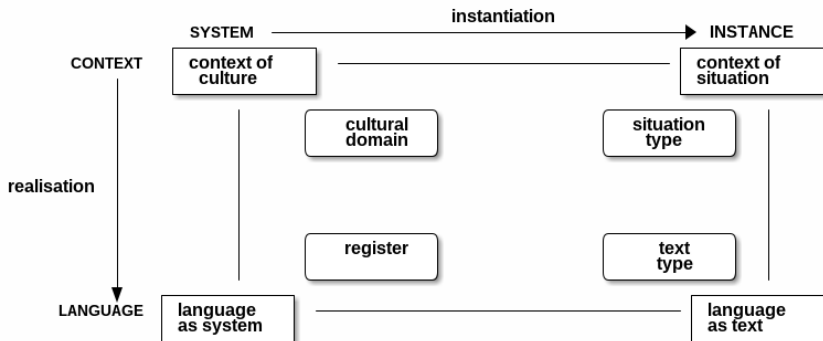
- news** To what extent does the text provide an informative report of recent events? (Prototype: *newswires*)
- argum** To what extent does the text try to persuade the reader? (*argumentative blogs* or *opinion columns*)
- review** To what extent does the text evaluate a specific entity? (*reviews of products or locations*)
- personal** To what extent does the text report a personal story? (*diary-like blog entries*)

## Rating for functions with respect to prototypes

|    |                     |                                |
|----|---------------------|--------------------------------|
| 0  | none;               | Ignore hesitations             |
| 0  | slightly;           | ↑                              |
| .5 | somewhat or partly; | ↓                              |
| 1  | strongly.           | Emphasise confident judgements |

# Form vs function in register classification

- External and internal classification criteria for Sinclair
- Texts in communication for Halliday



# Principal Functional Text Dimensions

| Code                        | Label      | Prototypes                            | En          | Ru          |
|-----------------------------|------------|---------------------------------------|-------------|-------------|
| A1                          | argum      | Argumentative blogs or opinion pieces | 375         | 345         |
| A4                          | fiction    | Fiction, myths, film plots            | 103         | 97          |
| A7                          | instruct   | Tutorials or FAQs                     | 221         | 96          |
| A8                          | news       | Reporting newswire articles           | 207         | 538         |
| A9                          | legal      | Laws, contracts, copyrights, T&Cs     | 95          | 105         |
| A11                         | personal   | Diary-like blog entries               | 161         | 284         |
| A12                         | promotion  | Adverts                               | 350         | 331         |
| A14                         | academic   | Academic research papers              | 126         | 223         |
| A16                         | info       | Encyclopedic articles or textbooks    | 244         | 313         |
| A17                         | review     | Reviews of products or experiences    | 102         | 257         |
| <b>Total training texts</b> |            |                                       | <b>1562</b> | <b>1930</b> |
| A13                         | propaganda | Non-commercial promotion              | 73          | 62          |
| A20                         | appell     | Small ads, requests, CFPs             | 69          | 31          |

Prediction of text positions in this space and the nearest prototype.

# Pre-trained transformers for prediction

Lots of methods → Fine-tuning XLM-Roberta

```
from transformers import pipeline
classifier = pipeline("text-classification",model="sshareff/genres")
print(classifier("Never in the field of human conflict was so much owed
[{'label': 'A1', 'score': 0.842}, {'label': 'A8', 'score': 0.081}])
print(classifier("The most positive element to emerge from the Oslo mee
[{'label': 'A1', 'score': 0.328}, {'label': 'A8', 'score': 0.181}])
print(classifier("Alice was beginning to get very tired of sitting by h
[{'label': 'A4', 'score': 0.776}, {'label': 'A16', 'score': 0.071}])
print(classifier("Алисе наскучило сидеть с сестрой без дела на берегу р
[{'label': 'A4', 'score': 0.562}, {'label': 'A16', 'score': 0.082}])
print(classifier("Аліса тяжко занудьгувала, сидячи на березі без діла.
[{'label': 'A4', 'score': 0.452}, {'label': 'A16', 'score': 0.068}])
```

