

# Evaluating FAIR Digital Object as a distributed object system

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# Abstract

## Background

### FAIR Digital Object

The concept of **FAIR Digital Object** [17] has been introduced as way to expose research data as active objects that conform to the FAIR principles [18]. This builds on the *Digital Object* (DO) concept [19], first introduced in 1995 [20] as a system of *repositories* containing *digital objects* identified by *handles* and described by *metadata* which may have references to other handles. DO was the inspiration for the ITU X.1255 framework [21] which introduced an abstract *Digital Entity Interface Protocol* for managing such objects programmatically, first realized by the Digital Object Interface Protocol (DOIP) v1 [22].

In brief, the structure of a FAIR Digital Object (FDO) is to, given a *persistent identifier* (PID) such as a DOI, resolve to a *PID Record* that gives the object a *type* along with a mechanism to retrieve its *bit sequences*, *metadata* and references to further programmatic *operations*. The type of an FDO (itself an FDO) defines attributes to semantically describe and relate such FDOs to other concepts (typically other FDOs referenced by PIDs). The premise of systematically building an ecosystem of such digital objects is to give researchers a way to organize complex digital entities, associated with identifiers, metadata, and supporting automated processing [23].

Recently, FDOs have been recognized by the European Open Science Cloud (EOSC) as a suggested part of its Interoperability Framework [24], in particular for deploying active and interoperable FAIR resources that are *machine actionable*. Sevelopment of the FDO concept continued within Research Data Alliance (RDA) groups and EOSC projects like [GO-FAIR](#), concluding with a set of guidelines for implementing FDO [4]. The [FAIR Digital Objects Forum](#) has since taken over the maturing of FDO through focused working groups which have currently drafted several more detailed specification documents (see section [\[sec:next-step-fdo?\]](#)).

### FDO approaches

FDO is an evolving concept. A set of FDO Demonstrators [13] highlight how current adapters are approaching implementations of FDO from different angles:

### Next steps for FDO

The FAIR Digital Object Forum [1] working groups are preparing more detailed [requirement documents](#) setting out the path for realizing FDOs, named *FDO Recommendations*. As of 2022-05-13, these documents are in draft stage, undergoing internal review, meanwhile the FDO Forum is formalizing the process for maturing and making these recommendations open for public review. As these drafts clarify the future aims and focus of FAIR Digital Objects, we provide their brief summaries below:

The **FDO Forum Document Standards** [2] documents the recommendation process within the forum, starting at *Working Draft* (WD) status within the closed working group and later within the open forum, then *Proposed Recommendation* (PR) published for public review, finalized as *FDO Forum Recommendation* (REC) following any revisions. In addition, the forum may choose to *endorse* existing third-party notes and specifications.

The **FDO Requirement Specifications** [3] is an update of [4] as the foundational definition of FDO. This sets the criteria for classifying an digital entity as a FAIR Digital Object, allowing for multiple implementations. The requirements shown in table [tbl:fdo-checks] are largely equivalent, but here clarified with references to other FDO documents.

The **Machine actionability** [5] sets out to define what is meant by *machine actionability* for FDOs. *Machine readable* is defined as elements of bit-sequences defined by structural specification, *machine interpretable* elements that can be identified and related with semantic artifacts, while *machine actionable* are elements with a type with operations in a symbolic grammar. The document largely describes requirements for resolving an FDO to metadata, and how types should be related to possible operations.

**Configuration Types** [6] classifies different granularities for organizing FDOs in terms of PIDs, PID Records, Metadata and bit sequences, e.g. as a single FDO or several daisy-chained FDOs. Different patterns used by current DOIP deployments are considered, as well as FAIR Signposting [7]

**PID Profiles & Attributes** [8] specifies that PIDs must be formally associated with a *PID Profile*, a separate FDO that defines attributes required and recommended by FDOs following said profile. This forms the *kernel attributes*, building on recommendations from RDA's *PID Information Types* working group [9]. This document makes a clear distinction between a minimal set of attributes needed for PID resolution and FDO navigation, which needs to be part of the *PID Record*, compared with a richer set of more specific attributes as part of the *metadata* for an FDO, possibly represented as a separate FDO.

**Granularity, Versioning, Mutability** [10] considers how granularity decisions for forming FDOs must be agreed by

- Building on the Digital Object concept, using the simplified DOIP v2 specification [12], which detail how to exchange JSON objects through a text-based protocol <sup>1</sup> (usually TCP/IP over TLS). The main DOIP operations are retrieving, creating and updating digital objects. These are mostly realized using the reference implementation [Cordra](#). FDO types are registered in the local Cordra instance, where they are specified using JSON Schema [26]) and PIDs are assigned using the Handle system. Several type registries have been established.
- Following the traditional Linked Data approach, but using the DOIP protocol, e.g. using JSON-LD and schema.org within DOIP (NIST for material science).
- Approaching the FDO principles from existing Linked Data practices on the Web (e.g. WorkflowHub use of RO-Crate and schema.org).

From this it becomes apparant that there is a potentially large overlap between the goals and approaches of FAIR Digital Objects and Linked Data, which we'll cover in the following section @.

## Linked Data

In order to describe *Linked Data* as it is used today, we'll start with an (opinionated) briefing of the evolution of its foundation, the *Semantic Web*.

