

BIL 133 HW4

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1 FIRST RECURRENCE AND ITS SLIGHT GENERALIZATION

1.1 FIRST RECURRENCE

- $T(1) = \alpha$
- $T(n) = 2.T(\frac{n}{2}) + \beta.n$ for $n > 1$, and n is an exact power of 2.

I will solve recurrence above by using the repertoire method.

We can rewrite $T(n)$ as $T(n) = A(n).\alpha + B(n).\beta$. A and B are functions that solely depend on n.

Now, we need to find what A(n) and B(n) are equal to.

Think the case that $\alpha = 0$ and $\beta = 1$. Then, $T(n) = B(n)$ (1)

$$\begin{aligned} T(1) &= 0 \\ T(n) &= 2.T(\frac{n}{2}) + n \end{aligned}$$

Lets look at the small cases.

$$T(2) = 2$$

$$T(4) = 8$$

$$T(8) = 24$$

It seems like solution for recurrence is $n.logn$. We need to prove it to be sure.

Claim : Solution for recurrence above is $n.logn$.

Proof : I will prove the claim by mathematical induction on n.

Base Case : As base case I will consider case n=1. We know T(1) is equal to 0. It needs to be 0 from our formula too.

$1.\log 1 = 1.0 = 0 = 0$ Base case holds.

Inductive Assumption : We assume that our claim is true for some integer $k \geq 1$, and k is an exact power of 2.

All we need to show is that claim is true for $2k$. So, $T(2k) = 2k.\log 2k$ must hold.

$T(2k) = 2T(k) + k$ We know that $T(k) = k.\log k$ from our inductive assumption. From given recursion,

$$\begin{aligned} T(2k) &= 2k.\log k + 2k \\ T(2k) &= 2k.(\log k + 1) \\ T(2k) &= 2k.(\log k + \log 2) \\ T(2k) &= 2k.\log 2k \end{aligned}$$

Our claim is true for all integer $n \geq 1$, and n is an exact power of 2. So, $B(n) = n.\log n$ from equation (1) and above equation.

Think the case that $\alpha = 1$ and $\beta = 0$. Then, $T(n) = A(n)$

$$\begin{aligned} T(1) &= 1 \\ T(n) &= 2.T\left(\frac{n}{2}\right) \end{aligned}$$

We know this recurrence. We can rewrite $T(n)$ as $T(n) = 2^{n-1}$.

Since $T(n) = A(n)$ for this case, $A(n) = 2^{n-1}$ from the equation above. Now, we have two independent equations.

$$A(n) = 2^{n-1} \tag{1}$$

$$B(n) = n.\log n \tag{2}$$

From these equations, $T(n)$ will be:

$$T(n) = 2^{n-1}.\alpha + n.\log n.\beta, \text{ and } n \text{ is an exact power of 2.}$$

1.2 SLIGHT GENERALIZATION

$T(n) = 2.T(\frac{n}{2}) + \beta.n + \gamma$ We can repeat previous steps to find $A(n)$ and $B(n)$ again. Only difference is we should set γ to 0 while doing them. Thus,

$$\begin{aligned} A(n) &= 2^{n-1} \\ B(n) &= n.\log n \end{aligned}$$

We need another independent equation. Think the case that $T(n) = 1$. Then, $\alpha = 1, \beta = 0, \gamma = -1$. So,

$$\begin{aligned} 1 &= A(n) - C(n) \\ \text{Substitute } A(n) \text{ from equality above,} \\ C(n) &= 2^{n-1} - 1 \end{aligned}$$

Thus,

$$T(n) = 2^{n-1}.\alpha + n.\log n.\beta + (2^{n-1} - 1)\gamma, \text{ and } n \text{ is an exact power of 2.}$$

2 SECOND RECURRENCE AND ITS GENERALIZATION

2.1 SECOND RECURRENCE

- $T(1) = \alpha$
- $T(n) = 3.T(\frac{n}{3}) + \beta.n$ for $n > 1$, and n is an exact power of 3.

I will solve recurrence above by using the repertoire method.

We can rewrite $T(n)$ as $T(n) = A(n).\alpha + B(n).\beta$. A and B are functions that solely depend on n .

Now, we need to find what $A(n)$ and $B(n)$ are equal to.

Think the case that $\alpha = 0$ and $\beta = 1$. Then, $T(n) = B(n)$ (1)

$$\begin{aligned} T(1) &= 0 \\ T(n) &= 3.T(\frac{n}{3}) + n \end{aligned}$$

Lets look at the small cases.

$$T(3) = 3$$

$$T(9) = 18$$

$$T(27) = 81$$

It seems like solution for recurrence is $n.\log n$. We need to prove it to be sure.

