

Printing-based assembly of quadruple-junction four-terminal microscale solar cells and their use in high-efficiency modules

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Expenses associated with shipping, installation, land, regulatory compliance and on-going maintenance and operations of utility-scale photovoltaics can be significantly reduced by increasing the power conversion efficiency of solar modules¹ through improved materials, device designs and strategies for light management^{2–4}. Single-junction cells have performance constraints defined by their Shockley–Queisser limits⁵. Multi-junction cells^{6–12} can achieve higher efficiencies, but epitaxial and current matching requirements between the single junctions in the devices hinder progress. Mechanical stacking of independent multi-junction cells^{13–19} circumvents these disadvantages. Here we present a fabrication approach for the realization of mechanically assembled multi-junction cells using materials and techniques compatible with large-scale manufacturing. The strategy involves printing-based stacking of microscale solar cells, sol-gel processes for interlayers with advanced optical, electrical and thermal properties, together with unusual packaging techniques, electrical matching networks, and compact ultrahigh-concentration optics. We demonstrate quadruple-junction, four-terminal solar cells with measured efficiencies of 43.9% at concentrations exceeding 1,000 suns, and modules with efficiencies of 36.5%.

The photovoltaic module efficiency impacts almost every component of the aggregate system cost, from materials to manufacturing, to installation and operations¹. Single-junction (SJ) solar cells are already near theoretical efficiency limits defined by thermalization losses and sub-bandgap transparency^{2–5}. Parallel use of multiple, separated SJ cells with spectral-splitting optical elements^{20–22} can be attractive, but the complexity in manufacturing, alignment and light management hinder prospects for practical use. Devices that incorporate multiple junctions (that is, sub-cells) in monolithic stacks, known as multi-junction (MJ) cells^{6–19}, provide an attractive route to ultrahigh efficiency. Over the past decade, increases in the absolute efficiency of MJ cells correspond to nearly 1% per year, reaching values that are at present ~44%^{6–12}. Further improvements, however, will require solutions to daunting challenges in achieving lattice-matched^{7,8} or metamorphic^{8–12} epitaxial growth in complex stacks and in maintaining current-matched outputs from each of the sub-cells. Mechanical stacking

of separately grown SJ or MJ materials represents a well-explored alternative route to MJ devices^{13–19} that have recently demonstrated very high efficiencies¹⁵. This process involves physical wafer bonding, followed by eliminating the top and/or bottom wafers. One option for bonding uses direct, high-temperature wafer fusion techniques^{13–15}. The electrically conducting interface that results, however, retains the requirement of current matching. This demand becomes challenging to maintain as the number of sub-cells in the MJ device increases, owing to natural variations in the terrestrial solar spectrum. An alternative approach uses thick, insulating organic adhesives, with double-sided, multilayer antireflective coatings and multi-terminal connections^{16–19}. Here, the resulting MJ cells suffer from interface reflections, poor heat flow characteristics and often unfavorable thermo-mechanical interface stresses at high irradiance concentration. Despite research and development during the past ~25 years, neither of these bonding strategies at present offers a realistic means for manufacturing or for viable multiple stacking operations.

This paper describes concepts to bypass many of the limitations of these and other previously explored technologies. Here, printing-based methods enable high-throughput physical assembly of arrays of stacked, microscale MJ solar cells using high-performance, released thin-film materials via epitaxial liftoff processes. An infrared transparent and refractive-index-matched layer of a chalcogenide glass (arsenic triselenide, As₂Se₃) serves as a thermally conductive and electrically insulating interface layer in these stacks. Advanced packaging techniques, electrical matching networks and dual-stage imaging lenses yield modules with efficiencies of 36.5%.

