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Section 1. Munkres Chapter 2

12. Topological space

1.1 Definition: Topology

A **topology** on a set  $X$  is a set of  $\mathcal{T}$  of subsets of  $X$  having the following properties:

- (a)  $\emptyset, X \in \mathcal{T}$ .
- (b) The union of any subset of  $\mathcal{T}$  is in  $\mathcal{T}$ .
- (c) The intersection of any finite subset of  $\mathcal{T}$  is in  $\mathcal{T}$ .

A set  $X$  for which a topology  $\mathcal{T}$  has been specified is called a **topological space**. We say  $U \subset X$  is an **open set** if  $U \in \mathcal{T}$ .

**Example 1.2** A topology  $\mathcal{T} = \{\emptyset, X\}$  is called the **indiscrete topology** or **trivial topology**. On the other hand, if  $\mathcal{T} = P(X)$ , then it is called the **discrete topology**

**Example 1.3** Let  $X$  be a set,  $\mathcal{T}_f$  be a set of all subset  $U \subset X$  such that  $X \setminus U$  either is finite or is all of  $X$ . Then

- (a)  $X \setminus X$  is finite and  $X \setminus \emptyset$  is  $X$ .
- (b) Suppose  $\{U_\alpha\}$  is an indexed family of nonempty elements of  $\mathcal{T}_f$ . Then  $X - \bigcup U_\alpha = \bigcap (X - U_\alpha)$  is finite.
- (c)  $X - \bigcap_{i=1}^n U_i = \bigcup_{i=1}^n (X - U_i)$  is finite.

Therefore,  $\mathcal{T}_f$  is a topology, called **finite complement topology**. We can replace the condition 'finite' with 'countable', and the proposition still holds.

1.4 Definition

Suppose  $\mathcal{T}$  and  $\mathcal{T}'$  are two topologies on a given set  $X$ . If  $\mathcal{T}' \supset \mathcal{T}$ , then we say that  $\mathcal{T}'$  is **finer(larger)** than  $\mathcal{T}$ , and  $\mathcal{T}$  is **coarser(smaller)** than  $\mathcal{T}'$ . Also, we say that  $\mathcal{T}$  is **comparable** with  $\mathcal{T}'$  if either  $\mathcal{T} \supset \mathcal{T}'$  or  $\mathcal{T}' \supset \mathcal{T}$ .

13. Basis for a topology

1.5 Definition

If  $X$  is a set, a **basis** for a topology on  $X$  is a collection  $\mathcal{B}$  of subsets of  $X$ (called a **basis elements**) such that

- (a) For each  $x \in X$ , there is at least one basis element  $B$  containing  $x$ .
- (b) If  $x$  belongs to the intersection of two basis elements  $B_1$  and  $B_2$ , then there is a basis element  $B_3$  containing  $x$  such that  $B_3 \subset B_1 \cap B_2$ . We define the **topology  $\mathcal{T}$  generated by  $\mathcal{B}$**  as follows: A subset  $U$  of  $X$  is said to be open in  $X$  if for each  $x \in U$ , there is a basis element  $B \in \mathcal{B}$  such that  $x \in B$  and  $B \subset U$ .

**Example 1.6** Consider the set of all rectangular regions in  $\mathbb{R}^2$  without border, where each rectangular have sides parallel to the coordinate axes.

1.7 Lemma

A topology is equal to the set of all union of its basis elements.

Munkres lemma 13.1 □

1.8 Lemma

Let  $X$  be a topological space. Suppose that  $\mathcal{C}$  is a set of open sets of  $X$  such that for each  $U \subset X$  and each  $x \in U$ , there is an element  $C \in \mathcal{C}$  such that  $x \in C \subset U$ . Then  $\mathcal{C}$  is a basis for the topology of  $X$ .

Munkres lemma 13.2 □

1.9 Lemma

Let  $\mathcal{B}$  and  $\mathcal{B}'$  be bases for the topologies  $\mathcal{T}$  and  $\mathcal{T}'$ , respectively, on  $X$ . TFAE:

- (a)  $\mathcal{T}'$  is finer than  $\mathcal{T}$ .
- (b) For each  $x \in X$  and each  $B \in \mathcal{B}$  containing  $x$ , there is a basis element  $B' \in \mathcal{B}'$  such that  $x \in B' \subset B$ .

1.10 Definition

- In  $\mathbb{R}$ ,
- (a) The set of all open interval is a basis of the **standard topology** on  $\mathbb{R}$ .
  - (b) The set of all half-open( $[a, b)$ ) intervals is a basis of the **lower limit topology** on  $\mathbb{R}$ , denoted by  $\mathbb{R}_l$ .
  - (c) Let  $K = \{1/n \mid n \in \mathbb{Z}\}$ . The set of all open interval without the points in  $K$  is a basis of the **K-topology** on  $\mathbb{R}$ , denoted by  $\mathbb{R}_K$ .

1.11 Lemma

$\mathbb{R}_l$  and  $\mathbb{R}_K$  are strictly finer than the standard topology on  $\mathbb{R}$ , but are not comparable with one another.