Zero-shot multilingual transfer to Ukrainian

# Classification accuracy

| FTD         | F1    |   | CI    |
|-------------|-------|---|-------|
| Argument    | 0.729 | ± | 0.021 |
| News        | 0.944 | ± | 0.011 |
| Review      | 0.711 | ± | 0.030 |
| Personal    | 0.725 | ± | 0.028 |
| Promotion   | 0.937 | ± | 0.012 |
| Academic    | 0.883 | ± | 0.023 |
| Information | 0.657 | ± | 0.047 |
| Instruction | 0.760 | ± | 0.104 |
| Legal       | 0.757 | ± | 0.039 |
| Fiction     | 0.690 | ± | 0.051 |

Confidence intervals from 10-fold cross-validation

Overall macro-F1 is  $0.78 \pm 0.037$

# Confusion matrix for classification

| Predicted→<br>Reference↓ | A1  | A4 | A7 | A8  | A9 | A11 | A12 | A14 | A16 | A17 |
|--------------------------|-----|----|----|-----|----|-----|-----|-----|-----|-----|
| A1.argument              | 187 | 3  | 3  | 9   | 3  | 15  | 3   | 4   | 11  | 13  |
| A4.fiction               | 6   | 50 | 0  | 0   | 0  | 12  | 0   | 0   | 2   | 6   |
| A7.instruct              | 4   | 0  | 41 | 1   | 1  | 3   | 7   | 4   | 6   | 2   |
| A8.news                  | 13  | 0  | 1  | 446 | 2  | 3   | 2   | 1   | 8   | 4   |
| A9.legal                 | 4   | 0  | 0  | 0   | 59 | 2   | 1   | 2   | 5   | 0   |
| A11.personal             | 13  | 3  | 0  | 2   | 0  | 134 | 3   | 0   | 4   | 18  |
| A12.promotion            | 3   | 0  | 0  | 3   | 0  | 8   | 276 | 5   | 6   | 7   |
| A14.academic             | 9   | 0  | 1  | 0   | 3  | 4   | 2   | 161 | 6   | 0   |
| A16.info                 | 19  | 0  | 0  | 11  | 4  | 10  | 1   | 10  | 90  | 6   |
| A17.review               | 6   | 0  | 0  | 4   | 0  | 9   | 1   | 0   | 3   | 136 |

# Composition of large Web corpora for pre-training

| FTD      |        | Wiki    |        | OWT     |        | CC-en    |        | CC-ru   |
|----------|--------|---------|--------|---------|--------|----------|--------|---------|
| argum    | 0.88%  | 30720   | 21.21% | 549016  | 17.08% | 28735602 | 10.05% | 787898  |
| fiction  | 0.05%  | 1677    | 0.26%  | 6660    | 0.46%  | 771610   | 0.10%  | 8196    |
| instruc  | 0.30%  | 10509   | 3.76%  | 97298   | 4.76%  | 8013691  | 3.12%  | 244983  |
| news     | 1.14%  | 39665   | 49.78% | 1288525 | 15.88% | 26716672 | 26.84% | 2104093 |
| legal    | 0.04%  | 1340    | 0.11%  | 2731    | 1.90%  | 3190328  | 6.43%  | 504067  |
| person   | 0.03%  | 1168    | 4.48%  | 116078  | 9.35%  | 15727798 | 1.42%  | 111731  |
| promote  | 0.07%  | 2390    | 10.99% | 284365  | 29.31% | 49306151 | 27.45% | 2152335 |
| academic | 0.82%  | 28558   | 0.72%  | 18720   | 1.77%  | 2983096  | 3.46%  | 271039  |
| info     | 91.98% | 3196502 | 2.22%  | 57342   | 11.64% | 19590438 | 18.36% | 1439570 |
| review   | 4.68%  | 162511  | 6.48%  | 167772  | 7.85%  | 13209782 | 2.77%  | 216904  |

