

A Theory of Higher-Order Subtyping with Type Intervals

Sandro Stucki Paolo G. Giarrusso



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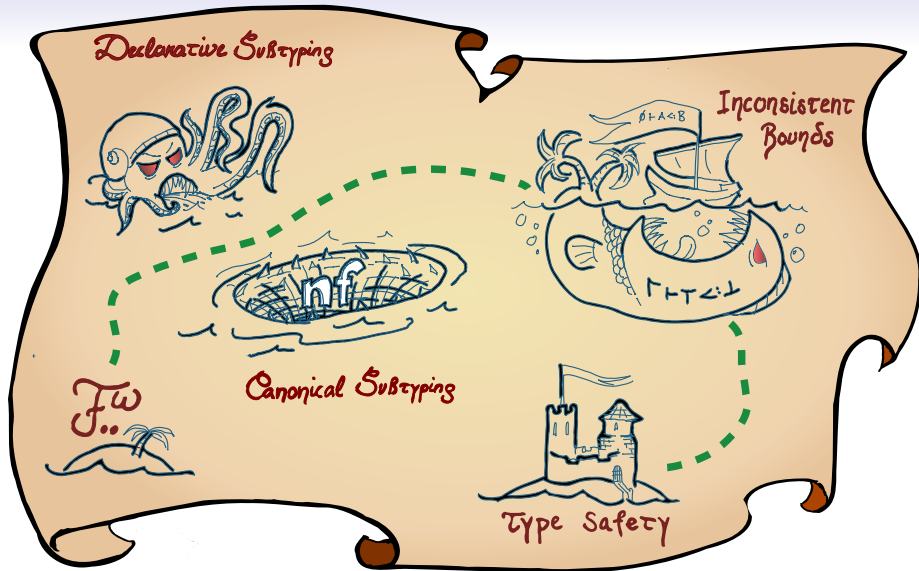
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DOT and Dotty

DOT

WadlerFest, April 2016

The Essence of Dependent Object Types

Nada Amin¹, Samuel Grütter¹, Martin Odersky¹ (✉), Tiark Rumpf²,
and Sandro Stucki¹

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Abstract. Focusing on path-dependent types, the paper develops foundations for Scala from first principles. Starting from a simple calculus D_{\leq} of dependent functions, it adds records, intersections and recursion to arrive at DOT, a calculus for dependent object types. The paper shows an encoding of System F with subtyping in D_{\leq} and demonstrates the expressiveness of DOT by modeling a range of Scala constructs in it.

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- a minimal core calculus for Scala

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- a minimal core calculus for Scala
- proven type-safe (in Coq)

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Implementing Higher-Kinded Types in Dotty

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Abstract

dotty is a new, experimental Scala compiler based on DOT, the calculus of Dependent Object Types. Higher-kinded types are a natural extension of first-order lambda calculus, and have been a core construct of Haskell and Scala. As long as such types are just partial applications of generic classes, they can be given a meaning in DOT relatively straightforwardly. But general lambdas on the type level require extensions of the DOT calculus to be expressible. This paper is an experience report where we describe and discuss four implementation strategies that we have tried out in the last three years. Each strategy was fully implemented in the *dotty* compiler. We discuss the usability and expressive power of

proved to be challenging, so much so that we evaluated four different strategies before settling on the current direct representation encoding. The strategies are summarized as follows:

- A *simple encoding* in the DOT-inspired [9] core type structures that can express partial applications and not much more
- A *direct representation* that adds support for full type lambdas and higher-kinded applications, without reusing much of the existing concepts of the calculus and the compiler.

