Regular expressions and sed & awk

Regular expressions

- Key to powerful, efficient, and flexible text processing by allowing for variable information in the search patterns
- Defined as a string composed of letters, numbers, and special symbols, that defines one or more strings
- You have already used them in selecting files when you used asterisk (`*`) and question mark characters to select filenames
- Used by several Unix utilities such as `ed`, `vi`, `emacs`, `grep`, `sed`, and `awk` to search for and replace strings
  - Checking the author, subject, and date of each message in a given mail folder

    ```
    egrep "^(From|Subject|Date): " <folder>
    ```
  - The quotes above are not a part of the regular expression but are needed by the command shell
  - The metacharacter `|` (or) is a convenient one to combine multiple expressions into a single expression to match any of the individual expressions contained therein
    - The subexpressions are known as *alternatives*
- A regular expression is composed of characters, delimiters, simple strings, special characters, and other metacharacters defined below
- Characters
  - A character is any character on the keyboard except the newline character '`\n`'
  - Most characters represent themselves within a regular expression
  - All the characters that represent themselves are called *literals*
  - A special character is one that does not represent itself (such as a metacharacter) and needs to be *quoted*
    - The metacharacters in the example above (with `egrep`) are `"`, `^`, `(`, `|`, and `)`
  - We can treat the regular expressions as a language in which the literal characters are the *words* and the metacharacters are the *grammar*
- Delimiters
  - A delimiter is a character to mark the beginning and end of a regular expression
  - Delimiter is always a special character for the regular expression being delimited
  - The delimiter does not represent itself but marks the beginning and end of the regular expression
  - Any character can be used as a delimiter as long as it (the same character) appears at both ends of the regular expression
  - More often than not, people use forward slash '`/`' as the delimiter (guess why)
  - If the second delimiter is to be immediately followed by a carriage return, it may be omitted
  - Delimiters are not used with the `grep` family of utilities
- The metacharacters in the regular expressions are

  ```
  ^  $  .  *  [  ]  \{  \}  \  \(  \)
  ```
  - In addition, the following metacharacters have been added to the above for extended regular expressions (such as the one used by `egrep`)

    ```
    +  ?  |  (  )
    ```
  - The dash (−) is considered to be a metacharacter only within the square brackets to indicate a range; otherwise, it is treated as a literal

    - Even in this case, the dash cannot be the first character and must be enclosed between the beginning and the end of range characters

- The regular expression search is not done on a word basis but utilities like `egrep` display the entire line in which the regular expression matches
- Simple strings
  - The most basic regular expression
  - Matches only itself
  - Examples

Reg. Exp.	Matches	Examples
/ring/	ring	ring spring ringing stringing
/Thursday/	Thursday	Thursday Thursday's
/or not/	or not	or not poor nothing

- Special characters
  - Cause a regular expression to match more than one string
  - Period
    - Matches any character
    - Examples

Reg. Exp.	Matches	Examples
/ .alk/	All strings that contain a space followed by any character followed by alk	will talk may balk
/.ing/	all strings with any character preceding ing	singing ping before inglenook
/09.17.98/	Date with any separator	`09/17/98` `09-17-98`

  - Square brackets
    - Define a class of characters that matches any single character within the brackets
    - If the first character immediately following the left square bracket is a caret '^', the square brackets define a character class that match any single character not within the brackets
    - A hyphen can be used to indicate a range of characters
    - Within a character class definition, the special characters (backslash, asterisk, and dollar signs) lose their special meaning
    - A right square bracket appearing as a member of the character class can only appear as the first character following the square bracket
    - A caret is special only if it is the first character following the square bracket
    - A dot within square brackets will not be a metacharacter
      - `/07[.-]17[.-]98/` will not match `07/17/98` but will match `07-17-98`
    - Examples

Reg. Exp.	Matches	Examples
/[bB]ill/	Member of the character class b and B followed by ill	bill Bill billed
/t[aeiou].k/	t followed by a lowercase vowel, any character, and a k	talkative stink teak tanker
/number [6-9]/	number followed by a space and a member of the character class 6 through 9	number 60 number 8: get number 9

