

# *Lecture 7:* **Tree ADT**

---

01204212 Abstract Data Types and Problem Solving

Department of Computer Engineering  
Faculty of Engineering, Kasetsart University  
Bangkok, Thailand.



Department of  
**Computer Engineering**  
Kasetsart University



# Outline

---

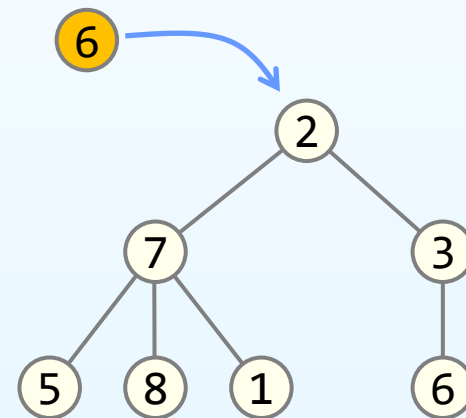
- Terminologies
- Implementation
- Tree Traversals
- Forests



# What is a Tree ADT?

- Data:
  - A set of linked nodes (elements) that form a **hierarchical tree structure** with a **root** and its **subtrees**
  - This is a **recursive definition**
- Defined operations: *ต่างก็*
  - `attach(tree, parent, child)`
  - `detach(tree, node)` *ลบ / ตัดทิ้ง*
  - `search(tree, node)`
  - `degree(tree, node)`
  - `is_root(tree, node)`
  - ...

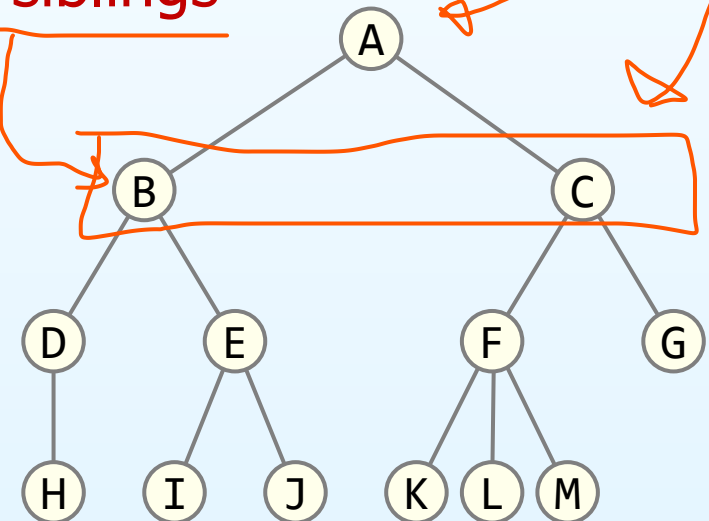
`attach(t, 3, 6)`



`detach(t, 6)`

# Terminology: Parent, Child, and Sibling

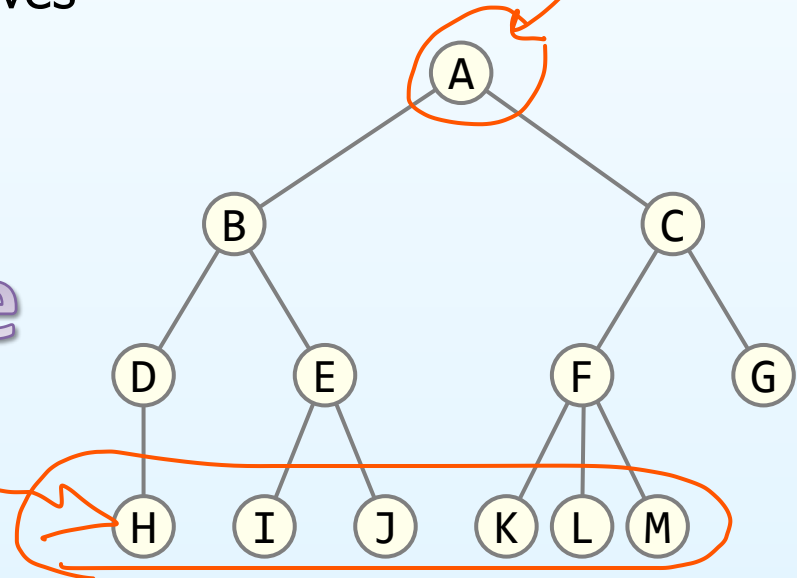
- A tree consists of nodes with a parent-child relation
- All nodes will have zero or more child(ren)  
e.g., B has two children: D and E
- All nodes, except the first node, have only one parent  
e.g., A is the parent of B
- Nodes with the same parent are siblings  
e.g., B and C are siblings



# Terminology: Root, Internal, and Leaf

- Root – node without parent  
e.g., A is the root of the tree
- Internal node – node with at least one child  
e.g., A, B, C, D, E, and F are internal nodes
- External node (a.k.a. **leaf**) – node without children  
e.g., G, H, I, J, K, L, and M are leaves

rooted tree

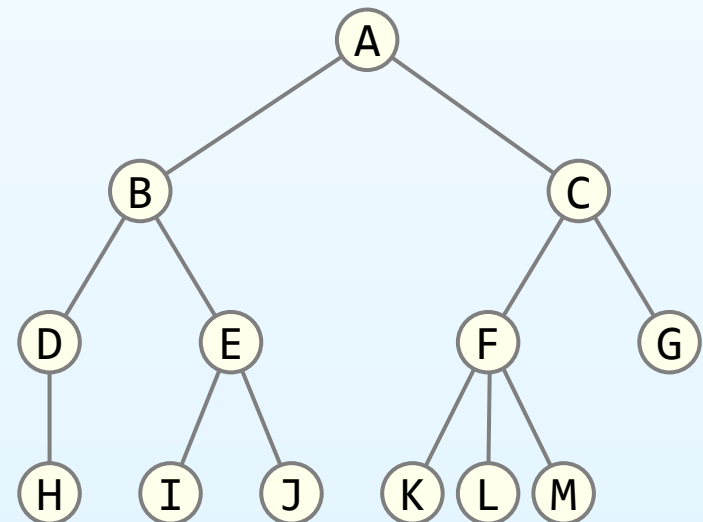


# Terminology: Degree

- The degree of a node is defined as the number of its children

e.g., A has degree 2

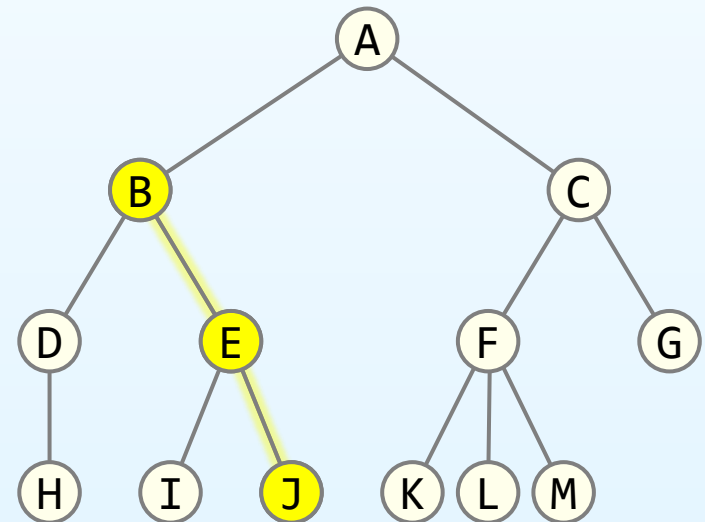
All leaf nodes have zero-degree



# Terminology: Path and Path Length

- A path is a sequence of nodes  $\langle a_i, a_{i+1}, a_{i+2}, \dots, a_j \rangle$  where  $a_{i+1}$  is a child of  $a_i$
- The length of a path is defined as the number of links along that path

e.g., the path  $\langle B, E, J \rangle$  has length 2

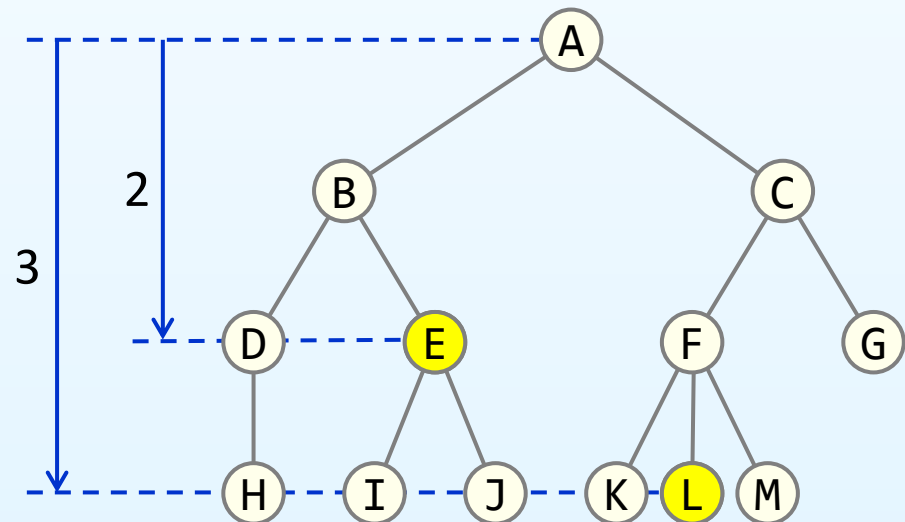


# Terminology: Depth

- For each node in a tree, there exists a unique path from the root node to that node
- The length of this path is the depth of the node