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HK Types – An Example

```
type Ordering[A] = (A, A) => Boolean
```

```
abstract class SortedView[A, B >: A](xs: List[A], ord: Ordering[B]) {  
  def foldLeft[C](z: C, op: (C, A) => C): C  
  def concat[C >: A <: B](ys: List[C]): SortedView[C, B]  
  // declarations of further operations such as 'map', 'flatMap', etc.  
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- Type parameters of **methods** can have **bounds** (as usual).
- Type parameters of **operators** can also have **bounds**!
- Type definitions can be used to introduce **aliases**.

The Anatomy of a Type Interval

$X >: A <: B$

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$$X \text{ } >: A \text{ } <: B$$

Intuition: X has bounds $A <: X <: B$.

The Anatomy of a Type Interval

$$X \text{ } >: \text{ } A \text{ } <: \text{ } B$$

Intuition: X is an element of the set of types $\{ A <: \dots <: B \}$

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Special cases

Upper bound

$$X <: B$$
$$X : \perp .. B$$

- $\perp = \text{Nothing} = \text{minimal/bottom type};$

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Lower bound

$$X >: A$$
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- $\top = \text{Any} = \text{maximal/top type};$

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Abstract

$$X$$
$$X : \perp .. \top$$

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Alias

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- $A .. A = \text{singleton containing only } A$.

The Anatomy of a Type Interval (cont.)

$$F[X \succ: A \prec: B] \succ: G \prec: H$$

We can also represent **bounded operators**

The Anatomy of a Type Interval (cont.)

$$F[X \succ: A \prec: B] \succ: G \prec: H$$
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Examples

$$\text{Alias} \qquad F1[X] = \text{List}[X] \qquad F_1 : (X:*) \rightarrow \text{List } X.. \text{List } X$$

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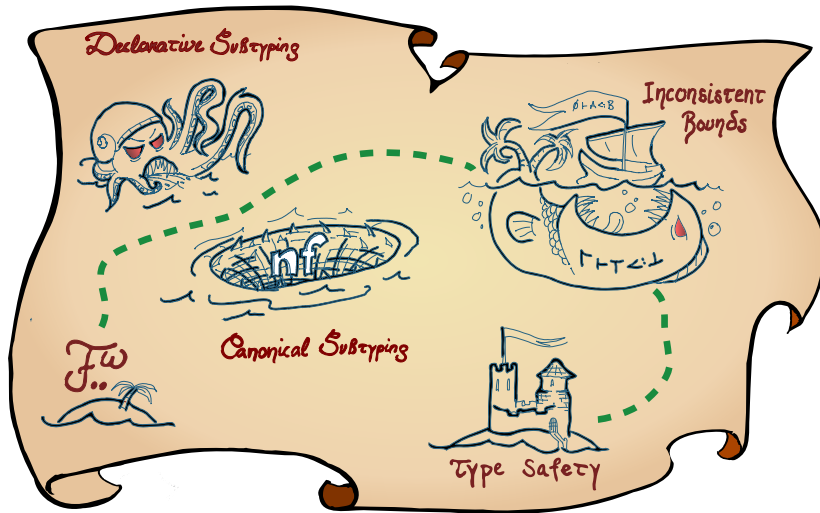
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NB. The operators $F_1 - F_3$ all have **dependent kinds**.

Proving Type Safety of F^ω



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Challenge 1: Getting Rid of $\beta\eta$ -Conversions



Problem: $\beta\eta$ -conversions get in the way of inversion.

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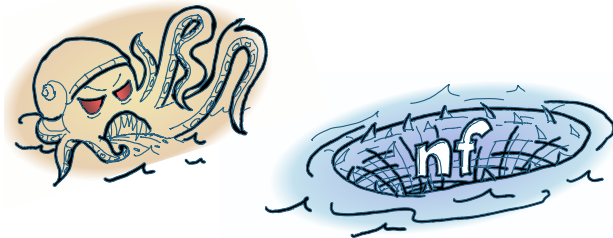


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Solution: normalize types and kinds – no redexes, no conversions!