`/[^a-zA-Z]/`	any character that is not a letter	1
		7
		`@`
		.
		`}`
		`Stop!`

- Asterisk
  - Can follow a regular expression that represents a single character
  - Represents zero or more occurrences of a match of the regular expression
  - An asterisk following a period matches any string of characters
  - A character class definition followed by an asterisk matches any string of characters that are members of the character class
  - A regular expression that includes a special character always matches the longest possible string, starting as far toward the beginning (left) of the line as possible
  - Examples

Reg. Exp.	Matches	Examples
`/ab*c/`	a followed by zero or more b's followed by a c	ac abc abbc debbcaabbbc
`/ab.*c/`	ab followed by zero or more other characters followed by a c	abc abxc ab45c xab 756.345 x cat
`/t.*ing/`	t followed by zero or more characters followed by ing	thing ting I thought of going
`/[a-zA-Z ]*/`	a string composed only of letters and spaces	1. any string without numbers or punctuation!
`/(.*)/`	as long a string as possible between (and)	Get (this) and (that);
`/([^)]*)/`	the shortest string possible that starts with (and ends with)	(this) Get (this and that)

- Caret and dollar sign
  - A regular expression beginning with a caret '`^`' can match a string only at the beginning of a line
    - The regular expression `cat` finds the string `cat` anywhere on the line but `^cat` matches only if the string `cat` occurs at the beginning of the line
    - `^` is used to *anchor* the match to the start of the line
  - A dollar sign '`$`' at the end of a regular expression matches the end of a line
    - The regular expression `cat` finds the string `cat` anywhere on the line but `cat$` matches only if the string `cat` occurs at the end of the line, it cannot be followed by any character but newline (not even space)

* Examples

Reg. Exp.	Matches	Examples
`/^T/`	a T at the beginning of a line	This line ... That time...
`/^+[0-9]/`	a plus sign followed by a number at the beginning of a line	+5 + 45.72 +759 Keep this...
`/:$/`	a colon that ends a line	...below:

- Quoting special characters
  * Any special character, except a digit or a parenthesis, can be quoted by preceding it with a backslash
  * Quoting a special character makes it represent itself
  * Examples

Reg. Exp.	Matches	Examples
`/end\./`	all strings that contain end followed by a period	The end. send. pretend.mail
`/\\/`	a single backslash	`\`
`/\*/`	an asterisk	`*.c` `an asterisk (*)`
`/\[5\]/`	`[5]`	it was five [5]
`/and\/or/`	`and/or`	`and/or`

- Range metacharacters
  * Used to match a number of expressions
  * Described by the following rules

    `r\{n\}` Match exactly n occurrences of regular expression r
    `r\{n,\}` Match at least n occurrences of regular expression r
    `r\{n,m\}` Match between n and m occurrences of regular expression r

    Both n and m above must be integers between 0 and 256
    For now, r must be considered to be a single character regular expression (strings must be enclosed in bracketed regular expressions)
- Word metacharacters
  * The word boundaries in the regular expressions are denoted by any whitespace character, period, end-of-line, or beginning of line
  * Expressed by

    `\<` beginning of word
    `\>` end of word

- Rules
  - Longest match possible
    * A regular expression always matches the longest possible string, starting as far towards the beginning of the line as possible
  - Empty regular expressions
    * An empty regular expression always represents the last regular expression used
    * Let us give the following command to `vi`

      `:s/mike/robert/`
    * If you want to make the same substitution again, the following is sufficient

      `:s//robert/`
    * You can also do the following

```
/mike/
:s//robert
```

- Bracketing expressions
  - Regular expressions can be bracketed by quoted parentheses `\(` and `\)`
  - Quoted parentheses are also known as *tagged metacharacters*
  - The string matching the bracketed regular expression can be subsequently used as quoted digits
  - The regular expression does not attempt to match quoted parentheses
  - A regular expression within the quoted parentheses matches exactly with what the regular expression without the quoted parentheses will match
  - The expressions `/\(rexp\)/` and `/rexp/` match the same patterns
  - Quoted digits
    - Within the regular expression, a quoted digit (`\n`) takes on the value of the string that the regular expression beginning with the nth `\(` matched
    - Assume a list of people in the format

      last-name, first-name initial
    - It can be changed to the format

      first-name initial last-name

      by the following `vi` command

      ```
      :%s/\([^,]*\), \(.*\)/\2 \1/
      ```
  - Quoted parentheses can be nested
    - There is no ambiguity in identifying the nested quoted parentheses as they are identified by the opening `\(`
    - Example