e.g., E has depth 2

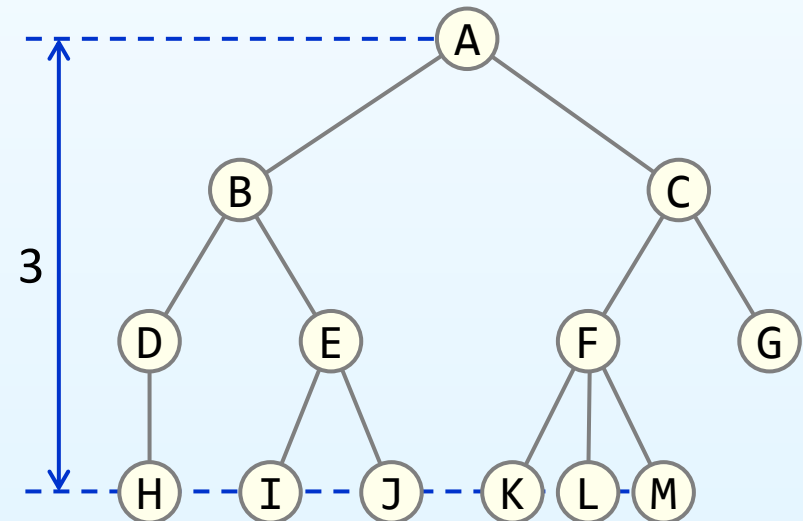
L has depth 3





# Terminology: Height

- The height of a tree is defined as the maximum depth of any node within the tree  
e.g., the height of the example tree is 3
- The height of a tree with one node is 0
- For convenience, we define the height of the empty tree to be -1

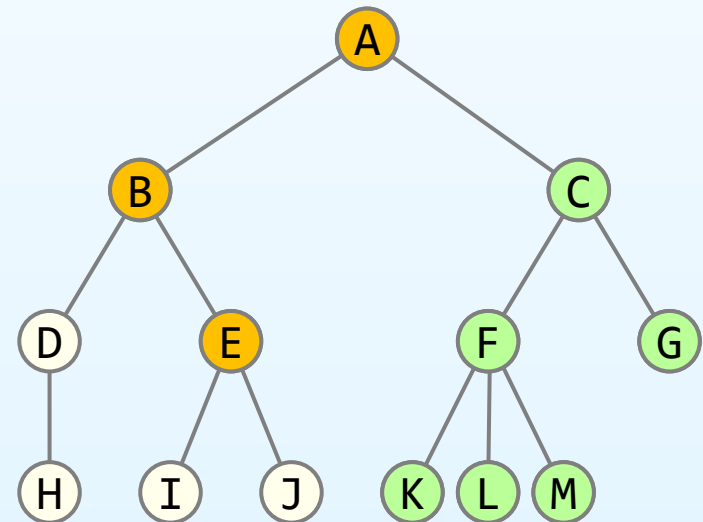


# Terminology: Ancestor and Descendant

- **Ancestor** – the connected **higher-level** nodes
- **Descendant** – the connected **lower-level** nodes
- However, a node is **both** an ancestor and a descendant of itself

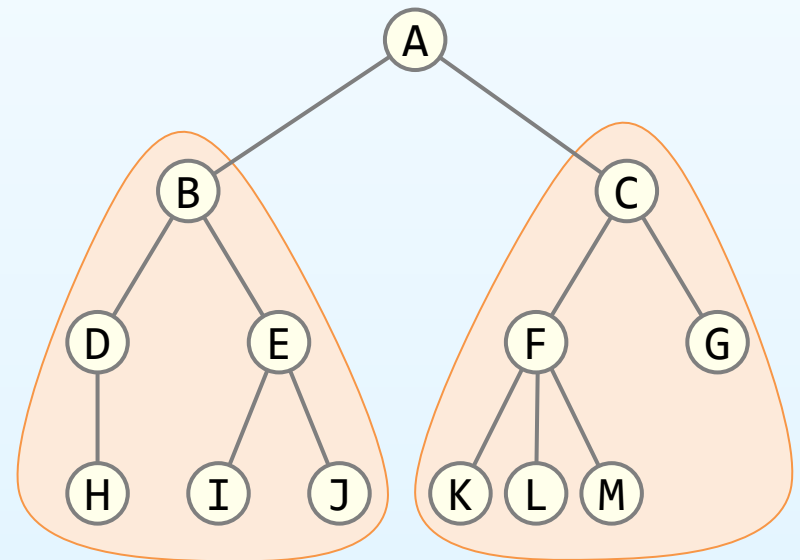
e.g., the ancestors of node E are A, B, and E

the descendants of node C are C, F, G, K, L and M



# Terminology: Subtree

- Another approach is to define a tree **recursively**:
  - (Base case) A zero-degree node is a tree
  - (Recursion) A node with degree  $n$  is a tree if it has  $n$  children and all of its children are disjoint trees—**subtrees** with no intersecting nodes
- **Subtree** is a part of tree consisting of a node and its descendants



# Important Properties

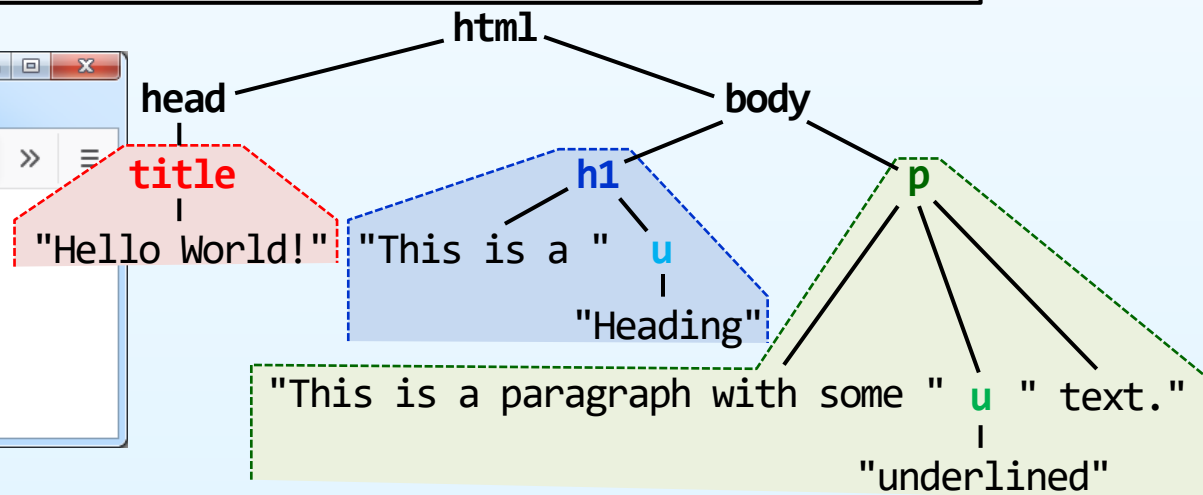
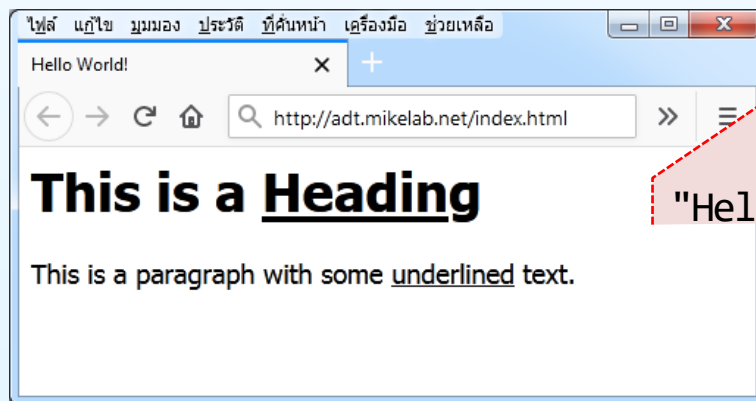
---

- A tree with  $n$  nodes always has  $n - 1$  edges
- Any two nodes in a tree have at most one path between them

# Application: HTML Structure

- The nested tags of HTML can be defined as a tree:

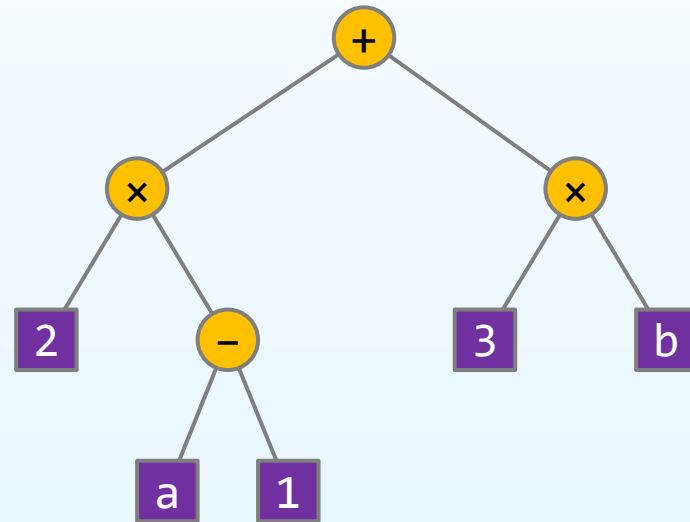
```
<html>
  <head>
    <title>Hello World!</title>
  </head>
  <body>
    <h1>This is a <u>Heading</u></h1>
    <p>This is a paragraph with some <u>underlined</u> text.</p>
  </body>
</html>
```



# Application: Arithmetic Expression Tree

- A tree associated with an arithmetic expression
  - Internal nodes: operators
  - External nodes: operands

