**Sungho Shin** Mathematics and Computer Science Division, Argonne National Laboratory, Lemont, IL 60439 Email: sshin@anl.gov | Cell: +1 608 448 5155 | Web: sunghoshin.com | Twitter: @SunghoShinSS | Github: @sshin23 Education University of Wisconsin-Madison, Madison, WI 2021 Ph.D. in Chemical Engineering Minor in Industrial Engineering Thesis: Graph-Structured Nonlinear Programming: Properties and Algorithms Thesis Advisor: Victor M. Zavala Seoul National University, Seoul, South Korea 2016 B.S. in Chemical Engineering B.S. in Mathematics Thesis Advisors: Jong Min Lee (Chemical Engineering) and Seng Yeal Ha (Mathematics) Summa Cum Laude **Research Interests** control theory; model predictive control; nonlinear optimization; stochastic optimization; energy systems

# **Research Experience**

**Postdoctoral Appointee** 2021-Present Argonne National Laboratory, Lemont, IL Mathematics and Computer Science Division Supervisor: Mihai Anitescu **Research Assistant** 2016-2021 University of Wisconsin-Madison, Madison, WI Department of Chemical and Biological Engineering Supervisor: Victor M. Zavala **Research Intern** 2020 Los Alamos National Laboratory, Los Alamos, NM Advanced Network Science Initiative Supervisor: Carleton Coffrin and Kaarthik Sundar **Research Intern** 2018 Argonne National Laboratory, Lemont, IL Mathematics and Computer Science Division Supervisor: Mihai Anitescu **Research Intern** 2016

Seoul National University, Seoul, South Korea

Department of Chemical and Biological Engineering

Supervisor: Jong Min Lee.

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| Honors and Awards   |                      |
|---|----------------------|
| Young Author Award, IFAC Conference on Nonlinear Model Predictive Control                 | 2021                 |
| Young Author Award, IFAC International Symposium on Advanced Control of Chemical Proc     | esses 2021           |
| CAST Directors' Student Presentation Award, AIChE   | 2020                 |
| Grainger Wisconsin Distinguished Graduate Fellowship, University of Wisconsin-Madison     | 2020–2021            |
| Kwanjeong Scholarship, Kwanjeong Educational Foundation                                   | 2016–2020            |
| Korea Presidential Science Scholarship, Korea Student Aid Foundation                      | 2010–2016            |
| Mentoring Experience  |                      |
| Argonne National Laboratory, Lemont, IL   |                      |
| Miao Li (Predoctoral Appointee)   | Fall 2022–Present    |
| Anthony Spyros Degleris (Stanford University)   | Summer 2022          |
| David Cole (University of Wisconsin-Madison)  | Summer 2022          |
| Rishabh Gupta (University of Minnesota)   | Spring 2022          |
| University of Wisconsin-Madison, Madison, WI  |                      |
| Sang-il Kwon (University of Wisconsin-Madison)  | Fall 2017            |
| Teaching Experience   |                      |
| University of Wisconsin-Madison, Madison, WI  |                      |
| Statistics for Chemical Engineers, Teaching Assistant                                     | Spring 2019          |
| Process Dynamics and Control, Teaching Assistant  | Fall 2018, Fall 2017 |
| Seoul National University, Seoul, South Korea   |                      |
| Process Control and Design, Undergraduate Tutor   | Fall 2015            |
| Process Fluid Mechanics, Undergraduate Tutor  | Spring 2015          |
| Basic Chemistry, Undergraduate Tutor  | Spring 2015          |
| Professional Services   |                      |
| Academic Services   |                      |
| Session Chair INFORMS Annual Meeting  | 2022                 |
| Session Co-Chair AIChE Annual Meeting   | 2022                 |
| Reviewer AIChE Annual Meeting CAST Division (10B, 10E)                                    | 2022                 |
| Co-Chair, Summer Argonne Students Symposium,  | 2022                 |
| Judge, Research Presentation Sessions, Argonne Postdoctoral Research and Career Symposium | 2021                 |

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### **Peer Review**

**Proposals:** NSF

**Journals**: AIChE Journal; IEEE Transactions on Automatic Control; Automatica; Computers & Chemical Engineering; IEEE Control Systems Letters; IEEE Transactions on Control Systems Technology; Industrial & Engineering Chemistry Research; Informs Journal on Computing; Journal of Optimization Theory and Applications; SIAM Journal on Optimization

**Conferences**: American Control Conference; IFAC Conference on Nonlinear Model Predictive Control; IFAC International Symposium on Advanced Control of Chemical Processes

