

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

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# Conventions

- $\log := \log_2$ .
- All groups and sets are finite!
- $\Omega, \Delta$  denote sets,  $G, H, T$  denote groups.
  - $T$  *always* denotes a finite non-abelian simple group.
  - If  $T \leq \text{Sym } \Delta$  it acts transitively and non-regularly on  $\Delta$ .
- Functions act from the left  $f(x)$  but groups from the right:  
 $\alpha^g = g(\alpha)$ .

# Introduction

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## Theorem

*Let  $G = \langle X \rangle \leq \text{Sym } \Omega$  be a primitive group of *PA type*. The normaliser  $N_{\text{Sym } \Omega}(G)$  can be computed in *quasipolynomial* time  $O(n^3 \cdot 2^{2 \log n \log \log n} \cdot |X|)$ .*

Joint work with Prof. Colva Roney-Dougall.

# Recursion for Normalisers



# **Complexity and Computational Group Theory**

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# Complexity Classes

We use big  $O$  notation.

Polynomial Time:	$f \in O(n^c)$
Quasipolynomial Time:	$f \in 2^{O((\log n)^c)}$
Simply Exponential Time:	$f \in 2^{O(n)}$
Exponential Time:	$f \in 2^{O(n^c)}$

We say a problem  $A$  is *polynomial time reducible* to a problem  $B$  if there exists a polynomial time algorithm that transforms

- instances of  $A$  into instances of  $B$ , and
- solutions of  $B$  into solutions of  $A$ .

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ Complexity and Computational Group Theory

### └ Complexity Classes

We use big  $O$  notation.

Polynomial Time:	$f \in O(n^c)$
Quasipolynomial Time:	$f \in 2^{O((\log n)^c)}$
Simply Exponential Time:	$f \in 2^{O(n)}$
Exponential Time:	$f \in 2^{O(n^2)}$

We say a problem  $A$  is polynomial time reducible to a problem  $B$  if there exists a polynomial time algorithm that transforms

- instances of  $A$  into instances of  $B$ , and
- solutions of  $B$  into solutions of  $A$ .

1. properly explain why quasipolynomial is not polynomial!
2. changing input size to  $\log n$  jumps up two classes
3.  $A$  is easier than  $B$

OR

$A$  can be embedded into  $B$



# Complexity Overview

Simply Exponential:

Normaliser

Quasipolynomial:

String-Iso, Intersection, Cen

Graph-Iso

Polynomial:

Base & SGS, Composition

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

└ Complexity and Computational Group Theory

└ Complexity Overview

Simply Exponential

Normaliser

Quasipolynomial

String-Iso, Intersection, C

Graph-Iso

Polynomial

Base & SGS, Composition

FIXME: USE UNCOVER OR ONLY ALTERNATIVE

<https://tex.stackexchange.com/questions/13793/beamer-alt-command-like-visible-instead-of-like-only>

## Simply Exponential

Normalisers of arbitrary groups

## Polynomial

Normalisers of groups with  
restricted composition factors

Normalisers of simple groups

## Quasipolynomial

Normalisers of primitive  
groups

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ Complexity and Computational Group Theory

## └ Normaliser and Subproblems

Explain how normaliser of simple works in poly time.

Simply Exponential

Normalisers of arbitrary groups

Polynomial

Normalisers of groups with  
restricted composition factors

Normalisers of simple groups

Quasipolynomial

Normalisers of primitive  
groups

## **PA Type Groups and How To Normalise Them**

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## Definition

Let  $G \leq \text{Sym } \Omega$  be transitive.  $G$  is called *imprimitive* if there exists a non-trivial  $G$ -invariant partition of  $\Omega$ . Otherwise it is called *primitive*.

## Definition

Let  $G \leq \text{Sym } \Omega$  and  $H \leq \text{Sym } \Delta$  be permutation groups. We call a pair  $(f, \varphi)$  with  $f: \Omega \rightarrow \Delta$  and  $\varphi: G \rightarrow H$  a *permutation isomorphism* if for all  $g \in G$  and  $\alpha \in \Omega$  holds  $f(\alpha^g) = f(\alpha)^{\varphi(g)}$ .

