

# The Sterling Oakmont Review

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Strengthening Security and Compliance at Sterling Oakmont Financial Services

## New finds this week

Secure Network & GRC Assessment Completed

## Corporate Update

Governance and Policy Enhancements

## The Observer

Why This Matters for Our Clients



## New This Week

-By Shane Silvermail

### **Sterling Oakmont Financial Services is proud to announce the completion of our Secure Network and GRC Assessment.**

This initiative strengthens the way we protect client data, ensures compliance regulations with compliance such as PCI DSS, and GLBA, and enhances overall business resilience.

Key technical improvements include:

- Network segmentation into dedicated VLANs for Finance, Employee Workstations, and Guest Wi-Fi
- Deployment of a Cisco Firepower firewall for advanced perimeter defense
- Endpoint protection installed across 25 devices
- Cloud backup and Microsoft 365 configuration for secure, reliable storage



## Corporate Update

-By Sterling Oakmont IT Team

### Governance and Policy Enhancements

Alongside technical upgrades, a full governance toolkit was created. This includes:

- A password policy to enforce stronger authentication
- An Acceptable Use Policy (AUP) to guide employee responsibilities
- An Incident Response Plan (IRP) to outline steps in the event of a security incident

Together, these policies ensure that our employees have clear expectations, while also demonstrating our compliance with industry frameworks such as NIST CSF.

## Work With the Best

-By Sterling Oakmont Leadership

We recognize that even the strongest technology can be compromised by human error. That's why this project includes training and awareness programs for staff. Employees will receive FAQs and quick reference guides to help navigate the new systems.

Beyond written resources, interactive training sessions will cover phishing awareness, password management, and responsible use of company systems. These sessions not only help employees recognize potential threats but also build a culture of accountability and vigilance across the firm.

This initiative reflects our belief that true security is a shared responsibility. By combining advanced technologies with knowledgeable and engaged employees, Sterling Oakmont ensures that our clients' financial data is protected on every front.

## The Observer

-By Sterling Oakmont Executive Team

For our clients, the results of this project mean greater peace of mind. Their sensitive financial information is now secured with state-of-the-art systems, and our compliance with regulatory frameworks ensures that data is handled with the highest standards of care.

This project underscores our commitment to trust, transparency, and long-term security. At Sterling Oakmont Financial Services, we view safeguarding client information as not only a legal requirement but also a responsibility.