## A brief history of the Semantic Web

The **Semantic Web** was developed as a vision by Tim Berners-Lee [27], at a time the Web had been widely established for information exchange, as a global set of hypermedia documents that eare cross-related using universal links in the form of URLs. The foundations of the Web (e.g. URLs, HTTP, SSL/TLS, HTML, CSS, ECMAScript/JavaScript, media types) were standardized by [W3C](#), [Ecma](#), [IETF](#) and later [WHATWG](#). The goal of Semantic Web was to further develop the machine-readable aspects of the Web, in particular adding *meaning* (or semantics) to not just the link relations, but also to the *resources* that the URLs identified, and for machines thus being able to meaningfully navigate across such resources, e.g. to answer a particular query.

Through W3C, the Semantic Web was realized with the Resource Description Framework (RDF) [28] that used *triples* of subject-predicate-object statements, with its initial serialization format [29] being RDF/XML (XML was at the time seen as a natural data-focused evolution from the document-centric SGML and HTML).

While triple-based knowledge representations were not new [doi:10.21954/ou.ro.0000f821], the main innovation of RDF was the use of global identifiers in the form of URIs<sup>2</sup> as the primary identifier of the *subject* (what the statement is about), *predicate* (relation/attribute of the subject) and *object* (what is pointed to). By using URIs not just for documents<sup>3</sup>, the Semantic Web builds a self-described system of types and properties, the meaning of a relation can be resolved by following its hyperlink to the definition within a *vocabulary*.

The early days of the Semantic Web saw fairly lightweight approaches with the establishment of vocabularies such as FOAF (to describe people and their affiliations) and Dublin Core (for bibliographic data). Vocabularies themselves were formalized using RDFS or simply as human-readable HTML web pages defining each term. The main approach of this

different communities depending on their pragmatic usage requirements. The affect on versioning, mutability and changes to PIDs are considered, based on use cases and existing PID practices.

**DOIP Endorsement Request** [11] is an endorsement of the DOIP v2.0 [12] specification as a potential FDO implementation, as it has been applied by several institutions [13]. The document proposes that DOIP shall be assessed for completeness against FDO; in this initial draft this is justified as “*we can state that DOIP is compliant with the FDO specification documents in process*” (the documents listed above).

**Upload of FDO** [14] illustrates the operations for uploading an FDO to a repository, what checks it should do (for instance conformance with the PID Profile, if PIDs resolve).

ResourceSync [15] is suggested as one type of service to list FDOs. This document highlights potential practices by repositories and their clients, but adds no particular requirements (e.g. how should failed upload checks be reported?).

**Typing FAIR Digital Objects** [16] defines what *type* means for FDOs, primarily to enable machine actionability and to define an FDO's purpose. This document lays out requirements for how *FDO Types* should themselves be specified as FDOs, and how an *FDO Type Framework* allows organizing and locating types. Operations applicable to an FDO is not predefined for a type, however operations naturally will require certain FDO types to work. How to define such FDO operations is not specified.

It is worth pointing out at that, except for the DOIP endorsement, all of these documents are abstract, in the sense that they permit any technical implementation of FDO, if used according to the recommendations.

*Web of Data* was that a URI identified a *resource* (e.g. an author) had a HTML *representation* for human readers, along with a RDF representation for machine-readable data of the same resource. By using *content negotiation* in HTTP, the same identifier could be used in both views, avoiding `index.html` vs `index.rdf` exposure in the URLs. The concept of *namespaces* gave a way to give a group of RDF resources with the same URI base from a Semantic Web-aware service a common *prefix*, avoiding repeated long URLs.

The mid-2000s saw a large academic interest and growth of the Semantic Web, with the development of more formal representation system for ontologies, such as OWL, allowing complex class hierarchies and logic inference rules following *open world* paradigm (e.g. a *ex:Parent* is equivalent to a subclass of *foaf:Person* which must *ex:hasChild* at least one *foaf:Person*, then if we know *:Alice a ex:Parent* we can infer *:Alice ex:hasChild [a foaf:Person]* even if we don't know who that child is). More human-readable syntaxes of RDF such as Turtle (shown in this paragraph) evolved at this time, and conferences such as ISWC gained traction, with a large interest in knowledge representation and logic systems based on Semantic Web technologies evolving at the same time.

Established Semantic Web services and standards include SPARQL [30] (pattern-based triple queries), [named graphs](#) (triples expanded to *quads* to indicate statement source or represent conflicting views), triple/quad stores (graph databases such as OpenLink Virtuoso, GraphDB, 4Store), mature RDF libraries (including Redland RDF, Apache Jena, Eclipse RDF4J, RDFLib, RDF.rb, rdflib.js), and numerous graph visualization (many of which struggle with usability for more than 20 nodes).

The creation of RDF-based knowledge graphs grew particularly in fields like bioinformatics, e.g. for describing genomes and proteins. In theory, the use of RDF by the life sciences would enable interoperability between the many data repositories and support combined views of the many aspects of bio-entities – however in practice most institutions ended up making their own ontologies and identifiers, for what to the untrained eye would mean roughly the same. One can argue that the toll of adding the semantic logic system of rich ontologies meant that small, but fundamental, differences in opinion (e.g. should a *gene identifier* signify which protein a DNA sequence would make, or just the particular DNA sequence letters, or those letters as they appear in a particular position on a human chromosome?) lead to large differences in representational granularity, and thus needed different identifiers.

