

**FORMAL LANGUAGES AND AUTOMATA, 2024 FALL SEMESTER**

# Lec 14. Variants of TM

**Eunjung Kim**

# A BIT OF HISTORY



- In 1923, Hilbert proposed 10 open problems in the International Congress of Mathematicians ( $\rightsquigarrow$  part of "Hilbert's 23 problems")
- 10th problem:  
*"Given a Diophantine equation with any number of unknown quantities and with rational integral numerical coefficients: To devise a process according to which it can be determined in a finite number of operations whether the equation is solvable in rational integers."*

# A BIT OF HISTORY

- In order to prove that such a process ('algorithm') is impossible, we need to formalize the notion of algorithm, or computability.
- Alonzo Church, Alan Turing, Gödele-Herbrand independently came up with their own notions of computability ( $\lambda$ -calculus, TM, general recursive), all of which were shown to be equivalent.

# A BIT OF HISTORY

- In order to prove that such a process ('algorithm') is impossible, we need to formalize the notion of algorithm, or computability.
- Alonzo Church, Alan Turing, Gödele-Herbrand independently came up with their own notions of computability ( $\lambda$ -calculus, TM, general recursive), all of which were shown to be equivalent.
- This led to Church-Turing thesis: a thesis stating that an intuitive notion of algorithms is equivalent to TM.

# A BIT OF HISTORY

- In order to prove that such a process ('algorithm') is impossible, we need to formalize the notion of algorithm, or computability.
- Alonzo Church, Alan Turing, Gödele-Herbrand independently came up with their own notions of computability ( $\lambda$ -calculus, TM, general recursive), all of which were shown to be equivalent.
- This led to Church-Turing thesis: a thesis stating that an intuitive notion of algorithms is equivalent to TM.
- According to the thesis, the notion of TM we learnt, rather anecdotal, must be robust.

# A BIT OF HISTORY

- In order to prove that such a process ('algorithm') is impossible, we need to formalize the notion of algorithm, or computability.
- Alonzo Church, Alan Turing, Gödele-Herbrand independently came up with their own notions of computability ( $\lambda$ -calculus, TM, general recursive), all of which were shown to be equivalent.
- This led to Church-Turing thesis: a thesis stating that an intuitive notion of algorithms is equivalent to TM.
- According to the thesis, the notion of TM we learnt, rather anecdotal, must be robust.
- All reasonable variations are equivalent. We'll see some examples.

# TM WITH 'STAY PUT' OPTION

- Now, TM has an additional option of not moving its head (stay put).
- That is,  $\delta$  a function from  $Q \times \Gamma$  to  $Q \times \Gamma \times \{L, R, S\}$ .
- The new TM variant has the same (not more) power as the original TM.

# TM WITH 'STAY PUT' OPTION

## TM WITH 'STAY PUT' OPTION

For every TM with stay put option, there is an equivalent TM without this option (i.e. recognizing the same language).



# MULTITAPE TURING MACHINE

- Now, TM has multiple tapes with a head on each tape, and read/write/move its heads simultaneously.
- That is,  $\delta$  is a function from  $Q \times \Gamma^k$  to  $Q \times \Gamma^k \times \{L, R, S\}^k$ .
- The multitape TM variant has the same (not more) power as the original TM.

# MULTITAPE TURING MACHINE

## MULTITAPE TM

For every multitape TM, there is an equivalent single-tape TM.

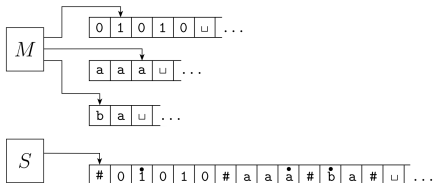


Figure 3.14, Sipser 2012.

# MULTITAPE TURING MACHINE

## SIMULATING MULTITAPE TM WITH SINGLE-TAPE TM

- $M$  has two tapes (generalizes to  $k$  tapes straightforwardly).
- $S$  is the new single-tape TM we want to construct.
- Introduce extra symbols;  $\hat{a}$  per symbol  $a \in \Sigma$  and a delimiter  $\#$ .
- $S$  shall maintain the following property ( $\star$ ) while simulating  $M$ .
  - 1 The tape contents of  $S$  is of the form  $\#w\#z\#$  where  $w$  and  $z$  are the strings of 1st and 2nd tape of  $M$ .
  - 2 The symbols of  $\#w\#z\#$  corresponding to the head locations in the 1st and 2nd tapes of  $M$  are dotted, and no other symbols are dotted.

# MULTITAPE TURING MACHINE

## SIMULATING ONE TRANSITION OF $M$ WITH $S$

Consider the transition  $\delta(q, a, b) = (a', b', L, R)$  of  $M$ .  $S$  simulates this transition as follows.