Figure 1a schematically illustrates the structure and assembly process for a quadruple-junction, four-terminal microscale solar cell, with an active area of 600 × 600 μm² (see Methods and Supplementary Figs 1–10 for fabrication details). The top cell uses a three-junction (3J) design based on InGaP/GaAs/InGaAsNSb (bandgaps of 1.9 eV/1.4 eV/1.0 eV) (ref. 7), grown lattice matched on a GaAs substrate and released by eliminating a sacrificial layer of AlInP at the base of the stack^{23,24}. A tri-layer anti-reflective coating (ARC) ensures efficient transmission of light into this 3J cell. The bottom cell (lateral dimensions matched to the top cell) is a diffused-junction Ge device²⁵. Figure 1b,c provides

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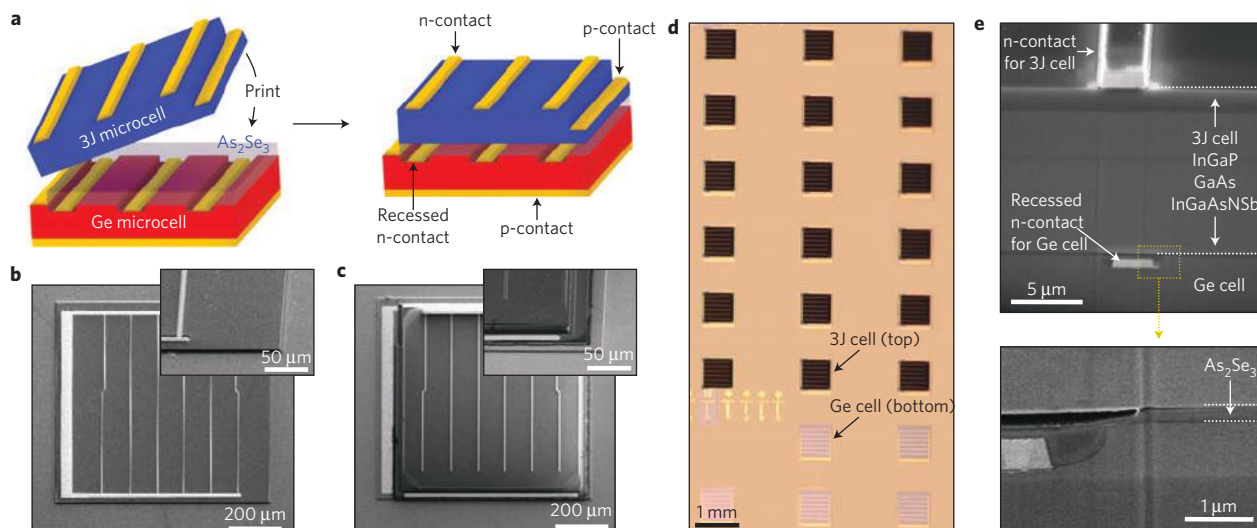


Figure 1 | Schematic illustrations and images of quadruple-junction four-terminal microscale solar cells assembled using a printing-based method and an As_2Se_3 interface material. **a**, Schematic illustration of a cell from a 3J thin-film stack of InGaP/GaAs/InGaAsNSb derived from epitaxial growth and liftoff and a separate 1J Ge cell, before (left) and after (right) assembly by transfer printing. The As_2Se_3 layer (light blue) and the recessed metal contact lines on the top of the Ge cell ensure excellent optical, electrical and thermal properties at the interface. **b, c**, SEM images (top and magnified tilted views) of a Ge cell before (**b**) and after (**c**) printing a 3J cell on top. **d**, Optical micrograph of an array of 3J/Ge microscale solar cells. The bottom part of this image shows alignment features light from the printing process, and several bare Ge cells. **e**, SEM image (cross-sectional view) of a 3J/Ge cell, showing the aligned metal contact lines and the As_2Se_3 layer. Inset: High-magnification image of the interface region.

scanning electron microscope (SEM) images of a typical Ge cell before and after delivery of a 3J cell onto its surface, respectively, by transfer printing²⁶. This assembly process occurs in a high-throughput, parallel fashion, to allow simultaneous formation of arrays of stacked MJ cells, in a fully automated step-and-repeat mode with high yields (>95%) and accurate overlay registration (<2 μm), as illustrated by the optical microscope image in Fig. 1d. The Ge cells use recessed grid metallization on the top surface to enable high-quality contact and bonding at the interface. A layer of As_2Se_3 (~300 nm thick) spin-cast on top of the Ge cell using a sol-gel process²⁷ provides a low-loss optical interface, as described in detail subsequently. The cross-sectional SEM images in Fig. 1e illustrate the aligned and recessed metal contacts as well as the As_2Se_3 interface layer. In such a stacked 3J/Ge structure, the top 3J cell captures light from 300 nm to 1,300 nm. Light from 1,300 nm to 1,700 nm passes through to the bottom Ge cell with minimal interface reflections, owing to the high index of the As_2Se_3 , nearly independent of the thickness of this layer, over a wide range. The 3J and Ge cells operate independently with separate sets of terminals, without electrical crosstalk, thereby eliminating constraints associated with current matching.