Facing these challenges, thanks to the use of universal identifiers in the form of URIs, *mappings* could retrospectively be developed not just between resources, but also across vocabularies. Such mappings can be expressed themselves using lightweight and flexible RDF vocabularies such as SKOS [31] (e.g. `dct:title skos:closeMatch schema:name` to indicate near equivalence of two properties). Automated ontology mappings have identified large potential overlaps (e.g. 372 definitions of `Person`) [32].

The move towards *open science* data sharing practices from the late 2000s encouraged knowledge providers to distribute collections of RDF descriptions as downloadable *datasets*<sup>4</sup>, so that their clients can avoid thousands of HTTP requests for individual resources. This enabled local processing, mapping and data integration across datasets (e.g. Open PHACTS [doi:10.1016/j.websem.2014.03.003]), rather than relying on the providers' RDF and SPARQL endpoints (which could become overloaded when handling many concurrent, complex queries).

With these trends, an emerging problem was that adapters of the Semantic Web primarily utilized it as a set of graph technologies, with little consideration to existing Web resources. This meant that links stayed mainly within a single information system, with little URI reuse even with large term overlaps [doi:10.3233/SW-160238]. Just like *link rot* affect regular Web pages and their citations from scholarly communication [33], for a majority of described RDF resources in the [Linked Open Data](#) (LOD) Cloud's gathering of more than thousand datasets, unfortunately they don't actually link to (still) downloadable (*dereferenceable*) Linked Data [doi:10.3233/SW-190380, handle:20.500.11811/7183]. Another challenge facing potential adapters is the plethora of choices, not just to navigate, understand and select to reuse the many possible vocabularies and ontologies [doi:10.3233/SSW200033], but also technological choices on RDF serialization (at least [7 formats](#)), type system (RDFS [34], OWL [35], OBO [36], SKOS [31]), hash vs slash, HTTP status codes and PID redirection strategies [doi:10.22028/D291-25086].

## Linked Data: Rebuilding the Web of Data

The **Linked Data** concept [37] was kickstarted as a counter-reaction to this development of the Semantic Web, as a set of best practices [38] to bring the Web aspect back into focus. Crucially to Linked Data is to *reuse existing URIs* where they exist, rather than always make new identifiers. This means a loosening of the semantic restrictions previously applied, and an emphasis on building navigatable data resources, rather than elaborate graph representations.

Vocabularies like [schema.org](#) evolved not long after, intended for lightweight semantic markup of existing Web pages, primarily to improve search engines’ understanding of types and embedded data. In addition to several such embedded *microformats* (Open Graph [39], RDFa [40], Microdata [41]) we find JSON-LD [42] as a Web-focused RDF serialization that aims for improved programmatic generation and consumption, including from Web applications. JSON-LD is as of 2022-05-13 used<sup>5</sup> by 42.7% of the top 10 million websites [43].

Recently there has been a renewed emphasis to improve the *Developer Experience* [44] for consumption of Linked Data, for instance RDF Shapes (expressed in SHACL [45] or ShEx [46]) [doi:10.1007/978-3-030-21348-0\_39] can be used to validate RDF Data [doi:10.2200/S00786ED1V01Y201707WBE016] before consuming it programmatically, or reshaping data to fit other models. While a varied set of tools for Linked Data consumptions have been identified, most of them still require developers to gain significant knowledge of the underlying technologies, which hampers adaption by non-LD experts [doi:10.3233/SW-180316], which then tend to prefer non-semantic two-dimensional formats such as CSV files.

A valid concern is that the Semantic Web research community has still not fully embraced the Web, and that the “final 20%” engineering effort is frequently overlooked in favour of chasing new trends such as Big Data and AI, rather than making powerful Linked Data technologies available to the wider groups of Web developers [doi:10.3233/SW-190372]. One bridging gap here by the Linked Data movement has been “linked data by stealth” approaches such as structured data entry spreadsheets powered by ontologies [47], the use of Linked Data as part of REST Web APIs [48] , and as shown by the big uptake by publishers to annotate the Web using schema.org [49], with vocabulary use patterns documented by copy-pastable JSON-LD examples, rather than by formalized ontologies or developer requirements to understand the full Semantic Web stack.

**FAIR**

**Comparing FDO and Web approaches**

In this section we facilitate a couple of existing frameworks for comparing the FDO and Web approach.

**Considering FDO/Web as interoperability framework for Fast Data**

**Table 1:** Considering FDO and Web according to the levels of interoperability [50]:

Quality	FDO w/ DOIP	Web w/ Linked Data
<b>Symbiotic:</b> <i>to what extent multiple applications can agree to interact/align/collaborate/cooperate</i>	<p>Purpose of FDO is to enable federated machine actionable digital objects for scholarly purposes, in practice this also requires agreement of or compatibility between FDO types. FDO encourages research communities to develop common type registries to be shared across instances. In current DOIP practice, each service have their own types, attributes and operations.</p> <p>The wider symbiosis is consistent use of PIDs.</p>	<p>Web is loosely coupled and encourages collaboration and linking by URL. In practice, REST APIs end up being mandated centrally by dominant (often commercial) providers, which clients are required to use as-is with special code per service. Use of Linked Data enables common tooling and semantic mapping across differences.</p>