Pre-trained transformer models (BERT, Roberta, GPT):

- BERT for English is trained by combining Wiki and fiction, mBERT is trained on Wikipedia for all languages
- OWT (used in GPT-2) comes from upvoted links on Reddit
- CC (used in XLM-Roberta) comes from Common Crawl



# COVID message journey: masks not needed

Research papers → policy making → mass media → social media

**2010-09 The Annals of Occupational Hygiene** *masks tested in the study had 40–90% instantaneous penetration levels against polydisperse NaCl aerosols employed in the respirator test protocol at 5.5 cm/s. Results show that common fabric materials may provide marginal protection against nanoparticle-sized viruses.* →  
Function: academic, Face masks: not needed

**2020-04-20 NY Times** *The C.D.C. has recommended that all Americans wear cloth masks if they go out in public.* → Function:  
instruction, Region: USA, Face masks: support

**2020-04-30 Gov.UK News** *Today, we're publishing our PPE plan: being clear who needs PPE, when they need it and who does not. ... the best way to protect yourself and to protect others is to regularly wash your hands. And of course the most important way to protect yourself is to stay at home. Because a front door is better than any face mask.* → Function:  
instruction, Region: UK, Face masks: not needed

# Message journey: support for wearing masks

**2020-02-09, The Daily Telegraph** *Increasing numbers of commuters on the London Underground are now wearing face masks, twitchily keeping watch on the respiratory condition of those around them.* → Function: news, Region: UK, Face masks: support

**2020-02-11, Twitter** *I told yall I'm anti vaxx but I'm not stupid like the ppl in the world. I'm the type who wears face masks when I'm in public.* → Function: argument, Region: USA, Face masks: support

**2020-05-03 Reddit** *With the lockdown being extended indefinitely, I'd like to have myself personalised face masks, something more stylish and durable. Current set of disposable masks don't last very long. Looking to support someone local to South London.* → Function: argument, Region: UK, Face masks: support

# Corpus collection via crawling

|                    | Source               | Time span       | #Texts     | #Words      | AWL   |
|--------------------|----------------------|-----------------|------------|-------------|-------|
| Research papers:   | CORD19               | 1922–2021       | 183,185    | 678,679,071 | 3,705 |
| Authority sources: | CDC FAQ              | 2020-08         | 645        | 29,375      | 46    |
|                    | News from gov.uk     | 2020-01–2021-03 | 1,576      | 1,165,237   | 739   |
| Newspapers&Policy  | The Sun              | 2020-01–2021-03 | 21,704     | 14,724,045  | 678   |
|                    | The Telegraph        | 2020-01–2021-03 | 16,510     | 20,991,551  | 970   |
|                    | The Guardian         | 2020-01–2021-03 | 28,766     | 20,825,021  | 724   |
|                    | World Economic Forum | 2020-01–2021-03 | 2,528      | 2,440,099   | 965   |
| Social Media:      | Reddit               | 2020-02–2020-07 | 107,973    | 7,711,589   | 71    |
|                    | Twitter              | 2019-12–2021-01 | 30,016,828 | 688,073,844 | 23    |

Botometer for filtering real users in Twitter

# Extraction of texts on topic and genre

- Using Embedded Topic Models (Dieng et al., 2020)

Topic6: *masks, social, distancing, face, wear, hands, wearing, air, water, food, spread, wash, ppe, sanitizer, buy, protect*