Figure 2 and Table 1 present the performance characteristics measured from a completed microcell MJ device (see Methods for details about measurements). The device includes lithographically defined sidewall insulation and lithographically processed metal contacts to the 3J and Ge cells (Fig. 2a). The thin-film geometry of the 3J is beneficial because it allows wafer-level deposition and photolithographic patterning of the interconnections. Current and voltage characteristics measured from the 3J and Ge cells at concentrations ranging from 1 sun (standard AM1.5D spectrum) to ~1,200 suns are shown in Fig. 2b–e. Under 1 sun illumination, the 3J cell and the Ge cell exhibit efficiencies of 32.2% and 0.722% respectively, thus corresponding to a summed efficiency of 32.9%. As the concentration increases, the efficiencies of both cells increase, ultimately reaching maximum values of 42.1% (3J) and 1.81% (Ge) at ~1,000 suns. The maximum total efficiency is 43.9% (Fig. 2f). Measurements of each cell separately with the

Table 1 | Performance of a microscale 3J/Ge cell.

| | | J_{sc} (mA cm^{-2}) | V_{oc} (V) | FF (%) | η (%) | Total η (%) |
|------------|---------|-------------------------------------|-----------------|-----------|---------------|---------------------|
| 1 sun | 3J cell | 14.5 | 2.64 | 84.3 | 32.2 | |
| | Ge cell | 6.99 | 0.181 | 57.1 | 0.722 | 32.9 |
| 1,000 suns | 3J cell | 14,500 | 3.47 | 83.7 | 42.1 | |
| | Ge cell | 6990 | 0.374 | 69.3 | 1.81 | 43.9 |

other cell in different configurations (open circuit, short circuit and maximum power) show little differences (Supplementary Fig. 24). These results suggest that there is negligible photon or electron coupling between the cells. For concentrations larger than 1,000 suns, the efficiencies decrease primarily as the result of a reduction in the fill factor, probably associated with resistive losses (Fig. 2d,e). Figure 2g quantitatively illustrates, in a manner consistent with experimental data, the external quantum efficiency (EQE) spectra for the integrated MJ device, showing absorption across the entire solar spectrum, from 300 nm to 1,700 nm, with minimal reflection losses. Modelling shows that the reflectance at wavelengths longer than 1,200 nm arises, almost entirely, from limitations of the tri-layer ARC, not from reflection at the interfaces with the As_2Se_3 (Supplementary Figs 19–23).

For reasons described previously, the interface materials in these systems are critically important. Chalcogenide glasses such as As_2Se_3 are commonly employed in infrared optics^{28,29} but have not been explored for the use reported here. The As_2Se_3 glass is attractive for present purposes because it offers the ability to form smooth, uniform coatings by simple solution processing, a high resistivity ($10^{10} \sim 10^{12} \Omega \text{ cm}$) and high electrical breakdown strength ($\sim 10^8 \text{ V m}^{-1}$), a refractive index (~ 2.7) that approaches that of the semiconductors in the cells (~ 3.4 for GaAs and ~ 4.3 for Ge at 1,300 nm) and a relatively high thermal conductivity ($\sim 1.0 \text{ W K}^{-1} \text{ m}^{-1}$); see Supplementary Figs 13–16 for details. The role is as an electrically insulating layer to allow independent