Quality	FDO w/ DOIP	Web w/ Linked Data
<b>Pragmatic:</b> <i>using interaction contracts so processes can be choreographed in workflows</i>	FDO types and operations enable detailed choreography (see CWFP). <code>ø.TYPE/DOIPOperation</code> has lightweight definition of operation, <code>ø.DOIP/Request</code> or <code>ø.DOIP/Response</code> may give FDO Type or any other kind of “specifics” (incl. human readable docs). Semantics/purpose of operations not formalized (similar operations can be grouped with <code>ø.DOIP/OperationReference</code> ).	“Follow your nose” crawler navigation, which may lead to frequent dead ends. Operational composition, typically within a single API provider, documented by OpenAPI 3 [51], schema.org Actions [[52]], WSDL/SOAP [53], but frequently just as human-readable developer documentation/examples.
<b>Semantic:</b> <i>ensuring consistent understanding of messages, interoperability of rules, knowledge and ontologies</i>	FDO semantic enable navigation and typing. Every FDO have a type. Types maintained in FDO Type registries, which may add additional semantics, e.g. the ePIC <a href="#">PID-InfoType for Model</a> . No single type semantic, Type FDOs can link to existing vocabularies & ontologies. JSON-LD used within some FDO objects (e.g. DISSCO Digital Specimen, NIST Material Science schema) [54]	Lightweight HTTP semantics for authenticity/navigation. Semantic Type not commonly expressed on PID/header level, may be declared within Linked Data metadata. Semantic of type implied by Linked Data formats (e.g. OWL2, RDFS), although choice of type system may not be explicit.
<b>Syntactic:</b> <i>serializing messages for digital exchange, structure representation</i>	DOIP serialize FDOs as JSON, metadata commonly use JSON, typed with JSON Schema. Multiple byte stream attachments of any media type.	Textual HTTP headers (including any signposting), single byte stream of any media type, e.g. Linked Data formats (JSON-LD, Turtle, RDF/XML) or embedded in document (HTML with RDFa, JSON-LD or Microdata). XML previously main syntax used by APIs, JSON now dominant.
<b>Connective:</b> <i>transferring messages to another application, e.g. wrapping in other protocols</i>	DOIP [12] is transport-independent, commonly TLS TCP/IP port 9000), <a href="#">DOIP over HTTP</a>	HTTP/1.1 (TCP/IP port 80), HTTP/1.1+TLS (TCP/IP 443), HTTP/2 (as HTTP/1* but binary), HTTP/3 (like HTTP/2+TLS but UDP)
<b>Environmental:</b> <i>how applications are deployed and affected by its environment, portability</i>	Main DOIP implementation is <a href="#">Cordra</a> , which can be single-instance or <a href="#">distributed</a> . Cordra <a href="#">storage backends</a> include file system, S3, MongoDB (itself scalable). Unique DOIP protocol can be hard to add to existing Web application frameworks, although proxy services have been developed (e.g. B2SHARE adapter).	HTTP services widely deployed in a myriad of ways, ranging from single instance servers, horizontally & vertically scaled application servers, to (for static content) multi-cloud Content-Delivery Networks (CDN). Current scalable cloud technologies for Web hosting may not support HTTP features previously seen as important for Semantic Web, e.g. content negotiation and semantic HTTP status codes.

Mapping of Metamodel concepts:

**Table 2:** Mapping the Metamodel concepts from the Interoperability Framework for Fast Data [50] to equivalent concepts for FDO and Web:

Metamodel concept	FDO/DOIP concept	Web/LD concept
Resource	FDO/DO	Resource
Service	DOIP service	Server/endpoint
Transaction	(not supported)	Conditional requests, 409 Conflict
Process	Extended operations	Primarily stateless, 100 Continue, 202 Accepted
Operation	DOIP Operation	Method, query parameters
Request	DOIP Request	Request
Response	DOIP Response	Response
Message	Segment, <code>requestId</code>	Message, Representation
Channel	DOIP Transport protocol (e.g. TCP/IP, TLS). JSWS signatures.	TCP/IP, TLS, UDP

Metamodel concept	FDO/DOIP concept	Web/LD concept
Protocol	DOIP 2.0, ++	HTTP/1.1, HTTP/2, HTTP/3
Link	PID/Handle	URL

## Assessing DOIP against FDO

**Table 3:** Checking FDO guidelines [4] against its current implementations as DOIP [12] and Linked Data Platform (LDP) [55], with suggestions for required additions.

FDO Guideline	DOIP 2.0	FDO suggestions	Linked Data Platform	LDP suggestion
G1: <i>invest for many decades</i>	Handle system stable for 20 years, DOIP 2.0 since 2017.	Ensure FDO types will not be protocol-bound as DOIP might be updated/replaced	HTTP stable for 30 years, Semantic Web for 20 years	Keep flexibility of RDF serialization formats which may change more frequently
G2: <i>trustworthiness</i>	DOI/Handle trusted by all major academic publishers and data repositories. DOIP relatively unknown, in effect only one implementation.	Further promote DOIP and justify its benefits. Build tutorials and OSI open source implementations. Standardize DOIP-over-HTTP alternative.	JSON-LD used by half of all websites [2], however previous bad experiences with Semantic Web may deter adapters	Ensure simplicity for end developers, rather than semantic overengineering. Example-driven documentation.
G3: <i>FAIR principles</i>	See table 5	Ensure all FAIR principles are covered, build complete examples.	Touched briefly, see table 5	Add explicit expression to show each FAIR principle fulfilled.
G4: <i>machine actionability</i>	CRUD and extension operations dynamically listed (see table @tbl:fdo-web-middleware)	Specify which operations should work for a given type, to reduce need for dynamic lookup. Specify input/output expectations formally (e.g. JSON Schema).	HTTP CRUD operations, Open API (see table @tbl:fdo-web-middleware)	Document operations so client can make subsequent HTTP calls.
G5: <i>abstraction principle</i>	Handle PIDs as abstraction base. DOIP operations can use any transport protocol.	Document transport protocols as FDOs, recommend which transport to use.	URI as abstraction base. Does not specify PID requirements.	Give stronger deployment recommendations.
G6: <i>stable binding</i>				
G7: <i>encapsulation</i>				
G8: <i>technology independence</i>	In theory independent, in reality depends on single implementations of Handle system and DOIP	Encourage open source DOIP testbeds and lighter reference implementations	Multiple HTTP implementations, multiple LDP implementations. No FDOF implementations.	Develop demonstrator of FDOF usage based on existing LDP server.

