

Lecture 11: Hash ADT

01204212 Abstract Data Types and Problem Solving

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Outline

- Hash ADT
- Hash Functions
- Collisions
 - Separate Chaining
 - Open Addressing





The Need for Fast Retrieving Data

- In many applications, we need a system that fast stores and access the data
- For example: a simple system to students' GPA
 - Recall that each student has a unique 10-digit identifier so that we have:

```
(stdID_1,GPA_1),(stdID_2,GPA_2),...,(stdID_n,GPA_n)
```

— We need the fast find(), insert(), and delete() operations

Which ADT?





ADT Candidates

| ADT | find() | insert() | <pre>delete()</pre> |
|-----------------|-------------|-------------|---------------------|
| 1. List | O(n) | 0(1) | O(n) |
| 2. BST/AVL Tree | $O(\log n)$ | $O(\log n)$ | $O(\log n)$ |

- In real-world applications, n is typically between 100 and 100,000 (or more)
 - $-\log n$ is between 6.6 and 16.6
- We need a designed ADT for all operations in $\Theta(1)$ time
 - However, we may incur other costs

Guess! Which data structure?





An Array-Implementation

Our goal:

- Store data so that all operations are $\Theta(1)$ time

Requirement:

- The memory requirement should be $\Theta(n)$
- Normally, the size is larger than the number of the data

In practice, we would like to

- Create an array of size M
- Store each of n objects in one of the M bins
- Have some means of determining the bin in which an object is stored





Example: Design for Implementation

Consider 100 records of student ID with his GPA:

```
(stdID_1,GPA_1),(stdID_2,GPA_2),...,(stdID_100,GPA_100)
```

- We can use the student ID as the index of array
- Suppose "Joe" has the number 6310501234
 - Obviously, we cannot create an array of size 10^{10}
- We could create an array of size 1000:
 - How could you convert a 10-digit number into 3-digit number?
 - First three digits (631...) might cause a problem
 - The last three digits, however, are essentially random
- Therefore, Joe's GPA could be store in gpa[234]=3.50



Example: Design for Implementation

Question:

 What is the likelihood that a class of 100 students will have nobody with the same last three digits?

$$\frac{1000}{1000} \cdot \frac{999}{1000} \cdot \frac{998}{1000} \cdot \frac{997}{1000} \cdot \dots \cdot \frac{901}{1000} \approx 0.005959$$

→ Not very high



Example: Design for Implementation

 Consequently, we have a function that maps a student onto a 3-digit number:

| _ | The function is the modulus of 1000 |
|---|---|
| _ | We can store something in that location |
| _ | Storing, accessing, and deleting it are $\Theta(1)$ |

- However, two or more students may map to the same number:
 - Joe has ID 6310501234 and GPA 3.5
 - Alma has ID 6310505234 and GPA 4.0

| 240 | |
|-----|------|
| 239 | 3.00 |
| 238 | |
| 237 | |
| 236 | |
| 235 | |
| 234 | 3.50 |
| 233 | |
| 232 | |
| 231 | |





Hash Tables

- Objects are stored in an array, called a table
- The process of mapping an object or a number onto an integer in a given range is called hashing
- An event that multiple objects may hash to the same value is called a collision
- Therefore, hash tables use a hash function together with a mechanism for dealing with collisions

The Hash Process



1. Hashing

1.1 Pre-hashing an object into a 32-bit integer

1.2 Mapping to an index 0, ..., M-1 of array

Hash Value

2. Dealing with collisions

- Separate chainingOpen addressing

Storing Data





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Hashing Schemes

 We want to store n objects in a table of M at a location computed from the key K

- Hash function
 - Method for computing table index from key
- Collision resolution strategy
 - How to handle two keys that hash to the same index

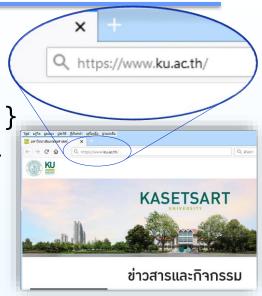




Example: Looking for an IP Address

Data records:

```
- {"www.ku.ac.th", "158.108.216.5"}
- {"www.eng.ku.ac.th", "158.108.215.137"}
- {"www.cpe.ku.ac.th", "158.108.32.150"}
- {"adt.mikelab.net", "158.108.32.156"}
- ...
```