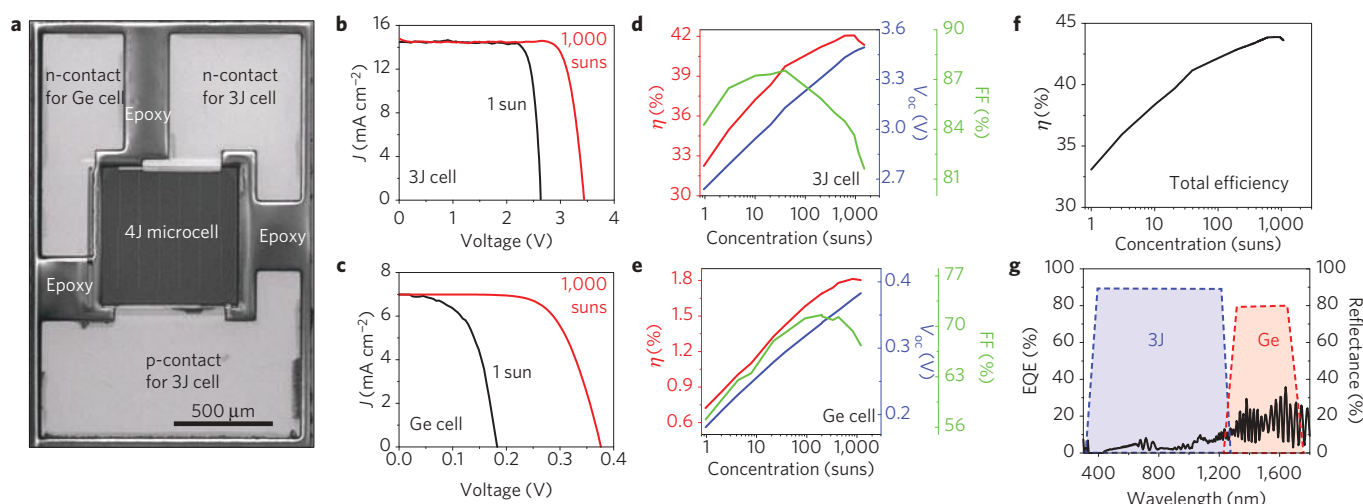


Figure 2 | Image of a packaged quadruple-junction microscale solar cell with separate terminal connections to the top 3J cell and the bottom Ge cell, and key performance parameters. **a**, SEM image (top view) of an encapsulated and metallized 3J/Ge cell. **b**, Current density (J)-voltage (V) curves for the top 3J cell under 1 sun and 1,000 suns illumination. **c**, J - V curves for the bottom Ge cell under the same conditions. In **b** and **c** the presented J_{sc} values are normalized to an irradiance of $1,000 \text{ W m}^{-2}$. **d**, Cell efficiency (η), open-circuit voltage (V_{oc}) and fill factor (FF) as a function of concentration for the top 3J cell. **e**, η , V_{oc} and FF as a function of concentration for the bottom Ge cell. In **d** and **e** the measured J_{sc} is assumed to be linearly proportional to the irradiance. **f**, Total, summed efficiency as a function of concentration. **g**, Schematic illustrations of the EQE and measurements of the reflectance spectra of a 3J/Ge cell.

operation of the top and bottom cells, with sufficiently high thermal conductivity and index of refraction to minimize barriers to heat transport and losses due to optical reflection, respectively.

Previously explored stacked MJ cells include thick organic adhesives^{16–19} and directly bonded interfaces^{13–15}. Comparisons of electrical, optical and thermal properties of these cases to those enabled by As_2Se_3 provide insights into the utility of this material. Figure 3a summarizes the three structures. The thicknesses of the As_2Se_3 (300 nm) and the organic adhesive (NOA, 10 μm) are chosen to offer sufficient breakdown voltages to support modules with many interconnected cells. Figure 3b presents current-voltage measurements performed by biasing the bottom p-contacts of the 3J cells relative to the top n-contacts of the Ge cells. The direct bond case exhibits a non-insulating interface ($\sim 0.1 \text{ A}$ at 1 V). Cells with As_2Se_3 and NOA show leakage currents ($\sim 10^{-7} \text{ A}$ for As_2Se_3 and $\sim 10^{-10} \text{ A}$ for NOA at up to 20 V) much lower than the photocurrents generated under concentration ($\sim 5 \times 10^{-2} \text{ A}$ at $\sim 1,000$ suns), ensuring that 3J and Ge cells can operate independently in an interconnected network. Measured EQE curves in Fig. 3c indicate that the bottom Ge cell with the As_2Se_3 interface exhibits responses similar to those in the direct bonded structure, both of which are significantly higher than that of the structure with NOA (index = 1.56). Integrating the EQE over a standard AM1.5D spectrum yields a short-circuit current density (J_{sc}) for the Ge cell with As_2Se_3 of 7.0 mA cm^{-2} , consistent with the measured J - V curves in Fig. 2c. The Ge cell with NOA exhibits a calculated J_{sc} of 5.3 mA cm^{-2} . This difference is consistent with both the measured optical reflectance spectra from the surfaces of the top 3J cells (Fig. 3d) and the simulated results (Fig. 3e). Thermal properties are also important, especially for operation at high optical concentration. Here, the interface material must not impede dissipation of heat away from the 3J cell. As_2Se_3 offers significant thermal advantages over the types of organic layers that have been explored in the past. These advantages follow from the combined effects of high breakdown strength, which allows the use of thin-layer geometries, and high thermal conductivity. Figure 3f,g show measured and simulated steady-state temperature distributions at the surfaces of MJ cells