FDO Guideline	DOIP 2.0	FDO suggestions	Linked Data Platform	LDP suggestion
G9: <i>standard compliance</i>	Handle [RFC??], DOIP []. FDO requirements not standardized yet.	Formalize standard process of FDO requirements [WD-DOC?]	HTTP, LDP. FDOF not yet standardized	Formalize FDOF from FDOF-SEM working group
FDOF1: <i>PID as basis</i>	Extensive use of Handle system.	Clarify how local testing handles can be used during development, how “temporary” FDOs should evolve [PID? policy]. Register 0.DOIP/* and 0.FDO/* as PIDs.		
FDOF2: <i>PID record w/ type</i>		Document requirements for PID Record {@..}		
FDOF3: <i>PID resolvable to bytestream &amp; metadata</i>	Byte stream resolvable through 0.DOIP/Retrieve. No method/attribute defined for separate metadata, only directly in PID Record. Unclear meaning of multiple items and bytestream chunks.	Clarify expectations for multiple items. Recommend chunks to not be used.	URIs resolvable by default. Multiple ways to resolve metadata, unclear preference.	Add FAIR Signposting and preference order.
FDOF4: <i>Additional attributes</i>	Freelisting attribute keys. Attributes should be defined for FDO type (?).	Require that attribute keys should be PIDs (or have predefined mapping to PIDs). Explicitly allow attributes not already defined in type.	All attributes individually identified. Any Linked Data attributes can be used by URI or with mapped prefix.	Clarify type expectations of required/recommended/optional attributes.
FDOF5: <i>Interface: operation by PID</i>	Extended operations use PID, but “pid-like” DOIP operations/types are not registered as handles.	Register 0.DOIP/* and 0.FDO/* as PIDs. Clarify that operations can be mapped to protocol directly.	CRUD operations used directly in HTTP (e.g. PUT). Unclear how to provide PID for additional operations.	Specify how additional operations should be called over HTTP.
FDOF6: <i>CRUD operations + extensions</i>	0.DOIP/Op.Create etc.		GET, PUT, POST, DELETE.	
FDOF7: <i>FDOF Types related to operations</i>				
FDOF8: <i>Metadata as FDO, semantic assertions</i>				
FDOF9: <i>Different metadata levels</i>				
FDOF10: <i>Metadata schemas by community</i>				



FDO Guideline	DOIP 2.0	FDO suggestions	Linked Data Platform	LDP suggestion
FDOF11: <i>FDO collections w/ semantic relations</i>				
FDOF12: <i>Deleted FDO preserve PID w/ tombstone</i>				

Note that the draft update to FDO specification [3] (see box [sec:next-step-fdo?]) clarifies these definitions with equivalent identifiers <sup>6</sup> and relates them to further FDO requirements such as FDO Data Type Registries.

## Comparing FDO and Web as middleware infrastructures

**Table 4:** Comparing FAIR Digital Object (with the DOIP 2.0 protocol [12]) and Web technologies (using Linked Data) as middleware infrastructures [56]

Quality	FDO w/ DOIP	Web w/ Linked Data
<b>Openness:</b> <i>framework enable extension of applications</i>	FDOs can be cross-linked using PIDs, pointing to multiple FDO endpoints. Custom DOIP operations can be exposed, although it is unclear if these can be outside the FDO server. PID minting requires Handle.net prefix subscription, or use of services like <a href="#">Datacite</a> , <a href="#">B2Handle</a> .	The Web is inheritedly open and made by cross-linked URLs. Participation requires DNS domain purchase (many free alternatives also exists). PID minting can be free using PURL/ARK services, or can use DOI/Handle with HTTP redirects.
<b>Scalability:</b> <i>application should be effective at many different scales</i>	No defined methods for caching or mirroring, although this could be handled by backend, depending on exposed FDO operations (e.g. Cordra can scale to multiple backend nodes)	Cache control headers reduce repeated transfer and assist explicit and transparent proxies for speed-up. HTTP GET can be scaled to world-population-wide with Content-Delivery Networks (CDNs), while write-access scalability is typically manage by backend.
<b>Performance:</b> <i>efficient and predictable execution</i>	DOIP has been shown moderately scalable to 100 millions of objects, create operation at 900 requests/second [57]. DOIP protocol is reusable for many operations, multiple requests may be answered out of order (by <code>requestId</code> ). Multiple connections possible. Setup is typically through TCP and TLS which adds latency.	HTTP traffic is about 10% of global Internet traffic, excluding video and social networks [{ 58}]. HTTP 1 connections are serial and reusable, and concurrent connections is common. HTTP/2 adds asynchronous responses and multiplexed streams [59] but still has TCP+TLS startup costs. For reduced latency [60], HTTP/3 [{ 61}] use QUIC [62]) rather than TCP, already adapted heavily (30% of EMEA traffic) of which <a href="#">Instagram &amp; Facebook video</a> is the majority of traffic.
<b>Distribution transparency:</b> <i>application perceived as a consistent whole rather than independent elements.</i>	Each FDO is accessed separately along with its components (typically from the same endpoint). FDOs should provide the mandatory kernel metadata fields. FDOs of the same declared type typically share additional attributes (although that schema may not be declared). DOIP does not enforce metadata typing constraints, this need to be established as FDO conventions.	Each URL accessed separately. Common HTTP headers provide basic metadata, although it is often not reliable. A multitude of schemas and serializations for metadata exists, conventions might be implied by a declared profile or certain media types. Metadata is not always machine findable, may need pre-agreed API URI Templates [63], content-negotiation [64] or FAIR Signposting [7].
<b>Access transparency:</b> <i>local/remote elements accessed similarly</i>	FDOs always accessed through PID indirection, but this means difficult to make private test setup.	Global HTTP protocol frequently used locally and behind firewalls, but at risk of non-global URIs (e.g. <code>http://localhost/object/1</code> ) and SSL issues (e.g. self-signed certificates, local CAs)
<b>Location transparency:</b> <i>elements accessed without knowledge of physical location</i>	FDOs always accessed through PIDs. Multiple locations possible in Handle system, can expose geo-info.	PIDs and URL redirects. DNS aliases and IP routing can hide location. Geo-localized servers common for large cloud deployments.