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ PA Type Groups and How To Normalise Them

### └ Fundamentals

#### Definition

Let  $G \leq \text{Sym } \Omega$  be transitive.  $G$  is called *imprimitive* if there exists a non-trivial  $G$ -invariant partition of  $\Omega$ . Otherwise it is called *primitive*.

#### Definition

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- Explain perm iso:
  - Relabel points and how to map  $G \rightarrow H$  accordingly.
  - $G, H \leq \text{Sym } \Omega$  perm iso iff. exists  $\sigma \in \text{Sym } \Omega$  with  $G^\sigma = H$ .
  - $f: \Omega \xrightarrow{\sim} \Delta$  induces unique group hom  $\text{Sym } \Omega \xrightarrow{\sim} \text{Sym } \Delta$ .
- perm iso can be extended to the whole symmetric group
  - $\rightsquigarrow$  The normaliser of  $G$  over  $\Omega$  is mapped to the normaliser of  $H$  over  $\Delta$ .

## Definition

Let  $G$  be a group. The *socle* of  $G$ , denoted  $\text{soc } G$ , is the group generated by all minimal normal subgroups of  $G$ .

## Theorem

*The socle of a primitive group is characteristically simple.*

## Theorem (O’Nan-Scott)

*Let  $G \leq \text{Sym } \Omega$  be primitive. All possible permutational isomorphism types of  $\text{soc } G$  and  $N_{\text{Sym } \Omega}(\text{soc } G)$  are known.*



# Wreath Products (1)

## Definition

Let  $H \leq \text{Sym } \Delta$  and  $K \leq S_\ell$ .  $K$  acts on the components of  $H^\ell$ . The semidirect product  $H \wr K = H^\ell \rtimes K$  is called the *wreath product of  $H$  with  $K$* .  $H^\ell$  is called the *base group*.  $K$  is called the *top group*.

## Theorem

$$\text{Aut}(T^\ell) \cong \text{Aut}(T) \wr S_\ell$$

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ PA Type Groups and How To Normalise Them

### └ Wreath Products (1)

Make sure to explain intuition behind wreath products

#### Definition

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#### Theorem

$$\text{Aut}(T^1) \cong \text{Aut}(T) \wr S_T$$

## Wreath Products (2)

### Definition

Let  $H \leq \text{Sym } \Delta$  and  $K \leq S_\ell$ . The base group  $H^\ell$  acts component-wise on  $\Delta^\ell$ . The top group  $K$  acts on the components of  $\Delta^\ell$ . This yields an action of  $H \wr K$  on  $\Delta^\ell$  which we call the *product action of  $H \wr K$* .

We call the permutation group on  $\Delta^\ell$  induced by  $H \wr K$  the *product action wreath product of  $H$  with  $K$*  and also denote it by  $H \wr K$ .

### Theorem

*Let  $H \leq \text{Sym } \Delta$  and  $K \leq S_\ell$ .  $H \wr K$  in product action is primitive if and only if  $H$  is primitive and non-regular and  $K$  is transitive.*

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ PA Type Groups and How To Normalise Them

## └ Wreath Products (2)

Explain WP actions via

base

top

### Definition

Let  $H \leq \text{Sym } \Delta$  and  $K \leq S_\ell$ . The base group  $H^\ell$  acts component-wise on  $\Delta^\ell$ . The top group  $K$  acts on the components of  $\Delta^\ell$ . This yields an action of  $H \wr K$  on  $\Delta^\ell$  which we call the product action of  $H \wr K$ .

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## Definition

Let  $G \leq \text{Sym } \Omega$  be a primitive group.

We say  $G$  is a group of *AS type* if  $\text{soc } G = T$  is non-abelian simple and  $G$  is almost simple.

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ PA Type Groups and How To Normalise Them

## └ The AS Type

### Definition

Let  $G \leq \text{Sym } \Omega$  be a primitive group.

We say  $G$  is a group of AS type if  $\text{soc } G = T$  is non-abelian simple and  $G$  is almost simple.

