FORMAL LANGUAGES AND AUTOMATA, 2024 FALL SEMESTER

# Lec 18. Reduction and undecidable languages II

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# E<sub>LBA</sub> IS UNDECIDABLE

 $E_{LBA} = \{\langle M \rangle : M \text{ is LBA and } L(M) = \emptyset\}.$ 

## UNDECIDABILITY OF $E_{LBA}$

 $E_{IBA}$  is undecidable.

- Reduce from  $A_{TM}$  to  $E_{LBA}$ .
- Can we use the same reduction from  $A_{TM}$  to  $E_{TM}$ ?

# E<sub>LBA</sub> IS UNDECIDABLE

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- *D* upon an input  $\langle M, w \rangle$  does the following:
  - Compute & write an encoding  $\langle B^{M,w} \rangle$  of LBA  $B^{M,w}$  s.t.

$$L(B^{M,w}) = \begin{cases} \{ \text{the accepting computation history of } M \text{ on } w \} \\ \emptyset \quad \text{if } M \text{ does not accept } w \end{cases}$$

- 2 Run E on  $\langle B^{M,w} \rangle$ .
- 3 D outputs

$$\begin{cases} No & \text{if } E \text{ outputs YES} \\ YES & \text{if } E \text{ outputs NO} \end{cases}$$

# E<sub>LBA</sub> IS UNDECIDABLE

How does the LBA  $B^{M,w}$  work internally?

$$L(B^{M,w}) = \begin{cases} \{ \text{the accepting computation history of } M \text{ on } w \} \\ \emptyset \quad \text{if } M \text{ does not accept } w \end{cases}$$

Upon an input string  $x \in \Sigma^*$ , we want:

•  $B^{M,w}$  rejects x if it is not in the form

$$\#C_1\#C_2\#\cdots\#C_\ell\#$$

for some  $\ell$  where

- each C<sub>i</sub> is a configuration of M,
- $C_1$  is a starting configuration of M on w, i.e.  $q_{init}$  w,
- $C_{\ell}$  is an accepting configuration of M, i.e.  $y \ q_{accept} \ z$  for some  $y, z \in \Gamma^*$ .
- $B^{M,w}$  zig-zags between  $C_i$  and  $C_{i+1}$  and check  $C_i \vdash_M C_{i+1}$ . Reject if not.
- Accept the input x if nothing went wrong for all  $i < \ell 1$ .

## TM COMPUTING A FUNCTION

Let's use the writing power of TM to have more than 'yes'-'no' answers.

## TM COMPUTING A FUNCTION IN GENERAL

Consider a single-tape TM  $M = (Q, \Sigma, \delta, q_0, q_{final})$ :

• the contents of the tape when M reaches  $q_{final}$  (halting/final state, and terminate immediately) is said to be the output of M on w, written as M(w).

We say that M computes a function  $f: \Sigma^* \to \Sigma^*$  if for every input  $w \in \Sigma^*$ ,

$$M(w) = f(w)$$
.

Especially, TM computing a function must halt on every input w.

A function f is (Turing)-computable if there exists TM that computes f.

Instead of a single-tape TM and f(w) is the content of the tape in the halting state, we can consider a multitape TM and designate a specific tape so that f(w) is the content of the said tape.

## TM COMPUTING A PARTIAL FUNCTION

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Consider a TM  $M = (Q, \Sigma, \delta, q_0, q_{final})$  as before.

We say that M computes a partial function  $f: \Sigma^* \to \Sigma^*$  if for every input  $w \in \Sigma^*$ ,

$$M(w) = f(w)$$

whenever f(w) is defined and M does not halt if f(w) is not defined.

## MAPPING-REDUCIBILITY

#### MAPPING-REDUCIBILITY: DEFINITION

Let  $A \subseteq \Sigma^*$  and  $B \subseteq \Sigma^*$  be two languages.

We say that A is mapping-reducible (or many-one reducible) to B, written as  $A \leq_m B$ , if there is a <u>computable</u> function  $f: \Sigma^* \to \Sigma^*$  such that for every input  $w \in \Sigma^*$ ,

 $w \in A$  if and only if  $f(w) \in B$ .

# $A \leq_m B$ means B is as hard as A

## DECIDABILITY PROPAGATES BACKWARDS

If  $A \leq_m B$  and B is decidable, then A is decidable.

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## DECIDABILITY PROPAGATES BACKWARDS

If  $A \leq_m B$  and B is decidable, then A is decidable.

Proof: build a TM  $M_A$  which decides A, using the decider  $M_B$  for B and the TM B for reduction; B halts on every input  $X \in \Sigma^*$  and B if and only if  $X \in A$ .

 $M_A$  upon an input string  $w \in \Sigma^*$  does the following.

- $\blacksquare$  Run R on w and output f(w).
- 2 Run  $M_B$  on f(w): if  $M_B$  accepts f(w), then  $M_A$  accepts. Otherwise,  $M_A$  rejects.