      ```
      /\([a-z]\([A-Z]*\)x\)
      ```

      matches

      ```
      3 t dMNORx7 l u
      ```
- Replacement string
  - `vi` and `sed` use regular expressions as search strings with the substitute command
  - Ampersands (`&`) and quoted digits (`\n`) can be used to match the replacement strings within the replacement string
  - An ampersand takes on the value of the string that the search string matched
  - Example

    ```
    :s/[0-9][0-9]*/Number &/
    ```
- Redundancy
  - You can write the same regular expression in more than one way
  - To search for strings `grey` and `gray` in a document, you can write the expression as `gr[ae]y`, or `grey|gray`, or `gr(a|e)y`
    - In the last case, parentheses are required as without those, the expression will match `gra` or `ey` which is not the intension
- Regular expressions cannot be used for the newline character

sed

- Stream editor

- Derivative of `ed`
  - Takes a sequence of editor commands
  - Goes over the data line by line and performs the commands on each line
- Basic syntax

```
sed 'list of ed commands' filename[s] ...
```

- The commands are applied from the list in order to each line and the edited form is written to `stdout`
- Changing a pattern in the file

```
sed 's/pat_1/pat_2/g' in_file > out_file
```

- `sed` does not alter the contents of the input file
- Quotes around the list of commands are necessary as the `sed` metacharacters should not be translated by the shell
- Selecting range of lines
- Command to remove the mail header from a saved mail message

```
sed '1,/^$/d' in_file > out_file
```

- Removing the information from the output of the `finger` command to get only the user id and login time

```
finger | sed 's/\([a-zA-Z][a-zA-Z]*\) .* \([0-9][0-9]:[0-9][0-9]\) .*/\1 \2/'
```

- Problem: The first line should have been removed as well

```
finger | sed 's/\([a-zA-Z][a-zA-Z]*\) .* \([0-9][0-9]:[0-9][0-9]\) .*/\1 \2/' | sed '1d'
```

- Indenting a file one tab stop

```
sed 's/^/->/' file
```

- The above matches all the lines (including empty lines)
- Problem can be solved by

```
sed '/./s/^/->/' file
```

- Another way to do it

```
sed '/^$/!s/^/->/' file
```

- Multiple commands in the same invocation of `sed`

```
$ finger | sed 's/\([a-zA-Z][a-zA-Z]*\) .* \([0-9][0-9]:[0-9][0-9]\) .*/\1 \2/
> 1d'
```

  The commands must be on separate lines

- `sed` scripts
  - The `sed` commands can be put into script files and can be executed by

```
sed -f cmdfile in_file
```

- Lines containing a pattern can be deleted by

```
sed '/rexp/d'
```

- Automatic printing
  - By default, `sed` prints each line on the `stdout`
  - This can be inhibited by using the `-n` option as follows

```
sed -n '/pattern/p'
```

  - Matching conditions can be inverted by the `!`

```
sed -n '/pattern/!p'
```

  - The last achieves the same effect as `grep -v`
- Inserting newlines
  - Converting a document from single space to double space

```
$ sed 's/$/\
> /'
```

  - Creating a list of words used in the document

```
$ sed 's/[ ->][ ->]*/\
> /g' file
```

  - Counting the unique words used in the document

```
$ sed 's/[ ->,.][ ->,.]*/\
> /g' file | sort | uniq | wc -l
```

- Writing on multiple files

```
$ sed -n '/pat/w file1
>         /pat/!w file2' filename
```

- Line numbering
  - Line numbers can be used to select a range of lines over which the commands will operate
  - Examples

```
$ sed -n '20,30p'
$ sed '1,10d'
$ sed '1,/^$/d'
$ sed -n '/^$/,/^end/p'
```