1.12 Definition

A **subbasis**  $\mathcal{S}$  for a topology on  $X$  is a collection of subsets of  $X$  whose union equals  $X$ . The **topology generated by the subbasis**  $\mathcal{S}$  is defined to be the set  $\mathcal{T}$  of all unions of finite intersections of elements of  $\mathcal{S}$ .

14. The order topology

1.13 Definition

- If  $X$  is a set with the relation  $<$ , the topology derived from the basis  $\mathcal{B}$  of subset of  $X$  such that
- (a) All open intervals  $(a, b)$  in  $X$ ;
  - (b) all intervals of the form  $[a_0, b)$  in  $X$ (if the smallest element  $a_0$  exists);
  - (c) all intervals of the form  $[a, b_0]$  in  $X$ (if the largest element  $b_0$  exists),
- is called the **order topology**.

**Example 1.14** The standard topology on  $\mathbb{R}$  is a order topology.

**Example 1.15** The order topology on  $\mathbb{Z}^+$  is the discrete topology.

**Example 1.16** The basis elements for order topology of the set  $\mathbb{R} \times \mathbb{R}$  in the dictionary order is of the form  $(a \times b, c \times d)$  for  $a < c$  or  $a = c$  and  $b < d$ .

**Example 1.17** The order topology of the set  $X = \{1, 2\} \times \mathbb{Z}^+$  in the dictionary order is not the discrete topology. Consider the basis element containing  $(2, 1)$ .

1.18 Definition

Suppose  $X$  is an ordered set, and  $a \in X$ . There are four subsets of  $X$ :

- (a)  $(a, +\infty) = \{x \mid x > a\}$ .
- (b)  $(-\infty, a) = \{x \mid x < a\}$ .
- (c)  $[a, +\infty) = \{x \mid x \geq a\}$ .
- (d)  $(-\infty, a] = \{x \mid x \leq a\}$ .

They are called the **rays** determined by  $a$ . Sets of the first two types are called **open rays**, and sets of the last two types are called **closed rays**. The open rays form a subbasis for the order topology on  $X$ .

15. The product topology on  $X \times Y$

1.19 Definition

Let  $X, Y$  be topological spaces. The **product topology** on  $X \times Y$  is the topology having as basis the set  $\mathcal{B}$  of all sets of the form  $U \times V$ , where  $U \underset{\text{open}}{\subset} X$  and  $V \underset{\text{open}}{\subset} Y$ .

**Remark**  $\mathcal{B}$  is not a topology on  $X \times Y$ .

1.20 Theorem

If  $\mathcal{B}$  is a basis for the topology of  $X$  and  $\mathcal{C}$  is a basis for the topology of  $Y$ , then the set  $\mathcal{D} = \{B \times C \mid B \in \mathcal{B}, C \in \mathcal{C}\}$  is a basis for the topology of  $X \times Y$ .

1.21 Definition

Define  $\pi_1 : X \times Y \rightarrow X$  by the equation  $\pi_1(x, y) = x$ , and define  $\pi_2 : X \times Y \rightarrow Y$  by  $\pi_2(x, y) = y$ . The maps are called the **projection** of  $X \times Y$  onto its first and second factors, respectively.

1.22 Theorem

$\mathcal{S} = \{\pi_1^{-1}(U) \mid U \underset{\text{open}}{\subset} X\} \cup \{\pi_2^{-1}(V) \mid V \underset{\text{open}}{\subset} Y\}$  is a subbasis for the product topology on  $X \times Y$ .

### 1.23 Definition

Let  $X$  be a topological space with a topology  $\mathcal{T}$ . If  $Y \subset X$ ,  $\mathcal{T}_Y = \{Y \cap U \mid U \in \mathcal{T}\}$  is a topology on  $Y$ , called the **subspace topology**. With this topology,  $Y$  is called a subspace of  $X$ .

### 1.24 Lemma

If  $\mathcal{B}$  is a basis for the topology on  $X$ , then the set  $\mathcal{B}_Y = \{B \cap Y \mid B \in \mathcal{B}\}$  is a basis for the subspace topology on  $Y$ .

Munkres lemma 16.1

We say  $U \subset Y$  is **open in (or open relative to)  $Y$**  if  $U \in \mathcal{T}_Y$ .

### 1.25 Lemma

Let  $Y$  be a subspace of  $X$ . If  $U$  is open in  $Y$  and  $Y$  is open in  $X$ , then  $U$  is open in  $X$ .

**Proof)** Munkres lemma 16.2

### 1.26 Theorem

Suppose  $A$  is a subspace of  $X$  and  $B$  is a subspace of  $Y$ . Then the product topology of  $A \times B$  is same as the subspace topology of  $A \times B$ .

**Proof)** Munkres lemma 16.3

**Example 1.27** Suppose  $Y = [0, 1]$  is a subspace of  $\mathbb{R}$ . Then the subspace topology of  $Y$  and the order topology on  $[0, 1]$  are same.