| FTD         | Reddit | Twitter | Guardian | Sun    | Telegraph |
|-------------|--------|---------|----------|--------|-----------|
| Argument    | 56.43% | 54.72%  | 39.30%   | 34.37% | 41.97%    |
| News        | 1.53%  | 11.16%  | 49.27%   | 49.86% | 44.06%    |
| Personal    | 22.14% | 10.83%  | 4.59%    | 2.41%  | 5.50%     |
| Promotion   | 1.37%  | 11.40%  | 4.59%    | 8.73%  | 5.04%     |
| Academic    | 0.02%  | 0.03%   | 0.02%    | 0.02%  | 0.08%     |
| Information | 1.66%  | 1.02%   | 0.58%    | 1.32%  | 0.94%     |
| Review      | 7.19%  | 7.20%   | 0.36%    | 0.06%  | 0.26%     |
| Fiction     | 0.11%  | 0.21%   | 0.25%    | 0.08%  | 0.42%     |
| Instruction | 9.42%  | 3.29%   | 1.05%    | 3.13%  | 1.74%     |
| Legal       | 0.13%  | 0.15%   | 0.00%    | 0.01%  | 0.01%     |

Misinformation potential for 'reporting personal experiences' and Instructions and Reviews

# Explaining neural networks through linguistics

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- Ideally lexicogrammar features from (Halliday, 1985)

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  - Text-level categories (type-token ratio, word length, ...)
- Ideally lexicogrammar features from (Halliday, 1985)
- Relative importance by training **logistic regression**

$$\ln \frac{p}{1-p} = w_0 + w_1 x_1 + \dots + w_n x_n$$

# Variation in features across text functions

Rates of nominalisations (E14), nouns (E16), *by*-passives (F18), public verbs (K55) and clause negation (P67)

|          | E14   |        | E16    |        | F18   |        | K55   |        | P67    |        |
|----------|-------|--------|--------|--------|-------|--------|-------|--------|--------|--------|
|          | mean  | median | mean   | median | mean  | median | mean  | median | mean   | median |
| Overall: | 2.92% | 2.46%  | 19.17% | 18.96% | 0.10% | 0.00%  | 0.24% | 0.00%  | 12.54% | 7.30%  |
| Argum    | 3.29% | 2.99%  | 17.90% | 17.75% | 0.10% | 0.00%  | 0.36% | 0.27%  | 17.58% | 12.99% |
| Fiction  | 1.38% | 1.19%  | 14.77% | 14.57% | 0.07% | 0.00%  | 0.59% | 0.46%  | 26.32% | 17.10% |
| Instruct | 2.73% | 2.32%  | 19.48% | 19.36% | 0.08% | 0.00%  | 0.21% | 0.00%  | 16.04% | 10.69% |
| News     | 3.20% | 2.97%  | 18.39% | 18.18% | 0.13% | 0.00%  | 0.55% | 0.43%  | 9.11%  | 4.16%  |
| Legal    | 5.36% | 5.16%  | 19.65% | 19.56% | 0.18% | 0.12%  | 0.29% | 0.19%  | 21.82% | 15.75% |
| Personal | 1.66% | 1.39%  | 16.73% | 16.56% | 0.06% | 0.00%  | 0.33% | 0.23%  | 13.99% | 9.92%  |
| Promote  | 3.42% | 3.03%  | 21.03% | 20.95% | 0.08% | 0.00%  | 0.14% | 0.00%  | 8.60%  | 0.00%  |
| Academ   | 4.28% | 3.99%  | 20.39% | 20.35% | 0.13% | 0.00%  | 0.17% | 0.03%  | 8.90%  | 0.00%  |
| Info     | 2.50% | 2.07%  | 17.87% | 17.66% | 0.15% | 0.00%  | 0.15% | 0.00%  | 8.11%  | 0.00%  |
| Review   | 1.76% | 1.59%  | 17.56% | 17.50% | 0.07% | 0.00%  | 0.26% | 0.14%  | 13.15% | 9.38%  |

