A Multipath Extension to the QUIC Module for ns-3

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ABSTRACT

Network transmission with multiple interfaces is desirable for the next-generation Internet to improve end-to-end performance and reliability. Multipath QUIC is thus proposed to utilize multiple interfaces for Internet transmission with QUIC, while QUIC is already standardized and active in use by several mainstream web browsers. However, the majority of the (MP)OUIC experimental platforms are built upon real systems or network emulators, which makes it challenging to investigate and experiment for further exploration. An MPQUIC simulation platform is still largely missing in the research community. In this paper, we present our implementation and improvement of MPOUIC based on the OUIC module for ns-3, along with a description of the features that we have implemented. We also demonstrate the performance of MPQUIC using an expanding series of experiments under various scenarios. Our implementation meets the demands for scalable multiple paths, flexible path schedulers, and compatible congestion control algorithms.

CCS CONCEPTS

• Networks \rightarrow Transport protocols; Network simulations; Network protocol design.

KEYWORDS

Multipath QUIC, network simulation, ns-3, transport protocols

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1 INTRODUCTION

Innovations in computer networking have grown rapidly over the past few decades. Today's end devices are equipped with multiple network interfaces in various modes. For instance, mobile devices

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connect to the Internet through both WiFi and cellular networks. Laptops similarly provide both Ethernet and WiFi adapters. Although single-path transmission still dominates the Internet because of the high cost of mobile data, or the limitations imposed by operating systems or network protocols, more and more researchers are beginning to concentrate on the use of multiple interfaces to improve network performance.

MPTCP [14] is a multipath extension built on top of TCP that allows for transmission with multiple paths in the transport layer. Thanks to its outstanding features such as throughput aggregation and congestion shift, it has been considerably adopted for commercial use. An example is the use of it since iOS11 for Siri [1]. Nevertheless, the next-generation networks pose a set of challenges to the protocols built upon the TCP/IP stack, e.g., connection breakage [15], and Head-of-Line (HoL) blocking issue [16]. To address the problems with TCP, Google first proposed Quick UDP Internet Connections (QUIC) [9], which was later standardized by the Internet Engineering Task Force (IETF) [7] as "QUICv1" in 2021. The innovative features of QUIC, such as stream multiplexing, frame structure, and 0-RTT handshake, enable it to improve transmission performance and easily adapt to various applications. The transmission through QUIC or HTTP/3 is currently supported by an increasing number of web browsers and servers.

With the increasing popularity of QUIC, some limitations (e.g., lack of multipath management policies [17]) of QUIC were exposed. The multipath extension over QUIC (MPQUIC) also becomes an interesting topic for the research community. Motivated by the success of MPTCP, MPQUIC [3] is more promising to satisfy the demands of future applications. Even though MPQUIC is still under discussion by IETF, there are already some related works around the protocol designs [4], protocol implementations [18], scheduling strategies [20], and congestion control algorithms [22]. However, current experimental platforms for MPQUIC are mostly built on real systems or network emulators, which is challenging for the research community to investigate the potential of MPQUIC in diverse circumstances. A simulation platform of MPQUIC is to be developed and no widely available version exists yet. Therefore, we have developed an MPQUIC platform¹ based on the QUIC module [2, 13] for ns-3 since 2021. Our implementation and improvement achieve certain features, such as the scalability of multiple paths, the flexibility of switching multipath schedulers, and compatibility with different congestion control algorithms. In 2022, we fixed

 $^{^{1}}https://github.com/ssjShirley/mpquic-ns3 \\$

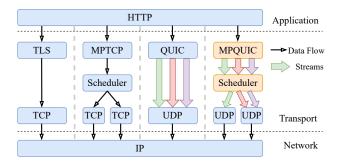


Figure 1: Structure of MPQUIC in Comparison with Others

several bugs in the initial release and further enriched the set of path schedulers. Our implementation has been utilized in some research works [21, 22] since the first release.

In the remainder of this paper, we first outline the key components of the MPQUIC protocol as well as the difficulties encountered during the code development in Section 2. Then, we detail the implementation of MPQUIC over the QUIC module for ns-3 in Section 3. In Section 4 we expand the evaluation of the performance of our MPQUIC implementation. Finally, Section 5 concludes the paper and discusses future work.

