

Controlling access to data (via transactions):

- * Data must be protected in the face of system failure
 - goal: resilience
 - approach: logging
- * Data must not be corrupted just because
 - several error-free transactions are being executed at the same time
 - = concurrency control
 - ~ approach: locking



undo
logging:

T does:

$A := A + 5$

$B := B \times 2$

\sqcup does:

$C := C + 10$

\sqcap does:

(E) $C := C + 10$
 $C := C/2$

$\langle \text{START } T \rangle$

$\langle T, A, 10 \rangle$

$\langle \text{START } \sqcup \rangle$

$\langle \sqcup, C, 30 \rangle$

$\langle T, B, 20 \rangle$

$\langle \text{COMMIT } \sqcup \rangle$

$\langle \text{START } U \rangle$

$\langle U, C, 40 \rangle$ new
 $C = 50$

$\langle U, C, 50 \rangle$ new
 $C = 25$

$\langle \text{COMMIT } T \rangle$

time in recovery
 \uparrow \Leftarrow nothing
 \Leftarrow nothing
 \Leftarrow nothing
 \Leftarrow nothing
 \Leftarrow nothing
 \Leftarrow nothing

\Leftarrow nothing

\Leftarrow nothing

\Leftarrow do nothing about \sqcup

\Leftarrow done with U

\Leftarrow undo U :
 $C := 40$

\Leftarrow undo U :
 $C := 50$

\Leftarrow do nothing about T

(1) before recovery starts,
what are the values
of A, B, C

$$\begin{aligned}A &= 15 \\B &= 40 \\C &= 40\end{aligned}$$

or 25 or 50

from
successful
actions

these outputs
for 24
may or
may not
have
happened



(2) after recovery is over,
what are the values
of A, B, C

$$A = 15$$

$$B = 40$$

$$C = 40$$



$\langle \text{START } T \rangle$
 1 $\langle T, A, 30 \rangle$
 2 $\langle T, B, 0 \rangle$
 3 $\langle \text{COMMIT } T \rangle$

] redo
logging ✓

$LA < LB < C$

$C < A \quad C < B$

time →

the only possible orders
 $LA < LB < C < A < B$.
 $LA < LB < C < B < A$



If, on system crash, we see
in log space on disk

(1) LA, LB, C
→ transaction must be
redone in recovery ✓

(2) LA, LB
(or just LA, or just
 $\langle \text{START T} \rangle$)
⇒ nothing needs to
be done in recovery



redo
recovery

Initial values $A=B=C=D=E=0$

$\langle \text{START } T \rangle$

$\langle T, \cancel{\text{log}}, A, \underline{10}, \checkmark^{\text{new}} \rangle$

$\langle \text{start } u \rangle$

$\langle u, B, 20 \rangle$

$\langle T, C, 30 \rangle$

$\langle u, D, 40 \rangle$

$\langle \text{COMMIT } u \rangle$

$\langle T, E, 50 \rangle$

$\langle \text{COMMIT } T \rangle$

Output(A, 1)

Output(B, 20)

Output(C, 30)

Output(D, 40)

Output(E, 50)

system crash

Recovery



(1) What are the values of A, B, C, D, E after system crash but before recovery?

(2) what are the values of A, B, C, D, E after redo recovery?

Answers:

- (1)
- | | |
|---------|-------|
| $A = 0$ | or 10 |
| $B = 0$ | or 20 |
| $C = 0$ | or 30 |
| $D = 0$ | or 40 |
| $E = 0$ | or 50 |



- (2)
- | |
|----------|
| $A = 10$ |
| $B = 20$ |
| $C = 30$ |
| $D = 40$ |
| $E = 50$ |

Undo	Redo
<u>stores old values</u>	<u>new</u>
outputs of new values happen <u>before</u> <u>commit is flushed</u>	<u>after</u>
Recovery cancels effects of <u>Incomplete</u> <u>transactions</u>	Recovery <u>reinforces</u> effects of <u>committed</u> <u>transactions</u>



Mundo / redo
logging

- < START < >
 - 1 < <, A, 10, 20 >
 - 2 < <, B, 30, 40 >
 - 3 < COMMIT < >
-

$LA < LB < C$

$LA < A$

$LB < B$

time

LA	A	LB	B	C
LA	LB	LB	A	C
LA	LB	C	A	B
LA	LB	A	C	B



<START S>
<S, A, 1, 2>
<S, B, 2, 3>
<START T>
<T, C, 4, 5>
<COMMIT T>
<START U>
<U, G, 11, 14>
<U, D, 6, 7>
<S, F, 8, 9>
<COMMIT U>



(1) What are the ^{disk} values of A B C D E F G after sys crash before recovery?

(2) What are the disk values of A B C D E F G after ^{undo/redo} recovery?

(1) A = 1 or 2
 B = 2 or 3
 C = 4 or 5
 D = 6 or 7
 F = 8 or 9
 G = 11 or 14

(2) TO BE
 CONTINUED