# Variation in Russian

Rates of nominalisations (E14), nouns (E16), *by*-passives (F18), public verbs (K55) and clause negation (P67)

|          | E14    |        | E16    |        | F18   |        | K55   |        | P67    |        |
|----------|--------|--------|--------|--------|-------|--------|-------|--------|--------|--------|
|          | mean   | median | mean   | median | mean  | median | mean  | median | mean   | median |
| Overall: | 6.05%  | 5.46%  | 21.43% | 21.42% | 0.28% | 0.15%  | 0.14% | 0.00%  | 8.99%  | 7.68%  |
| Argum    | 6.05%  | 5.47%  | 19.44% | 19.41% | 0.23% | 0.15%  | 0.18% | 0.10%  | 12.49% | 11.73% |
| Fiction  | 2.42%  | 2.18%  | 18.32% | 18.21% | 0.21% | 0.06%  | 0.27% | 0.16%  | 15.47% | 14.78% |
| Instruct | 4.87%  | 4.48%  | 21.12% | 21.11% | 0.22% | 0.11%  | 0.12% | 0.00%  | 12.46% | 11.60% |
| News     | 6.61%  | 6.16%  | 22.31% | 22.22% | 0.26% | 0.00%  | 0.26% | 0.00%  | 5.30%  | 3.38%  |
| Legal    | 11.40% | 11.07% | 22.50% | 22.41% | 0.69% | 0.59%  | 0.11% | 0.00%  | 6.46%  | 5.04%  |
| Personal | 3.07%  | 2.80%  | 18.39% | 18.19% | 0.15% | 0.00%  | 0.18% | 0.07%  | 14.01% | 13.33% |
| Promote  | 6.88%  | 6.46%  | 22.77% | 22.64% | 0.32% | 0.17%  | 0.09% | 0.00%  | 6.43%  | 5.13%  |
| Academ   | 10.17% | 9.80%  | 22.96% | 22.93% | 0.39% | 0.31%  | 0.10% | 0.00%  | 5.42%  | 4.29%  |
| Info     | 6.32%  | 5.78%  | 22.25% | 22.27% | 0.35% | 0.27%  | 0.09% | 0.00%  | 6.87%  | 5.96%  |
| Review   | 3.90%  | 3.59%  | 19.49% | 19.39% | 0.22% | 0.00%  | 0.14% | 0.00%  | 11.78% | 10.81% |

# Text difficulty for easy instructions and news

| A7.instructional    |         | A8.news           |         |
|---------------------|---------|-------------------|---------|
| C07.2persProns      | 0.5155  | K55.publicVerbs   | 0.2913  |
| C06.1persProns      | 0.1791  | H35.causative     | 0.2666  |
| B04.placeAdverbials | 0.1702  | H38.otherSubord   | 0.2214  |
| I39.preposn         | 0.1603  | N59.contractions  | 0.2192  |
| L54.predicModals    | 0.1371  | K47.generalHedges | 0.2129  |
| N60.thatDeletion    | 0.1341  | D13.whQuestions   | 0.1841  |
| B05.timeAdverbials  | 0.1028  | A01.pastVerbs     | 0.1756  |
| L53.necessModals    | 0.0638  | C09.impersProns   | 0.1525  |
| H35.causative       | -0.0784 | C08.3persProns    | 0.0521  |
| K56.privateVerbs    | -0.0902 | F18.BYpassives    | -0.1857 |
| H25.presPart Claus  | -0.0984 | K48.amplifiers    | -0.1864 |
| E14.nominalizations | -0.1146 | K50.discoursePart | -0.2290 |
| I42.ADV             | -0.1366 | L54.predicModals  | -0.2427 |
| C09.impersProns     | -0.1612 | E16.Nouns         | -0.2705 |
| A03.presVerbs       | -0.1678 | K45.conjuncts     | -0.3521 |
| E16.Nouns           | -0.2482 | C07.2persProns    | -0.4385 |



# Take-home message

- Genre typology vs topology  
Functional Text Dimensions with respect to prototypes
- You should always compare your findings across corpora and across genres  
Differences across popular corpora for pre-trained models
- Differences in COVID genre classification
- Compare text-external functions using text-internal features  
Also across languages: nominalizations or prediction Models

## Available resources

**Classifier** <https://huggingface.co/ssharoff/genres>

**Biber features** <https://github.com/ssharoff/biberpy>

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