<b>Quality</b>	<b>FDO w/ DOIP</b>	<b>Web w/ Linked Data</b>
<b>Concurrency transparency:</b> <i>concurrent processing without interference</i>	No explicit concurrency measures. FDO kernel metadata can include checksum and date.	HTTP operations are classified as being stateless/idempotent or not (e.g. <code>PUT</code> changes state, but can be repeated on failure), although these constraints are occasionally violated by Web applications. Cache control, <code>ETag</code> (~ checksum) and modification date in HTTP headers allows detection of concurrent changes on a single resource.
<b>Failure transparency:</b> <i>service provisioning resilient to failures</i>	DOIP status codes, e.g. <code>0.DOIP/Status.104</code> , additional codes can be added as custom attributes	HTTP <a href="#">status codes</a> e.g. <code>404 Not Found</code> , structured error documents in Open API (??)
<b>Migration transparency:</b> <i>allow relocating elements without interfering application</i>	Update of PID record URLs, indirection through <code>0.TYPE/DOIPServiceInfo</code> (not always used consistently). No redirection from DOIP service.	HTTP <code>30x</code> status codes provide temporary or permanent redirections, commonly used for PURLs but also by endpoints.
<b>Persistence transparency:</b> <i>conceal deactivation/reactivation of elements from their users</i>	FDO requires use of PIDs for object persistence, including a thumbstone response for deleted objects. There is no guarantee that an FDO is immutable or will even stay the same type (note: CORDRA extends DOIP with <a href="#">version tracking</a> ).	URLs are not required to persist, although encouraged [65]. Persistence requires convention to use PIDs/PURLs and HTTP <code>410 Gone</code> . An URL may change its content, change in type may sometimes force new URLs if exposing extensions like <code>.json</code> . Memento [66] expose versioned snapshots. WebDAV <code>VERSION-CONTROL</code> method [67] (used by SVN).
<b>Transaction transparency:</b> <i>coordinate execution of atomic/isolated transactions</i>	No transaction capabilities declared by FDO or DOIP. Internal synchronization possible in backend for Extended operations.	Limited transaction capabilities (e.g. <code>If-Unmodified-Since</code> ) on same resource. WebDAV <a href="#">locking mechanisms</a> [68] with <code>LOCK</code> and <code>UNLOCK</code> methods.
<b>Modularity:</b> <i>application as collection of connected/distributed elements</i>	FDOs are inheritedly modular using global PID spaces and their cross-references. In practice, FDOs of a given type are exposed through a single server shared within a particular community/institution.	The Web is inherently modular in that distributed objects are cross-referenced within a global URI space. In practice, an API's set of resources will be exposed through a single HTTP service, but modularity enables fine-grained scalability in backend.
<b>Encapsulation:</b> <i>separate interface from implementation. Specify interface as contract, multiple implementations possible</i>	Indirection by PID gives separation. FDO principles are protocol independent, although it may be unclear which protocol to use for which FDO (although <code>0.DOIP/Transport</code> can be specified after already contacting DOIP). Cordra supports <a href="#">native DOIP</a> , <a href="#">DOIP over HTTP</a> and <a href="#">Cordra REST API</a>	HTTP/1.1 semantics can seamlessly upgrade to HTTP/2 and HTTP/3. <code>http</code> vs <code>https</code> URIs exposes encryption detail <sup>7</sup> . Implementation details may leak into URIs (e.g. <code>search.aspx</code> ), countered by deliberate design of URI patterns [70] and PIDs via Persistent URLs (PURL).
<b>Inheritance:</b> <i>Deriving specialized interface from another type</i>	DOIP types nested with parents, implying shared FDO structures (unclear if operations are inherited). FDO establishes need for multiple Data Type Registries (e.g. managed by a community for a particular domain). Semantics of type system currently undefined for FDO and DOIP, syntactic types can also piggyback of FDO type's schema (e.g. <a href="#">CORDRA \$ref</a> use of <a href="#">JSON Schema references</a> [26])	Syntactically Media Type with multiple suffixes [71] (mainly used with <code>+json</code> ), declaration of subtypes as profiles (RFC6906) [72]. In metadata, semantic type systems (RDFS [34], OWL2 [35], SKOS [31]). OpenAPI 3 [51] <a href="#">inheritance and Polymorphism</a> . XML <code>xsd:schemaLocation</code> & <code>xsd:type</code> [73], JSON <code>\$schema</code> [26], JSON-LD <code>@context</code> [74]. Large number of domain-specific and general ontologies define semantic types, but finding and selecting remains a challenge.
<b>Signal interfaces:</b> <i>asynchronous handling of messages</i>	DOIP 2.0 is synchronous, in FDO async operations undefined. Could be handled as custom jobs/futures FDOs	HTTP/2 <a href="#">multiplexed streams</a> [59], Web Sockets [75], Linked Data Notifications [76], AtomPub [77], SWORD [78], Micropub, more typically ad-hoc jobs/futures REST resources