**Example 1.28** Let  $Y = [0, 1) \cup \{2\}$ . If  $Y$  is a subspace of  $\mathbb{R}$ , then  $\{2\}$  is open in the subspace topology on  $Y$  (since  $(3/2, 5/2) \cap \{2\} = \{2\}$ ). But  $\{2\}$  is not open in the order topology on  $Y$  (since  $\{2\}$  must be in the set of the form  $(a, 2]$ ).

**Example 1.29** Let  $I = [0, 1]$  and  $I \times I$  be of the dictionary order on  $\mathbb{R} \times \mathbb{R}$ . Then its subspace topology and its order topology are not same. Consider the set  $\{1/2\} \times (1/2, 1]$ , which is open in  $I \times I$  in the subspace topology, but not in order topology.

### 1.30 Theorem

Let  $X$  be an ordered set in the order topology, and let  $Y$  be a subset of  $X$  that is convex in  $X$ . Then the order topology on  $Y$  is the same as the topology  $Y$  inherits as a subspace of  $X$ .

Munkres theorem 16.4

## 17. Closed sets and limit points

### 1.31 Definition

Let  $X$  be a topological space with topology  $\mathcal{T}$ . By a **closed** set, we mean a subset  $A$  of  $X$  that is  $A = X - B$  for some  $B \in \mathcal{T}$ .

**Example 1.32** In the discrete topology on the set  $X$ , every set is open and closed.

**Example 1.33** Consider the set  $Y = [0, 1] \cup (2, 3)$ . Both interval  $[0, 1]$  and  $(2, 3)$  are open and closed.

### 1.34 Theorem

Let  $X$  be a topological space. Then

- (a)  $\emptyset$  and  $X$  are closed.
- (b) Arbitrary intersections of closed sets are closed.
- (c) Finite unions of closed sets are closed.

**Proof)** Clear by the definition of open set and DeMorgan's law.

### 1.35 Theorem

Let  $Y$  be a subspace of  $X$ . Then a set  $A$  is **closed in  $Y$**  if and only if it equals the intersection of a closed set of  $X$  with  $Y$ .

**Proof)** Munkres theorem 17.2

### 1.36 Proposition

Let  $Y$  be a subspace of  $X$ . If  $A$  is closed in  $Y$  and  $Y$  is closed in  $X$ , then  $A$  is closed in  $X$ .

### 1.37 Definition

Given a subset  $A$  of a topological space  $X$ , the **interior** (denoted by  $\text{Int}A$ ) of  $A$  is defined as the union of all open sets contained in  $A$ , and the **closure** (denoted by  $\text{Cl}A$  or  $\overline{A}$ ) of  $A$  is defined as the intersection of all closed sets containing  $A$ .

### 1.38 Theorem

Let  $Y$  be a subspace of  $X$ , let  $A$  be a subset of  $Y$ , let  $\overline{A}$  denote the closure of  $A$  in  $X$ . Then the closure of  $A$  in  $Y$  equals  $\overline{A} \cap Y$ .

**Proof)** Munkres theorem 17.5

$A$  **intersects**  $B$  if  $A \cap B \neq \emptyset$ .

### 1.39 Theorem

Let  $A$  be a subset of the topological space  $X$ .

- (a)  $x \in \overline{A} \iff$  every open set  $U$  containing  $x$  intersects  $A$ .
- (b)  $x \in \overline{A} \iff$  every basis element  $B$  containing  $x$  intersects  $A$ .

**Proof)** Munkres p96

□

We can shorten the statement " $U$  is an open set containing  $x$ " to the phrase " $U$  is a **neighborhood** of  $x$ ".

### 1.40 Definition

Let  $X$  be a topological space, and suppose  $x \in A \subset X$ . Then  $x$  is called a **limit(or cluster or accumulation) point** of  $A$  if every neighborhood of  $x$  intersects  $A$  in some points other than  $x$ .  $A'$  denote the set of all limit points of  $A$ .

### 1.41 Theorem

Let  $X$  be a topological space, and suppose  $A \subset X$ . Then  $\overline{A} = A \cup A'$ .

**Proof)** Munkres theorem 17.6

□

### 1.42 Corollary

Let  $X$  be a topological space, and suppose  $A \subset X$ . Then  $A$  is closed  $\iff A' \subset A$ .

**Proof)** Munkres corollary 17.7

□

### 1.43 Definition

If  $X$  is a topological space, a sequence of points of  $X$  is called **converge** to  $x \in X$  if for each neighborhood  $U$  of  $x$ , there exists a positive integer  $N$  such that  $x_n \in U$  whenever  $n \geq N$ .

**Remark** In general topological space,

- (a) A one point set in the space need not be closed.
- (b) A sequence of points of the space can converge to more than one point.

### 1.44 Definition

A topological space  $X$  is called a **Hausdorff space** if for each pair  $x_1, x_2$  of distinct points of  $X$ , there exist neighborhoods  $U_1$ , and  $U_2$  of  $x_2$ , respectively, that are disjoint.

### 1.45 Theorem

Every finite point set in a Hausdorff space  $X$  is closed.