Explain AS via Normaliser of  $T$ .

# The PA Type

## Definition

Let  $G \leq \text{Sym } \Omega$  be a primitive group.

We say  $G$  is a group of *PA type* if it is permutation isomorphic to a group  $\hat{G} \leq \text{Sym } \Delta^\ell$  with:

- $\text{soc } \hat{G} = T^\ell$ ,
- $\hat{G} \leq N_{\text{Sym } \Delta}(T) \wr S_\ell$ .

## Lemma

$$N_{\text{Sym } \Delta^\ell}(T^\ell) = N_{\text{Sym } \Delta}(T) \wr S_\ell.$$

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ PA Type Groups and How To Normalise Them

### └ The PA Type

- PA: an example is our running example!!
- Überleitung to key idea:
  - $\text{soc } G \text{ char } G$
  - $\text{soc } G \trianglelefteq N(G)$
  - Thus contained in normaliser of socle

#### Definition

Let  $G \leq \text{Sym } \Omega$  be a primitive group.

We say  $G$  is a group of PA type if it is permutation isomorphic to a group  $\bar{G} \leq \text{Sym } \Delta'$  with:

- $\text{soc } \bar{G} = T^k$ ,
- $\bar{G} \leq N_{\text{Sym } \Delta'}(T) : S_T$ .

#### Lemma

$N_{\text{Sym } \Delta'}(T^k) = N_{\text{Sym } \Delta'}(T) : S_T$ .



Construct  $N_{\text{Sym } \Omega}(\text{soc } G)$ !

### Lemma

*Let  $G \leq \text{Sym } \Omega$  be primitive of type PA. Then*

$$[N_{\text{Sym } \Omega}(\text{soc } G) : \text{soc } G] \leq \sqrt{n} \cdot 2^{\log n \log \log n}.$$

### Lemma

*Let  $G = \langle X \rangle \leq \text{Sym } \Omega$  be primitive of type PA. Furthermore let a generating set for  $N_{\text{Sym } \Omega}(\text{soc } G)$  be known.*

*Then  $N_{\text{Sym } \Omega}(G)$  can be computed in time*

$$O(n^3 \cdot 2^{2 \log n \log \log n} \cdot |X|).$$

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ PA Type Groups and How To Normalise Them

### └ ... And Why It Works ...

Explain:

$$|\text{Out } T| \leq \sqrt{n}$$

$$|S_\ell| \leq \ell^\ell$$

#### Lemma

Let  $G \leq \text{Sym } \Omega$  be primitive of type PA. Then

$$[N_{\text{Sym } \Omega}(\text{soc } G) : \text{soc } G] \leq \sqrt{n} \cdot 2^{\log n \log n}.$$

#### Lemma

Let  $G = \langle X \rangle \leq \text{Sym } \Omega$  be primitive of type PA. Furthermore let a generating set for  $N_{\text{Sym } \Omega}(\text{soc } G)$  be known.

Then  $N_{\text{Sym } \Omega}(G)$  can be computed in time

$$O(n^3 \cdot 2^{2 \log n \log n} \cdot |X|).$$

## ... And How To Do It

Compute:

$$\mathrm{soc} \, G \circlearrowright \Omega \xrightarrow{\sim} T^\ell \circlearrowright \Delta^\ell$$

Then:

$$G \hookrightarrow N_{\mathrm{Sym} \, \Delta^\ell}(T^\ell) = N_{\mathrm{Sym} \, \Delta}(T) \wr S_\ell$$

$$N_{\mathrm{Sym} \, \Omega}(\mathrm{soc} \, G) \xleftarrow{\sim} N_{\mathrm{Sym} \, \Delta^\ell}(T^\ell)$$

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ PA Type Groups and How To Normalise Them

## └ ... And How To Do It

Compute:

$$\text{soc } G \trianglelefteq \Omega \twoheadrightarrow T^k \trianglelefteq \Delta^k$$

Then:

$$\begin{aligned} G &\hookrightarrow N_{\text{Sym } \Delta^k}(T^k) = N_{\text{Sym } \Delta}(T) \wr S_k \\ N_{\text{Sym } \Omega}(\text{soc } G) &\hookrightarrow N_{\text{Sym } \Delta^k}(T^k) \end{aligned}$$

- equal and not only isomorphic
- PA WP is a very very special group!