2 MPQUIC PROTOCOL DESCRIPTION

The multipath QUIC protocol intends to compensate for the missing features in QUIC by utilizing different paths that exist between a client and a server [3]. The layered structure of MPQUIC is illustrated in Figure 1. Differing from QUIC that delivers data directly onto UDP, an MPQUIC layer is placed between the application and QUIC protocol to deal with the multipath connection, following the MPTCP [14] design logic. MPQUIC inherits the stream multiplexing feature in QUIC, allowing it to transmit data in several independent streams. The scheduler then arranges the data streams onto appropriate UDP paths for the multipath transmission.

2.1 MPQUIC

The motivation for extending multipath capability over QUIC protocol is to associate resources of distinct paths within a single connection and realize a smooth migration between different interfaces [12]. The single-path QUIC integrates the features of TCP, TLS, and a portion of HTTP/2 over the UDP transmission [9]. QUIC works differently than traditional TCP connections in that it mitigates the head-of-line blocking issue, shortens the transmission latency, and incorporates encryption. Based on several salient features and improvements in QUIC, the design specifications of MPQUIC [3, 18] are described in the following five components.

Path Identification. The increasing packet numbers are used to identify the lost packet in a single-path QUIC connection. If all packets share one numbering space in MPQUIC and are sent over different paths, they may arrive out of order, resulting in a misinterpretation of packet loss. To address this problem, MPQUIC includes a path identification in packet header and creates a perpath numbering space, which isolates packet numbers on various paths from one another and restricts the sequential feature to that

Packet Header								
Flag	Connection ID		Packet Number	Path ID	Payloads			
MP_ACK								
Frame Type	Path ID	Largest Acknowledged		Ack Delay	Ack Range			
ADD_ADDRESS								
Frame Type	Path ID		IP version	IP Address				
REMOVE_ADDRESS								
Frame Type	Path ID		IP version	IP Address				

Figure 2: MPQUIC Header and New Frames

path. This could prevent middleboxes from accidentally dropping the packets that have the same packet number but in different paths.

Path Management. A path manager handles the path creation and removal in MPQUIC. Figure 2 shows that the packet payload in QUIC is comprised of multiple frames which can store stream data or control information. Benefiting from this frame structure, MPQUIC can define some specific types of frames to store the multipath information. To manage multiple paths, new frames, e.g., ADD_ADDRESS and REMOVE_ADDRESS, are introduced to help the path establishment and removal. Furthermore, since the zero round-trip time (0-RTT) is supported by the Connection ID, MPQUIC is able to authenticate new paths within one handshake, while MPTCP requires a three-way handshake before being able to use any paths.

Reliable Data Transmission. MP_ACK frame is defined to handle the packet loss recovery in MPQUIC. Since the acknowledgment depends on the packet numbers and it needs to contain all unacknowledged packet numbers, multipath transmission with per-path numbering space would cause conflict and an oversized frame if we still use the original ACK frame. Therefore, MP_ACK frame is introduced to split the huge acknowledgment into smaller path-based frames to prevent conflict. Also, MPQUIC can transmit MP_ACK frame over different paths, in contrast to MPTCP which must return acknowledgment on the same path as the data received [14].

Packet Scheduling. The path scheduler in MPQUIC is responsible for allocating packets onto different paths. The simplest scheduling algorithm is Round-Robin (RR), which can schedule packets on different paths sequentially, but may cause high latency when two flows have a large difference in bandwidth and RTT. So, MPQUIC uses Minimum-RTT (MRTT) by default, which is implemented in the Linux kernel for MPTCP. Provided that the congestion window still has space, the path with the lowest measured RTT is preferred. In addition, several advanced scheduling algorithms have been proposed. For example, BLEST [5] and ECF [10] schedule packets by estimating how many packets could be sent on the fast path during the RTT of the slow path. Peekaboo [20] is an adaptive multipath scheduler based on reinforcement learning algorithms.

Congestion Control. The congestion control of transport-layer protocols is critical for smooth and efficient transmission by determining when and how to adjust the sending window. NewReno and CUBIC [6] are widely used for single-path TCP and QUIC, but they will cause unfairness in multipath protocols [19]. Thus, the default congestion control algorithm in MPQUIC incorporates OLIA [8],

which integrates the information from all paths and also presents a good performance in MPTCP.