Quality	FDO w/ DOIP	Web w/ Linked Data
<b>Operation interfaces:</b> <i>defining operations possible on an instance, interface of request/response messages</i>	CRUD predefined in DOIP, custom operations through <code>0.DOIP/Op.ListOperations</code> (can be FDOs of type <code>0.TYPE/DOIPOperation</code> , more typically local identifiers like <code>"getProvenance"</code> )	CRUD predefined in <a href="#">HTTP methods</a> [79], ( <a href="#">extended by registration</a> ), URI Templates [63], <a href="#">OpenAPI operations</a> [51], HATEOAS incl. schema.org Actions [[52]], JSON HAL [80] & Link headers (RFC8288) [81]
<b>Stream interfaces:</b> <i>operations that can handle continuous information streams</i>	Undefined in FDO. DOIP can support multiple byte stream elements (need custom FDO type to determine stream semantics)	HTTP 1.1 [82] <a href="#">chunked transfer</a> , HLS (RFC8216) [83], MPEG-DASH (ISO/IEC 23009-1:2019) [84]

...

As for the aspect of *Performance*, it is interesting to note that while the first version of DOIP [22] supported multiplexed channels similar to HTTP/2, allowing concurrent transfer of several digital objects. However multiplexing was removed for the much simplified DOIP 2.0 [12], which do support multiple asynchronous requests, but unlike DOIP 1.0 will require a DO response to be sent back completely, as a series of segments (which again can be split the bytes of each binary *element* into sized *chunks*), before transmission of another DO response can start on the transport channel. It is unclear what is the purpose of splitting a binary into chunks on a channel which no longer can be multiplexed and the only property of a chunk is its size <sup>8</sup>.

## Assessing FDO against FAIR

**Table 5:** Assessing how FAIR principles is/can be fulfilled by FDOs [4] as DOIP [12], Linked Data Platform (LDP) [55], with examples of existing Linked Data practices.

Principle	FDO/DOIP	FDO/LDP	Linked Data examples
<b>F1:</b> <i>PID for data/metadata</i>	PIDs required (FDOF1). Handle, DOI.	FDOF-IR (Identifier Record). PID can be any URI?	“Cool” URIs [65], PID using PURL services.
<b>F2:</b> <i>data has metadata</i>	FDO has key-value metadata. Unclear how to link to additional metadata.	FDOF-IR links to multiple metadata records	RDF-based metadata by content negotiation or FAIR Signposting. Embedded in landing page (RDFa).
<b>F3:</b> <i>metadata include PID</i>	<code>id</code> and <code>type</code> are required metadata elements PIDs, also implicit as requests must use PID	PID only required in FDOF-IR record.	PID inclusion typical, but often inconsistent (e.g. <code>www.example.com</code> vs <code>example.com</code> ) or missing (use of <code>&lt;&gt;</code> as <i>this</i> subject)
<b>F4:</b> <i>searchable registration</i>	No, registries not required (except Data Type Registries). Handle registry only searchable by PID.	Not specified	Not specified, several registries/catalogues for vocabularies/types (e.g. [85]). Indexing by search engines if exposing HTML w/schema.org.