Claim : Solution for recurrence above is $n.\log n$.

Proof : I will prove the claim by mathematical induction on n .

Base Case : As base case I will consider case $n=1$. We know $T(1)$ is equal to 0. It needs to be 0 from our formula too.

$$1.\log 1 = 1.0 = 0 = 0 \text{ Base case holds.}$$

Inductive Assumption : We assume that our claim is true for some integer $k \geq 1$, and k is an exact power of 3.

All we need to show is that claim is true for $3k$. So, $T(3k) = 3k.\log 3k$ must hold.

$T(3k) = 3T(k) + k$ We know that $T(k) = k.\log k$ from our inductive assumption. From given recursion,

$$\begin{aligned} T(3k) &= 3k.\log k + 3k \\ T(3k) &= 3k.(\log k + 1) \\ T(3k) &= 3k.(\log k + \log 3) \\ T(3k) &= 3k.\log 3k \end{aligned}$$

Our claim is true for all integer $n \geq 1$, and n is an exact power of 3. So, $B(n) = n.\log n$ from equation (1) and above equation.

Think the case that $\alpha = 1$ and $\beta = 0$. Then, $T(n) = A(n)$

$$\begin{aligned} T(1) &= 1 \\ T(n) &= 3.T\left(\frac{n}{3}\right) \end{aligned}$$

We know this recurrence. We can rewrite $T(n)$ as $T(n) = 3^{n-1}$.

Since $T(n) = A(n)$ for this case, $A(n) = 3^{n-1}$ from the equation above. Now, we have two independent equations.

$$A(n) = 3^{n-1} \tag{3}$$

$$B(n) = n.logn \quad (4)$$

From these equations, $T(n)$ will be:

$$T(n) = 3^{n-1}.\alpha + n.logn.\beta, \text{ and } n \text{ is an exact power of } 3.$$

2.2 SLIGHT GENERALIZATION

$T(n) = 3.T(\frac{n}{3}) + \beta.n + \gamma$ We can repeat previous steps to find $A(n)$ and $B(n)$ again. Only difference is we should set γ to 0 while doing them. Thus,

$$\begin{aligned} A(n) &= 3^{n-1} \\ B(n) &= n.logn \end{aligned}$$

We need another independent equation. Think the case that $T(n) = 1$. Then, $\alpha = 1, \beta = 0, \gamma = -1$. So,

$$\begin{aligned} 1 &= A(n) - C(n) \\ \text{Substitute } A(n) \text{ from equality above,} \\ C(n) &= 3^{n-1} - 1 \end{aligned}$$

Thus,

$$T(n) = 3^{n-1}.\alpha + n.logn.\beta + (3^{n-1} - 1)\gamma, \text{ and } n \text{ is an exact power of } 3.$$

3 AN UGLY LOOKING RECURRENCE TEACHING THE IMPORTANCE OF PLAYING WITH SMALL INSTANCES

Lets look at the small cases.

$$\begin{aligned} Q_0 &= \alpha \\ Q_1 &= \beta \\ Q_2 &= \frac{1+Q_1}{Q_0} = \frac{1+\beta}{\alpha} \quad (1) \\ Q_3 &= \frac{1+Q_2}{Q_1} = \frac{1+\alpha+\beta}{\alpha.\beta} \quad (2) \\ Q_4 &= \frac{1+Q_3}{Q_2} = \frac{1+\alpha}{\beta} \quad (3) \\ Q_5 &= \alpha(4) \\ Q_6 &= \beta(5) \end{aligned}$$

It will repeat itself after $n=4$.

Claim : Define m as : $m = n \% 5$.

If $m = 0$, $Q_n = \alpha$,

If $m = 1$, $Q_n = \beta$,

If $m = 2$, $Q_n = \frac{1+\beta}{\alpha}$,

If $m = 3$, $Q_n = \frac{1+\alpha+\beta}{\alpha.\beta}$,

If $m = 4$, $Q_n = \frac{1+\alpha}{\beta}$, for all $n \geq 2$.

Proof : I will prove this claim by mathematical induction on n .

Base Case : As base cases, we will consider cases $n=2,3,4,5,6$.

If $n=2$, $m=2$, Q_n must be equal to $\frac{1+\beta}{\alpha}$. From eq.1, it is true.

If $n=3$, $m=3$, Q_n must be equal to $\frac{1+\alpha+\beta}{\alpha.\beta}$. From eq.2, it is true.

If $n=4$, $m=4$, Q_n must be equal to $\frac{1+\alpha}{\beta}$. From eq.1, it is true.

If $n=5$, $m=0$, Q_n must be equal to α . From eq.4, it is true.