- 1 Move the head of  $S$  to the left end.
- 2 By scanning the tape left-to-right, decide which symbols are dotted ( $a$  and  $b$  in this case) and enters the state  $(q, a, b)$ . Move the head to the left end.
- 3 By scanning the tape left-to-right, in each 'track' of the single tape, rewrite  $\dot{a}$  as  $a'$ , and add dot on its left (or right, if the corresponding head move is 'R') symbol.
- 4 If the simulation at  $i$ -th track makes a move to the right, which is  $\#$ , we add dot to  $\#$ , then replace add  $\sqcup$  in front of  $\dot{\#}$ , restore  $\dot{\#}$  to  $\#$ , and shift all symbols starting after  $\dot{\#}$  by one to the right.
- 5 When the 3-4th steps are done for each track, simulating one transition of  $M$  is complete. Clearly the invariant  $(\star)$  is maintained.

# NONDETERMINISTIC TURING MACHINE

- Now, TM can make multiple transition per state  $\times$  symbol, or no transition may be defined.
- That is,  $\delta$  is a function from  $Q \times \Gamma$  to  $2^{Q \times \Gamma \times \{L, R, S\}}$ .
- Nondeterministic TM **accepts** an input string  $w \in \Sigma^*$  if there **exists** an accepting computation history starting from  $q_0 w$  (amongst *all* possible computation histories starting from  $q_0 w$ ).
- Nondeterministic TM **rejects** an input string  $w \in \Sigma^*$  if every computation history starting from  $q_0 w$  ends in a rejecting configuration.
- The new TM variant has the same (not more) power as the original TM.

# NONDETERMINISTIC TURING MACHINE

## NONDETERMINISTIC TM

For every nondeterministic TM, there is an equivalent multitape TM.

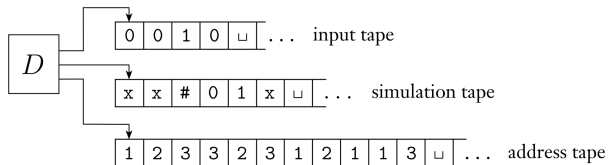


Figure 3.17, Sipser 2012.

# NONDETERMINISTIC TURING MACHINE

The idea.

- $D$  keeps track of the branching computation history of  $M$  in a BFS manner.
- Address tape remembers the location of the node  $t$  in the computation tree as a  $p$ -ary tree.
- Simulation tape is used for a single-tape TM simulation of  $N$  from the root (the starting configuration) to node  $t$ .

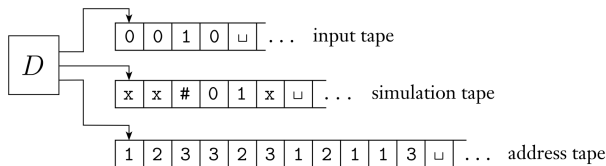


Figure 3.17, Sipser 2012.

# NONDETERMINISTIC TURING MACHINE

## SIMULATING NONDETERMINISTIC TM WITH 3-TAPE TM

- $D$  is the 3-tape TM we want to construct.
- Introduce extra symbols;  $\{0, 1, \dots, p\}$  where  $p = |Q \times \Sigma \times \{L, R, S\}| + 1$ .
- The symbol 0 corresponds to the case when there is no valid move, i.e.  $\delta(q, a) = \emptyset$ .
- A computation history of length  $\ell$  can be represented as a sequence  $s = s_1, \dots, s_\ell$  with  $s_i \in \{0, 1, \dots, p\}$ .
- Each  $s_i$  interprets as an instruction: "choose  $s_i$ -th element out of  $\{0, \dots, p\}$  as the  $i$ -th move".



# NONDETERMINISTIC TURING MACHINE

$D$  shall simulate the nondeterministic TM  $N$  as follows.

- 1 For each sequence  $s = (s_1, \dots, s_\ell)$ , simulate the (unique) computation history obtained by applying the transition sequence  $s$  in order.
  - Initialization:  $D$  initialize the simulation tape by erasing its contents and writing the initial input string to  $M$  by copying the contents in the input tape onto the simulation tape.
  - Each single move of  $M$  in the branch of  $s$ :  $D$  reads  $s_i$  in the address tape, update the contents in the simulation tape accordingly.
- 2 If the simulation following the instructions of  $s$  ends in an accept state of  $N$ , then  $D$  accepts.
- 3 Otherwise, increase  $s$  by one (in  $p$ -ary representation) and repeat the above.

Remark: If  $s$  contains non-legal move, or the simulation ends in a reject state of  $N$ , possibly before finishing the full instructions of  $s$ , then we abort stage 1 immediately and do step 3.

# NONDETERMINISTIC TURING MACHINE

We can further polish  $D$  as follows.

- While you're executing the instructions over all  $s \in \{0, \dots, p\}^\ell$  of length  $\ell$ ,  $D$  remembers if there is any active branch of length  $\ell$ ; i.e. all moves in  $s$  is legal and it did not end in a halting state.
- After executing the instruction  $s \in p^\ell$ , if there is no active branch,  $D$  rejects the input instead of increasing  $s$ .

Observe:  $D$  accepts/rejects a string  $w \in \Sigma^*$  iff  $N$  accepts/rejects  $w$ .