Can we directly index into the array as the followings?

```
- table["www.ku.ac.th"] = "158.108.216.5"
- table["www.eng.ku.ac.th"] = "158.108.215.137"
- table["www.cpe.ku.ac.th"] = "158.108.32.150"
- table["adt.mikelab.net"] = "158.108.32.156"
```



Mapping into Hash Table

- Need a fast hash function h to convert the element key (number or string) to an integer (hash value)
 - Then, use this value as an index of array
- Given an array table of size 1000, we first calculate the index by:

```
- h("www.ku.ac.th") → 851
- h("www.eng.ku.ac.th") → 291
- h("www.cpe.ku.ac.th") → 441
- h("adt.mikelab.net") → 705
- ...
```

- Output of the hash function
 - Must always be less than size of array
 - Must be as evenly distributed as possible







Properties

Necessary properties of such a hash function h are:

- Should be fast
 - Ideally $\Theta(1)$
- Must be deterministic
 - Always return the same hash value for the same object
- Hash equal objects to equal values

$$-x = y \Longrightarrow h(x) = h(y)$$

 Should be only a one-in-whole chance for hashing two random objects to the same hash value



Steps in Hash Function

A hash function may consist of two steps:

- 1. Pre-hash an object key into a 32-bit integer
 - The key can be number or string
- 2. Map the integer to an index of the array
 - Simply modulo the array size



1. Pre-hashing an Object Key

We design a deterministic hash function to calculate an arithmetic hash value from the relevant member variables of an object

We will look at the examples of arithmetic hash function for

- Rational numbers
 - e.g., (0,1), (1,2), (2,3), (99,100), ...
- Strings
 - e.g., "apple", "boy", "cat", "hello", ...



- Suppose we want to store rational numbers:
 - -(0,1), (1,2), (2,3), (99,100), ...
- Obviously, the array may not support tuples as indices
 - We need to first convert an individual into a 32-bit integer
- Each rational number is composed of the numerator (numer) and the denominator (denom)





Version #1

```
unsigned int pre_hash(int numer, int denom) {
  return (unsigned int)numer + (unsigned int)denom;
}
```

Problem:

Rational numbers such as (1,2) and (2,1) have the same value



Version #2

```
#include <stdio.h>
#define MULTIPLIER 429496751 // selected (large) prime
unsigned int pre_hash(int numer, int denom) {
  return (unsigned int)numer +
           MULTIPLIER*(unsigned int)denom;
int main(void) {
  printf("%u\n", pre_hash(0,1));
                                       429496751
  printf("%u\n", pre_hash(1,2));
                                       858993503
  printf("%u\n", pre_hash(2,1));
                                       429496753
                                       1717987006
  printf("%u\n", pre_hash(2,4));
                                       2239
  printf("%u\n", pre_hash(99,100));
  return 0;
                              Arithmetic operations wrap on overflow
```

Problem:

Rational numbers such as (1,2) and (2,4) have different value





Version #3

```
#include <stdio.h>
#define MULTIPLIER 429496751 // selected (large) prime
unsigned int pre hash(int numer, int denom
                                           Need to be implemented
  int divisor = gcd(numer, denom);=
  divisor = (denom >= 0)? divisor : -divisor;
  numer /= divisor;
                               Make the denominator always positive
  denom /= divisor;
  return (unsigned int)numer +
           MULTIPLIER*(unsigned int)denom;
int main(void) {
  printf("%u\n", pre_hash( 1, 2));
                                       858993503
  printf("%u\n", pre hash(-1, 2));
                                       858993501
                                       858993501
  printf("%u\n", pre_hash( 2,-4));
                                       858993503
  printf("%u\n", pre_hash(-2,-4));
  return 0;
```



- Suppose we want to store words:
 - "apple", "boy", "cat", "hello", ...
- Also, in C Program, a string cannot be an index of array
 - We need to first convert an individual into a 32-bit integer
- A string is simply an array of bytes
 - Each byte stores a value from 0 to 255
 - A hash function can be a function of these bytes