  - `sed` does not support relative line numbers (difference with respect to `ed`)

awk

- Acronym for the last names of its designers – Aho, Weinberger, Kernighan
- Not as good as `sed` for editing but includes arithmetic, variables, built-in functions, and a programming language like C; on the other hand, it is a more general processing model than a text editor
- Looks more like a programming language rather than a text editor

Table 1: Summary of sed commands

`a\`	append lines to output until one not ending in `\`
`b label`	branch to command `: label`
`c\`	change lines to following text (as in `a\`
`d`	delete lines
`i\`	insert following text before next output
`l`	list line, making all non-printing characters visible (tabs appear as `>`; lines broken with `\`)
`p`	print line
`q`	quit (for scripts)
`r file`	read file, copy contents to `stdout`
`s/pat1/pat2/f`	substitute `pat2` for `pat1` `f = g`, replace all occurrences `f = p`, print `f = w file`, write to `file`
`t label`	test: branch to `label` if substitution made to current line
`w file`	write line(s) to file
`y/str1/str2/`	replace each character from `str1` with corresponding character from `str2` (no ranges allowed
`=`	print current input line number
`!cmd`	do `sed cmd` if line is not selected
`: label`	set label for `b` and `t` commands
`{`	treat commands up to the matching `}` as a group

- Mostly used for formatting reports, data entry, and data retrieval to generate reports
- `awk` is easier to use than `sed` but is slower
- Usage is

```
awk 'awk_script' files
```

- The `awk_script` looks like

```
pattern { action }
pattern { action }
...
```

- *Input-driven language*
  - `awk` reads one line in the file at a time, compares with each pattern, and performs the corresponding action if the pattern matches
  - There is no effect if the input file is empty
  - Run the following commands to see the effect:

```
touch foobar
awk '{print "Hello World"}' foobar
cat "Line 1" >> foobar
awk '{print "Hello World"}' foobar
cat "Line 1" >> foobar
awk '{print "Hello World"}' foobar
```

  - As it reads each line, `awk` immediately breaks those up into segments (field) based on a specified field separator (`FS`)
  - If you want to make `awk` work on empty fle, you can use the keyword `BEGIN`

```
awk 'BEGIN {print "Hello World"}'
```

- Just like `sed`, `awk` does not alter its input files
- The patterns in `awk` can be regular expressions, or C-like conditions
- `grep` can be written in `awk` as

```
awk '/regular expression/ { print }' filename
```

  - Printing a message for each blank line in file

```
awk '/^$/ { print "Encountered a blank line" }' filename
```

- Either of pattern or action is optional and can be omitted
  - Omitting pattern performs the action on every line

```
awk '{ print }' filename
```

  - Omitting action prints matched lines

```
awk '/regular expression/' filename
```

- Just like `sed`, the `awk_script` can be presented to `awk` from a file by using

```
awk -f awk_script_file filename
```

- `awk` programming model
  - Main input loop
    * Loop reads each line of input from file and makes it available for processing
    * loop iterates as many times as the lines of input
    * Loop terminates when there is no more input to be read
  - Two special keywords – `BEGIN` and `END` specify the commands to be executed before the beginning of loop and at the end of loop, respectively
    * The blocks specified by these two keywords are optional
- Fields
  - A field is a string of characters, separated by `FS`
  - By default, `FS` is any whitespace character
  - `FS` can be specified by a command line option
    * Changing the field separator to colon (:)

```
awk -F: '/regular expression/ { action }' file
```

    * To print the user names and real names in the `passwd` file

```
awk -F: '{print $1"\t"$5}' /etc/passwd
```

  - The output of `who` has six fields as follows

```
sanjiv   console Nov 18 13:26
sanjiv   ttyp0   Nov 18 13:26 (:0.0)
sanjiv   ttypc   Nov 19 13:27 (:0.0)
vlad     ttyp7   Nov 19 16:46 (arrak13.umsl.edu)
```