**Proof)** Munkres theorem 17.8

□

### 1.46 Theorem

Let  $X$  be a space satisfying the  $T_1$  axiom(that is, finite set in  $X$  closed); let  $A$  be a subset of  $X$ . Then the point  $x$  is a limit point of  $A$  if and only if every neighborhood of  $x$  contains infinitely many points of  $A$ .

**Proof)** Munkres theorem 17.9

□

### 1.47 Theorem

If  $X$  is a Hausdorff space, then a sequence of points of  $X$  converges to at most one point of  $X$ .

**Proof)** Munkres theorem 17.10

□

### 1.48 Definition

If a sequence  $x_n$  of points of the Hausdorff space  $X$  converges to the point  $x$  of  $X$ , we write  $x_n \rightarrow x$ , and we say that  $x$  is the **limit** of the sequence  $x_n$ .

### 1.49 Theorem

- (a) Every simply ordered set is a Hausdorff set space in the order topology.
- (b) The product of two Hausdorff spaces is a Hausdorff space.
- (c) A subspace of a Hausdorff space is a Hausdorff space

**Proof)** Exercise.

□

## 22. The quotient topology

### 1.50 Definition

Let  $X$  and  $Y$  be topological spaces; let  $p : X \rightarrow Y$  be a surjective map.  $p$  is said to be a **quotient map** if

$$U \underset{\text{open}}{\subset} Y \iff p^{-1}(U) \underset{\text{open}}{\subset} X.$$

### 1.51 Definition

Let  $X$  and  $Y$  be topological spaces; let  $p : X \rightarrow Y$  be surjective. A subset  $C \subset X$  is **saturated** if

$$f^{-1}f(C) = C$$

### 1.52 Proposition

TFAE:

- (a)  $p : X \rightarrow Y$  is a quotient map.
- (b)  $p$  is continuous and maps saturated open sets of  $X$  to open sets of  $Y$ .
- (c)  $p$  is continuous and maps saturated closed sets of  $X$  to closed sets of  $Y$ .

### 1.53 Definition

A map  $f$  from a space to a space is **open(closed) map** if  $f$  maps each open(closed) sets in domain to open(closed) sets in codomain.

### 1.54 Proposition

If  $p : X \rightarrow Y$  is a surjective continuous map that is either open or closed, then  $p$  is a quotient map.

### 1.55 Definition

Let  $X$  be a space,  $A$  a set, and  $p : X \rightarrow A$  surjective map. There exists exactly one topology  $\mathcal{T}$  on  $A$  relative to which  $p$  is a quotient map. It is called the **quotient topology** induced by  $p$ .  $\mathcal{T} = \{U \in P(A) : p^{-1}(U) \underset{\text{open}}{\subset} X\}$ .

### 1.56 Definition

Let

- (a)  $X$  be a topological space;
- (b)  $X^*$  be a partition of  $X$  into disjoint subsets whose union is  $X$ ;
- (c)  $p : X \rightarrow X^*$  be the surjective map that carries each point of  $X$  to the element of  $X^*$  containing it.

In the quotient topology induced by  $p$ , the space  $X^*$  is called a **quotient space** of  $X$ .

This can be viewed from a different perspective. For  $U \subset X^*$ ,  $p^{-1}(U)$  is a collection of equivalence classes whose union is open in  $X$ .

### 1.57 Theorem

Let  $p : X \rightarrow Y$  be a quotient map; let  $A$  be a subspace of  $X$  that is saturated with respect to  $p$ ; let  $q : A \rightarrow p(A)$  be the map obtained by restricting  $p$ .

- (a) If  $A$  is either open or closed in  $X$ , then  $q$  is quotient map.
- (b) If  $p$  is either an open map or a closed map, then  $q$  is a quotient map.

**Proof)** Munkres p140

□

## Section 2. Munkres Chapter 3

### 24. Connected subspace of the real line

#### 2.1 Theorem: Intermediate value theorem

Let  $f : X \rightarrow Y$  be a continuous map, where  $X$  is a connected space and  $Y$  is an ordered set in the order topology. If  $f(a) < r < f(b)$ , there exists a point  $c \in X$  such that  $f(c) = r$ .

**Proof)** Munkres p154



### 25. Components and local connectedness

#### 2.2 Definition

Given  $X$ , define an equivalence relation on  $X$  by setting  $x \sim y$  if there is a connected subspace (or path)  $X$  containing both  $x$  and  $y$ . The equivalence classes are called the **components (or path components)** of  $X$ .

#### 2.3 Theorem

The (path) components of  $X$  are (path) connected disjoint subspaces of  $X$  whose union is  $X$  such that each nonempty (path) connected subspace of  $X$  intersects only one of them.

**Proof)** Munkres p159,p160



#### 2.4 Definition

A space  $X$  is said to be **locally (path) connected at  $x$**  if for every neighborhood  $U$  of  $x$ , there is a (path) connected neighborhood  $V$  of  $x$  contained in  $U$ .

#### 2.5 Theorem

A space  $x$  is locally (path) connected if and only if for every open set  $U$  of  $X$ , each (path) components of  $U$  is open in  $X$ .