2.2 Challenges of MPQUIC Implementation in ns-3

The code implementation of MPQUIC is based on the QUIC module in ns-3. Since this module is designed for single-path connections, it necessitates many adjustments when it comes to multipath connections while maintaining original transmission functions at the same time. Challenges also appear with the modifications.

First, only one path connection is considered in QUIC. The host address and peer address are singular for a single connection and directly assigned by the applications. As a result, the ns-3 QUIC module does not support operations with multiple pairs of addresses. However, the capability to explore multiple local addresses and advertise the addresses to peers is necessary for multipath transport-layer protocols. Therefore, such features should be incorporated into our implementation.

The other challenge is that the functions of sending and receiving in the QUIC module are interlocking and linked one by one. If multiple paths are just added to some of the essential functions, it would disrupt the entire transmission process. As a result, we have to thoroughly examine the data flow and implement multipath features in all relevant functions. Specifically, m_tcb, an instance of QuicSocketState, is used throughout the QUIC module, containing the loss detection variables as well as the congestion control management states, such as round-trip time, acknowledgment delay, loss event, etc. However, in a multipath implementation, each path should maintain an independent space to manage such control information. A similar situation also exists in QuicSocketTxBuffer, which is not only a simple storage list of frames but also responsible for frames splitting and reassembling based on the available window and maximum transmission units. Thus, our implementation cannot directly instantiate the buffer object for each path. Instead, an independent space of QuicTxPacketList, storing the sent packets in the buffer, is required for each path.

Furthermore, the congestion control algorithm design of multipath transmission should involve factors of different paths in a coupled way. Although the congestion control is extensible in QUIC, the interfaces of QuicCongestionOps only consider single-path factors, which is not sufficient. Also, a multipath-based scheduler is missing in the QUIC module. Therefore, we establish a new congestion control class that involves multipath factors and maintains extensibility, and a scheduler class that is flexible and compatible with various algorithms.

Overall, the major challenges for MPQUIC implementation are address advertisement, path separation, and algorithm extension based on the ns-3 QUIC module, maintaining original transmission functions at the same time. The detailed implementation is described in the next section.

3 IMPLEMENTATION OF MPQUIC IN NS-3

The key characteristics of the multipath QUIC implementation for ns-3 are described in this section. The UML diagram for MPQUIC is shown in Figure 3. As the diagram shows, the primary classes for MPQUIC are developed on top of the QUIC module [2] in ns-3. New

classes, functions, and variables have been introduced to MPQUIC to account for the multipath features.

3.1 Code Structure

To implement MPQUIC, we need to add new components to store the multipath information, build a path manager to control multiple paths, and implement schedulers to allocate the packets transmitting on each path and the congestion control algorithms collaborating with multipath states. As the UML diagram (Figure 3) shows, some new classes are generated for multipath realization, including MpQuicSubflow, MpQuicPathManager, MpQuicScheduler, and MpQuicCongestionOps, which store the path states, and perform the operations of path management, packet arrangement, and congestion control. Corresponding objects are instantiated in QuicSocketBase that handles the basic transmission functions in QUIC.

The original classes in the QUIC module are also enhanced with new components. The additional variables and functions defined in QuicL4Protocol and QuicSocketBase are responsible for associating the multipath features with the original QUIC functions and interacting with the related classes processing path establishment, packet arrangement, and congestion control. The transmission buffers for multiple paths are operated by the added variables and functions in QuicSocketTxBuffer. Furthermore, the additional components in QuicSubheader deal with the newly defined frames in MPQUIC. The newly defined header is handled by the added components in QuicHeader.

Overall, our design attempts to retain the transmission logic and utilize the existing classes in the original QUIC module as much as possible when building multipath features above it.

3.2 MPQUIC Headers and Frames

In order to distinguish packets transmitted over different paths, a new variable m_pathId is defined in the QuicHeader. Figure 2 depicts the structure of the MPQUIC header and new frames. MPQUIC uses the MP_ACK frame to maintain reliable data transmission. A static function CreateMpAck() is added to QuicSubheader for the MP_ACK creation, which is invoked by QuicSocketBase. As the frame identifies the acknowledged packets with the packet number, per-path numbering space will create confusion when acknowledging packets with the same packet number. Thus, m_pathId is also declared in QuicSubheader to avoid confusion by distinguishing acknowledged packet numbers from different paths.