Version #1

```
unsigned int pre_hash(char *str) {
  unsigned int hash_value = 0;
  int         i = 0;

  for (i=0; i<strlen(str); i++)
    hash_value += str[i];
  return hash_value;
}</pre>
```

Problem:

- Words with the same characters hash to the same location: "from" and "form"
- Slow running time: $\Theta(n)$



Version #2.1

```
#define MULTIPLIER 12347 // selected prime
unsigned int pre_hash(char *str) {
  unsigned int hash_value = 0;
  int         i = 0;

  for (i=0; i<strlen(str); i++)
    hash_value = MULTIPLIER*hash_value + str[i];
  return hash_value;
}</pre>
```

Let the individual characters represent the coefficients of a polynomial in x:

$$p(x) = c_0 x^{n-1} + c_1 x^{n-2} + \dots + c_{n-3} x^2 + c_{n-2} x + c_{n-1}$$

Version #2.2

```
#define MULTIPLIER 12347 // selected prime
unsigned int pre_hash(char *str) {
  unsigned int hash_value = 0;
  int         i = 0;

  for (i=1; i<=strlen(str); i*=2)
    hash_value = MULTIPLIER*hash_value + str[i-1];
  return hash_value;
}</pre>
```

We may pick some characters only:

- Use the characters in locations $2^k - 1$ for k = 0, 1, 2, ...

```
0, 1, 3, 7, 15, ...
```



2. Mapping to an Array Index

Suppose the array has size M, the easiest method is to return the value modulus M

```
unsigned int hash_map(unsigned int hv, unsigned int M) {
  return hv % M;
}
```

Note that the modulus operator % is relative slow, we may use the bitwise operators such as &, <<, or >> instead

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Collisions

- A collision occurs when two different object keys hash to the same value
 - For example, for a table size 17, the object keys 18 (18%17=1) and 35 (35%17=1) will hash to the same value
- We cannot store the data records in the same slot of the array



Collision Resolutions

1. Separate Chaining

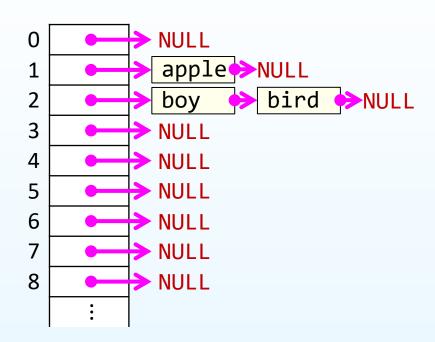
 Use data structure (such as a linked list) to store multiple objects that hash to the same slot

2. Open addressing (or probing)

 Search for empty slots using a second function and store object in first empty slot that is found

1. Resolution by Separate Chaining

- Each hash table cell holds pointer to a linked list
- Collision: insert object into the linked list of same hash value
- To find an object: compute hash value, then find on the linked list
- Note that there are potentially as many as table_size lists





Why Lists?

- Can use List ADT for find(), insert(), and delete()
 - $-\ {\it O}(l)$ running time where l is the number of elements in the particular chain
- Can also use Binary Search Trees
 - $O(\log l)$ time instead of O(l)
 - But the number of elements to search through should be small
 - Generally not worth the overhead of BSTs





Example

Let's store words into a table and allow a fast look-up

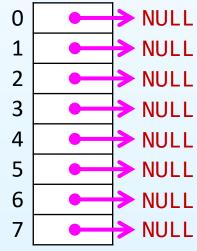
Design: We will store strings and the hash value of a string will be the last 3 bits of the first character in the word