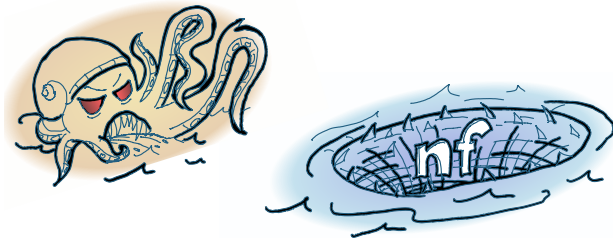
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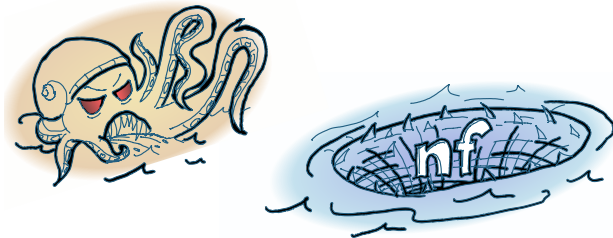
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New solution: use hereditary substitution

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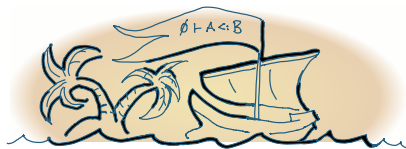
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$$\frac{\Gamma \vdash X : (Y:J) \rightarrow K \quad \Gamma \vdash V : J}{\Gamma \vdash XU : K[V/X^{|J|}]}$$

New solution: use **hereditary substitution** (introducing further problems...)

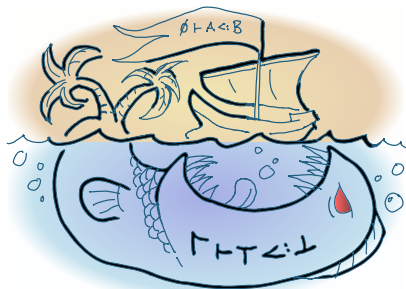
Challenge 3: Inconsistent Bounds

Problem: Type variables can introduce *arbitrary* subtyping relationships.



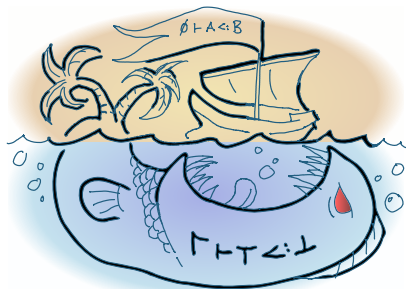
Challenge 3: Inconsistent Bounds

Problem: Type variables can introduce **inconsistent** subtyping relationships.



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$$X: \top \dots \perp \vdash$$
$$X$$
$$: *$$


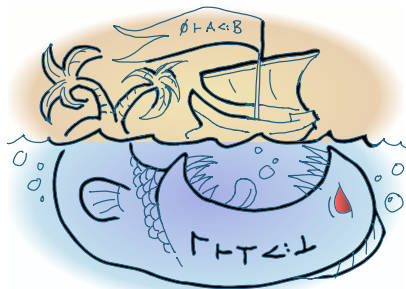
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$X : \textcolor{red}{T} \dots \perp \vdash$

$\top <: X$

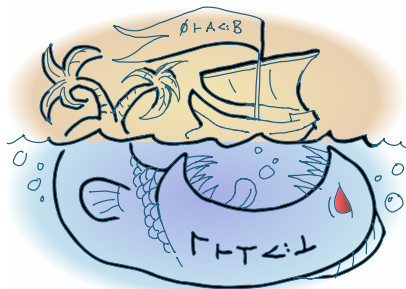
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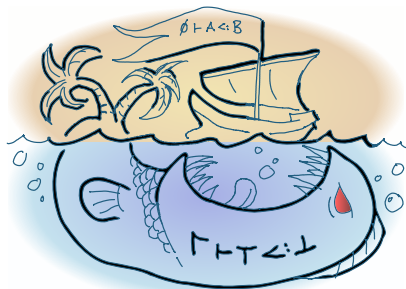
$$X: \top \dots \perp \vdash A \rightarrow B <: \top <: X \quad : *$$



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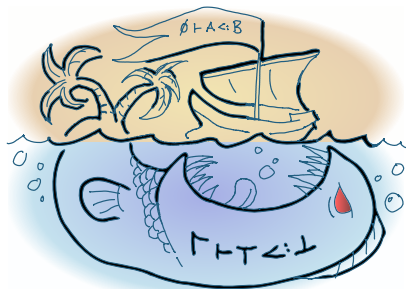
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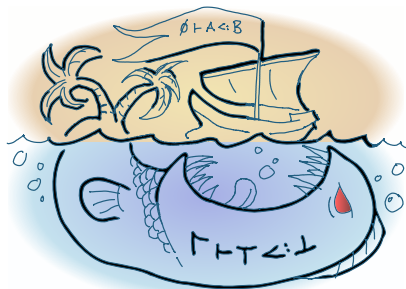
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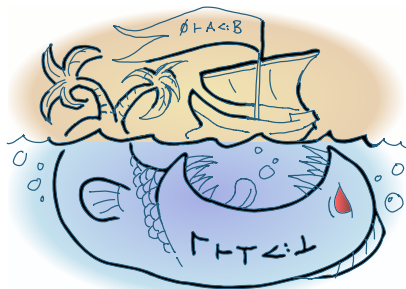
NB. This causes all sorts of problems:

- subject reduction (preservation) fails,
- subtyping becomes undecidable,
- ...

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NB. This causes all sorts of problems:

- subject reduction (preservation) fails,
- subtyping becomes undecidable,
- ...

Solution: invert $<:$ only for closed types
– no variables, no inconsistencies!

Inversion – Step by Step

declarative

$$\emptyset \vdash_d A \rightarrow B <: A' \rightarrow B'$$

Inversion – Step by Step

declarative

canonical

$$\emptyset \vdash_d A \rightarrow B <: A' \rightarrow B' \xrightarrow{\text{nf}} \emptyset \vdash_c U \rightarrow V <: U' \rightarrow V'$$

- $U = \text{nf}(A), V = \text{nf}(B), \dots$

Inversion – Step by Step

declarative

canonical

transitivity-free

$$\emptyset \vdash_d A \rightarrow B <: A' \rightarrow B' \xrightarrow{\text{nf}} \emptyset \vdash_c U \rightarrow V <: U' \rightarrow V' \xrightarrow{\cong} \vdash_{\text{tf}} U \rightarrow V <: U' \rightarrow V'$$