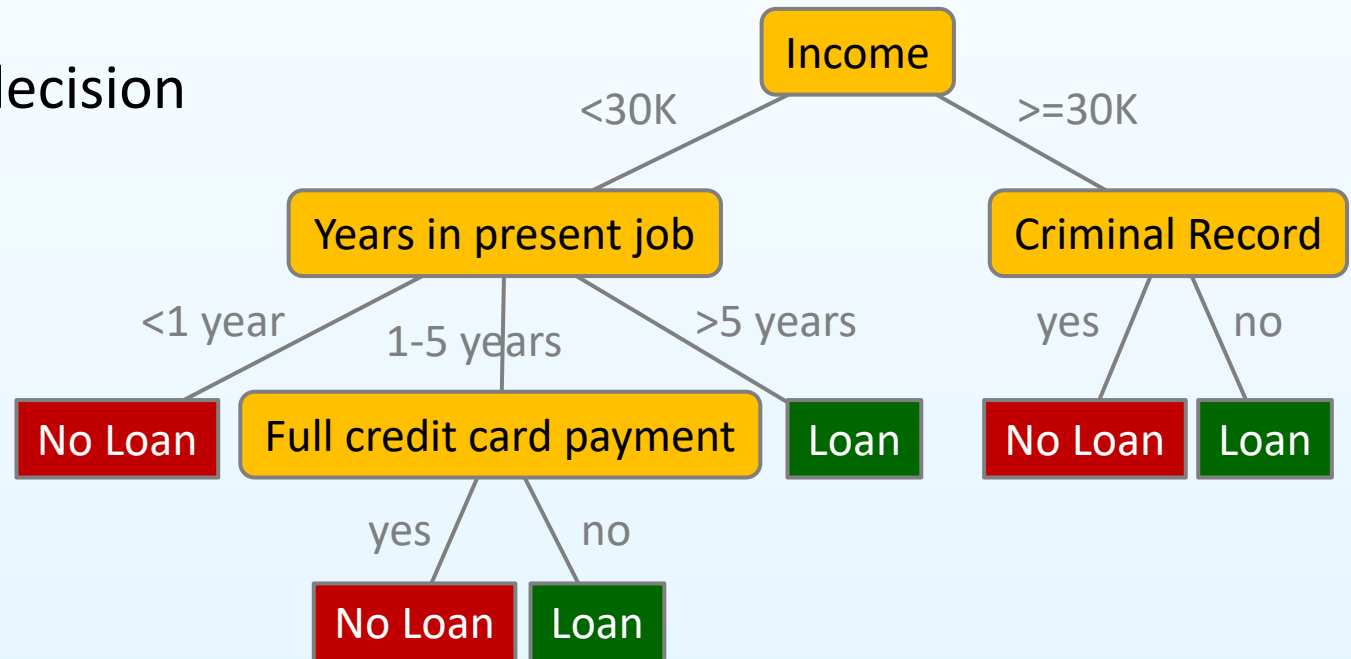
$(2 \times (a - 1) + (3 \times b))$



# Application: Decision Tree

- A tree associated with a decision process
  - **Internal nodes:** questions (with yes/no answer)
  - **External nodes:** decisions

Loan approval decision



# Outline

---

- Terminologies
- Implementation
- Tree Traversals
- Forests



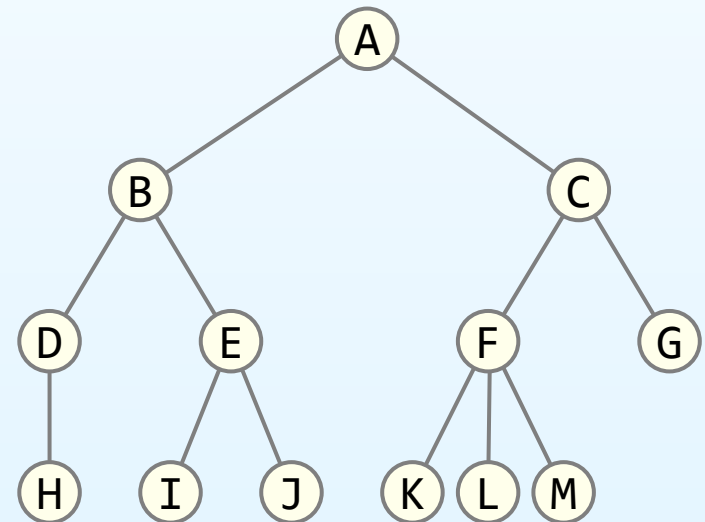
# Abstract Trees

---

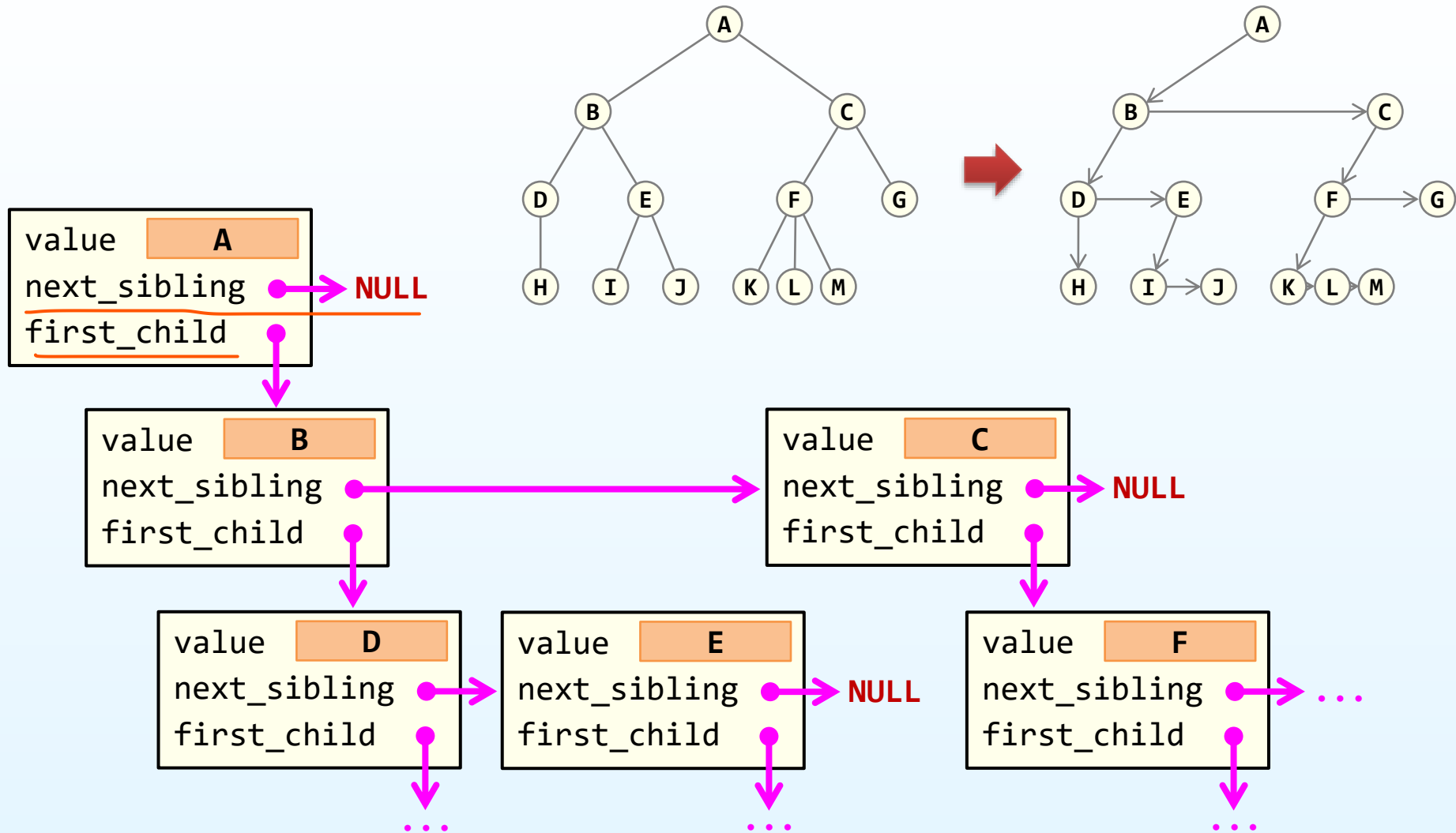
- A hierarchical ordering of a finite number of objects may be stored in a tree data structure
- Operations on a hierarchically stored container include:
  - Accessing the root
  - Given a node
    - Access its parent
    - Find the degree
    - Get a reference to a child
    - Attach a new subtree
    - Detach this subtree from its parent

# Abstract Trees: Design

- A general tree does not strict the number of children
  - One possible **pointer-based implementation**
- What will be stored in an individual node?
  - A value
  - A pointer to next sibling
  - A pointer to the 1<sup>st</sup> child



# Tree Implementation

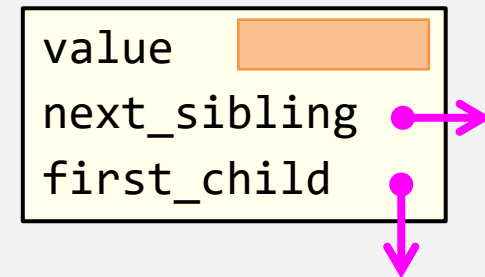


# Tree Implementation

Assume that all data are distinct positive integers

```
1: #include <stdio.h>
2: #include <stdlib.h>
3:
4: typedef struct node {
5:     int value;
6:     struct node *next_sibling;
7:     struct node *first_child;
8: } node_t;
9:
10: typedef node_t tree_t;
11:
12: int main(void) {
13:     tree_t *t = NULL;
14:     return 0;
15: }
```