during illumination with a laser beam (488 nm, 0.15 W) configured to generate a thermal power density in the cell area similar to that from irradiance at $\sim 1,000$ suns. The results suggest that the As_2Se_3 interface provides a thermal conductance ($3 \times 10^6 \text{ W K}^{-1}$) comparable to the direct bond interface, whereas the thermal conductance for the NOA interface is much lower (10^4 W K^{-1}). The maximum temperatures associated with the As_2Se_3 , direct bond and NOA structures are 39°C , 38°C and 68°C , respectively, consistent with numerical simulations (see Supplementary Figs 26,27 for details). The reduced temperatures improve performance and long-term reliability².

The four-terminal MJ microscale cells can be integrated with dual-stage imaging optics (Fig. 4a,b) based on a moulded primary lens and a secondary, miniature ball lens. Ray tracing results (Fig. 4c,d) show that such a system provides geometric concentration ratios greater than 1,000 and a uniform irradiance distribution on the cell surface³⁰. In tests under direct sunlight in North Carolina (Air Mass condition 1.8), the four-terminal photovoltaic module exhibits an efficiency of 33.4% for the 3J cell and 1.0% for the Ge cell, reaching a total efficiency of 34.4% (Fig. 4e). The total module efficiency adjusted to standard test conditions (at cell temperature 25°C) is 36.5% (see Supplementary Figs 28 and 29 for details). Matching networks enable two-terminal operation, for practical applications¹⁷. Figure 4f,g present two circuit designs, one that uses a voltage-matched array with ten MJ cells and another that exploits a current-matched array with three MJ cells. Experiments using related cells demonstrate the effectiveness of these network architectures and validate the methods for calculation (see Supplementary Fig. 35). Experimentally measured performance variation data for separate 3J and Ge cells allow statistical prediction of output currents, voltages and powers associated with the proposed circuit networks (see Supplementary Figs 30–34 for details). The results show that efficiencies of $35.9 \pm 0.2\%$ and $36.2 \pm 0.3\%$ are possible with current and voltage matching, respectively.

The results presented here clearly demonstrate that printing-based assembly of epitaxially released, MJ thin films with optimized interface materials provides microscale solar cells configured for use with miniaturized concentration optics and matching networks