Moreover, multipath transmission necessitates the exchange of new addresses between two hosts. To meet this requirement, ADD_ADDRESS and REMOVE_ADDRESS are added to QuicSubheader, and m_address is defined as well as CreateAddAddress() and CreateRemoveAddress() accordingly. The function OnReceivedAddAddressFrame() and OnReceivedRemoveAddressFrame() are also defined to process the corresponding frames in QuicSocketBase. Although PATH_CHALLENGE and PATH_RESPONSE have already been included in QuicSubheader as mentioned in the IETF standard of QUIC [7], the existing QUIC module does not construct the functions to process these frames. As they are

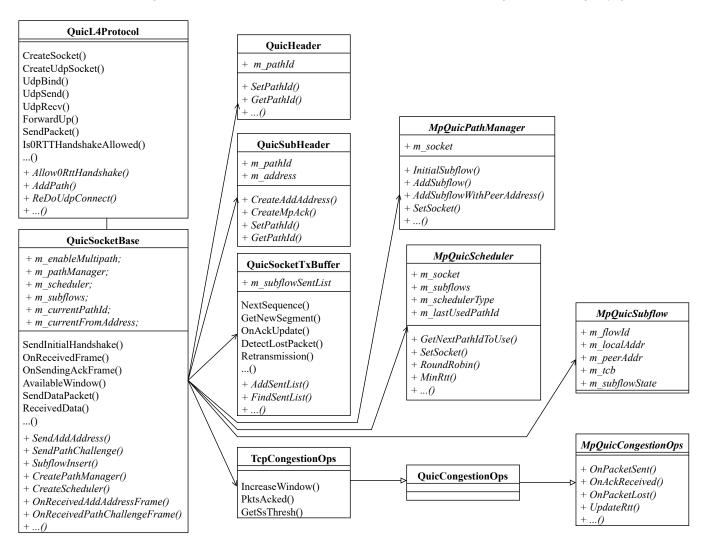


Figure 3: MPQUIC UML Diagram (New Classes, Functions, and Variables Shown in Italics)

required to address migration and multipath implementation, we also define OnReceivedPathChallengeFrame() and OnReceivedPathResponseFrame() in QuicSocketBase to handle these two frames.

3.3 MPQUIC Path Management

The MPQUIC path creation and removal are handled by the MpQuicPathManager. The MPQUIC connection is established with a single-path connection first since the multipath transmission between two hosts relies on a stable connection. To distinguish it from the other paths established later, we name the first established connection as the main flow or subflow 0. Noting that the subflow is equivalent to an end-to-end path or connection, we will use them interchangeably throughout this paper. In the rest of this section, we will use subflow with numbers to represent different paths and subflow 0 to describe the main flow. InitialSubflow() in MpQuicPathManager is used to instantiate MpQuicSubflow for

the main flow, which is invoked in QuicSocketBase during the handshake process of the connection establishment. It instantiates the flow ID, the local address, and other subflow components.

Figure 4 illustrates the procedures of the subflow establishment. After the handshake process in the main flow, the server begins to actively establish new subflows when the multipath feature is enabled (i.e., m_enableMultipath=True). AddSubflow() is invoked to explore the available interfaces and instantiate MpQuicSubflow. Then, the client establishes new subflows passively after receiving ADD_ADDRESS from the server side. AddSubflowWithPeerAddress() is invoked to instantiate MpQuicSubflow for the client side and establish the subflows with the advertised new addresses. New UDP sockets are also declared in QuicL4Protocol with AddPath() after receiving the new addresses. Benefiting from the Connection ID and 0-RTT handshake in QUIC, a new subflow establishment does not need both hosts to send ADD_ADDRESS for address authentication. By providing the authenticated Connection ID in the packet header, the server can

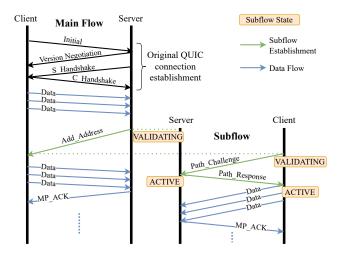


Figure 4: Procedures for Subflow Establishment

authenticate the new client subflow without a three-way hand-shake.