**Proof)** Munkres 161



#### 2.6 Theorem

If  $X$  is a topological space, each path components of  $X$  lies in a component of  $X$ . If  $X$  is locally path connected, then the components and the path components of  $X$  are the same.

## Section 3. Munkres Chapter 9

### 51. Homotopy of paths

#### 3.1 Definition

By the **unit interval**  $I$ , we mean the closed interval  $[0, 1]$ .

#### 3.2 Theorem

The unit interval has the following properties:

- (a)  $I$  is a complete metric space. The metric on  $I$  is given by  $d(x, y) = |x - y|$ .
- (b)  $I$  is compact, contractible, path connected, and locally path connected.

#### 3.3 Definition: Homotopic

Let  $X, Y$  be topological spaces and  $f, f' : X \rightarrow Y$  continuous. We say that  $f$  is **homotopic** to  $f'$  if there is a continuous map  $F : X \times I \rightarrow Y$  such that

$$F(x, 0) = f(x) \quad \text{and} \quad F(x, 1) = f'(x)$$

for each  $x$ . The map  $F$  is called a **homotopy** between  $f$  and  $f'$ , denoted by  $f \simeq f'$ . In this case, if  $f'$  is constant, we say that  $f$  is **nulhomotopic**.

#### 3.4 Definition: Path

If a map  $f : [0, 1] \rightarrow X$  is continuous,  $f(0) = x_0$ , and  $f(1) = x_1$ , then we say  $f$  is a **path** in  $X$  from initial point  $x_0$  to final point  $x_1$ .

#### 3.5 Definition: Path homotopic

Two path  $f, f' : [0, 1] = I \rightarrow X$  are said to be **path homotopic** if they have the same initial point  $x_0$  and the same point  $x_1$ , and if there is a continuous map  $F : I \times I \rightarrow X$  such that

$$F(s, 0) = f(s) \quad \text{and} \quad F(s, 1) = f'(s),$$

$$F(0, t) = x_0 \quad \text{and} \quad F(1, t) = x_1,$$

for each  $s \in I$  and each  $t \in I$ . We call  $F$  a **path homotopy** between  $f$  and  $f'$ , denoted by  $f \simeq_p f'$ .

#### 3.6 Proposition

$\simeq$  and  $\simeq_p$  are equivalence relations.

**Proof)**

(a) (reflexive)  $F(x, t) = f(x)$ .

(b) (symetric)  $G(x, t) = F(x, 1 - t)$ .

(c) (transitive)  $G(x, t) = \begin{cases} F(x, 2t) & \text{for } t \in [0, \frac{1}{2}] \\ F'(x, 2t - 1) & \text{for } t \in [\frac{1}{2}, 1] \end{cases}$ . By pasting lemma,  $G$  is continuous. □

**Example 3.7** Let  $f, g$  be any map of a space  $X$  into  $\mathbb{R}^2$ . The map  $F(x, t) = (1 - t)f(x) + tg(x)$  is called a **straight-line homotopy**. More generally, let  $A$  be any convex subspace of  $\mathbb{R}^n$ . Then any two path  $f, g$  in  $A$  from  $x_0$  to  $x_1$  are path homotopic in  $A$ .

**Example 3.8** Let  $X$  denote the **punctured plane**,  $\mathbb{R}^2 \setminus \{0\}$ , and let

$$f(s) = (\cos \phi s, \sin \phi s),$$

$$g(s) = (\cos \phi s, 2 \sin \phi s),$$

$$h(s) = (\cos \phi s, -\sin \phi s)$$

Then  $f \simeq g$ , but  $f, h$  are not path homotopic.

#### 3.9 Definition

If  $f$  is a path in  $X$  from  $x_0$  to  $x_1$ , and if  $g$  is a path in  $X$  from  $x_1$  to  $x_2$ , we define the **product**  $f * g$  of  $f$  and  $g$  to be the path  $h$  given by the equations

$$h(s) = \begin{cases} f(2s) & \text{for } s \in [0, \frac{1}{2}] \\ g(2s - 1) & \text{for } s \in [\frac{1}{2}, 1] \end{cases}$$

#### 3.10 Lemma

The product operation on path-homotopy classes is well-defined by the equation  $[f] * [g] = [f * g]$ .

**Proof)** Let  $F$  and  $G$  be the path homotopy between  $f, f'$  and  $g, g'$  respectively. Define  $H(s, t) = \begin{cases} F(2s, t) & \text{for } s \in [0, \frac{1}{2}] \\ G(2s - 1, t) & \text{for } s \in [\frac{1}{2}, 1] \end{cases}$ . Then  $H$  is well-defined; and continuous by the pasting lemma; that is, it is a path homotopy between  $f * g$  and  $f' * g'$ . □

#### 3.11 Lemma

Suppose

- (a)  $k : X \rightarrow Y$  is a continuous map;
- (b)  $F$  is a path homotopy in  $X$  between the paths  $f$  and  $f'$ .

Then  $k \circ F$  is a path homotopy in  $Y$  between the paths  $k \circ f$  and  $k \circ f'$ .