# $A \leq_m B$ means B is as hard as A

## DECIDABILITY PROPAGATES BACKWARDS

If  $A \leq_m B$  and B is decidable, then A is decidable.

Proof: build a TM  $M_A$  which decides A, using the decider  $M_B$  for B and the TM R for reduction; R halts on every input  $x \in \Sigma^*$  and  $R(x) \in B$  if and only if  $x \in A$ .

 $M_A$  upon an input string  $w \in \Sigma^*$  does the following.

- $\blacksquare$  Run R on w and output f(w).
- 2 Run  $M_B$  on f(w): if  $M_B$  accepts f(w), then  $M_A$  accepts. Otherwise,  $M_A$  rejects.

### UNDECIDABILITY PROPAGATES FORWARDS

If  $A \leq_m B$  and A is undecidable, then B is undecidable.

#### RECOGNIZABILITY PROPAGATES BACKWARDS

If  $A \leq_m B$  and B is Turing-recognizable, then A is recognizable.

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#### UNRECOGNIZABILITY PROPAGATES FORWARDS

If  $A \leq_m B$  and A is not Turing-recognizable, then B is not recognizable.

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## **TRANSITIVITY**

If  $A \leq_m B$  and  $B \leq_m C$ , then  $A \leq_m C$ .

## MAPPING-REDUCIBILITY FOR COMPLEMENTS

If  $A \leq_m B$ , then  $\neg A \leq_m \neg B$ .

## HALTING PROBLEM IS UNDECIDABLE

Halting problem:  $HALT_{TM} = \{(M, w) : M \text{ is TM and } M \text{ halts on } w\}.$ 

HALT<sub>TM</sub> IS UNDECIDABLE VIA MAPPING-REDUCIBILITY

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## HALT<sub>TM</sub> IS UNDECIDABLE VIA MAPPING-REDUCIBILITY

 $HALT_{TM}$  is undecidable.

Proof: We build TM T which converts an input (M, w) to  $A_{TM}$  to an equivalent input (M', w') to  $HALT_{TM}$ .

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Proof: We build TM T which converts an input (M, w) to  $A_{TM}$  to an equivalent input (M', w') to  $HALT_{TM}$ .

T works as follows on input (M, w):

- $\blacksquare$  T internally builds a new (description of) TM M' which, on input string x,
  - simulates M on x,
  - if M(x) = 1, then M'(x) = 1,
  - if M(x) = 0, then M' loops.
- 2 T outputs (M', w).

# TURING-REDUCTION VS MAPPING-REDUCTION

Emptiness problem:  $E_{TM} = \{M \text{ is TM and } L(M) = \emptyset\}.$ Non-emptiness problem:  $SOME_{TM} = \{M : M \text{ is TM and } L(M) \neq \emptyset\}.$ 

 $A_{TM}$  is Turing-reducible to  $E_{TM}$ .

 $A_{TM}$  is not mapping-reducible to  $E_{TM}$ .

- Complement of  $E_{TM}$ , i.e.  $SOME_{TM}$  is Turing-recognizable (how so?).
- We know  $\neg A_{TM}$  is not Turing-recognizable.
- If  $A_{TM} \leq_m E_{TM}$ , then  $\neg A_{TM} \leq_m SOME_{TM}$ , contradiction.

# Post Correspondence Problem (PCP)

$$\left\{ \left[\frac{b}{ca}\right], \ \left[\frac{a}{ab}\right], \ \left[\frac{ca}{a}\right], \ \left[\frac{abc}{c}\right] \right\}_{\text{Chapter 5.2, Sipser 2012.}}$$

#### EMIL POST'S CORRESPONDENCE PROBLEM

INPUT: a (finite) set  $P = \{(\alpha_1, \beta_1), (\alpha_2, \beta_2), \dots (\alpha_k, \beta_k)\}$  of ordered pairs (called dominoes) of strings over  $\Sigma$ .

QUESTION: Is there a match, i.e. a sequence  $i_1, \ldots, i_m \in [k]$  such that

 $\alpha_{i_1}\cdots\alpha_{i_m}=\beta_{i_1}\cdots\beta_{i_m}$ ?

$$\left[\frac{a}{ab}\right] \left[\frac{b}{ca}\right] \left[\frac{ca}{a}\right] \left[\frac{a}{ab}\right] \left[\frac{abc}{c}\right]$$

Key idea: many-one reduction (mapping-reduction).

- Many-one reduce from  $A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM accepting } w \}$  to PCP.
- As an intermediary problem we introduce a decision problem Modified PCP (MPCP), in which an instance of PCP is a YES-instance iff there is a match which begins with the first domino  $(\alpha_1, \beta_1)$ .
- Combine two (many-one) reductions: from A<sub>TM</sub> to MPCP, and one from MPCP to PCP.