- $U = \text{nf}(A), V = \text{nf}(B), \dots$

Inversion – Step by Step

declarative

canonical

transitivity-free

$$\begin{array}{c}
 \emptyset \vdash_d A \rightarrow B <: A' \rightarrow B' \xrightarrow{\text{nf}} \emptyset \vdash_c U \rightarrow V <: U' \rightarrow V' \xrightarrow{\simeq} \vdash_{\text{tf}} U \rightarrow V <: U' \rightarrow V' \\
 \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \downarrow \text{invert} \\
 \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \vdash_{\text{tf}} U' <: U \\
 \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \vdash_{\text{tf}} V <: V'
 \end{array}$$

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Inversion – Step by Step

declarative

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$$\begin{array}{ccccc}
 \emptyset \vdash_d A \rightarrow B <: A' \rightarrow B' & \xrightarrow{\text{nf}} & \emptyset \vdash_c U \rightarrow V <: U' \rightarrow V' & \xrightarrow{\simeq} & \vdash_{\text{tf}} U \rightarrow V <: U' \rightarrow V' \\
 & & & & \downarrow \text{invert} \\
 & & \emptyset \vdash_c U' <: U & \xleftarrow{\simeq} & \vdash_{\text{tf}} U' <: U \\
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Inversion – Step by Step

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$$\begin{array}{ccccc}
 \emptyset \vdash_d A \rightarrow B <: A' \rightarrow B' & \xrightarrow{\text{nf}} & \emptyset \vdash_c U \rightarrow V <: U' \rightarrow V' & \xrightarrow{\simeq} & \vdash_{\text{tf}} U \rightarrow V <: U' \rightarrow V' \\
 \vdots & & & & \downarrow \text{invert} \\
 \emptyset \vdash_d A' = U' <: U = A & & \emptyset \vdash_c U' <: U & & \vdash_{\text{tf}} U' <: U \\
 \emptyset \vdash_d B = V <: V' = B' & \xleftarrow{\text{nf sound}} & \emptyset \vdash_c V <: V' & \xleftarrow{\simeq} & \vdash_{\text{tf}} V <: V'
 \end{array}$$

- $U = \text{nf}(A)$, $V = \text{nf}(B)$, ...
- **nf sound**: $\Gamma \vdash A = \text{nf}_\Gamma(A)$ for all Γ and A .

There's More in the Paper...

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... and in the artifact (<https://zenodo.org/record/5060213>).

- Mechanization of the full metatheory!

Thank you!

Coauthor

Paolo Giarrusso



Collaborators

- Guillaume Martres
- Nada Amin
- Martin Odersky
- Andreas Abel
- Jesper Cockx



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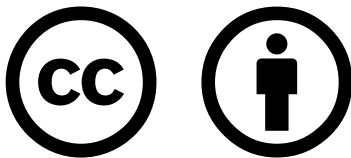
Scala

Check out the Agda mechanization!



<https://github.com/sstucki/f-omega-int-agda>

<https://zenodo.org/record/5060213>



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