**Proof)**  $k \circ F$  is continuous,  $k(F(s, 0)) = k(f(s))$ , and  $k(F(s, 1)) = k(f'(s))$ . □



### 3.12 Lemma

Suppose

- (a)  $k : X \rightarrow Y$  is a continuous map;
- (b)  $f$  and  $g$  are paths in  $X$  with  $f(1) = g(0)$ .

Then

$$k \circ (f * g) = (k \circ f) * (k \circ g).$$

The proof is trivial.

### 3.13 Lemma

If  $[a, b]$  and  $[c, d]$  are two intervals in  $\mathbb{R}$ , there are unique numbers  $m, k \in \mathbb{R}$  that define the map  $p : [a, b] \rightarrow [c, d]$ , given by  $p(x) = mx + k$ . We call it the **positive linear map** of  $[a, b]$  to  $[c, d]$ . This concept is closed under the inverse map and composition of maps.

$e_x : I \rightarrow X$  denote the constant path given by  $e_x = x$ .

$i : I \rightarrow I$  denote the identity map given by  $i(s) = s$  for all  $s \in I$ .

### 3.14 Theorem

The operation  $*$  has the following properties:

- (a) (associativity) If  $[f] * ([g] * [h])$  is defined, then so is  $([f] * [g]) * [h]$ , and they are equal.
- (b) (right and left identities) Let  $e_x : I \rightarrow X$  denote the constant path given by  $e_x = x$ . If  $f$  is a path from  $x_0$  to  $x_1$ , then

$$[f] * [e_{x_1}] = [f] \quad \text{and} \quad [e_{x_0}] * [f] = [f].$$

- (c) (inverse) Given the path  $f$  in  $X$  from  $x_0$  to  $x_1$ , let  $\bar{f}$  be the path defined by  $\bar{f}(s) = f(1 - s)$ . It is called the **reverse** of  $f$ . Then

$$[f] * [\bar{f}] = [e_{x_0}] \quad \text{and} \quad [\bar{f}] * [f] = [e_{x_1}].$$

**Proof** To prove (1), define a map  $k_{a,b} : I \rightarrow I$   $0 < a < b < 1$  as follows:

- (a) A positive linear map of  $[0, a]$  to  $I$  followed by  $f$ ;
- (b) a positive linear map of  $[a, b]$  to  $I$  followed by  $g$ ;
- (c) a positive linear map of  $[b, 1]$  to  $I$  followed by  $h$ .

For  $0 < c < d < 1$ ,  $k_{c,d} \simeq_p k_{a,b}$ . Define  $p : I \rightarrow I$  as follows:

- (a) A positive linear map of  $[0, a]$  to  $[0, c]$ ;
- (b) a positive linear map of  $[a, b]$  to  $[c, d]$ ;
- (c) a positive linear map of  $[b, 1]$  to  $[d, 1]$ .

If  $i : I \rightarrow I$  is the identity map, then  $p \simeq_p i$ . Suppose  $P$  is a path-homotopy in  $I$  between  $p$  and  $i$ . Then  $k_{c,d} \circ P$  is a path-homotopy in  $X$  between  $k_{a,b}$  and  $k_{c,d}$ . Since  $f * (g * h) = k_{a,b}$  where  $a = 1/2$  and  $b = 3/4$ , and  $(f * g) * h = k_{c,d}$  where  $c = 1/4$  and  $d = 1/2$ , the associativity property holds.

To prove (2), since  $I$  is convex, we see that  $[e_0 * i] = [i]$ . By the previous lemma,  $f \circ i$  and  $f \circ (e_0 * i)$  are path-homotopic. Consequently,

$$[f] = [f \circ i] = [f \circ e_0 * i] = [(f \circ e_0) * (f \circ i)] = [f \circ e_0] * [f \circ i] = [e_{x_0}] * [f].$$

A similar argument show that  $[f] * [e_{x_1}] = [f]$ .

To prove (3), note that  $[i * \bar{i}] = [e_0]$ . Therefore,

$$[e_{x_0}] = [f \circ e_0] = [f \circ (i * \bar{i})] = [f \circ i] * [f \circ \bar{i}] = [f] * [\bar{f}].$$

A similar argument show that  $[\bar{f}] * [f] = [e_{x_1}]$ . □

### 3.15 Proposition: Exercise 1

If  $h, h' : X \rightarrow Y$  are homotopic and  $k, k'$  are homotopic, then  $k \circ h$  and  $k' \circ h'$  are homotopic.

### 3.16 Proposition: Exercise 3

A space  $X$  is said to be **contractible** if the identity map  $i_X : X \rightarrow X$  is nulhomotopic.

- (a) Show that  $I$  and  $\mathbb{R}$  are contractible.
- (b) Show that a contractible space is path connected.
- (c) Show that if  $Y$  is contractible, then for any  $X$ , the set  $[X, Y]$  has a single element.
- (d) Show that if  $X$  is contractible and  $Y$  is path connected, then  $[X, Y]$  has a single element.