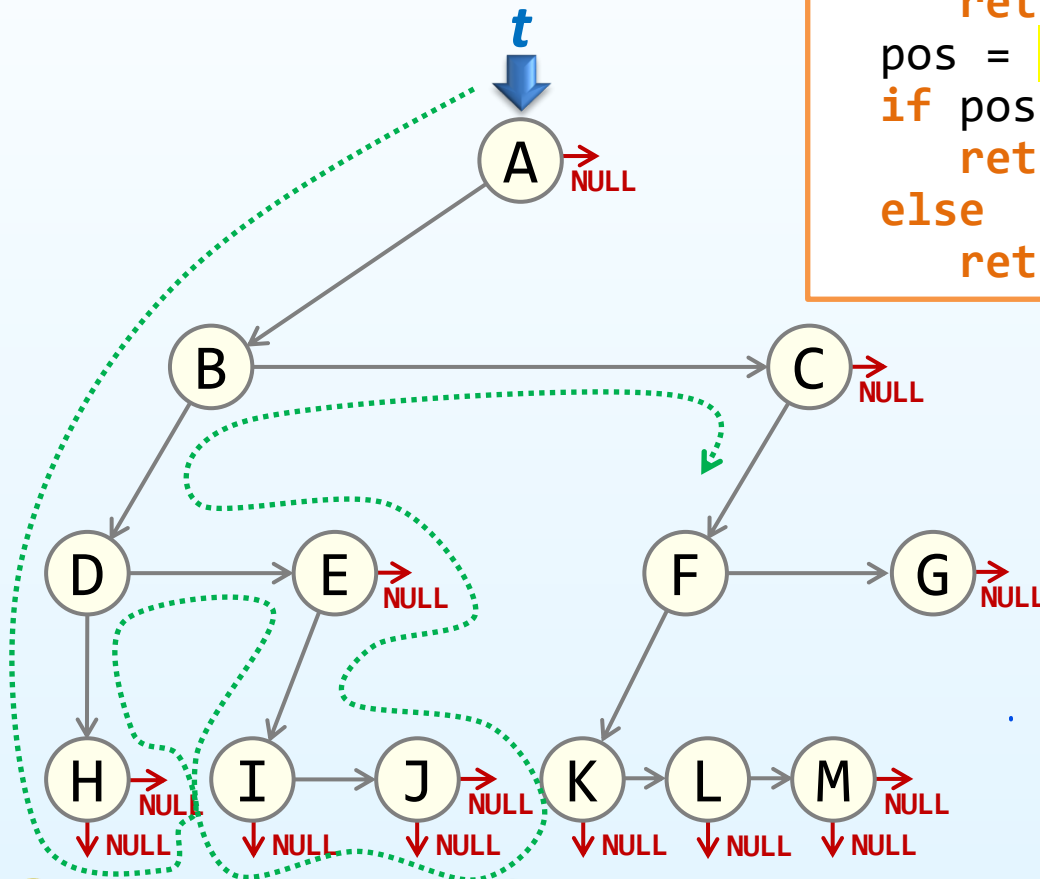
node



# The search() Operation

Return position of node  $v$  in tree  $t$  if found, otherwise NULL

```
search(t, 'F')
```



### Algorithm: search( $t$ , $v$ )

```
if t->value == v || t == NULL
```

```
return t
```

```
pos = search(t->first_child, v)
```

```
if pos != NULL
```

```
return pos
```

else

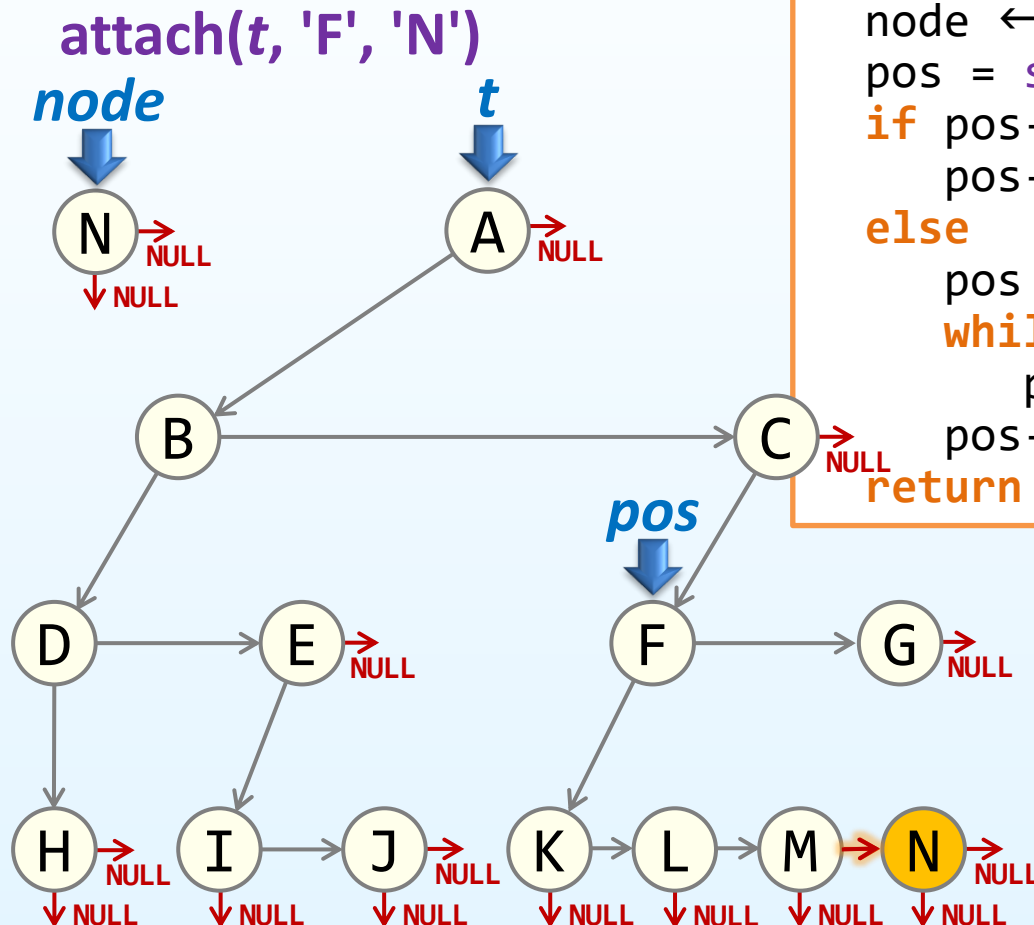
```
return search(t->next_sibling, v)
```

# What is the running time?

# The attach() Operation

Insert a node/subtree  $c$  as a child of  $p$  in tree  $t$

```
Algorithm: attach( $t, p, c$ )  
node  $\leftarrow$  allocate a new node  $c$   
pos = search( $t, p$ )  
if pos->first_child == NULL  
    pos->first_child = node  
else  
    pos = pos->first_child  
    while pos->next_sibling != NULL  
        pos = pos->next_sibling  
    pos->next_sibling = node  
return  $t$ 
```



What is the running time?

# Exercise 1: Other Operations

---

Implement the following functions for a **rooted tree**

- $\text{detach}(t, n)$  – delete a node/subtree  $n$  from tree  $t$ 
  - Return  $t$  after the deletion
- $\text{degree}(t, n)$  – find the number of children of a node  $n$ 
  - Return the number of children
- $\text{is\_root}(t, n)$  – check whether a node  $n$  is root
  - return 1 if that node is the root node, otherwise 0
- $\text{is\_leaf}(t, n)$  – check whether a node  $n$  is leaf
  - return 1 if that node is a leaf node, otherwise 0

# Outline

---

- Terminologies
- Implementation
- Tree Traversals
- Forests



# What is a traversal?

Once the objects are stored in a data structure, how do we access them all?

- For an array or linked list, those objects can be accessed sequentially
  - ➔  $\Theta(n)$
- For a stack or queue, we can run multiple `pop()` or `dequeue()` operations
  - ➔  $\Theta(n)$
- However, how can we iterate through all the objects in a tree in an efficient manner
  - ➔ Require  $\Theta(n)$  in running time