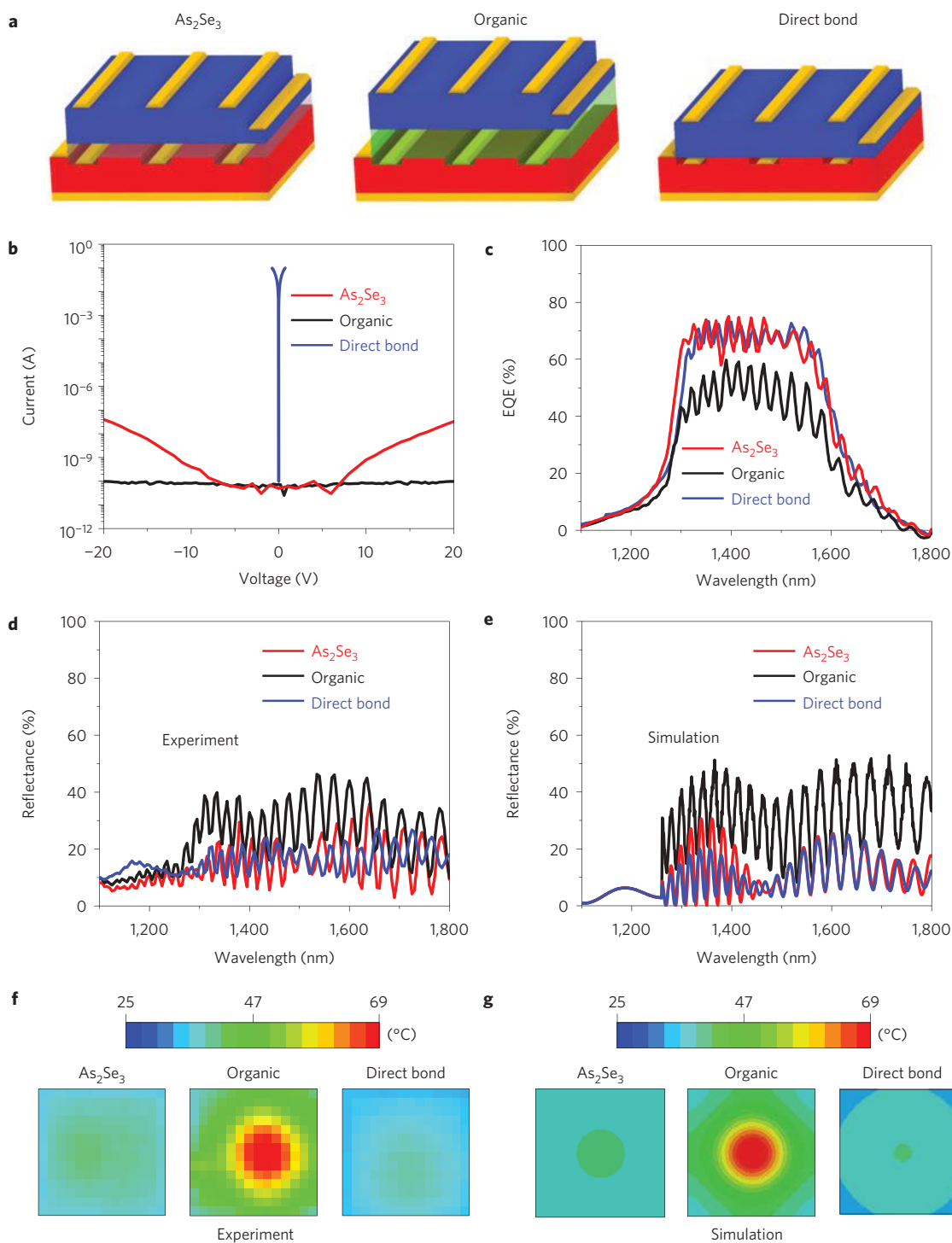


Figure 3 | Schematic illustrations of microscale quadruple-junction structures assembled by printing with different interfaces, and comparisons of their electrical, optical and thermal properties. **a**, Schematic illustrations of stacked 3J/Ge cells with different interfaces (300 nm As_2Se_3 , 10 μm organic adhesive (NOA), and direct bond). **b**, Leakage currents measured between the bottom contact of the top 3J cell and the top contact of the bottom Ge cell, as a function of applied voltage. **c**, EQE spectra measured from the Ge cells. **d,e**, Measured and simulated infrared reflectance spectra, respectively. **f,g**, Measured and simulated temperature distributions, respectively, associated with irradiation of the structures with a laser beam (centre wavelength 488 nm, 0.15 W). Map size: 650 μm \times 650 μm .

to yield ultrahigh-efficiency module-level photovoltaics. These schemes can also apply immediately to more advanced systems, including those that involve increased numbers of junctions and/or stacking operations. Some possibilities are five- or even six-junction cells, for which practical efficiencies might reach more than 45%. Straightforward improvements in the concentration optics

(for example, addition of ARC layers on the primary lens would achieve an additional $\sim 1\%$ efficiency boost) and enhancements to the ARC on the cell surface can lead to further increases in module performance. Other types of chalcogenide glasses with refractive indices ($n > 3.0$) higher than As_2Se_3 can also be considered²⁹. Collectively, these and other readily achievable enhancements

