

**FORMAL LANGUAGES AND AUTOMATA, 2025 FALL SEMESTER**

# Lec 15. Variants of TM

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# A BIT OF HISTORY



- In 1923, Hilbert proposed 10 open problems in the International Congress of Mathematicians ( $\rightsquigarrow$  part of "Hilbert's 23 problems")
- 10th problem:  
*"Given a Diophantine equation with any number of unknown quantities and with rational integral numerical coefficients: To devise a process according to which it can be determined in a finite number of operations whether the equation is solvable in rational integers."*

# A BIT OF HISTORY

- In order to prove that such a process ('algorithm') is impossible, we need to formalize the notion of algorithm, or computability.
- Alonzo Church, Alan Turing, Gödele-Herbrand independently came up with their own notions of computability ( $\lambda$ -calculus, TM, general recursive), all of which were shown to be equivalent.

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- This led to Church-Turing thesis: a thesis stating that an intuitive notion of algorithms is equivalent to TM.
- According to the thesis, the notion of TM we learnt, rather anecdotal, must be robust.
- All reasonable variations are equivalent. We'll see some examples.

# TM WITH 'STAY PUT' OPTION

- Now, TM has an additional option of not moving its head (stay put).
- That is,  $\delta$  a function from  $Q \times \Gamma$  to  $Q \times \Gamma \times \{L, R, S\}$ .
- The new TM variant has the same (not more) power as the original TM.

# TM WITH 'STAY PUT' OPTION

## TM WITH 'STAY PUT' OPTION

For every TM with stay put option, there is an equivalent TM without this option (i.e. recognizing the same language).



# MULTITAPE TURING MACHINE

- Now, TM has multiple tapes with a head on each tape, and read/write/move its heads simultaneously.
- That is,  $\delta$  is a function from  $Q \times \Gamma^k$  to  $Q \times \Gamma^k \times \{L, R, S\}^k$ .
- The multitape TM variant has the same (not more) power as the original TM.

# MULTITAPE TURING MACHINE

## MULTITAPE TM

For every multtape TM, there is an equivalent single-tape TM.

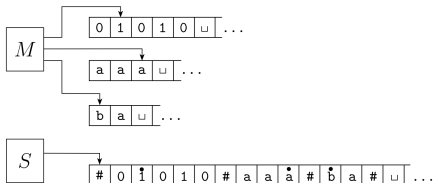


Figure 3.14, Sipser 2012.

# MULTITAPE TURING MACHINE

## SIMULATING MULTITAPE TM WITH SINGLE-TAPE TM

- $M$  has two tapes (generalizes to  $k$  tapes straightforwardly).
- $S$  is the new single-tape TM we want to construct.
- Introduce extra symbols; a delimiter  $\#$ , and  $\hat{a}$  per symbol  $a \in \Sigma$ .
- $S$  shall maintain the following property ( $\star$ ) while simulating  $M$ .
  - 1 The tape contents of  $S$  is of the form  $\#w\#z\#$  where  $w$  and  $z$  are the strings of 1st and 2nd tape of  $M$ .
  - 2 The symbols of  $\#w\#z\#$  corresponding to the head locations in the 1st and 2nd tapes of  $M$  are **dotted**, and no other symbols are dotted.

# MULTITAPE TURING MACHINE

## SIMULATING ONE TRANSITION OF $M$ WITH $S$

Consider the transition  $\delta(q, a, b) = (a', b', L, R)$  of  $M$ .  $S$  simulates this transition as follows.

- 1 Move the head of  $S$  to the left end.
- 2 By scanning the tape left-to-right, decide which symbols are dotted ( $a$  and  $b$  in this case) and enters the state  $(q, a, b)$ . Move the head to the left end.
- 3 By scanning the tape left-to-right, in each 'track' of the single tape, rewrite  $\dot{a}$  as  $a'$ , and add dot on its left (or right, if the corresponding head move is 'R') symbol.
- 4 If the simulation at  $i$ -th track makes a move to the right, which is  $\#$ , we add dot to  $\#$ , then replace add  $\sqcup$  in front of  $\dot{\#}$ , restore  $\dot{\#}$  to  $\#$ , and shift all symbols starting after  $\dot{\#}$  by one to the right.
- 5 When the 3-4th steps are done for each track, simulating one transition of  $M$  is complete. Clearly the invariant  $(\star)$  is maintained.

# NONDETERMINISTIC TURING MACHINE

- Now, TM can make multiple transition per state  $\times$  symbol, or no transition may be defined.
- That is,  $\delta$  is a function from  $Q \times \Gamma$  to  $2^{Q \times \Gamma \times \{L, R, S\}}$ .
- Nondeterministic TM **accepts** an input string  $w \in \Sigma^*$  if there **exists** an accepting computation history starting from  $q_0 w$  (amongst *all* possible computation histories starting from  $q_0 w$ ).
- Nondeterministic TM **rejects** an input string  $w \in \Sigma^*$  if every computation history starting from  $q_0 w$  ends in a rejecting configuration.
- The new TM variant has the same (not more) power as the original TM.