# The Category of Permutation Groups

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# Permutation Homomorphisms (1)

## Definition

Let  $G \leq \text{Sym } \Omega$  and  $H \leq \text{Sym } \Delta$  be permutation groups. Let  $f: \Omega \rightarrow \Delta$  be a map and  $\varphi: G \rightarrow H$  be a group hom..

The pair  $(f, \varphi)$  is called a *permutation hom. from  $(G, \Omega)$  to  $(H, \Delta)$*  if for all  $g \in G$  holds:

$$\begin{array}{ccc} \Omega & \xrightarrow{g} & \Omega \\ \downarrow f & & \downarrow f \\ \Delta & \xrightarrow{\varphi(g)} & \Delta \end{array}$$

## Permutation Homomorphisms (2)

$$\begin{array}{ccc} \Omega & \xrightarrow{g} & \Omega \\ \downarrow f & & \downarrow f \\ \Delta & \xrightarrow{\varphi(g)} & \Delta \end{array}$$

### Remark

*Let  $G \leq \text{Sym } \Omega$  and  $H \leq \text{Sym } \Delta$ . The map  $f: \Omega \rightarrow \Delta$  uniquely determines, if it exists, a permutation homomorphism  $(f, \varphi)$ .*



## Permutation Homomorphisms (3)

### Lemma

*Let  $G \leq \text{Sym } \Omega$  and  $f: \Omega \rightarrow \Delta$ . There exists a permutation hom.  $(f, \varphi)$  if and only if*

$$\{ f^{-1}(\{x\}) \mid x \in \text{Im } f \}$$

*is  $G$ -invariant.*

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ The Category of Permutation Groups

## └ Permutation Homomorphisms (3)

Give examples:

map to orbits

factor out block systems

if  $f$  is bijection such a  $\varphi$  always exists.

### Lemma

Let  $G \leq \text{Sym } \Omega$  and  $f: \Omega \rightarrow \Delta$ . There exists a permutation hom.  $(f, \varphi)$  if and only if

$$\{ f^{-1}(\{x\}) \mid x \in \text{Im } f \}$$

is  $G$ -invariant.

## Definition

The *category of permutation groups*, denoted **PermGrp**, consists of all pairs  $(G, \Omega)$  with  $G \leq \text{Sym } \Omega$  as objects with permutation homomorphisms as morphisms.

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ The Category of Permutation Groups

### └ PermGrp

equiv to cat of  $(G, \Omega, \rho)$

#### Definition

The category of permutation groups, denoted **PermGrp**, consists of all pairs  $(G, \Omega)$  with  $G \leq \text{Sym } \Omega$  as objects with permutation homomorphisms as morphisms.

### Lemma

*Let  $G \leq \text{Sym } \Omega$  and  $H \leq \text{Sym } \Delta$  be permutation groups. Then  $(G \times H, \Omega \times \Delta)$  with  $(p_1, \pi_1)$  and  $(p_2, \pi_2)$  is a product in PermGrp.*

# Cartesian Decompositions

## Definition

Let  $\mathcal{C}$  be a category and  $X$  an object of  $\mathcal{C}$ . A family of morphisms  $(f_i)_{i \in I}$  with  $f_i: X \rightarrow X_i$  is called a *cartesian decomposition* of  $X$  if

$$\prod_{i \in I} f_i: X \rightarrow \prod_{i \in I} X_i$$

is an isomorphism.

## Lemma

A family  $(f_i)_{i \in I}$  is a cartesian decomposition of  $X$  if and only if  $X$  with  $(f_i)_{i \in I}$  forms a product in  $\mathcal{C}$ .