  - The fields are called `$1`, `$2`, ..., `$NF`
    * `NF` is a variable whose value is set to the number of fields
    * `NF` and `$NF` are not the same
      · `NF` is the number of fields
      · `$NF` is the contents (string) of the last field

- Printing
  - The current input line (or record) is tracked by the built-in variable `NR`
  - The entire input record is contained in the variable `$0`
  - To add line numbers to each line, you can use the following

```
awk '{print NR, $0}' filename
```

  - Fields separated by comma are printed separated by the field separator – a blank space character by default
  - Complete control of the output format can be achieved by using `printf` instead of `print` as follows

```
awk '{ printf "%4d %s\n", NR, $0 }' filename
```

  - `printf` in `awk` is almost identical to the corresponding C function

- Patterns
  - Checking for people who do not have a password entry in the file `/etc/passwd`

```
awk -F: '$2 == ""' /etc/passwd
```

  - Checking for people who have a locked password entry

```
awk -F: '$2 == "*"' /etc/passwd
```

  - Other ways to check for empty string

`$2 == ""`	2nd field is empty
`$2 ~ /^$/`	2nd field matches empty string
`$2 !~ /./`	2nd field does not match any character
`length($2) == 0`	length of 2nd field is zero

  - The symbol `~` indicates a regular expression match while `!~` indicates a regular expression non-match
  - `length` is a built-in function to count the number of characters in the string (or field)
  - Any pattern match can be preceded by `!` to negate its match as follows

```
awk -F: '!( $2 == "" )' filename
```

  - Data validation using the number of fields as criterion – line valid if the number of fields is odd

```
print $LINE | awk 'NF % 2 != 0'
```

  - Printing excessively long lines (> 72 characters)

```
awk 'length($0) > 72' filename
```

  - Above problem with more informative solution

```
$ awk '(length($0) > 72) \
{ print "Line", NR, "too long: ", substr($0,1,50)}' filename
```

  - The function `substr( s, m, n)` produces the substring of `s` beginning at position `m` and with a length of `n` characters; if `n` is omitted, it continues to the end of string
  - Extracting information with `substr`

```
$ date
Wed Nov 20 14:27:33 CST 1996
$ date | awk '{ print substr ( $4, 1, 5 ) }'
14:27
```

- The `BEGIN` and `END` patterns
  - Special patterns used in `awk` scripts
  - `BEGIN` actions are performed before the first input line has been read (used to initialize variables, print headings, and like)
    - Setting the field separator within the script

```
$ awk 'BEGIN {FS = ":"}
>      $2 == "" ' /etc/passwd
```

  - `END` actions are done after the last line has been processed
    - Printing the number of lines in the input

```
awk 'END { printf NR }' ...
```

- Arithmetic and variables
  - `awk` allows you to do more sophisticated arithmetic compared to the shell
  - Adding the numbers in a column (first column), and printing the sum and average

```
        { s = s + $1 }
END     { print s, s/NR }
```

  - Variables can be created by users and are initialized to zero by default
  - `awk` also allows for shorthand arithmetic operators like C

```
        { s += $1 }
END     { print s, s/NR }
```

  - Implementing `wc` in all its generality

```
$ awk '{ nc += length ( $0 ) + 1      # number of chars, 1 for \n
         nw += NF                     # number of words
       }
  END  { print NR, nw, nc }' filename
```

  - Variables can also store string of characters and the interpretation is based on context
  - `awk` maintains a number of built-in variables of both types

Developing man pages with [nt]roff

"Acts oddly on nights with full moon."

– `BUGS` section for `catman` from 4.2BSD Unix manual

- `nroff` and `troff`
  - Native Unix programs to format text
  - Based on requests within the documents that start with a period in the first column
  - Commonly used requests are

`.I`	Italicize following line
`.B`	Following line in bold
`.R`	Following line in Roman
`.br`	Break the line
`.ce`	Center the following line
`.fi`	Fill lines (Align right margins)
`.ft`	Set font
`.na`	No right alignment
`.nf`	Do not fill lines (Preferable to `.na`)
`.sp`	One vertical line