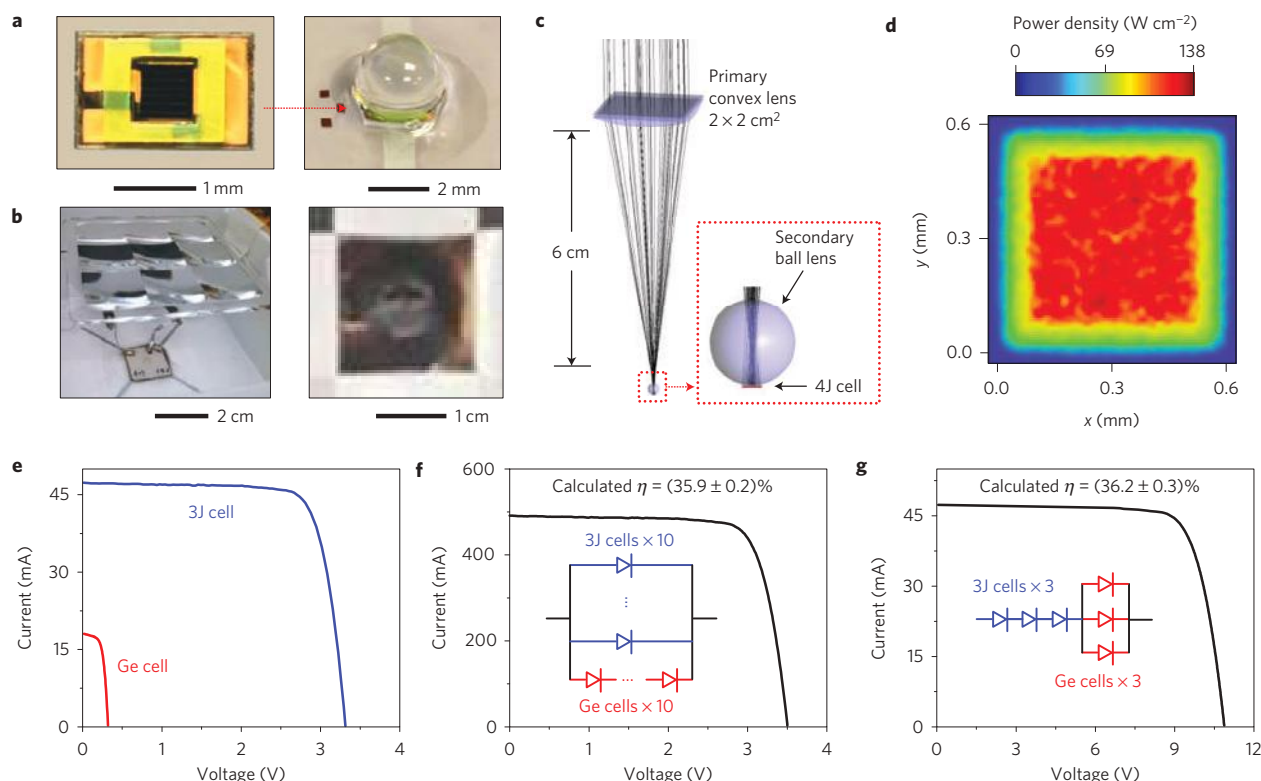


Figure 4 | Images and performance of completed quadruple-junction microscale solar cells with concentration optics. **a**, Optical images of a diced stacked cell (left) and a cell with a secondary ball lens (right). **b**, Side view (left) and top view (right) of a cell in a module with a secondary ball lens and a primary lens. **c**, Ray tracing analysis of a fully integrated module. **d**, Calculated irradiance distribution under the incidence of the AM1.5D spectrum (power $1,000 \text{ W m}^{-2}$). **e**, Current (I)-voltage (V) curves of the module measured under direct exposure to sunlight. Measurements were taken at 13:09 on 14 November 2013 in Durham, North Carolina, USA. Air Mass condition is 1.8. **f**, Theoretically predicted I - V curve of a voltage-matched array with ten interconnected cells. **g**, Theoretically predicted I - V curve of a current-matched array with three interconnected cells. Insets show circuit diagrams.

suggest promising paths to photovoltaic systems that use the entire solar spectrum and approach the thermodynamic limits in efficiency.

