

## §4.1 Abstract Vector Spaces

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Many of the properties of vectors in  $\mathbb{R}^n$  depend not so much on what these vectors are, but what you can do with them.

\* like chess pieces: it doesn't matter how they look, but how they interact \*

For example, we can consider a notion like linear independence:

$$c_1\vec{v}_1 + \dots + c_n\vec{v}_n = \vec{0} \text{ only if } c_1 = \dots = c_n = 0$$

for any collection of "things"  $\vec{v}_1, \dots, \vec{v}_n$ —regardless of what they look like—so long as we're told how to (i) multiply them by real numbers, (ii) add them, and (iii) tell which one is  $\vec{0}$ .

We also need assurance that these operations are sane, e.g.,  $0\vec{v} = \vec{0}$ , and  $\vec{0} + \vec{0} = \vec{0}$ . So you might wonder whether there is a short list of such properties that allows

us to do all the stuff we have done with vectors in  $\mathbb{R}^n$ . There is!

Note: the pattern of identifying the critical set of behaviors and properties of a system, and deliberately forgetting what the underlying objects look like, is extremely common in mathematics. It helps us reuse ideas we develop in one context by applying them to another.

Def<sup>n</sup> A **vector space** is a set  $V$  together with two operations which tell us how to add elements of  $V$  and how to multiply elements of  $V$  by a real number that satisfies, for all  $\vec{u}, \vec{v}, \vec{w} \in V$  and  $c, d \in \mathbb{R}$ :

$$\textcircled{1} \quad \vec{u} + \vec{v} \in V$$

$$\textcircled{6} \quad c\vec{u} \in V$$

$$\textcircled{2} \quad \vec{u} + \vec{v} = \vec{v} + \vec{u}$$

$$\textcircled{7} \quad c(\vec{u} + \vec{v}) = c\vec{u} + c\vec{v}$$

$$\textcircled{3} \quad \vec{u} + (\vec{v} + \vec{w}) = (\vec{u} + \vec{v}) + \vec{w}$$

$$\textcircled{8} \quad (c+d)\vec{u} = c\vec{u} + d\vec{u}$$

$$\textcircled{4} \quad \text{there is } \vec{0} \in V \text{ with } \vec{0} + \vec{u} = \vec{u}$$

$$\textcircled{9} \quad c(d\vec{u}) = (cd)\vec{u}$$

$$\textcircled{5} \quad \text{for all } \vec{u}, \text{ there is } \vec{v} \text{ with } \vec{u} + \vec{v} = \vec{0} \quad \text{we call it } "-\vec{u}"$$

$$\textcircled{10} \quad 1\vec{u} = \vec{u}.$$

Note that  $0\vec{u} = \vec{0}$  wasn't in this list, and we wanted that! It's because this condition is a consequence of the other ones:  $0\vec{u} = (\underbrace{0+0}_{\text{fact about real numbers}})\vec{u} = \underbrace{0\vec{u} + 0\vec{u}}_{\textcircled{8}}.$  Now

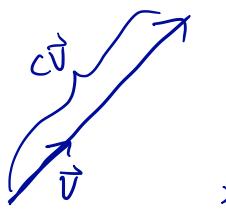
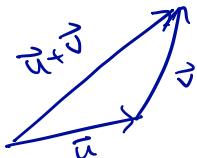
let  $\vec{v}$  be the vector that adds to  $0\vec{u}$  to give  $\vec{0}$  (ensured by ④), & add  $\vec{v}$  to both sides to give  $\vec{0} = 0\vec{u}$ , as desired. Some other consequences:  $c\vec{0} = \vec{0}$  and  $-\vec{u} = (\textcircled{-1})\vec{u}$   
You can also show that the additive inverse of each vector is unique.

### Examples of vector spaces

Example 1  $\mathbb{R}^n$ , with usual addition & scalar multiplication. We know all about that.

Example 2 Let  $V$  be the set of all arrows in 3D space, with two considered equivalent if they have the same length and direction.

Define operations via:



as usual. Then  $V$  is a vector space:  $\vec{0}$  is the zero-length arrow,  $-\vec{u}$  is the reversal of  $\vec{u}$ , commutativity and associativity follow from geometry.