The state machine of subflow maintenance is shown in Figure 5. Once the subflow is instantiated but not authenticated yet, its state is VALIDATING. After the authentication process, the subflow state becomes ACTIVE, implying that it is able to transmit data. The subflow removal process is triggered once a path is abandoned or the transmission is complete. The active host sends PATH_ABANDON and its state becomes CLOSING. The passive host then sends REMOVE_ADDRESS and its state changes to CLOSING. The active host state turns to CLOSED when it receives REMOVE_ADDRESS. Also, if the transmission times out due to an unstable connection, the state will turn to CLOSED and remove the related subflow.

3.4 MPQUIC Path Scheduler

The MpQuicScheduler class is designed for applying various scheduling strategies in MPQUIC. m_schedulerType is an attribute for the customization purpose, which implies that users configure it depending on different requirements. Currently, our implementation supports more scheduling algorithms, including RR, MRTT, BLEST, ECF, and Peekaboo. GetNextPathIdToUse() decides which subflow will be used to send out packets, which is invoked by QuicSocketBase in SendDataPacket(). Accordingly, m_subflows and m_lastUsedPathId are declared for the scheduler.

Since MpQuicScheduler is defined independently, the flexibility of utilizing different scheduler algorithms is provided in our implementation, which keeps the extensibility for further research.

3.5 Data Flow in an MPQUIC Connection

The data transmission in QUIC is encapsulated with the stream frames, which correspond to QuicL5Protocol and QuicStreamBase. These two classes are independent of the multipath features so that the process of data encapsulation in MPQUIC is the same as what QUIC did [2]. The challenge for the data flow in an MPQUIC connection thus focuses on the packet sending and receiving process during the transmission.

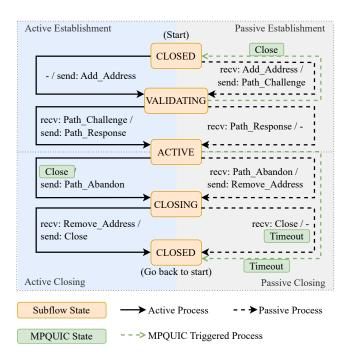


Figure 5: State Machine of a Subflow

For the packet-sending process, the raw data from the applications are appended to the variable m_txbuffer after being encapsulated into stream frames. QuicSocketTxBuffer then identifies whether the frame is for a data stream or a control frame. The control frames are stored in m_streamZeroList and data stream frames are stored in m_subflowSentList depending on their sending Path ID set by MpQuicScheduler. m_subflowSentList is a vector of QuicTxPacketList which is a linked list for transmitted packets in QuicSocketTxBuffer. In QuicSocketBase, SendPendingData() picks and sends out the packets from QuicSocketTxBuffer after checking the sending subflow's AvailableWindow(). Then, the sent packets are stored in m_subflowSentList for the process of loss recovery and error control afterward.

For the packet receiving process, the callback functions ForwardUp() in QuicL4Protocol are triggered when receiving a packet from the UDP socket, which checks for the address authentication and forwards the packet to ReceivedData() in QuicSocketBase. Then, the packet is dispatched into frames to distinguish data streams from control frames. With the Path ID provided in the packet header, MaybeQueueAck() and SendAck() create and send out MP_ACK when receiving the data streams. OnFrameReceived() further deals with the received control frames, where the frame type is checked first and the corresponding functions are invoked.

3.6 Transmission Reliability

MPQUIC maintains the reliability of transmission at both the stream level and the packet level. The stream frame and offset are responsible for the stream-level error control, which has been implemented

in the existing QUIC module. At the packet level, MP_ACK is transmitted to recognize the lost packets in MPQUIC. Recalling that the sent packets are stored in m_subflowSentList, MpQuicTxBuffer marks the lost packets in m_subflowSentList with the information provided from MP_ACK. OnReceivedAckFrame() then matches the lost packets on the corresponding subflows and does the retransmission with the function DoRetransmit() achieving the loss recovery for each subflow.