If $n=6$, $m=1$, Q_n must be equal to β . From eq.5, it is true.

Inductive Hypothesis : Our claim is true for all integer $2 \leq n \leq k$.

Inductive Step: All we need to do is show that claim is true for $k+1$ for cases $k \% 5 = 0, 1, 2, 3, 4$.

If $k \% 5 = 0$, $(k-1) \% 5 = 4$. Then, $Q_k = \alpha$ and $Q_{k-1} = \frac{1+\alpha}{\beta}$ from inductive hypothesis. Since $(k+1) \% 5 = 1$, we want Q_{k+1} to be β .

$$Q_{k+1} = \frac{1+Q_k}{Q_{k-1}} \text{ (from given recursion.)}$$

$$Q_{k+1} = \frac{1+\alpha}{\frac{1+\alpha}{\beta}} = \beta \text{ as desired.}$$

If $k \% 5 = 1$, $(k-1) \% 5 = 0$. Then, $Q_k = \beta$ and $Q_{k-1} = \alpha$ from inductive hypothesis. Since $(k+1) \% 5 = 2$, we want Q_{k+1} to be $\frac{1+\beta}{\alpha}$.

$$Q_{k+1} = \frac{1+Q_k}{Q_{k-1}} \text{ (from given recursion.)}$$

$$Q_{k+1} = \frac{1+\beta}{\alpha} = \frac{1+\beta}{\alpha} \text{ as desired.}$$

If $k \% 5 = 2$, $(k-1) \% 5 = 1$. Then, $Q_k = \frac{1+\beta}{\alpha}$ and $Q_{k-1} = \beta$ from inductive hypothesis. Since $(k+1) \% 5 = 3$, we want Q_{k+1} to be $\frac{1+\alpha+\beta}{\alpha.\beta}$.

$$Q_{k+1} = \frac{1+Q_k}{Q_{k-1}} \text{ (from given recursion.)}$$

$$Q_{k+1} = \frac{1+\frac{1+\beta}{\alpha}}{\beta} = \frac{1+\alpha+\beta}{\alpha.\beta} \text{ as desired.}$$

If $k\%5 = 3$, $(k-1)\%5 = 2$. Then, $Q_k = \frac{1+\alpha+\beta}{\alpha.\beta}$ and $Q_{k-1} = \frac{1+\beta}{\alpha}$ from inductive hypothesis. Since $(k+1)\%5 = 4$, we want Q_{k+1} to be $\frac{1+\alpha}{\beta}$.

$$Q_{k+1} = \frac{1+Q_k}{Q_{k-1}} \text{ (from given recursion.)}$$

$$Q_{k+1} = \frac{1+\frac{1+\alpha+\beta}{\alpha.\beta}}{\frac{1+\beta}{\alpha}} = \frac{1+\alpha}{\beta} \text{ as desired.}$$

If $k\%5 = 4$, $(k-1)\%5 = 3$. Then, $Q_k = \frac{1+\alpha}{\beta}$ and $Q_{k-1} = \frac{1+\alpha+\beta}{\alpha.\beta}$ from inductive hypothesis. Since $(k+1)\%5 = 0$, we want Q_{k+1} to be α .

$$Q_{k+1} = \frac{1+Q_k}{Q_{k-1}} \text{ (from given recursion.)}$$

$$Q_{k+1} = \frac{1+\frac{1+\alpha}{\beta}}{\frac{1+\alpha+\beta}{\alpha.\beta}} = \alpha \text{ as desired.}$$

Therefore, claim is true.

4 PROOF BY MATHEMATICAL INDUCTION

Claim : $n! > 2^n$ for $n \geq 4$.

Proof : I will prove this claim by mathematical induction on n .

Base Case : As base case, we consider case $n = 4$.

$$4! > 2^4$$

$24 > 16$ our base case holds.

Inductive Assumption : We assume claim holds for some integer $k > 4$.

Inductive Step : All we need to show is that claim holds for $k+1$. So $(k+1)! > 2^{k+1}$ must be true.

$$k! > 2^k \text{ holds from our inductive assumption.}$$

We know that $k+1 > 5$, so if we multiply left side with $k+1$ and right side with 2, inequality still holds.

$$(k+1).k! > 2.2^k$$

$$(k+1)! > 2^{k+1}$$

Thus, equality holds for $k+1$ too. Therefore, $n! > 2^n$ for $n \geq 4$.

5 IVERSON'S CONVENTION

Lets consider cases $x = 0$, $x > 0$ and $x < 0$ one by one. This cases contains every possible cases.