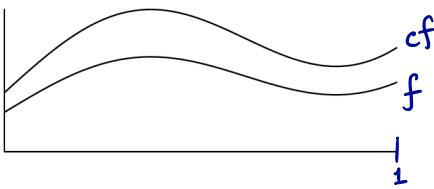
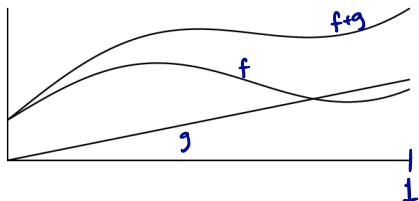
This space is not-so-secretly "the same" as  $\mathbb{R}^3$ . Its elements look different (arrows vs. triples of real numbers), but if we identify  $(x, y, z) \in \mathbb{R}^3$  with the arrow from  $(0, 0, 0)$  to  $(x, y, z)$ , then the vector space operations are the same in both pictures. Such spaces are said to be "isomorphic" — more on that later.

Example  $P_n$  = polynomials of degree at most  $n$ ; usual + and ·.

Example " $\mathbb{R}^\infty$ " =  $\{(x_1, x_2, \dots) : x_i \in \mathbb{R} \text{ for all } i\}$

This is like  $\mathbb{R}^n$ , but we have infinitely many slots to put real numbers in, instead of just  $n$ . We define addition & multiplication the same as for  $\mathbb{R}^n$ , & the vector space axioms work for the same reason.

Example The space  $C([0,1])$  of continuous, real-valued functions on  $[0,1]$ , with usual addition of functions and multiplication of functions by a scalar:



The vector space properties are easy to check here too: continuous functions add to give continuous functions,  $f+(g+h) = (f+g)+h$

works because it works for real numbers, etc.

Just as we had subspaces in  $\mathbb{R}^n$ , we can talk about subspaces in  $V$ , same idea:

Def<sup>n</sup> A **subspace**  $H$  of a vector space  $V$  is a subset of  $V$  so that

(i) the zero vector of  $V$  is in  $H$

(ii)  $\vec{u} + \vec{v} \in H$  whenever  $\vec{u}, \vec{v} \in H$

(iii)  $c\vec{u} \in H$  whenever  $c \in \mathbb{R}$ ,  $\vec{u} \in H$ .

$\Leftrightarrow$  "nonempty & closed under vector operations"

These properties ensure that  $H$ , with  $V$ 's operations, is itself a vector space.

Example  $\mathbb{R}^2$  is not a subspace of  $\mathbb{R}^3$ ,

because it isn't even a subset of  $\mathbb{R}^3$ .

However,  $H = \left\{ \begin{pmatrix} x \\ y \end{pmatrix} : x, y \in \mathbb{R} \right\}$  is a subset of  $\mathbb{R}^3$ , and it's a subspace of  $\mathbb{R}^3$  since it's closed under the vector operations.

$H$  is basically the same as  $\mathbb{R}^2$ , but its elements look different

Example  $H = \left\{ f \in C([0,1]) : f\left(\frac{1}{2}\right) = 0 \right\}$ ,  
is a subspace of  $C([0,1])$ . ~~It's not~~

Why?  $f, g \in H \Rightarrow (f+g)\left(\frac{1}{2}\right) = 0 + 0 = 0, c f\left(\frac{1}{2}\right) = c \cdot 0 = 0$ .

Example If  $V$  is any vector space and

$\{\vec{v}_1, \dots, \vec{v}_n\}$  are any vectors in  $V$ , then

elements of a vector space are called  
vectors, no matter what they look  
like

$H = \text{Span}(\{\vec{v}_1, \dots, \vec{v}_n\}) = \left\{ c_1 \vec{v}_1 + \dots + c_n \vec{v}_n : c_i \in \mathbb{R} \text{ for all } i \right\}$

is a subspace of  $V$ .