- The manual page
  - Stored in a subdirectory in the directory `/usr/man`
  - The subdirectory is called `man`x where x is a digit or character to indicate the section of the manual
  - The sections are numbered 1 to 8 and n and l

1	User commands
2	System calls
3	C Library functions
4	Devices and network interfaces
5	File formats
6	Games and demos
7	Environments, tables, and troff macros
8	Maintenance commands
1	Misc. reference manual pages (Locally developed and installed)
n	Misc. reference manual pages (New commands)

  - Printed with the `man(1)` command
    - A shellscript that runs `nroff -man` but may be compiled on newer machines
    - The locally developed man pages can be tested for printing with `nroff -man` command
    - The man pages in a given section can be printed by specifying the section number, for example, the man page for the system call `umask` can be printed by typing the command

      ```
      man 2 umask
      ```

      If the section number is not specified, the output will be for the user command from section 1
  - The macros for `man` are discussed in section 7 of the manual and can be invoked by

    ```
    man 7 man
    ```

- No manuals on the kernel
  - Usual device driver man pages are user-level descriptions and not internal descriptions
  - A regular joke was "Anyone needing documentation to the kernel functions probably shouldn't be using them."
  - `/* you are not expected to understand this */` – from Unix V6 kernel source
- Layout of a Unix manual page
  - The manual page is laid out as per the specifications in the `man` macro of `troff`
    - Any text argument may be zero to six words
    - Quotes can be used to include the space character in a "word"
    - Some native nroff conventions are followed, for example, if text for a command is empty, the command is applied to the next line
      A line starting with `.I` and with no other inputs italicizes the next line
    - The prevailing indentation distance is remembered between successive paragraphs but not across sections
  - The basic layout of a man page is described by

```
.TH COMMAND <section-number>
.SH NAME
command \- brief description of function
.B command
options
.SH DESCRIPTION
Detailed explanation of programs and options.
Paragraphs are introduced by .PP
.PP
This is a new paragraph.
.SH FILES
Files used by the command, e.g., passwd(1) mentions /etc/passwd
.SH "SEE ALSO"
References to related documents, including other manual pages
.SH DIAGNOSTICS
Description of any unusual output (e.g., see cmp(1))
.SH BUGS
Surprising features (not always bugs)
```

- If any section is empty, its header is omitted
- The `.TH` line and the `NAME`, `SYNOPSIS`, and `DESCRIPTION` sections are mandatory
- The `.TH` line
  - Begins a reference page
  - The full macro is described by

    ```
    .TH command section date_last_changed left_page_footer center_header
    ```
  - Sets prevailing indent and tabs to 0.5”
- The `.SH` lines
  - Section headers
  - Identify sections of the manual page
  - `NAME` and `SYNOPSIS` sections are special; other sections contain ordinary prose
  - `NAME` section
    - Names the command (in lower case)
    - Provides a one-line description of it
  - `SYNOPSIS` section
    - Names the options, but does not describe them
    - The input is free form
    - Font changes can be described with the `.B`, `.I`, and `.R` macros
    - The name and options are bold while the rest of the information is in roman
  - `DESCRIPTION` section
    - Describes the commands and its options
    - It tells the usage of the command
    - The man page for `cc(1)` describes how to invoke the compiler, optimizer, where the output is, but does not provide a reference page for the manual
    - The reference page can be cited in the `SEE ALSO` section
    - However, `man(7)` is the description of the language of manual macros
    - Command names and tags for options are printed in italics, using the macros `.I` (print first argument in italics) and `.IR` (print first argument in italic, second in roman)
  - `FILES` section
    - Mentions any files implicitly used by the commands

    * `DIAGNOSTICS` section
        · Optional section and generally not present
        · Reports any unusual output produced by the command
        · May contain diagnostic messages, exit statuses, or surprising variations of the command's normal behavior
    * `BUGS` section
        · Could be called `LIMITATIONS`
        · Reports shortcomings in the program that may need to be fixed in a future release

– Other requests and macros for `man`

`.IP x`	Indented paragraph with a tag `x`
`.LP`	Left-aligned paragraph
`.PP`	Same as `.LP`
`.SS`	Section subheading