Methods

Device fabrication. The 3J (InGaP/GaAs/InGaAsNSb) cell is epitaxially grown on a lattice-matched GaAs substrate⁷, with a total thickness of $\sim 10 \mu\text{m}$ that includes the active materials and a GaAs current-spreading layer several micrometres thick beneath them as well as a sacrificial layer of AlInP (ref. 24). An anti-reflective coating (90 nm SiO_2 /45 nm Si_3N_4 /30 nm TiO_2) deposited on the 3J cell minimizes reflection losses. The diffused-junction Ge cell is based on a 230 μm p-Ge wafer with a lattice-matched n-GaAs epitaxial film (1.5 μm) as a transparent contact layer. Metal layers (Ge/Ni/Au) serve as contacts in recessed geometries. The cell active area ($600 \times 600 \mu\text{m}^2$), which is defined by the photomasks used in lithographic process, is measured directly after fabrication. To minimize the effects of shadowing, the metal contact lines in both the 3J and the Ge cells adopt the same layout and are aligned to one another at the printing step. A solution of As_2Se_3 (powder from Alfa Aesar) dissolved in ethylenediamine (concentration 0.2 g ml^{-1}) is spin cast on the Ge cells, to form, on curing at 150°C for 10 h in an inert atmosphere, a 300 nm thick As_2Se_3 glass film²⁷. An ultrathin (10 nm) adhesive layer (InterVia 8023-10) spin coated on the As_2Se_3 improves the printing yields. Etching the AlInP layer in hydrochloric acid²⁴ releases the 3J cells to enable their printing onto the As_2Se_3 -coated Ge cells with the ultrathin adhesive. This process uses a poly(dimethylsiloxane) stamp mounted in an automated set of equipment for aligning and printing²⁶ 100 cells, or more, in a single step. The same printing process can produce structures with NOA (NOA61, by Norland Products, spin coated on bare Ge cells) and direct bond (no adhesive, printing followed by heating at $\sim 115^\circ\text{C}$ for 10 min) interfaces. The adhesion strength between the 3J cells and Ge cells for the case of As_2Se_3 is $>200 \text{ kPa}$. The printed 3J/Ge MJ cells are encapsulated in an epoxy layer (InterVia 8023-10, thickness 10 μm) and metallized to form contact pads. Thermal cycling tests (rapid heating at 110°C for 1 min and cooling at 20°C for 1 min, 10 cycles) reveal no changes in the mechanical, optical, electrical or photovoltaic characteristics of the devices (Supplementary Fig. 10).

Device measurements. A four-probe set-up allows evaluation of current-voltage responses. A solar simulator (Oriel 91192) with an AM1.5D filter yields 1 sun illumination. EQE and reflectance spectra are measured using a spectroradiometer system. Measurements of short-circuit current density (J_{sc}) under blanket, 1 sun illumination are consistent with those that involve integration of EQE measurements using an illuminating beam with a known area, smaller than that of the cell. Such EQE measurements provide a method for calculating concentrator cell efficiency that does not require precise measurement of the active cell area. Coupling light from a Xenon arc lamp through an optical fibre and a set of lenses yields concentrated illumination. The irradiance power is assumed to be linearly proportional to the measured short-circuit current (I_{sc}). The concentration ratios are computed from the measured currents, using an active cell area of $600 \mu\text{m} \times 600 \mu\text{m}$. The actual cell area involves slight uncertainties due to processing effects, such as different etching rates of the various sub-cells. These effects appear as uncertainties in the computed concentration ratios. The measurements taken under direct exposure to sunlight for the integrated solar module were taken at 13:09 on 14 November 2013 in Durham, North Carolina, USA. Air Mass condition is 1.8.

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Author contributions

X.S., C.A.B., S.B., M.M., H.Y., L.S., A.R.B., C.J.C. and J.A.R. designed and fabricated the devices. X.S., C.A.B., J.W.W., B.F., L.S., A.R.B. and C.J.C. measured the data. X.S., B.F., M.M. and S.W. performed simulations. R.G.N., S.B. and J.A.R. provided guidance. X.S., C.A.B., M.M. and J.A.R. wrote the paper.

Additional information

Supplementary information is available in the [online version of the paper](#). Reprints and permissions information is available online at www.nature.com/reprints.

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Competing financial interests

The authors declare that C.A.B., S.B., J.W.W., B.F., M.M., H.Y., S.B. and J.A.R. (affiliated with Semprius and Solar Junction) are involved in commercializing various technologies related to those described here. J.A.R. is a co-founder of Semprius.