## Set-up

- **I** We assume that the TM M of instance  $\langle M, w \rangle$  satisfies:
  - it is deterministic, with left/right move only.
  - M never attempts to move the header to the left when it is in the left-most cell of the tape.
  - if  $w = \epsilon$ , the string w is encoded as B, where B is a symbol in the alphabet.
- 2 Reduction from MPCP to PCP is simple:

$$\left\{ \left[\frac{t_1}{b_1}\right], \ \left[\frac{t_2}{b_2}\right], \ \left[\frac{t_3}{b_3}\right], \ \dots \ , \left[\frac{t_k}{b_k}\right] \right\}$$

$$\left\{\left[\frac{\star t_1}{\star b_1 \star}\right],\; \left[\frac{\star t_1}{b_1 \star}\right],\; \left[\frac{\star t_2}{b_2 \star}\right],\; \left[\frac{\star t_3}{b_3 \star}\right],\; \ldots\;, \left[\frac{\star t_k}{b_k \star}\right],\; \left[\frac{\star \diamondsuit}{\diamondsuit}\right]\right\}$$

Chapter 5.2, Sipser 2012.

## Key idea for many-one reduction from $A_{TM}$ to MPCP:

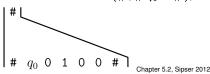
From an instance  $\langle M, w \rangle$  to  $A_{TM}$ , create an instance (i.e. the set of dominoes) to MPCP so that there is a match if and only if there is an accepting computation history of M on w.

## Implementing the idea:

In a match, the string is an accepting computation history of the form

$$\#C_1\#C_2\#\cdots\#C_\ell\#$$

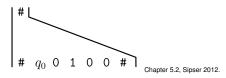
• The first domino is  $(\#, \#q_0 \ w\#)$ , so the match begins in a form



# Post Correspondence Problem

Implementing the idea: In a match, the dominoes are grouped into blocks (contiguous dominoes), where each group is one of the following forms:

■ Stage 1: expresses a starting configuration. The first domino forms a single group and falls into this stage.



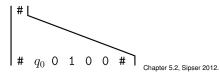
2 Stage 2: expresses a transition from the config  $C_i$  to  $C_{i+1}$ .



Stage 3: once the bottom string reaches an accept state, the dominoes let the upper string to catch up with the bottom string. (Details later.)

Implementing details using "gadgets": given the instance  $\langle M, w \rangle$  to  $A_{TM}$ , we progressively construct the instance P to MPCP by adding the following dominoes.

**Gadget for Stage 1:** we (the algorithm / TM) adds the domino of the form  $(\#, \#q_0 \ w\#)$ 

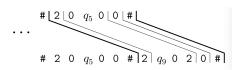


# Post Correspondence Problem

- Gadgets for Stage 2: add dominoes for expressing the transitions as well as the tape content.
  - Right move: For each  $a, b \in \Gamma$  and each  $q, r \in Q$  where  $q \neq q_{reject}$ , add the domino (qa, br) if  $\delta(q, a) = (r, b, R)$
  - **2** Left move: For each  $a, b, c \in \Gamma$  and each  $q, r \in Q$  where  $q \neq q_{reject}$ , add the domino (cqa, rcb) if  $\delta(q, a) = (r, b, L)$
  - Writing a string: for each  $a \in \Gamma$ , add the domino (a, a)
  - 4 Expressing the end of the tape content / the unused cell on the right: add the dominoes (#, #) and (#, B#).

Example:  $\delta(q_5, 0) = (q_9, 2, L)$ 

$$\left[\frac{0q_50}{q_902}\right], \left[\frac{1q_50}{q_912}\right], \left[\frac{2q_50}{q_922}\right], \text{ and } \left[\frac{\sqcup q_50}{q_9\sqcup 2}\right]$$



- Gadgets for Stage 3: add dominoes so that the upper string catches up with the bottom string once (the bottom) reaches the accept state.
  - **I** "Eat-up" the leftover tape content: for each  $a \in \Gamma$ , add the domino

$$\left[rac{a\ q_{
m accept}}{q_{
m accept}}
ight]$$
 and  $\left[rac{q_{
m accept}\ a}{q_{
m accept}}
ight]$ 

2 Finish the match: add the domino

$$\left[\frac{q_{\text{accept}}##}{#}\right]$$

Finishing the reduction: to show that there is a (many-one) reduction from  $A_{TM}$  to PCP consists of two parts.

- Construct a reduction. That is, we show an algorithm which maps an arbitrary instance  $\langle M, w \rangle$  to  $A_{TM}$  to a suitable instance P to MPCP.
- **Establish the equivalence.** we need to show that  $\langle M, w \rangle \in A_{TM}$  if and only if  $P \in MPCP$ . That is,  $\langle M, w \rangle$  is a YES-instance to  $A_{TM}$  if and only if the constructed instance P is a YES-instance to MPCP.
- So far, we have constructed a reduction.