QUIC also supports retransmission with timeout by ReTxTimeout(), which is still supported in MPQUIC and subflow-based. Each subflow has its own timeout alarm stored in m_tcb, which is an instance of QuicSocketState declared in MpQuicSubflow. If the timeout is triggered, it will retransmit on the same subflow.

3.7 Congestion Control

MpQuicCongestionOps is created to process the congestion control algorithms in MPQUIC. In the QUIC module, it supports pluggable congestion control with QuicCongestionOps, TcpCongestionOps, TcpNewReno, etc. We maintain this feature and plug it with the OLIA congestion control algorithm when multipath is enabled. Since OLIA is the default in MPQUIC for fairness in multipath protocols, we implement it in MpQuicCongestionOps, containing OnPacketSent(), OnAckReceived(), OnPacketLost(), and UpdateRtt(). These functions are invoked by SendDataPacket() and OnReceivedMpAckFrame() in QuicSocketBase. The congestion control is also extensible in MPQUIC by inheriting the MpQuicCongestionOps class.

3.8 Current Status and Missing Features

We started our implementation in 2021 and kept improving it along with the update of the IETF draft. Here are the supported features that our implementation aligns with the latest IETF draft [11]. Our implementation supports most of the features mentioned in [11], including handshake negotiation and transport parameters (Section 3.2), path setup and removal (Section 3.3), multipath operation with multiple packet number spaces (Section 3.6), path scheduling (Section 3.4), and congestion control (Section 3.7). The current version fixes several bugs in the initial release. For example, we realized that the packet size decreased down to a small number when transmitting with the RR scheduler due to the unexpected path close flag. We also enriched the set of path schedulers since then.

Here are some missing features mentioned in [11] that we will consider for our future work. [11] introduces a PATH_STATUS frame that informs the peer to send packets in the preference. Our implementation is able to have the path status locally as Figure 5 shows, however, we do not support transmitting the path status between peers currently. In the path close stage, [11] includes a RETIRE_CONNECTION_ID frame to indicate to the receiving peer that the sender will not send any packets associated with the Connection ID used on that path anymore, which is not included in our current version. On the other hand, our implementation of MPQUIC currently only supports transmitting MP_ACK with the path given by the coming packets. An MP_ACK frame that can be returned via a different path is considered as our future work. Additionally, [11]



Figure 6: Topology with Multiple Paths

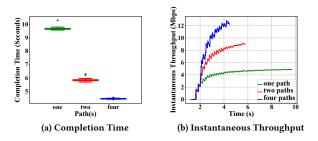


Figure 7: Performance Comparison with One, Two, and Four Paths

indicates the packet protection with TLS and AEAD for connection ID, while the security features are not considered in our current stage. We will continue to improve our implementation to align with the latest IETF Draft.

4 EVALUATION

To demonstrate the behavior of the MPQUIC implementation in ns-3, a set of use cases are presented. We first show the scalability of our implementation. Then, we illustrate the performance with different congestion control algorithms and schedulers. Figure 6 presents the network topologies used in our evaluation and Table 1 details the path settings. The example scripts we used to run the following experiments can be found in the scratch directory in our GitHub repository.

Table 1: Path Settings

Setting	Bandwidth	One-way delay	Loss
0	5-5.5 Mbps	50-55 ms	0-0.08%
1	10-11 Mbps	10-11 ms	0-0.08%
2	5-5.5 Mbps	10-11 ms	0-0.01%
3	10-11 Mbps	50-55 ms	0-0.01%

4.1 Scalability of Multiple Paths

The scalability of our implementation is demonstrated with the topology of four paths between client and server (Figure 6a), in which each path has the same range of data rate and one-way delay under Setting 0 as listed in Table 1. Figure 7a illustrates the completion time of transmitting 5 MB data with one, two, or four paths. Each of them runs 50 rounds and randomizes under a uniform distribution in terms of the path setting. Figure 7b depicts

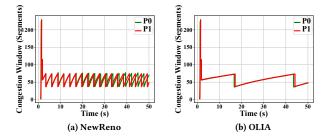


Figure 8: Congestion Window

the instantaneous throughput for several paths. The performance is significantly improved with four paths compared with the single-path transmission.