# NONDETERMINISTIC TURING MACHINE

## NONDETERMINISTIC TM

For every nondeterministic TM, there is an equivalent multitape TM.

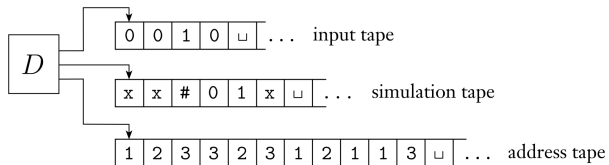


Figure 3.17, Sipser 2012.

# NONDETERMINISTIC TURING MACHINE

The idea.

- $D$  keeps track of the branching computation history of  $M$  in a BFS manner.
- Address tape remembers the location of the node  $t$  in the computation tree as a  $p$ -ary tree.
- Simulation tape is used for a single-tape TM simulation of  $N$  from the root (the starting configuration) to node  $t$ .

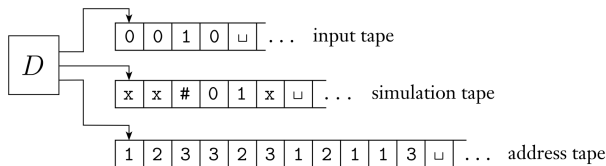


Figure 3.17, Sipser 2012.

# NONDETERMINISTIC TURING MACHINE

## SIMULATING NONDETERMINISTIC TM WITH 3-TAPE TM

- $D$  is the 3-tape TM we want to construct.
- Introduce extra symbols;  $\{1, \dots, p\}$  where  $p = |Q \times \Sigma \times \{L, R, S\}|$ .
- Each member of  $Q \times \Sigma \times \{L, R, S\}$  is bijectively associated with a symbol in  $[p]$  (e.g. lexicographic order).
- A computation history of length  $\ell$  can be represented as a sequence  $s = s_1, \dots, s_\ell$  with  $s_i \in [p]$ .
- Each  $s_i$  interprets as an instruction: "choose the move in  $Q \times \Sigma \times \{L, R, S\}$  corresponding to  $s_i \in [p]$  as the  $i$ -th move" (if  $s_i$  is valid for the current configuration).



# MAINTAINING THE ADDRESS TAPE

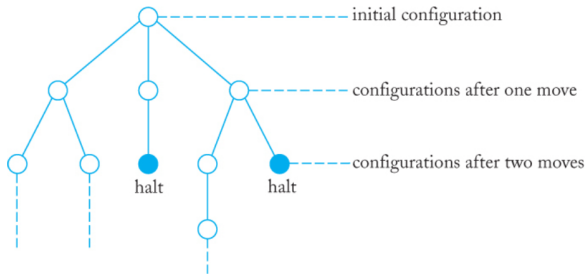


Figure 10.15, Peter Linz 2014.

Note that the discovery order of BFS tree can be expressed as the lexicographic order on strings over  $[p]$ .

- 1 If the simulation has completed as instructed by the address tape, or if the address is invalid (i.e. the move is not available for the configuration of the simulation tape), or ends in reject state in the simulation, then abort the current simulation.
- 2 Put the "next string" in the address tape.

# NONDETERMINISTIC TURING MACHINE

$D$  shall simulate the nondeterministic TM  $N$  as follows.

- 1 Given the content  $s = (s_1, \dots, s_\ell)$  in the address tape, simulate the (unique) computation history obtained by applying the moves as indicated by  $s$  in order.
  - Initialization:  $D$  initialize the simulation tape by erasing its contents and writing the initial input string to  $M$  by copying the contents in the input tape onto the simulation tape.

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  - Each single move of  $M$  in the branch of  $s$ :  $D$  reads  $s_i$  in the address tape, update the contents in the simulation tape accordingly.
- 2 If the simulation following the instructions of  $s$  ends in an accept state of  $N$ , then  $D$  accepts; **this is when  $D$  terminates.**

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- 2 If the simulation following the instructions of  $s$  ends in an accept state of  $N$ , then  $D$  accepts; **this is when  $D$  terminates.**
- 3 If the simulation meets an invalid move in  $s$ , ends in reject state or is completed, abort the current simulation and **go to the string next to  $s$  and repeat above.**

# NONDETERMINISTIC TURING MACHINE

We can further polish  $D$  as follows.

- While you're executing the instructions over all  $s \in \{0, \dots, p\}^\ell$  of length  $\ell$ ,  $D$  remembers if there is any active branch of length  $\ell$ ; i.e. all moves in  $s$  are valid and it did not end in a halting state.
- After executing the instruction  $s \in p^\ell$ , if there is no active branch,  $D$  rejects the input instead of increasing  $s$ .

Observe:  $D$  accepts/rejects a string  $w \in \Sigma^*$  iff  $N$  accepts/rejects  $w$ .