### **Professional Affiliations**

- American Institute of Chemical Engineers (AIChE)
- Institute of Electrical and Electronics Engineers (IEEE) Control Systems Society
- Institute for Operations Research and the Management Sciences (INFORMS)
- Society for Industrial and Applied Mathematics (SIAM)

#### **Publications**

### **Preprints**

- [P4] F. Pacaud, M. Schanen, S. Shin, D. A. Maldonado, and M. Anitescu. Parallel interior-point solver for block-structured nonlinear programs on simd/gpu architectures, 2023, arXiv:2301.04869. Under Review.
- [P3] A. Engelmann, S. Shin, F. Pacaud, and V. M. Zavala. Scalable primal decomposition schemes for large-scale infrastructure networks, 2022, arxiv:2212.11571. Under Review.
- [P2] **S. Shin** and M. Anitescu. Improved perturbation bounds for graph-induced banded systems and application to optimal control. In Preparation.
- [P1] **S. Shin**, S. Na, and M. Anitescu. Near-optimal performance of stochastic predictive control, arXiv:2210.08599. In Preparation.

### **Journal Publications**

- [J12] **S. Shin**, Y. Lin, G. Qu, A. Wierman, and M. Anitescu. Near-optimal distributed linear-quadratic regulator for networked systems. *SIAM Journal on Control and Optimization*, 2023, arXiv:2204.05551. Accepted.
- [J11] F. Pacaud, S. Shin, M. Schanen, D. A. Maldonado, and M. Anitescu. Condensed interior-point methods: porting reduced-space approaches on GPU hardware. *Journal of Optimization Theory and Applications*, 2023, arXiv: 2203.11875. Accepted.
- [J10] **S. Shin** and V. M. Zavala. Diffusing-horizon model predictive control. *IEEE Transactions on Automatic Control*, 2023, arXiv:2002.08556. doi:10.1109/TAC.2021.3137100.
- [J9] F. Pacaud, D. A. Maldonado, S. Shin, M. Schanen, and M. Anitescu. A feasible reduced space method for real-time optimal power flow. *Electric Power Systems Research*, 212:108268, 2022, arXiv:2110.02590. doi:https://doi.org/10.1016/j.epsr.2022.108268.
- [J8] D. L. Cole, **S. Shin**, and V. Zavala. A julia framework for graph-structured nonlinear optimization. *Industrial & Engineering Chemistry Research*, 2022, arXiv:2204.05264. doi:https://doi.org/10.1021/acs.iecr.2c01253.
- [J7] S. Na\*, **S. Shin**\*, M. Anitescu, and V. M. Zavala. On the convergence of overlapping schwarz decomposition for nonlinear optimal control. *IEEE Transactions on Automatic Control*, 2022, arXiv:2005.06674. doi:10.1109/TAC.2022.3194087. \*Equal contribution.
- [J6] J. Jalving, **S. Shin**, and V. M. Zavala. A graph-based modeling abstraction for optimization: Concepts and implementation in Plasmo.jl. *Mathematical Programming Computation*, 2022, arXiv:2006.05378. doi:10.1007/s12532-022-00223-3.

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[J5] **S. Shin**, M. Anitescu, and V. M. Zavala. Exponential decay of sensitivity in graph-structured nonlinear programs. *SIAM Journal on Optimization*, 32(2):1156–1183, 2022, arXiv:2101.03067. doi:10.1137/21M1391079.

- [J4] **S. Shin**, V. M. Zavala, and M. Anitescu. Decentralized schemes with overlap for solving graph-structured optimization problems. *IEEE Transactions on Control of Network Systems*, 7(3):1225–1236, 2020, arXiv:1810. 00491. doi:10.1109/TCNS.2020.2967805.
- [J3] **S. Shin**, P. Hart, T. Jahns, and V. M. Zavala. A hierarchical optimization architecture for large-scale power networks. *IEEE Transactions on Control of Network Systems*, 6(3):1004–1014, 2019, arXiv:2002.09796. doi: 10.1109/TCNS.2019.2906917.
- [J2] **S. Shin**, O. S. Venturelli, and V. M. Zavala. Scalable nonlinear programming framework for parameter estimation in dynamic biological system models. *PLoS Computational Biology*, 15(3):e1006828, 2019. doi:10.1371/journal. pcbi.1006828.
- [J1] D. S. Kim, S. Shin, G. B. Choi, K. H. Jang, J. C. Suh, and J. M. Lee. Diagnosis of partial blockage in water pipeline using support vector machine with fault-characteristic peaks in frequency domain. *Canadian Journal of Civil Engineering*, 44(9):707–714, 2017. doi:10.1139/cjce-2016-0615.