# Types of Tree Traversal

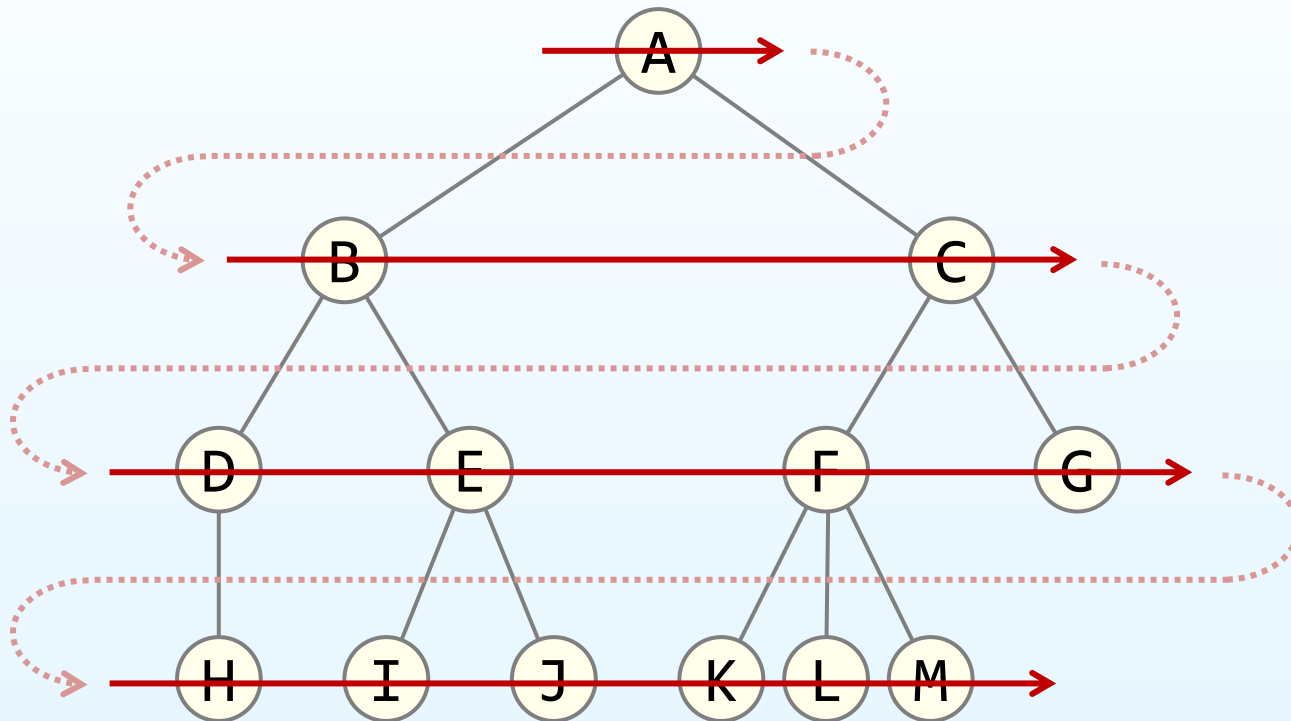
---

Tree traversal algorithms can be classified broadly in **two categories** by the **order** in which the nodes are visited:

- Breadth-First Search (BFS)
- Depth-First Search (DFS)

# Breadth-First Search (BFS)

“It starts from the root node and visits all nodes of **current depth** before moving to the next depth of tree.”

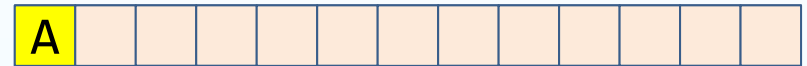


# Breadth-First Search (BFS)

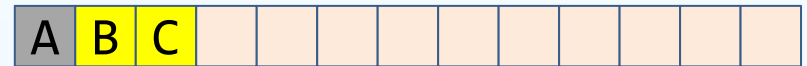
- BFS can be implemented using a **queue**

## Algorithm for BFS

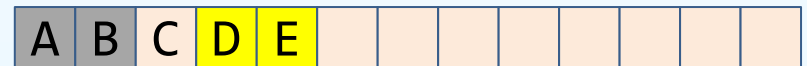
Step 1: enqueue({A})



**Step 2:** dequeue() and then enqueue({B,C})



**Step 3:** dequeue() and then enqueue({D, E})

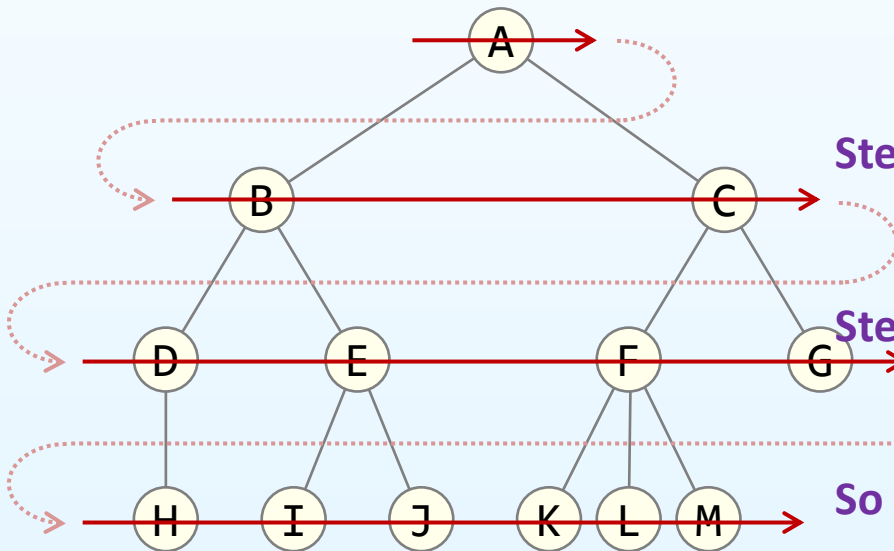


**Step 4:** dequeue() and then enqueue({F,G})



## So on ...

**Output:** A B C ...



# Breadth-First Search (BFS)

- BFS can be implemented using a **queue**

**Algorithm:** BFS( $t$ )

$q \leftarrow$  allocate a queue

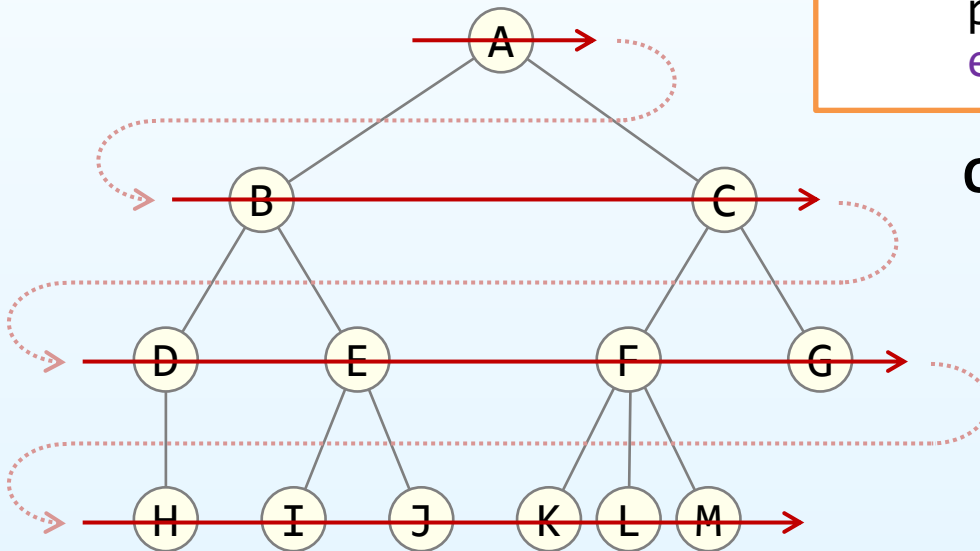
enqueue( $q$ , root)

**while**  $q$  is not empty

node  $\leftarrow$  dequeue( $q$ )

print(node)

enqueue( $q$ , node's all children)



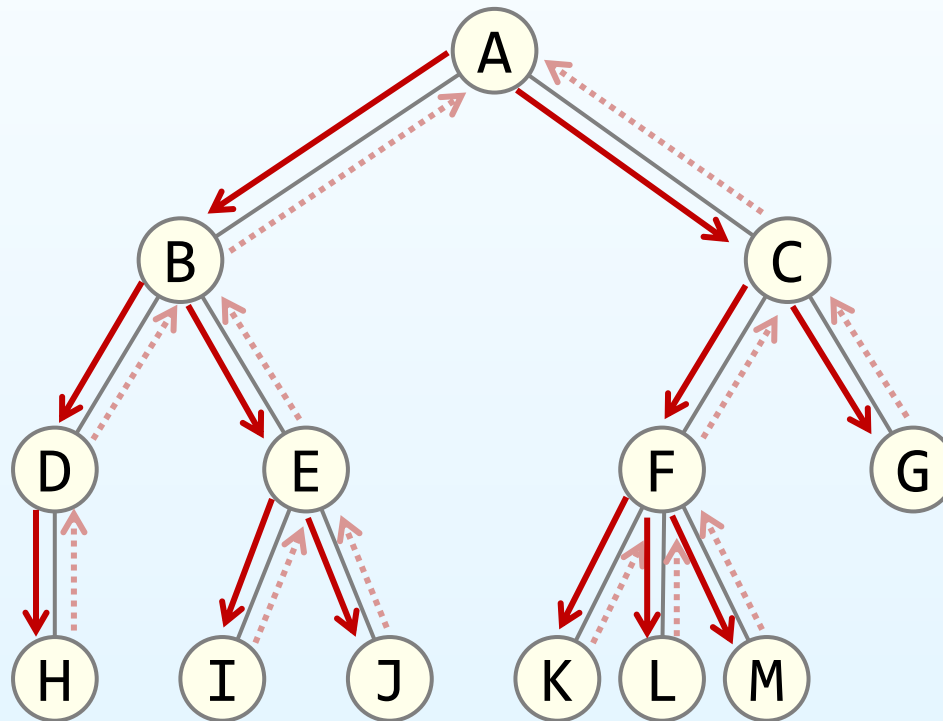
**Output:** A B C D E F G H I J K L M

What is the  
running time?

**Drawback:** Memory is potentially expensive – maximum nodes at a given depth

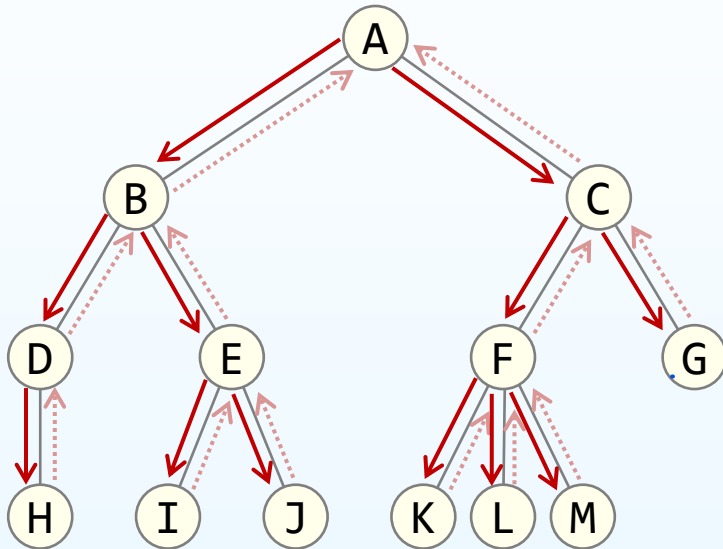
# Depth-First Search (DFS)

“It starts with the root node and first visits all nodes of one branch **as deep as possible** before **backtracking**, it visits all other branches in a similar fashion.”