Case $x = 0$: $x.(0 - 0) = 0$

Case $x > 0$: $x.(1 - 0) = x$

Case $x < 0$: $x.(0 - 1) = -x$

It reminds something familiar. It is actually absolute value of x .

We can rewrite our statement as :

$$|x|$$

6 EVALUATING A SIMPLE SUM

$$\begin{aligned}\sum_k [i \leq j \leq k \leq n] &= \sum_{j \leq k \leq n} 1 \\ &= (\sum_{1 \leq k \leq n} 1) - (\sum_{1 \leq k \leq j-1} 1) \text{ (from commutative law)}\end{aligned}$$

We know these sums.

$$= \frac{n.(n+1)}{2} - \frac{(j-1).j}{2}$$

7 SUMMATION FACTOR METHOD

To find a closed form, we can manipulate recurrence in order to change it to a familiar form. To do that, we need to find what summation factor is. We should multiply both sides with s_n . $s_n.2.T_n = s_n.n.T_{n-1} + s_n.3.n!$. Think the familiar form $s_n.a_n.T_n = s_n.b_n.T_{n-1} + s_n.c_n$. In this case, $a_n = 2$, $b_n = n$ and $c_n = 3.n!$. Following equality should hold $s_{n-1}.a_{n-1} = s_n.b_n$.

$$s_n = \frac{a_{n-1}.a_{n-2}.a_{n-3} \dots a}{b_n.b_{n-1}.b_{n-2} \dots b_2} = \frac{2.2.2 \dots 2}{n.(n-1).(n-2) \dots 2} = \frac{2^{n-1}}{b!}$$

Now, multiply both sides with our summation factor.

$$\begin{aligned}\frac{2^{n-1}}{b!}.2.T_n &= \frac{2^{n-1}}{b!}.n.T_{n-1} + \frac{2^{n-1}}{n!}.3.n! \\ \frac{2^n}{n!}.T_n &= \frac{2^{n-1}}{(n-1)!}.T_{n-1} + 3.2^{n-1}\end{aligned}$$

Let $P_n = \frac{2^n}{n!}.T_n$. Then, $P_n = P_{n-1} + 3.2^{n-1}$. Then, $P_0 = 5$. Lets rewrite our last situation.

$$\begin{aligned}P_0 &= 5 \\ P_n &= P_{n-1} + 3.2^{n-1}\end{aligned}$$

This recursion can be rewritten as:

$$\begin{aligned}
 P_n &= 5 + \sum_{k=1}^n 3 \cdot 2^{k-1} \\
 P_n &= 5 + 3 \cdot \sum_{k=1}^n 2^{k-1} \text{ (from distributive law)} \\
 P_n &= 5 + 3 \cdot \sum_{k=0}^{n-1} 2^k \text{ We know this sum.} \\
 P_n &= 5 + 3 \cdot \frac{(1-2^n)}{(1-2)} \\
 P_n &= 3 \cdot 2^n + 2
 \end{aligned}$$

Now, lets find T_n .

$$\begin{aligned}
 T_n &= (3 \cdot 2^n + 2) \cdot \frac{n!}{2^n} \\
 T_n &= (3 \cdot n! + \frac{2 \cdot n!}{2^n}) \\
 T_n &= 3 \cdot n! + \frac{n!}{2^{n-1}}
 \end{aligned}$$

This is the closed form for our recurrence.

8 EVALUATING A SUM

Lets evaluate $A_n = \sum_{k=0}^n kx^k$ and substitute n by ∞ . So, we should find A_∞ .

$$\begin{aligned}
 A_{n+1} &= A_n + (n+1) \cdot x^{n+1} \text{ (1) by taking the last term out.} \\
 A_{n+1} &= \sum_{k=1}^{n+1} kx^k = \sum_{k=0}^n (k+1)x^{k+1} = x \cdot \sum_{k=0}^n (k+1)x^k \text{ from commutative} \\
 &\text{rule followed by distributive rule.} \\
 &= x \cdot (\sum_{k=0}^n kx^k + \sum_{k=0}^n x^k) = x \cdot (A_n + \frac{1-x^{n+1}}{1-x}) \text{ (2) (From geometric series)}
 \end{aligned}$$

From equation 1 and equation 2,

$$A_n + (n+1) \cdot x^{n+1} = x \cdot A_n + x \cdot \frac{1-x^{n+1}}{1-x}$$

Replace n by ∞ . Since $|x| < 1$ from the definition, $x^\infty = 0$.

$$\begin{aligned}
 A_\infty &= x \cdot A_\infty + x \cdot \frac{1}{1-x} \\
 A_\infty &= \sum_{k=0}^{\infty} kx^k = \frac{x}{(1-x)^2}
 \end{aligned}$$

We evaluated the sum.