#### **Conference Publications**

- [C8] S. Shin, F. Pacaud, E. Contantinescu, and M. Anitescu. Constrained policy optimization for stochastic optimal control under nonstationary uncertainties. In 2023 American Control Conference (ACC), 2023, arXiv:2209. 13050. Accepted.
- [C7] D. Cole, S. Shin, F. Pacaud, V. M. Zavala, and M. Anitescu. Exploiting GPU/SIMD architectures for solving linear-quadratic MPC problems. In 2023 American Control Conference (ACC), 2023, arXiv:2209.13049. Accepted.
- [C6] **S. Shin** and V. M. Zavala. Controllability and observability imply exponential decay of sensitivity in dynamic optimization. In *7th IFAC Conference on Nonlinear Model Predictive Control*, volume 54, pages 179–184, 2021, arXiv:2101.06350. doi:10.1016/j.ifacol.2021.08.542. Young Author Award.
- [C5] **S. Shin**, C. Coffrin, K. Sundar, and V. M. Zavala. Graph-based modeling and decomposition of energy infrastructures. In *11th IFAC International Symposium on Advanced Control of Chemical Processes*, volume 54, pages 693–698, 2021, arXiv:2010.02404. doi:10.1016/j.ifacol.2021.08.322. Keynote Paper, Young Author Award.
- [C4] S. Shin, M. Anitescu, and V. M. Zavala. Overlapping Schwarz decomposition for constrained quadratic programs. In 2020 59th IEEE Conference on Decision and Control (CDC), pages 3004–3009, 2020, arXiv:2003.07502. doi:10.1109/CDC42340.2020.9304139.
- [C3] Q. Lu, S. Shin, and V. M. Zavala. Characterizing the predictive accuracy of dynamic mode decomposition for data-driven control. In 21th IFAC World Congress, volume 53, pages 11289–11294, 2020, arXiv:2003.01028. doi:https://doi.org/10.1016/j.ifacol.2020.12.373.
- [C2] S. Shin, T. Faulwasser, M. Zanon, and V. M. Zavala. A parallel decomposition scheme for solving long-horizon optimal control problems. In 2019 IEEE 58th Conference on Decision and Control (CDC), pages 5264–5271, 2019, arXiv:1903.01055. doi:10.1109/CDC40024.2019.9030139.
- [C1] **S. Shin**, A. D. Smith, S. J. Qin, and V. M. Zavala. On the convergence of the dynamic inner PCA algorithm. In *Foundations of Process Analytics and Machine Learning*, 2019, arXiv:2003.05928.

#### **Book Chapters, Technical Reports, and Others**

- [B5] M. Anitescu, K. Kim, Y. Kim, A. Maldonado, F. Pacaud, V. Rao, M. Schanen, **S. Shin**, and A. Subramanian. Targeting Exascale with Julia on GPUs for multiperiod optimization with scenario constraints. *SIAG/OPT Views and News*, 2021. URL http://wiki.siam.org/siag-op/images/siag-op/e/e8/ViewsAndNews-29-1.pdf.
- [B4] P. F. Lang, S. Shin, and V. M. Zavala. SBML2Julia: interfacing SBML with efficient nonlinear Julia modeling and solution tools for parameter optimization. 2020, arXiv:2011.02597.
- [B3] **S. Shin**, Q. Lu, and V. M. Zavala. Unifying theorems for subspace identification and dynamic mode decomposition. 2020, arXiv:2003.07410.
- [B2] **S. Shin** and V. M. Zavala. Computing economic-optimal and stable equilibria for droop-controlled microgrids. 2018, arXiv:2002.09802.

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[B1] **S. Shin** and V. M. Zavala. Multi-grid schemes for multi-scale coordination of energy systems. In *Energy Markets and Responsive Grids*, pages 195–222. Springer, 2018, arXiv:2002.10680. doi:10.1007/978-1-4939-7822-9\_9.

#### **Thesis**

[T1] **S. Shin**. *Graph-Structured Nonlinear Programming: Properties and Algorithms*. The University of Wisconsin-Madison, 2021.

## **Presentations**

#### **Invited Talks**

- [I6] S. Shin. Scalable decision-making for energy systems: A graph-structured optimization approach. Grid Science Winter School and Conference, Santa Fe, NM, 2023.
- [I5] **S. Shin**. Scalable decision-making for energy infrastructures: Theory, algorithms, and software. Young Researcher Symposium, Seoul National University (Virtual), 2022.
- [I4] **S. Shin**, M. Anitescu, and V. M. Zavala. Graph-structured nonlinear programming: Properties and algorithms. ALOP colloquium, Trier University (Virtual), 2021.
- [I3] **S. Shin**, M. Anitescu, and V. M. Zavala. Graph-structured nonlinear programming: Properties and algorithms. Rigorous Systems Research Group, Caltech (Virtual), 2021.
- [I2] **S. Shin** and V. M. Zavala. Graph-structured optimization for energy infrastructures. Department of Chemical and Biological Engineering Seminar, University of Wisconsin-Madison (Virtual), 2021.
- [I1] **S. Shin**, M. Anitescu, and V. M. Zavala. Exponential decay of sensitivity in graph-structured nonlinear programs. University of Bayreuth (Virtual), 2020.