# Depth-First Search (DFS)

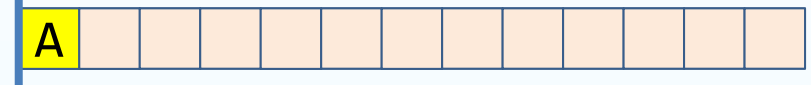
- DFS can be implemented using a **stack**



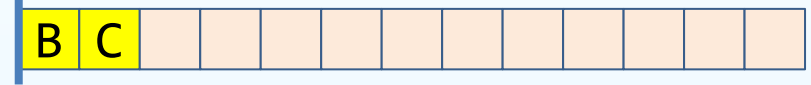
Output: A C G F M ...

**Algorithm** for DFS

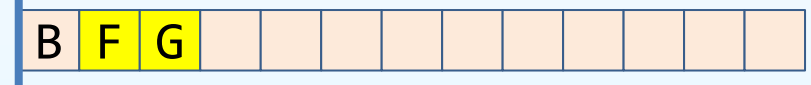
Step 1: `push({A})`



Step 2: `pop()` and then `push({B,C})`



Step 3: `pop()` and then `push({F,G})`



Step 4: `pop()` and then `push({})`

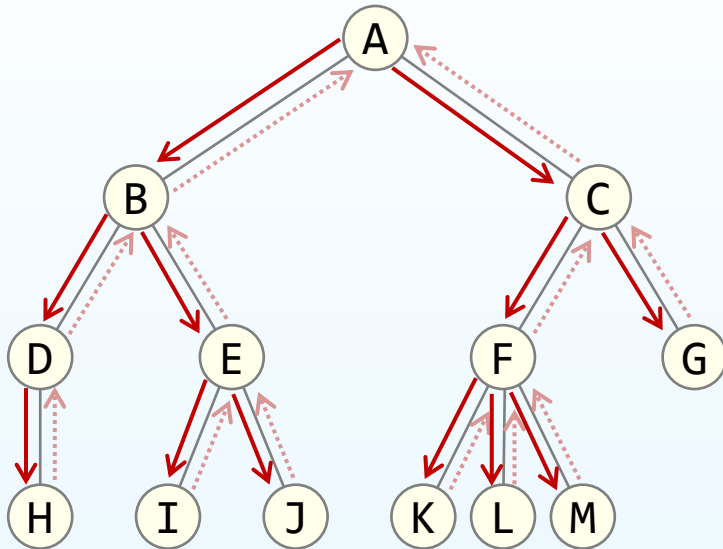


So on ...

**The sequence is not correct as expected!**  
**What should we do?**

# Depth-First Search (DFS) *DFS mem*

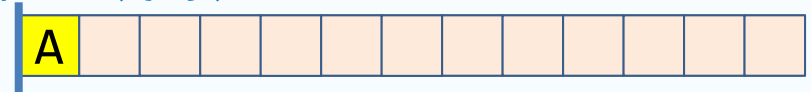
- DFS can be implemented using a **stack**



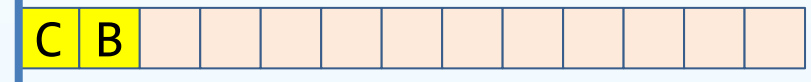
Output: A B D H E ...

**Algorithm** for DFS

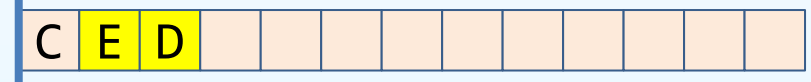
Step 1: **push**({A})



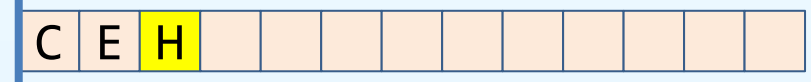
Step 2: **pop**() and then **push**({C,B})



Step 3: **pop**() and then **push**({E,D})



Step 4: **pop**() and then **push**({H})

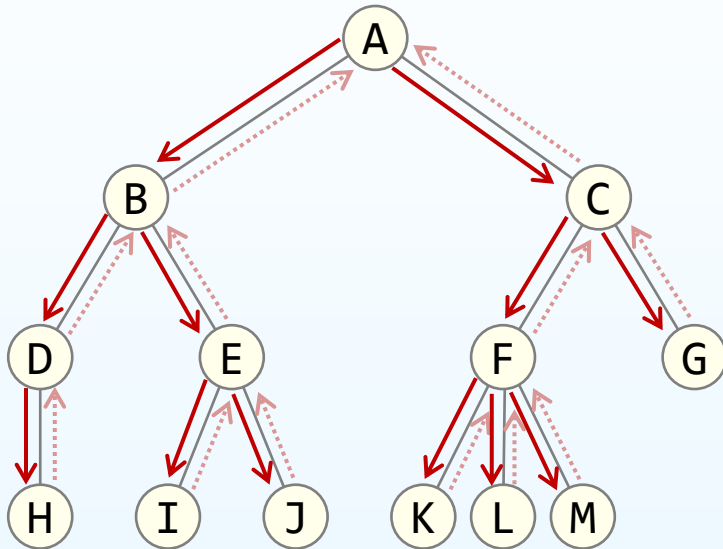


So on ...



# Depth-First Search (DFS)

- DFS can be implemented using a **stack**



**Algorithm:** DFS( $t$ )

$s \leftarrow$  allocate a stack

push( $s$ , root)

**while**  $s$  is not empty

node  $\leftarrow$  pop( $s$ )

print(node)

push( $s$ , node's all children in  
reverse order)

**Output:** A B D H E I J C F K L M G

## What is the running time?

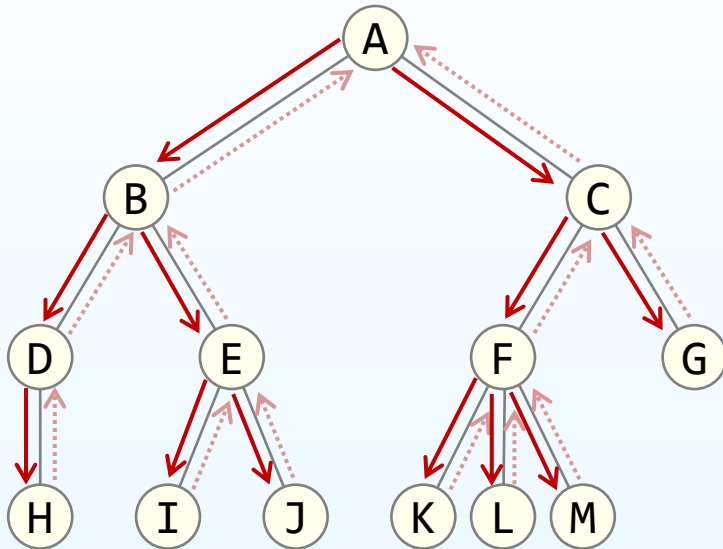
**Note:** The memory required is the height of the tree  $\Theta(h)$



# Depth-First Search (DFS)

- DFS can also be implemented using a **recursion**

We have already seen  
this approach!!!



**Algorithm:** DFS(t)

node  $\leftarrow$  root of t

print(node)

DFS(node's first child)

DFS(node's sibling)

**Output:** A B D H E I J C F K L M G

# Applications of DFS

---

- Finding the height of a tree
- Printing a hierarchical structure
- Calculating the total value of subtrees