Why? if  $\vec{u} = c_1 \vec{v}_1 + \dots + c_n \vec{v}_n, \vec{v} = d_1 \vec{v}_1 + \dots + d_n \vec{v}_n,$

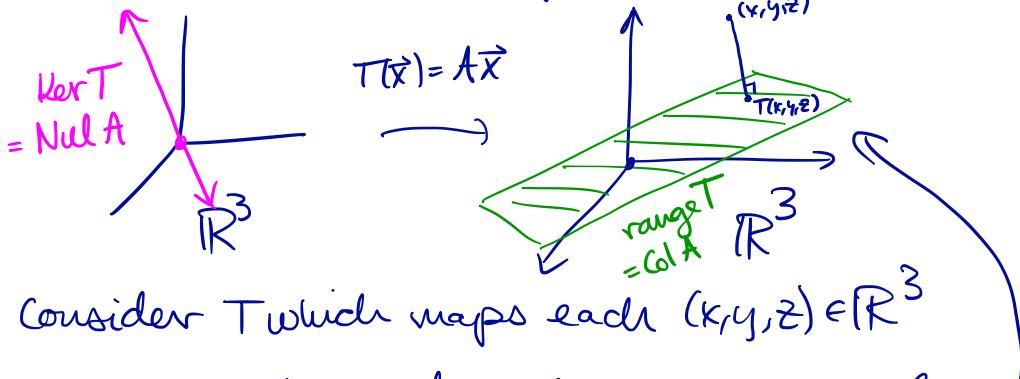
then  $\vec{u} + \vec{v} = (c_1 + d_1) \vec{v}_1 + \dots + (c_n + d_n) \vec{v}_n,$

& same idea for  $c\vec{u} \in H$ .

Vocab: If  $H = \text{Span}(\{\vec{v}_1, \dots, \vec{v}_n\})$ , we say  $\{\vec{v}_1, \dots, \vec{v}_n\}$  "spans"  $H$ . Think of it like the word "reach": we can say that a baby's reach is her tray, or we can say that the baby reaches the whole tray.

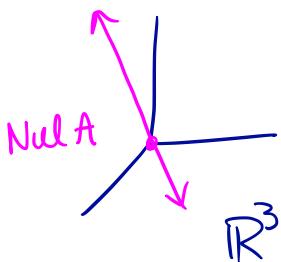
$H$  and  $\mathbb{R}^2$  are isomorphic,  
again, more details later

## Null space and column space: a Review

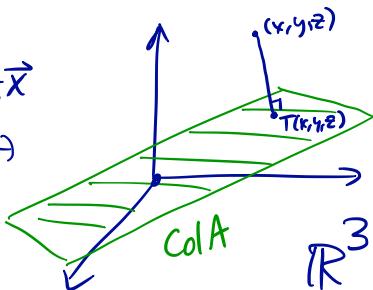


Consider  $T$  which maps each  $(x, y, z) \in \mathbb{R}^3$  to the nearest point on that green plane through  $(0, 0, 0)$ . Then the range of  $T$ , a.k.a., the column space of  $T$ 's matrix, is the green plane. The kernel of  $T$ , a.k.a. the null space of  $T$ 's matrix, is the set of all vectors that map to  $\vec{0}$ . These points form a line shown in pink above.

The following table summarizes some distinctions between null space & column space.



$$T(\vec{x}) = A\vec{x}$$



Nul A

$$\text{Nul } A = \text{Ker } T$$

$$\text{Nul } A \subset \mathbb{R}^n$$

vectors in Nul A  
are harder to find:  
solve  $A\vec{x} = \vec{0}$

checking  $\vec{x} \in \text{Nul } A$   
is easy:  $A\vec{x} \stackrel{?}{=} \vec{0}$

$\text{Nul } A = \{\vec{0}\}$  iff A is  
injective iff A has a  
pivot in every column

Col A

$$\text{Col } A = \text{range } T$$

$$\text{Col } A \subset \mathbb{R}^m$$

vectors in Col A  
are easy to find:  
columns of A

checking  $\vec{y} \in \text{Col } A$   
is harder: determine  
consistency of  $A\vec{x} = \vec{y}$

$\text{Col } A = \mathbb{R}^m$  iff T  
is surjective iff A  
has a pivot in every row