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ The Category of Permutation Groups

## └ Cartesian Decompositions

### Definition

Let  $C$  be a category and  $X$  an object of  $C$ . A family of morphisms  $(f_i)_{i \in I}$  with  $f_i: X \rightarrow X_i$  is called a cartesian decomposition of  $X$  if

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is an isomorphism.

### Lemma

A family  $(f_i)_{i \in I}$  is a cartesian decomposition of  $X$  if and only if  $X$  with  $(f_i)_{i \in I}$  forms a product in  $C$ .

- explain cartesian decomposition of sets and of perm groups
  - mention combinatorial cartesian decompositions by Laszlo Kovacs, Cheryl Praeger and Csaba Schneider!
- their theorem
- cartesian decompositions are to PA WPs what block systems are to IMP WPs.

# Homogeneous Cartesian Decompositions

## Definition

Let  $(f_i)_{i \in I}$  be a cartesian decomposition of  $X$ . We call  $(f_i)_{i \in I}$  a *homogeneous cartesian decomposition of  $X$*  if for all  $i, j \in I$  we have  $f_i(X) \cong f_j(X)$ .

## Definition

Let  $(f_i)_{i \in I}$  be a cartesian decomposition of  $X$ . We call  $(f_i)_{i \in I}$  a *strongly homogeneous cartesian decomposition of  $X$*  if for all  $i, j \in I$  we have  $f_i(X) = f_j(X)$ .

$\rightsquigarrow$  Compute a strongly homogeneous cartesian decomposition of the permutation group  $\text{soc } G$ !



# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ The Category of Permutation Groups

## └ Homogeneous Cartesian Decompositions

Explain hom cartesian decomposition and str hom cartesian decomposition of  $\Omega$  and then of  $\text{soc } G$ .

### Definition

Let  $(f_i)_{i \in I}$  be a cartesian decomposition of  $X$ . We call  $(f_i)_{i \in I}$  a *homogeneous cartesian decomposition* of  $X$  if for all  $i, j \in I$  we have  $f_i(X) \cong f_j(X)$ .

### Definition

Let  $(f_i)_{i \in I}$  be a cartesian decomposition of  $X$ . We call  $(f_i)_{i \in I}$  a *strongly homogeneous cartesian decomposition* of  $X$  if for all  $i, j \in I$  we have  $f_i(X) = f_j(X)$ .

→ Compute a strongly homogeneous cartesian decomposition of the permutation group  $\text{soc } G$ !

# Constructing the Normaliser of the Socle

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Let  $G = \langle X \rangle \leq \text{Sym } \Omega$  be a primitive group of PA type.

Note that  $T^\ell$  has *exactly*  $\ell$  minimal normal subgroups.

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ Constructing the Normaliser of the Socle

## └ The Algorithm - Input

Let  $G = \langle X \rangle \leq \text{Sym } \Omega$  be a primitive group of PA type.

Note that  $T^\ell$  has exactly  $\ell$  minimal normal subgroups.

$T^\ell$  has exactly one “basis”!

On next frame: mention  $i$  always stands for all  $i$  from 1 to  $\ell$

# The Algorithm - Str. Hom. Cartesian Decomposition

## Algorithm

- $\text{soc } G (= T_1 \times \dots \times T_\ell)$ .
- *minimal normal subgroups*  $T_i$  of  $\text{soc } G$ .
- *complements*  $C_i$  of the  $T_i$ , partitions  $\Delta_i = \{\text{orbits of } C_i\}$ .
- $Q_i: \Omega \rightarrow \Delta_i, \alpha \mapsto \alpha^{C_i} \Rightarrow \psi_i: G \rightarrow T_i$ .
- $g_1, \dots, g_\ell \in G$  such that  $T_i^{g_i} = T_1$ .
- $R_i: \Delta_i \rightarrow \Delta_1, \delta \mapsto \delta^{g_i} \Rightarrow \rho_i: T_i \rightarrow T_1$ .
- $P_i := R_i \circ Q_i: \Omega \rightarrow \Delta_1 \Rightarrow \varphi_i: G \rightarrow T_1$ .

$((P_i, \varphi_i))_{i \leq \ell}$  is strongly homogeneous cartesian decomposition.