# Finding the Height

$$h(t) = \max(h(t_1), h(t_2)) + 1$$

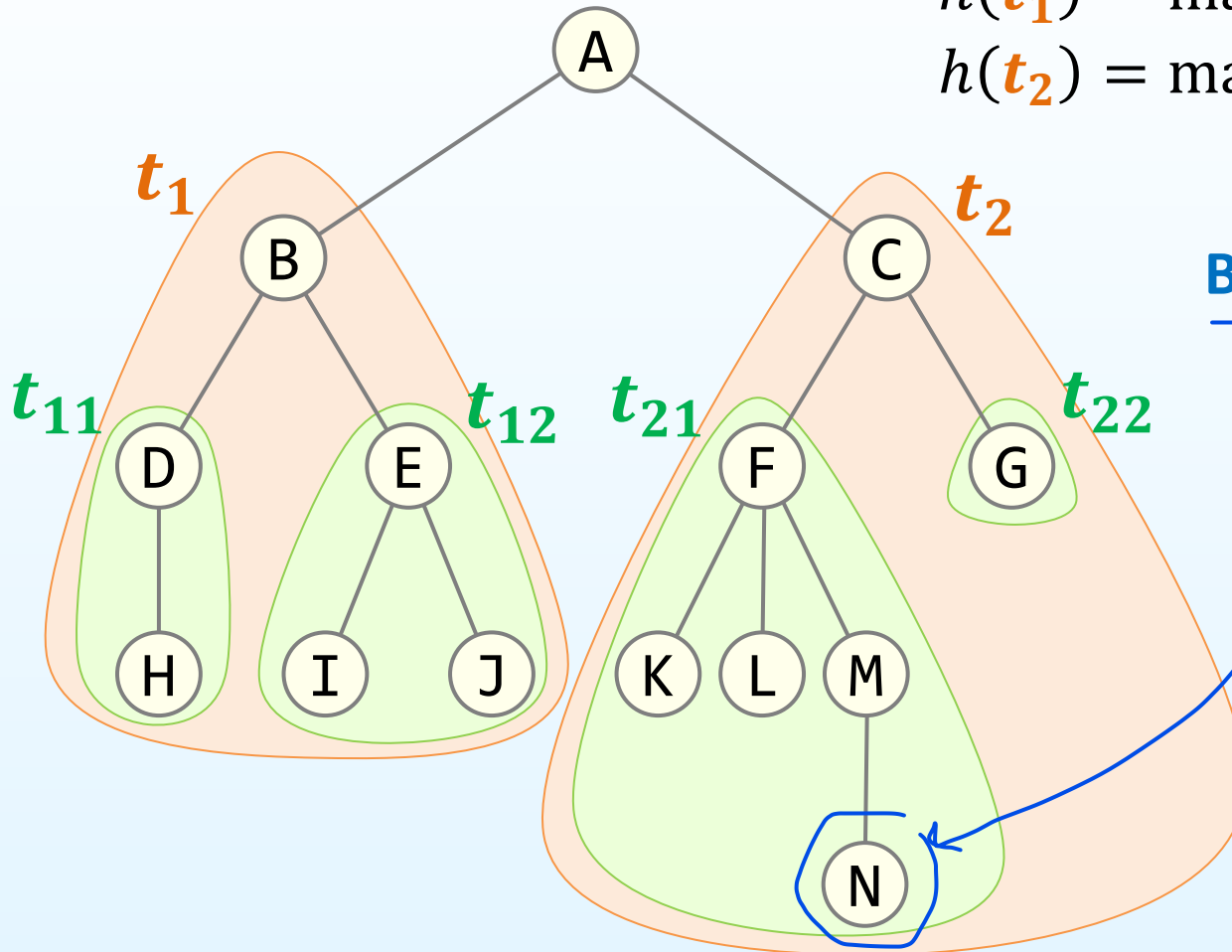
$$h(t_1) = \max(h(t_{11}), h(t_{12})) + 1$$

$$h(t_2) = \max(h(t_{21}), h(t_{22})) + 1$$

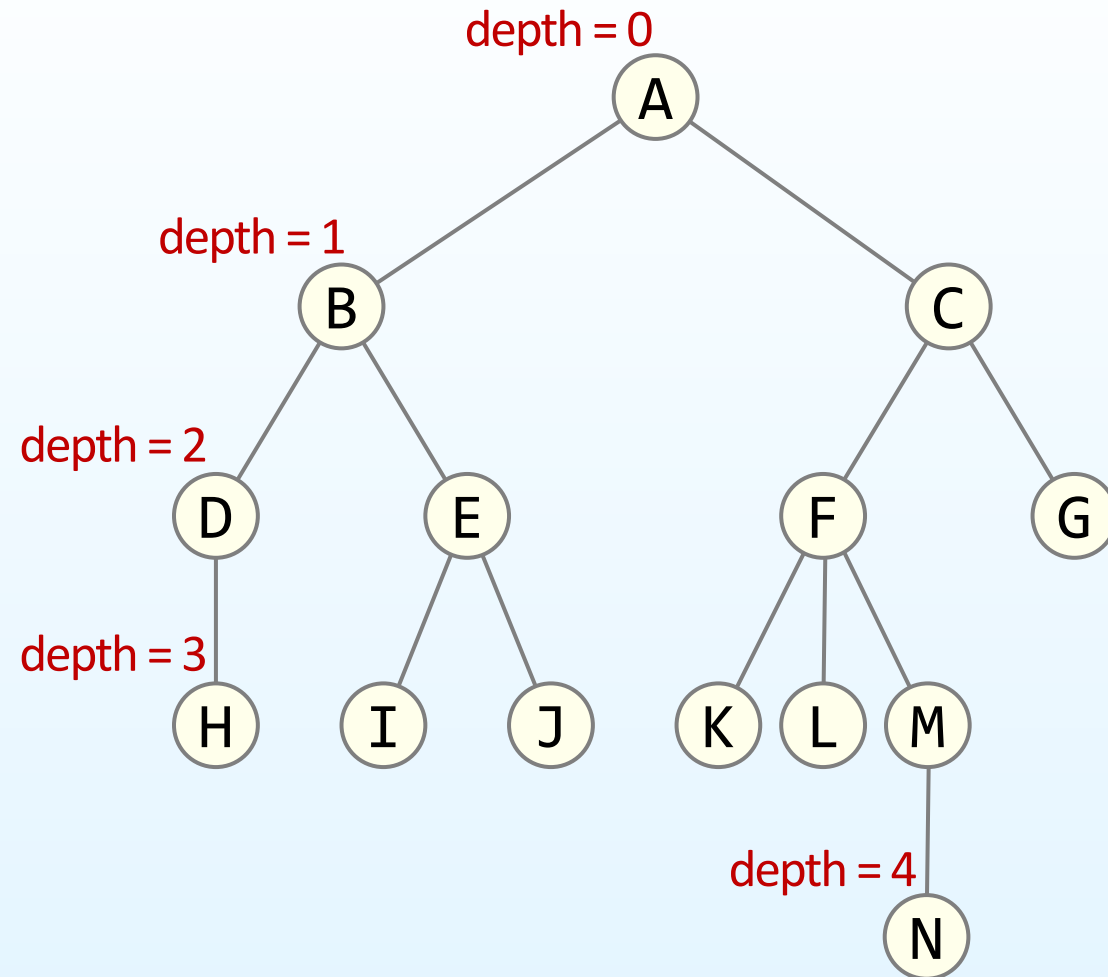
⋮

Base case ?

1 node



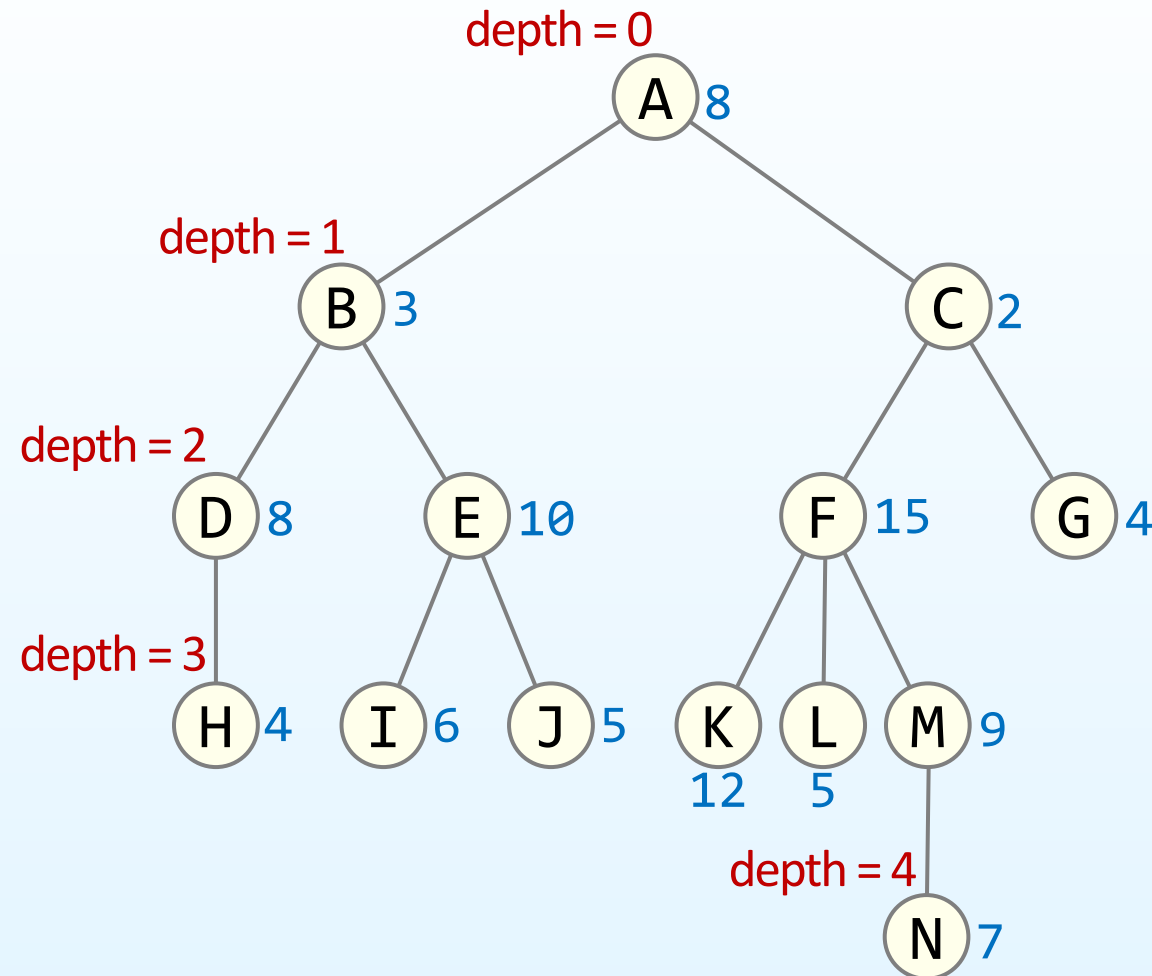
# Printing a Hierarchical Structure



Output:

```
A
  B
    D
      H
    E
      I
      J
  C
    F
      K
      L
      M
        N
    G
```