#### **Conference Talks**

- [M14] S. Shin. MadNLP.jl: A mad nonlinear programming solver. JuliaCon2021.
- [M13] **S. Shin** and V. M. Zavala. Controllability and observability imply exponential decay of sensitivity in dynamic optimization. 7th IFAC Conference on Nonlinear Model Predictive Control (Virtual), 2021.
- [M12] S. Shin, C. Coffrin, K. Sundar, and V. M. Zavala. Graph-based modeling and decomposition of energy infrastructures. 11th IFAC International Symposium on Advanced Control of Chemical Processes (Virtual), 2021.
- [M11] **S. Shin**, M. Anitescu, and V. M. Zavala. Overlapping schwarz decomposition for constrained quadratic programs. 58th IEEE Conference on Decision and control (Virtual), 2020.
- [M10] **S. Shin**, V. M. Zavala, and M. Anitescu. Unifying theorems for unifying theorems for subspace identification and dynamic mode decomposition. AIChE Annual Meeting (Virtual), 2020.
- [M9] S. Shin and V. M. Zavala. Diffusing-horizon model predictive control. AIChE Annual Meeting (Virtual), 2020.
- [M8] S. Shin, M. Anitescu, and V. M. Zavala. Overlapping domain decomposition schemes for solving graph-structured optimization problems. AIChE Annual Meeting (Virtual), 2020.
- [M7] S. Shin, T. Faulwasser, M. Zanon, and V. M. Zavala. A parallel decomposition scheme for solving long-horizon optimal control problems. 58th IEEE Conference on Decision and control, Nice, France, 2019.
- [M6] S. Shin, V. M. Zavala, and M. Anitescu. Overlapping domain decomposition schemes for solving graph-structured optimization problems. AIChE Annual Meeting, Orlando, FL, 2019.
- [M5] **S. Shin** and V. M. Zavala. Low-rank system identification from high-dimensional data. Computing in Engineering Forum, Madison, WI, 2019.
- [M4] **S. Shin**, A. D. Smith, S. J. Qin, and V. M. Zavala. Optimization algorithms for dynamic latent variable problems. MLSE, Atlanta, GA, 2019.
- [M3] **S. Shin** and V. M. Zavala. Stability-preserving economic optimization of microgrids. AIChE Annual Meeting, Pittsburgh, PA, 2018.
- [M2] **S. Shin** and V. M. Zavala. Multi-grid (hierarchical) control of power networks. AIChE Annual Meeting, Minneapolis, MN, 2017.

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[M1] **S. Shin**, O. S. Venturelli, and V. M. Zavala. Large-scale estimation techniques for dynamic microbial community networks. TWCCC Fall Meeting, Madison, WI, 2017.

#### **Software Products**

### [S1] MadNLP.jl (Main developer)

- a nonlinear programming solver
- allows for exploiting problem structures via abstract KKT system feature
- allows for solving dense nonlinear optimization problems on GPU efficiently
- https://github.com/MadNLP/MadNLP.jl

### [S2] Plasmo.jl (Contributor)

- a graph-based algebraic modeling framework
- https://github.com/plasmo-dev/Plasmo.jl

### [S3] MadDiff.jl (Main developer)

- a sparse automatic differentiation and algebraic modeling tool
- https://github.com/sshin23/MadDiff.jl

### [S4] **DynamicNLPModels.jl** (Contributor)

- a GPU-friendly modeling tool for dynamic optimization problems
- https://github.com/MadNLP/DynamicNLPModels.jl

# [S5] BlockNLPModels.jl (Contributor)

- a data structure for block nonlinear programming models
- https://github.com/exanauts/BlockNLPModels.jl

### [S6] **BlockNLPAlgorithms.jl** (Contributor)

- a decomposition solver for BlockNLPModels
- https://github.com/exanauts/BlockNLPAlgorithms.jl

#### [S7] **SBML2Julia** (Contributor)

- a tool for estimating parameters of biological system models in SBML format
- https://github.com/paulflang/SBML2Julia

#### References

Provided upon request.

Last updated: May 2, 2023