## 52. The fundamental group

**monomorphism:** homomorphism + injective. **epimorphism:** homomorphism + surjective.

### 3.17 Definition

Let  $X$  be a space,  $x_0 \in X$ . A path in  $X$  that begins and ends at  $x_0$  is called a **loop** based at  $x_0$ . The set of path homotopy classes of loops based at  $x_0$ , with the operation  $*$ , is called the **fundamental group** of  $X$  relative to the **base point**  $x_0$ . It is denoted by  $\pi_1(X, x_0)$ , called the **first homotopy group** of  $X$ .

**Example 3.18** The unit ball has trivial fundamental group.

### 3.19 Definition

Let  $\alpha$  be a path in  $X$  from  $x_0$  to  $x_1$ . We define a map  $\hat{\alpha} : \pi_1(X, x_0) \rightarrow \pi_1(X, x_1)$  by  $\hat{\alpha}([f]) = [\hat{\alpha}] * [f] * [\alpha]$ .

### 3.20 Theorem

The map  $\hat{\alpha}$  is a group isomorphism.

**Proof)** The proof for homomorphism is trivial. To prove bijectivity, consider  $\hat{\hat{\alpha}}$ . □

### 3.21 Corollary

If  $X$  is path connected and  $x_0$  and  $x_1$  are two points of  $X$ , then  $\pi_1(X, x_0)$  is isomorphic to  $\pi_1(X, x_1)$ .

### 3.22 Definition

A space  $X$  is said to be **simply connected** if it is a path-connected space and if  $\pi_1(X, x_0)$  is trivial (one-element) group for some  $x_0 \in X$ , and hence for every  $x_0 \in X$ , write  $\pi_1(X, x_0) = 0$ .

### 3.23 Lemma

In a simply connected space  $X$ , any two paths having the same initial and final points are path homotopic.

**Proof)** Let  $\alpha$  and  $\beta$  be two paths from  $x_0$  to  $x_1$ . Then  $[\alpha * \bar{\beta}] * [\beta] = [e_{x_0}] * [\beta]$ . □

Suppose that  $h : X \rightarrow Y$  is a continuous map that  $h(x_0) = y_0$ . Then we write  $h : (X, x_0) \rightarrow (Y, y_0)$ .

### 3.24 Definition

Let  $h : (X, x_0) \rightarrow (Y, y_0)$  be a continuous map. Define  $h_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$  by  $h_*([f]) = [h \circ f]$ . The map is called the **homomorphism induced by  $h$** , relative to the base point  $x_0$ .

**Remark**  $h_*$  depends not only on the map  $h : X \rightarrow Y$  but also on the choice of the base point  $x_0$ .

### 3.25 Theorem

If  $h : (X, x_0) \rightarrow (Y, y_0)$  and  $k : (Y, y_0) \rightarrow (Z, z_0)$  are continuous, then  $(k \circ h)_* = k_* \circ h_*$ . If  $i : (X, x_0) \rightarrow (X, x_0)$  is the identity map, then  $i_*$  is the identity homomorphism.

**Proof)** The proof is trivial. □

### 3.26 Corollary

If  $h$  is a homeomorphism, then  $h_*$  is an isomorphism.

**Proof)**  $h_*^{-1}$  is the inverse of  $h_*$ . □

### 3.27 Proposition: Exercise 4

Let  $A \subset X$ ; suppose  $r : X \rightarrow A$  is a continuous map such that  $r(a) = a$  for each  $a \in A$ . (The map  $r$  is called a **retraction** of  $X$  onto  $A$ ) If  $a_0 \in A$ , show that

$$r_* : \pi_1(X, a_0) \rightarrow \pi_1(A, a_0)$$

is surjective.

### 3.28 Definition

$G$  is called a **topological group** if it is both a topological space and a group such that the group operation map

$$G \times G \rightarrow G, \quad (x, y) \mapsto x \cdot y$$

and the inverse map

$$G \rightarrow G, \quad x \mapsto x^{-1}$$

are continuous maps with respect to the topology on  $G$  and the product topology on  $G \times G$ .

### 3.29 Proposition: Exercise 7

Let  $G$  be a topological group with operation  $\cdot$  and identity element  $x_0$ . Let  $\Omega(G, x_0)$  denote the set of all loops in  $G$  based at  $x_0$ . If  $f, g \in \Omega(G, x_0)$ , let us define a loop  $f \otimes g$  by the rule

$$(f \otimes g)(s) = f(s) \cdot g(s).$$

- Show that this operation makes the set  $\Omega(G, x_0)$  into a group.
- Show that this operation induces a group operation  $\otimes$  on  $\pi_1(G, x_0)$ .
- Show that the two group operation  $*$  and  $\otimes$  on  $\pi_1(G, x_0)$  are the same. [Hint: Compute  $(f * e_{x_0}) \otimes (e_{x_0} * g)$ ]
- Show that  $\pi_1(G, x_0)$  is abelian.