# Calculating the Total Value of Subtrees



Output:

```
H(4) 4
D(8) 12
I(6) 6
J(5) 5
E(10) 21
B(3) 36
K(12) 12
L(5) 5
N(7) 7
M(9) 16
F(15) 48
G(4) 4
C(2) 54
A(8) 98
```

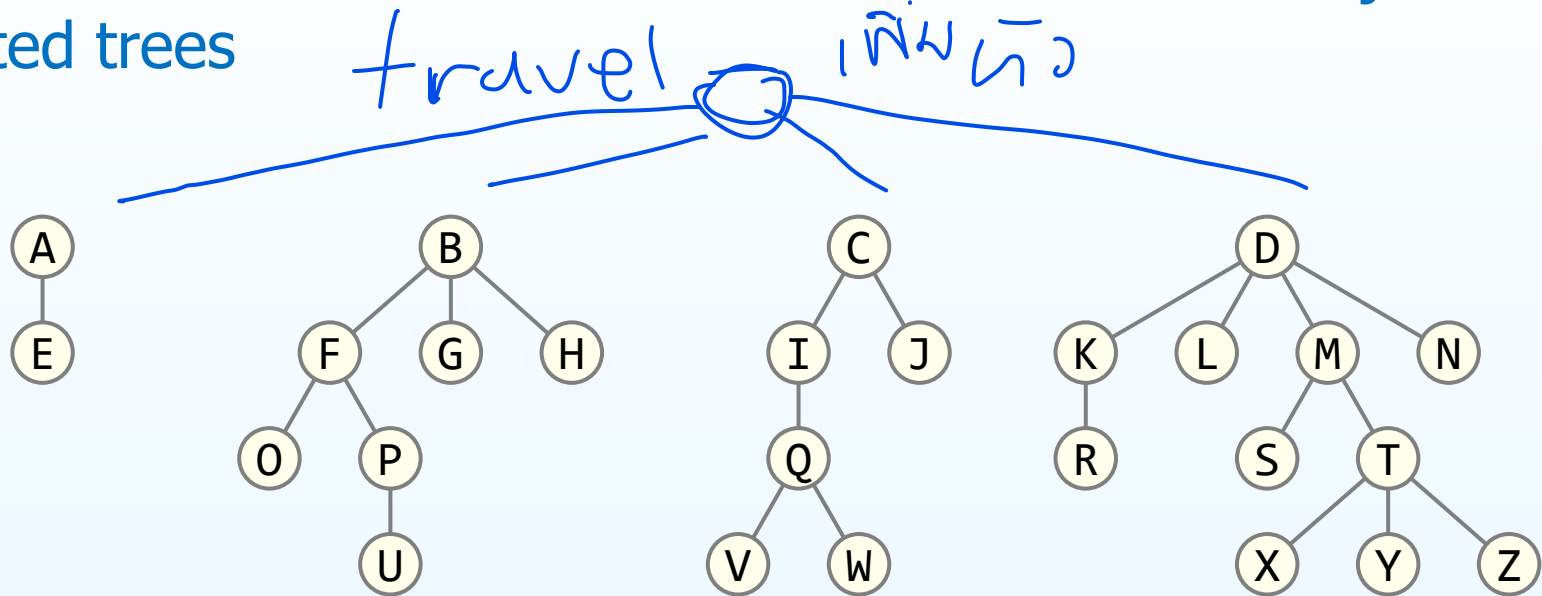
# Outline

---

- Terminologies
- Implementation
- Tree Traversals
- Forests

# Definition

A Forest is a data structure that is a collection of **disjoint rooted trees**



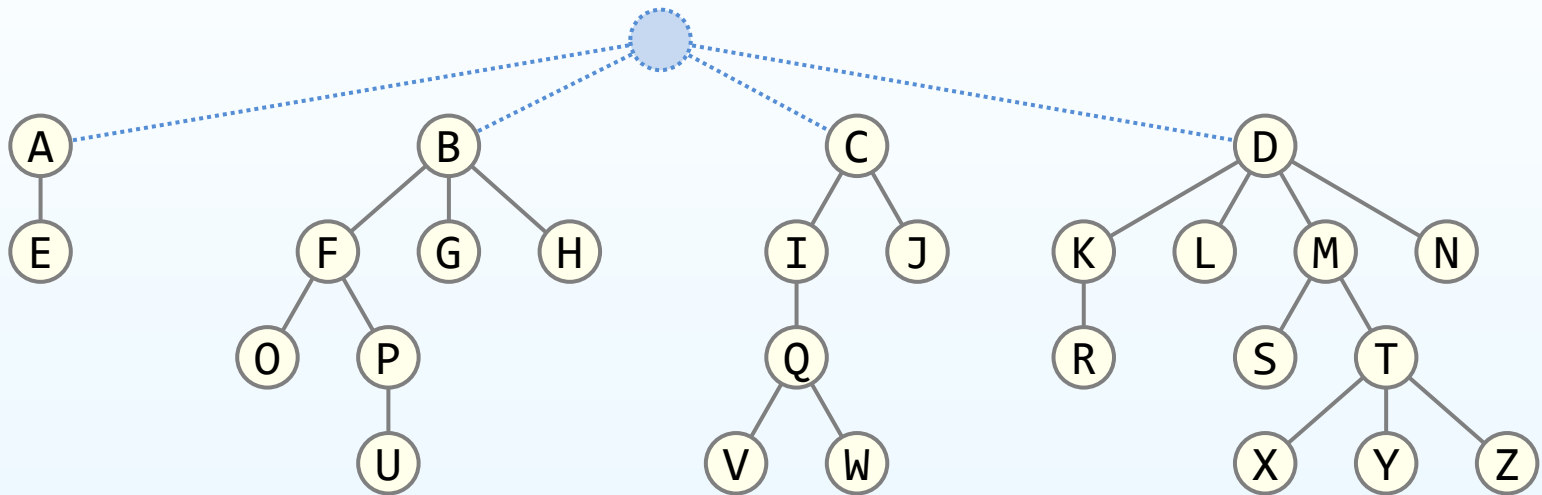
## Note that:

- Any tree can be converted into a forest by **removing** the root node
- Any forest can be converted into a tree by **adding** a root node that has the roots of all the trees in the forest as children



# Traversals

Traversals on forests can be achieved by treating the roots as children of a notional root

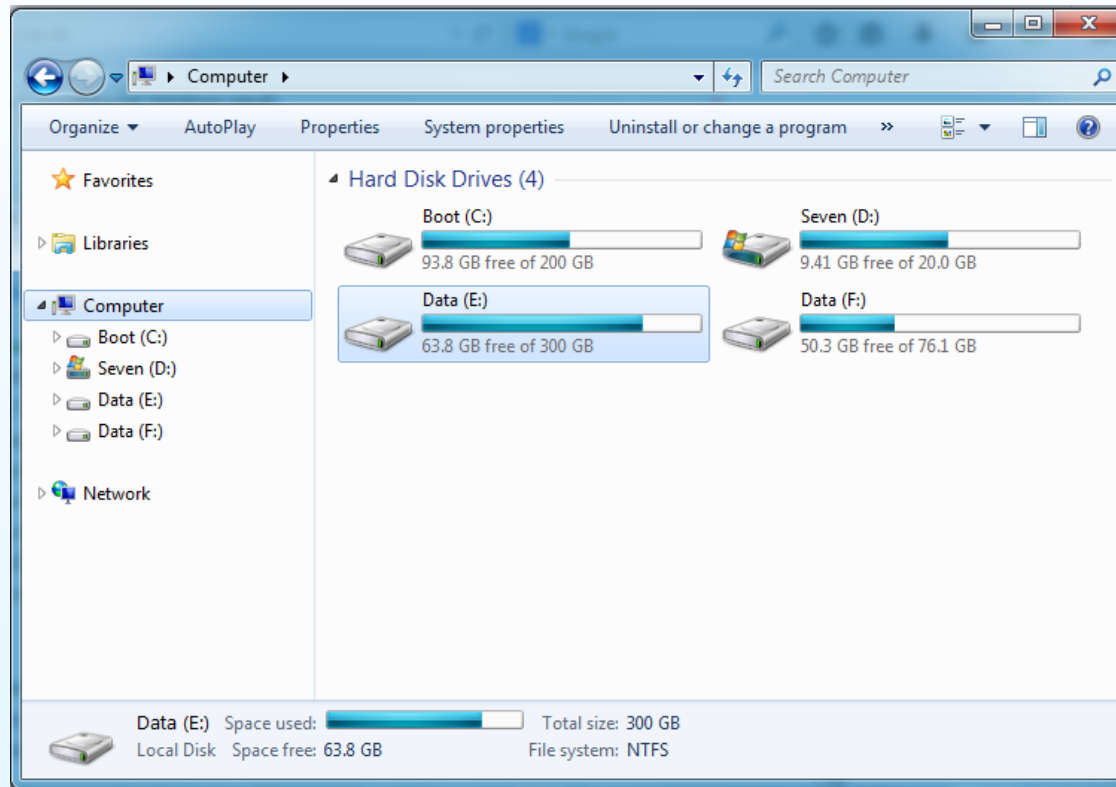


- **Breadth-first traversal:** A B C D E F G H I J K L M N O P Q R S T U V W X Y Z
- **Depth-first traversal:** A E B F O P U G H C I Q V W J D K R L M S T X Y Z N

# Application

In Windows, each drive form the root if its own directory structure

- Each of the directories is hierarchical—that is, a rooted tree



# Any Question?