Principle	FDO/DOIP	FDO/LDP	Linked Data examples
<b>A1:</b> <i>retrieve by standard protocol</i>	Retrievable from PID (FDOF3). Informal DOIP standard maintained by DONA Foundation	HTTP standards maintained by IETF, FDO components resolved by informal proposals (custom vocabulary, extra HTTP methods) or HTTP content negotiation)	Formal HTTP standards maintained by IETF, HTTP content negotiation, FAIR Signposting
<b>A1.1:</b> <i>protocol open/free/universal</i>	Required by G1. Partially realized, although Handle system is open protocol [86] it was covered by software patent <a href="#">US6135646A</a> (expired in 2013), and only implementation of <code>Handle.net</code> software currently only available by <a href="#">public license</a> (not OSI Open Source). <a href="#">CORDRA</a> free to use under BSD-like license, although not recognized by OSI as Open Source.	DNS, HTTP, TLS, RDF standards are open, free and universal, multiple open source clients/servers exist.	
<b>A1.2:</b> <i>protocol can do auth&amp;auth</i>	TLS certificates, authentication field (details unspecified)	HTTP authentication, TLS certificates	
<b>A2:</b> <i>metadata even if data gone</i>	FDO thumbstone required (FDOF12)	Unspecified, however FDOF-IR links to separate metadata records	<code>410 Gone</code> status infrequently used, without metadata. Possible <a href="#">with signposting</a>
<b>I1:</b> <i>formal knowledge representation</i>	Required by FDOF8	Unspecified	Always implied by use of RDF syntaxes.
<b>I2:</b> <i>use FAIR vocabularies</i>	Informally required by G3, formally by FDOF10 (but not in FDOF10)	Unspecified, implied by use of RDF?	FAIR practices for LD vocabularies increasingly common, sometimes inconsistent (e.g. PURLs that don't resolve) or incomplete (e.g. unknown license)
<b>I3:</b> <i>qualified references</i>	Implied by attributes to PIDs of other FDO	Unspecified	By definition (Linked Data is relating to pre-existing URIs [87]). Link relations
<b>R1:</b> <i>relevant attributes</i>	Required (FDOF4). Kernel metadata attributes desired, not yet decided.	Unspecified. Multiple metadata records can allow multiple semantic profiles.	Large number of general and domain-specific vocabularies can make it hard to find relevant attributes. Rough consensus on kernel metadata: schema.org [88], Dublin Core Terms [89], DCAT [90], FOAF [91]
<b>R1.1:</b> <i>clear data license</i>	Unspecified (but will be in PID Kernel metadata?)	Unspecified	Dublin Core Terms <code>dct:license</code> frequently recommended, but not required, e.g. <a href="#">by DCAT 2</a> [90]
<b>R1.2:</b> <i>detailed provenance</i>	Unspecified (some CORDRA types add <code>getProvenance</code> methods). PID Kernel attributes?	Unspecified	W3C PROV-O, PAV
<b>R1.3:</b> <i>follows community standards</i>	Recommended (FDOF4, FDOF10)	Unspecified	Common practice, specially in bioinformatics, e.g. BioSchemas [92], BioPortal [85]



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78. **SWORD 3.0 Specification** <https://swordapp.github.io/swordv3/swordv3.html>

79. **Hypertext Transfer Protocol (HTTP/1.1): Semantics and Content**

- R Fielding, J Reschke (editors)  
*RFC Editor* (2014-06) <https://doi.org/gh4jxc>  
DOI: [10.17487/rfc7231](https://doi.org/10.17487/rfc7231)
80. **draft-kelly-json-hal-08** <https://datatracker.ietf.org/doc/html/draft-kelly-json-hal-08>
81. **Web Linking**  
M Nottingham  
*RFC Editor* (2017-10) <https://doi.org/gf8jcd>  
DOI: [10.17487/rfc8288](https://doi.org/10.17487/rfc8288)
82. **Hypertext Transfer Protocol (HTTP/1.1): Message Syntax and Routing**  
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*RFC Editor* (2014-06) <https://doi.org/gp32q8>  
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83. **HTTP Live Streaming**  
W May  
*RFC Editor* (2017-08) <https://doi.org/gp32rc>  
DOI: [10.17487/rfc8216](https://doi.org/10.17487/rfc8216)
84. **ISO/IEC 23009-1:2019**  
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ISO <https://www.iso.org/cms/render/live/en/sites/isoorg/contents/data/standard/07/93/79329.html>
85. **NCBO BioPortal** <https://bioportal.bioontology.org/ontologies>
86. **Handle System Protocol (ver 2.1) Specification**  
S Sun, S Reilly, L Lannom, J Petrone  
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87. **Data - W3C** <https://www.w3.org/standards/semanticweb/data>
88. **Schema.org - Schema.org** <https://schema.org/>
89. **DCMI Metadata Terms** <https://www.dublincore.org/specifications/dublin-core/dcmi-terms/>
90. **Data Catalog Vocabulary (DCAT) - Version 2** <https://www.w3.org/TR/vocab-dcat-2/>
91. **FOAF Vocabulary Specification** <http://xmlns.com/foaf/spec/>
92. **Bioschemas - Bioschemas** <http://bioschemas.org/>

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1. For a brief introduction to DOIP 2.0 [12], see [25].↵
  2. Although it is possible with `0.DOIP/Op.Retrieve` to request only particular individual elements of an DO (e.g. one file), unlike HTTP's `Range` request, it is not possible to select individual chunks of an element's bytestream.↵
  3. URIs can also identify *non-information resources* for any kind of physical object (e.g. people), such identifiers can resolve with `303 See Other` redirections to a corresponding *information resources* [doi:10.22028/D291-25086].↵
  4. *Datasets* that distribute RDF graphs should not be confused with [RDF Datasets](#) used for partitioning *named graphs*.↵

5. Presumably this large uptake of JSON-LD is mainly for the purpose of Search Engine Optimization (SEO), with typically small amounts of metadata which may not constitute Linked Data as introduced above, however this deployment nevertheless constitute machine-actionable structured data.[↩](#)
6. [3] renames `_FDOF*` to `_FDOR*`, `FDOF3/FDOF4` are swapped to `FDOR4/FDOR3`.[↩](#)
7. The `http` protocol (port 80) can in theory also upgrade [69] to TLS encryption, as commonly used by [Internet Printing Protocol](#) for `ipp` URIs, but on the Web, best practice is explicit `https` (port 443) URLs to ensure following links stay secure.[↩](#)
8. Although it is possible with `0.DOIP/Op.Retrieve` to request only particular individual elements of an DO (e.g. one file), unlike HTTP's `Range` request, it is not possible to select individual chunks of an element's bytestream.[↩](#)