## 53. Covering spaces

### 3.30 Definition

Let  $p : E \rightarrow B$  be a continuous surjective map. The open set  $U$  of  $B$  is said to be **evenly covered** by  $p$  if the inverse image  $p^{-1}(U)$  can be written as the union of disjoint open sets  $V_\alpha$  in  $E$  such that for each  $\alpha$ , the restriction of  $p$  to  $V_\alpha$  is a homeomorphism of  $V_\alpha$  onto  $U$ . The collection  $\{V_\alpha\}$  will be called a partition of  $p^{-1}(U)$  into **slices**.

### 3.31 Definition

Let  $p : E \rightarrow B$  be continuous and surjective. If every point  $b$  of  $B$  has a neighborhood  $U$  that is evenly covered by  $p$ , then  $p$  is called a **covering map**, and  $E$  is said to be a **covering space** of  $B$ .

### 3.32 Proposition

For each  $b \in B$ , the subspace  $p^{-1}(b)$  has the discrete topology.

**Proof)** Munkres p336

### 3.33 Proposition

A covering map is open.

**Example 3.34** For any space  $X$ , the identity map  $i : X \rightarrow X$  is a covering map.

### 3.35 Theorem

The map  $p : \mathbb{R} \rightarrow S^1$  given by  $p(x) = (\cos 2\pi x, \sin 2\pi x)$  is a covering map.

**Proof)** Let  $U = \{(x, y) \mid x \in (0, 1], y \in (-1, 1)\}$ . Then  $p^{-1}(U)$  is the union of intervals of the form  $V_n = (n - \frac{1}{4}, n + \frac{1}{4})$ , for all  $n \in \mathbb{Z}$ . We easily see that  $p|_{V_n}$  is bijective (by IVT), and is closed (since  $\overline{V_n}$  is compact), that is, is a homeomorphism of  $\overline{V_n}$  with  $\overline{U}$ . In particular,  $p|_{V_n}$  is a homeomorphism of  $V_n$  with  $U$ . Similar argument can be applied to the upper, left, down side of  $S^1$ . They cover  $S^1$  and each of them is evenly covered by  $p$ .  $\square$

**Example 3.36** Let  $p : S^1 \rightarrow S^1$  be defined on the complex plane, given by  $p(z) = z^2$ . Then  $p$  is a covering map.

### 3.37 Definition

Let  $p : E \rightarrow B$  is a **local homeomorphism** if for each  $x \in E$ , there is a neighborhood  $V$  of  $x$  such that  $p|_V$  is a homeomorphism

### 3.38 Proposition

A covering map is a local homeomorphism.

**Example 3.39**  $p : \mathbb{R}_+ \rightarrow S^1$  given by  $p(x) = (\cos 2\pi x, \sin 2\pi x)$  is surjective, and local homeomorphism, but is not a covering map. This example implies that the restriction of a covering map may not be a covering map.

### 3.40 Theorem

Let  $p : E \rightarrow B$  be a covering map. If  $B_0$  is a subspace of  $B$ , and if  $E_0 = p^{-1}(B_0)$ , then the map  $p_0 : E_0 \rightarrow B_0$  obtained by restricting  $p$  is a covering map.

**Proof)** Munkres theorem 53.2

### 3.41 Theorem

If  $p : E \rightarrow B$  and  $p' : E' \rightarrow B'$  are covering maps, then  $p \times p' : E \times E' \rightarrow B \times B'$  is a covering map.

**Exercise 3.1**  $T = S^1 \times S^1$  is called the **torus**. The product map  $p \times p : \mathbb{R} \times \mathbb{R} \rightarrow S^1 \times S^1$  is a covering map of torus by the plane  $\mathbb{R}^2$ .

## Section 54. The fundamental group of the circle

## Lecture 0909

### 3.42 Theorem

$x \mapsto (\cos 2\pi x, \sin 2\pi x)$  is a covering map.

**Proof)**



**Example 3.43**

$$\begin{aligned} \exp : \mathbb{C} &\rightarrow \mathbb{C} \setminus \{0\} \\ z &\mapsto e^z \end{aligned}$$

$\mathbb{C} \simeq \mathbb{R}^2$  and  $\mathbb{C} \setminus \{0\} \simeq \mathbb{R}^+ \times S$  given by  $(x, y) \mapsto (e^x, e^{iy})$ . It is a product map of a homeomorphism  $\mathbb{R} \rightarrow \mathbb{R}^+$  and a covering map  $\mathbb{R} \rightarrow S$ .

**Lemma 54.1**  $B = \bigcup_{j \in J} u_j$  where open sets  $u_j \subset B$  are evenly covered by  $p$ .  $I = \alpha^{-1}(u_{j_1}) \cup \dots \cup \alpha^{-1}(u_{j_N})$  since compactness of  $I$ .  $\exists 0 < s_0 < s_1 < \dots < s_M = 1$  s.t.  $[s_i, s_{i+1}] \subset \alpha^{-1}(u_{j_k})$  for some  $k$  by Lebesgue's lemma. Assume that there exists a lifting of  $\alpha$   $\tilde{\alpha} : [0, s_i] \rightarrow E$  with  $\tilde{\alpha}(0) = e_0$ .  $\alpha([s_i, s_{i+1}]) \subset U \subset B$  for some evenly covered open set  $U$ . □