# The Algorithm - Normaliser of Socle

- $((P_i, \varphi_i))_{i \leq \ell}$  is a strongly homogeneous cartesian decomposition of  $\text{soc } G$ .
- This yields  $\text{soc } G \circlearrowleft \Omega \xrightarrow{\sim} T^\ell \circlearrowleft \Delta^\ell$ .
- Compute  $N_{\text{Sym } \Delta}(T)$ .
- Construct  $N_{\text{Sym } \Delta}(T) \wr S_\ell \leq \text{Sym } \Delta^\ell$ .
- Map back into  $\text{Sym } \Omega$ .

$$\leadsto N_{\text{Sym } \Omega}(\text{soc } G).$$

# Normalisers in Quasipolynomial Time and the Category of Permutation Groups

## └ Constructing the Normaliser of the Socle

## └ The Algorithm - Normaliser of Socle

- $((P_i, \varphi_i))_{i \in \mathcal{I}}$  is a strongly homogeneous cartesian decomposition of  $\text{soc } G$ .
  - This yields  $\text{soc } G \trianglelefteq \Omega \twoheadrightarrow T^f \trianglelefteq \Delta^f$ .
  - Compute  $N_{\text{Sym } \Delta}(T)$ .
  - Construct  $N_{\text{Sym } \Delta}(T) : S_i \leq \text{Sym } \Delta^f$ .
  - Map back into  $\text{Sym } \Omega$ .
- $\rightsquigarrow N_{\text{Sym } \Omega}(\text{soc } G)$ .

Use running example to explain  $Q_i$  and  $R_i$ .

## Outlook and Summary

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- $G \hookrightarrow H \wr K \leq N_{\text{Sym } \Delta}(T) \wr S_\ell$ .  
 $\rightsquigarrow$  Normalisers in polynomial time?
- $G$  leaves a combinatorial cartesian decomposition invariant if and only if it can be embedded into a product action wreath product  $S_m \wr S_\ell$ .  
 $\rightsquigarrow$  Universal property?
- Define a tree data structure via permutation homomorphisms to do many normaliser computations “at once”.

# What To Take Away

- Category Theory makes (some) algorithms nicer.
- Let  $G$  be a primitive group of PA type. We can
  - construct the normaliser of the socle in polynomial time,
  - compute the normaliser in quasipolynomial time.  
(maybe even in polynomial time?)

Thank you!


# Universal Property of Wreath Products

Let  $H \leq \text{Sym } \Delta$ ,  $K \leq \text{Sym } \Gamma$ .

$$H^\Gamma \longrightarrow G \longleftarrow K$$

$$\Delta^\Gamma \longrightarrow \Delta^\Gamma \longleftarrow \Gamma$$

$$H^\Gamma \longrightarrow G \xrightarrow{\quad} K$$


$$\Delta \times \Gamma \longrightarrow \Delta \times \Gamma \xrightarrow{\quad} \Gamma$$


# Combinatorial Cartesian Decompositions (1)

## Definition

Let  $\Omega$  be a set. For each  $\gamma \in \Gamma$  let  $\Delta_\gamma$  be a partition of  $\Omega$  with  $|\Delta_\gamma| \geq 2$ . We say that  $\{\Delta_\gamma\}_{\gamma \in \Gamma}$  is a *(combinatorial) cartesian decomposition of  $\Omega$*  if for any choice of  $\delta_\gamma \in \Delta_\gamma$  we have that

$$\bigcap_{\gamma \in \Gamma} \delta_\gamma$$

is a singleton set.

## Lemma

*There is a one-to-one correspondence between unordered cartesian decompositions and combinatorial cartesian decompositions.*

## Combinatorial Cartesian Decompositions (2)

### Theorem (Praeger, Schneider)

*A group  $G \leq \text{Sym } \Omega$  leaves a homogeneous combinatorial cartesian decomposition invariant if and only if  $G$  embeds into a product action wreath product  $\text{Sym } \Delta \wr \text{Sym } \Gamma$ .*