4.2 Performance of Different Congestion Control Algorithms

The evaluation of the congestion control algorithms uses the topology shown in Figure 6b and explores under Setting 1 on each path. RR is the default scheduler in this evaluation. Figure 8 presents the congestion window of NewReno and OLIA by transmitting unlimited data in 50 seconds, where the segment size is 1460 bytes.

4.3 Flexibility with Different Path Schedulers

The flexibility of our implementation is tested with five different types of schedulers, using OLIA as the default congestion control algorithm. We consider both stable and unstable scenarios under the two-path topology (Figure 6b). In a stable scenario, P0 uses Setting 0 and P1 uses Setting 1, indicating that P1 should always have a better performance than P0 with higher bandwidth and lower delay. Figure 9a and 9b depict the completion time and the instantaneous throughput of transmitting 5 MB data with different schedulers for 50 rounds in the stable scenario. Figure 10a and 10b depict those performances in an unstable scenario in that P0 uses Setting 2 and P1 uses Setting 3, indicating P0 has higher bandwidth and higher delay while P1 has lower bandwidth and lower delay.

To present the detailed performance of each path, we also depict the received bytes of each path. Figure 11 presents the received bytes for different schedulers in the stable scenario. Figure 12 also presents the stable scenario but two paths swapped their settings after 5 seconds. Specifically, at the start of the transmission, P0 uses Setting 0 and P1 uses Setting 1. After 5 seconds, P0 uses Setting 1 and S1 uses Setting 0. By doing so, we are able to explore the adaptivity of different schedulers. Furthermore, Figure 13 explores the performance of different schedulers in the unstable scenario.

5 CONCLUSIONS

In this paper, we presented an ns-3 implementation of MPQUIC. We overcame the challenges of advertising multiple addresses, separating transmission paths, and extending the scheduling and congestion control algorithms, while still maintaining the original transmission features. With a set of experimentations, we evaluated the correctness of our implementation, the scalability of multiple

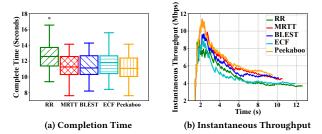


Figure 9: Performance Comparison with Different Schedulers in a Stable Scenario

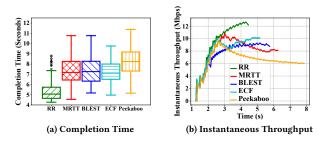


Figure 10: Performance Comparison with Different Schedulers in an Unstable Scenario

paths, the flexibility of the path schedulers, and the congestion control algorithms, which provide a stable simulation platform for the research community on multipath transport protocols.

We will further implement the currently missing features in MPQUIC ns-3 and keep updating according to the IETF Draft. The performance of scalability with more paths and interoperability to connect to external emulation interfaces will be evaluated in our future work. Exploring better scheduling and congestion control algorithms is also considered in the future.

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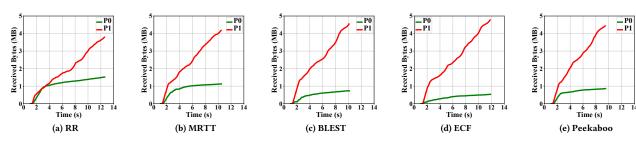


Figure 11: Received Bytes of Two Paths under the Stable Scenario

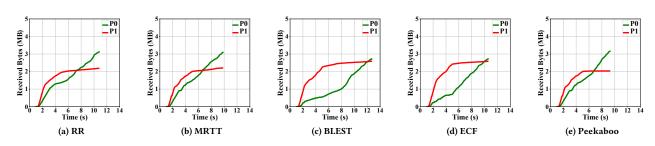


Figure 12: Received Bytes of Two Paths under the Stable Scenario with Swapped Setting after 5 Seconds

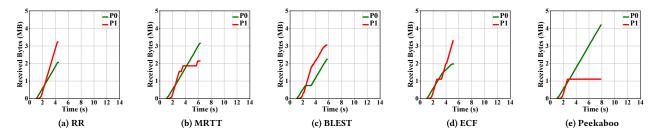


Figure 13: Received Bytes of Two Paths under the Unstable Scenario

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