

**FORMAL LANGUAGES AND AUTOMATA, 2024 FALL SEMESTER**

# Lec 09. Pushdown Automata

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# PUSHDOWN AUTOMATA

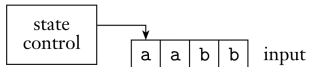


Figure 2.11, Sipser 2012

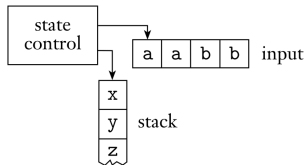


Figure 2.12, Sipser 2012

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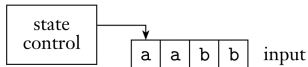


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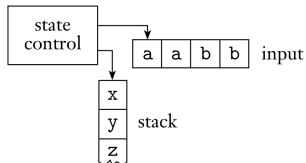


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## PDA: INFORMAL DESCRIPTION

A pushdown automata has three components: an input tape, a state (control), and a stack. At each step, PDA does the following

- **current state**:  $q$ .
- **read from input**: the first symbol  $a$  of the input tape; possibly  $\epsilon$ .
- **pops off stack**: the first symbol  $x$  at (the top of) the stack; possibly  $\epsilon$ .
- **transition**: depending on  $(q, a, x)$ , PDA
  - 1 updates the current state  $q$  to a new state  $q'$
  - 2 pushes a symbol  $y$  to the stack; possibly  $\epsilon$ .

# PDA FOR $L = \{w \cdot w^R : w \in \{0, 1\}^*\}$

## (INFORMAL) PDA RECOGNIZING A PALINDROME

- It has three states:  $q_{start}$ ,  $q_{push}$ ,  $q_{pop\&match}$ ,  $q_{accept}$ .
- Starting at  $q_{start}$ , it pushes \$ to stack and updates to  $q_{push}$ .
- Stays in  $q_{push}$  while: it reads the symbol  $b$  from input and pushes  $b$  to stack.
- "Guess" the middle of the word; implemented as an update from  $q_{push}$  to  $q_{pop\&match}$
- Stays in  $q_{pop\&match}$  while: it reads the symbol  $b$  from input, pops the symbol  $b$ ; they match.
- Moves  $q_{pop\&match}$  to  $q_{accept}$  if: there is nothing to read in the input when \$ is popped.
- In all other cases (e.g. "mismatch" between the input content and stack symbol): "dies"

# FORMAL DEFINITION OF PDA

## A PUSHDOWN AUTOMATA IS

a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$ , where all entries except for  $q_0$  is a finite set:

- $Q$  is the set of states.
- $\Sigma$  is the input alphabet.
- $\Gamma$  is the stack alphabet.
- $\delta : Q \times \Sigma_{\epsilon} \times \Gamma_{\epsilon} \rightarrow \mathcal{P}(Q \times \Gamma_{\epsilon})$  is the transition function.
- $q_0 \in Q$  is the start state.
- $F \subseteq Q$  is the set of accept states.

# LANGUAGE RECOGNIZED BY A PDA

## PDA ACCEPTING A STRING

A pushdown automata  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$  accepts an input string  $w \in \Sigma^*$  if

- I  $w$  can be written as  $w_1 w_2 \cdots w_n$ , where  $w_i \in \Sigma_\epsilon$ ,
- II there is a sequence  $r_0, r_1, \dots, r_n$  of states, and
- III a sequence of strings  $s_0, s_1, \dots, s_n$  of strings over  $\Gamma^*$ ,

such that the following holds:

- 1  $r_0 = q_0$  and  $s_0 = \epsilon$ ,
- 2  $s_i = xt$ ,  $s_{i+1} = yt$  and  $(r_{i+1}, y) \in \delta(r_i, w_{i+1}, x)$  hold for some  $x, y \in \Gamma_\epsilon$  and  $t \in \Gamma^*$
- 3  $r_n \in F$ .

# INSTANTANEOUS DESCRIPTION (A.K.A CONFIGURATION)

## CONFIGURATION OF PDA

For a pushdown automata  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$ , an instantaneous description (ID), or equivalently a configuration of  $P$  is a triple  $(q, w, \gamma)$ . Essentially

- $q$  is the current state.
- $w$  is the remaining input string.
- $\gamma$  is the string in the stack, read from top to bottom.

If  $(q', y) \in \delta(q, a, x)$ , then we write

$$(q, aw, x\beta) \vdash (q', w, y\beta).$$

This means that one can reach the configuration  $(q', w, y\beta)$  from  $(q, aw, x\beta)$  in a single step. The notation  $\vdash^*$  is used when one is reach from the other in  $n \geq 0$  steps.

# LANGUAGE RECOGNIZED BY A PDA

## LANGUAGE RECOGNIZED BY PDA

For a PDA  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$ , the language recognized by PDA  $P$  is defined as

- the set of all strings in  $\Sigma^*$  accepted by  $P$ , or equivalently
- the set of all strings  $w \in \Sigma^*$  such that  $(q_0, w, \epsilon) \vdash^* (q, \epsilon, \gamma)$  for some  $q \in F$  and  $\gamma \in \Gamma^*$ .

We write as  $L(P)$  the language recognized by PDA  $P$ .



# PDA FOR $L = \{0^n 1^n : n \geq 0\}$

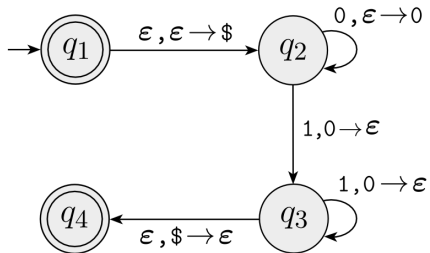


Figure 2.15, Sipser 2012

# PDA FOR $L = \{0^n 1^n : n \geq 0\}$

Formal description of PDA recognizing  $L$ : it is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_1, F)$  as follows.

- $Q = \{q_1, q_2, q_3, q_4\}$
- $\Sigma = \{0, 1\}$
- $\Gamma = \{0, \$\}$
- the transition function  $\delta$  is given as the transition table below.
- Start state is  $q_1$
- $F = \{q_1, q_4\}$

Input:	0			1			$\epsilon$		
Stack:	0	\$	$\epsilon$	0	\$	$\epsilon$	0	\$	$\epsilon$
$q_1$									$\{(q_2, \$)\}$
$q_2$			$\{(q_2, 0)\}$		$\{(q_3, \epsilon)\}$				
$q_3$					$\{(q_3, \epsilon)\}$			$\{(q_4, \epsilon)\}$	
$q_4$									

**PDA FOR  $L = \{w \cdot w^R : w \in \{0, 1\}^*\}$**

**PDA FOR  $L = \{w \in \{a, b\}^* : |w|_a = |w|_b\}$**

# EQUIVALENCE OF PDA AND CFL

## THEOREM

*A language is context-free if and only if some pushdown automaton recognizes it.*

Proof outline for ( $\Rightarrow$ ).

- From a context-free grammar  $G = (V, \Sigma, R, S)$ , we aim to construct a PDA  $P$  such that  $L(P) = L(G)$ .

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- Key 2:  $P$  simulates a leftmost derivation of  $w \in L(G)$  by
  - 1 "matching" the input symbol and the stack symbol if the stack symbol is an element of  $\Sigma$ .
  - 2 "replacing" the stack symbol  $A$  by  $z$  if  $A$  is a variable of  $G$  and there is a rule  $A \rightarrow z$ .