E.g., the hash of "optimal" is based on 'o'

```
01100001
                01100010
                                01100011
                                                01100100
                                                                 01100101
                                01101000
01100110
                01100111
                                                01101001
                                                                 01101010
01101011
                01101100
                                01101101
                                                01101110
                                                                 01101111
01110000
                01110001
                                01110010
                                                01110011
                                                                 01110100
01110101
                01110110
                                01110111
                                                01111000
                                                                 01111001
```

z 01111<mark>010</mark>

We thus create an array of 8 pointers







Example: Hash Function

Let's store words into a table and allow a fast look-up

Design: Our hash function is

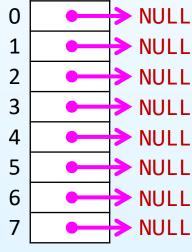
```
unsigned int hash(char *str) {
   // the empty string "" is hashed to 0
   if (strlen(str) == 0)
      return 0;
   return str[0] & 7;
}
```

Bitwise operator (&)

'a': 01100001

7: 00000111

0000001

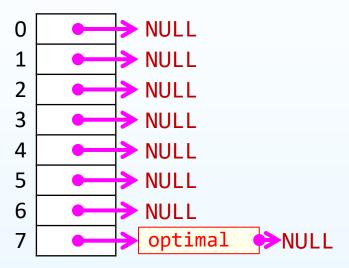






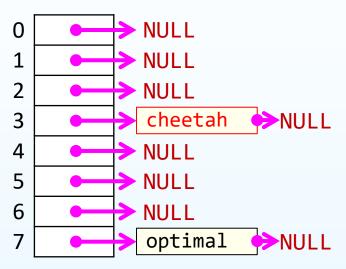
Example: insert()

 To do insert("optimal"), the first character 'o' is entered into the bin 01101111 = 7



Example: insert()

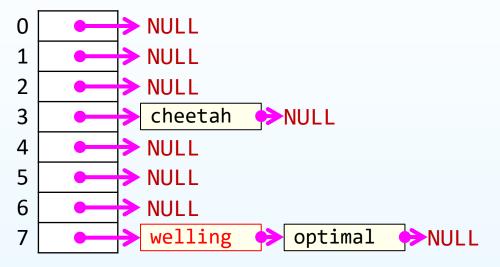
 Similarly, insert("cheetah") will enter the word into the bin 01100011 = 3





Example: insert()

However, insert("welling") will enter the word into the same bin
 01110111 = 7



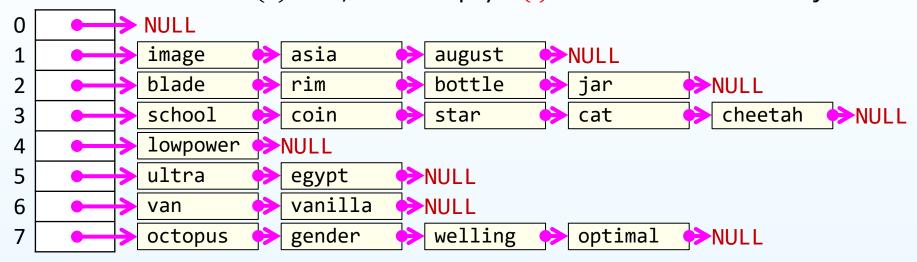
We will heuristically insert it at **front** of the linked list, why?

"The word accessed recently may be accessed again in the near future"





- After 21 insertions, the linked lists are becoming rather long
 - We look for $\Theta(1)$ time, but must pay O(l) for a linked list with l objects





Load Factor

 To describe the length of the linked lists, we define the load factor of the hash table:

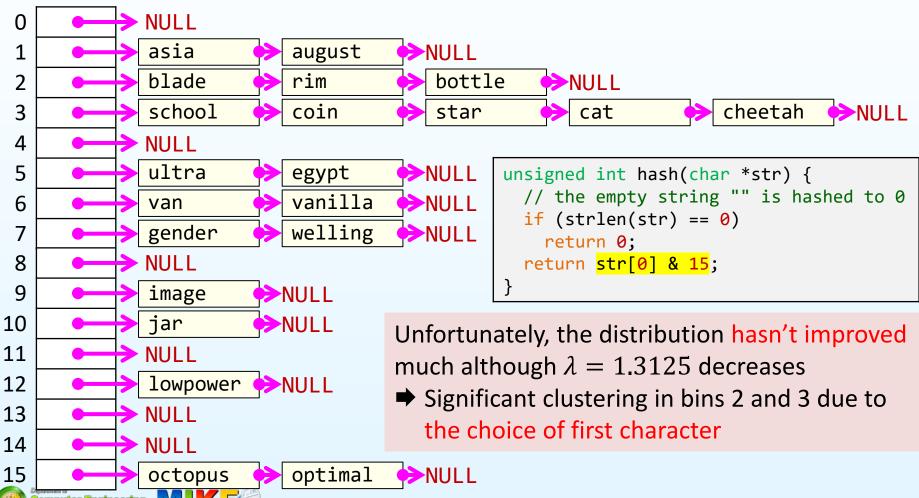
$$\lambda = \frac{n}{M}$$

This means the average number of objects per bin

- Right now, the previous insertions:
 - Each bin has $\lambda = \frac{21}{8} = 2.625$ objects on average
- If the load factor becomes too large, access time will increase to $\Theta(\lambda)$

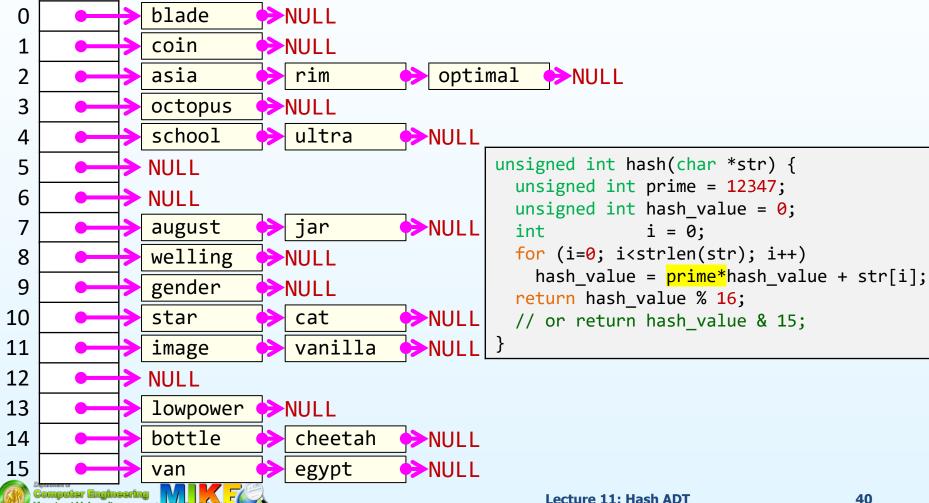
Example: Doubling Size

Design: We double the table size by changing the hash function to use the last 4 bits of the first character



Example: Choose a Good Hash Function

Design: Let's go back to the hash function defined previously



Problems with Linked Lists

- One significant issue with chained hash tables using linked list
 - It requires extra memory
 - It uses dynamic memory allocation



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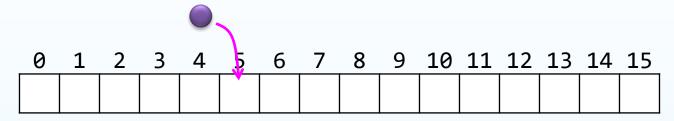
2. Resolution by Open Addressing

- No links, all object keys are in the table
 - Reduced overhead saves space
- We will define an implicit rule which tells us where to look next
 - When searching for x, check locations $h_1(x)$, $h_2(x)$, $h_3(x)$, ... until either
 - x is found, or
 - we find an empty location (i.e., x is not present)
 - Various flavors of open addressing differ in which probe sequence they use

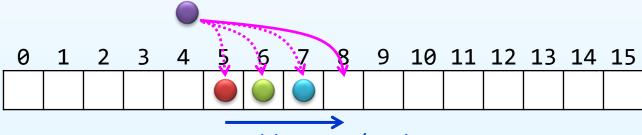
Open Addressing

Suppose an object hashes to bin 5

If bin 5 is empty, we can insert the object into that entry



- Otherwise, we use an implicit rule to look for other unoccupied entry
 - Rule: continue searching until the first empty bin is found



open addressing/probing

– However, we can only store as many objects as there are entries in the array, i.e., the load factor $\lambda < 1$





Open Addressing

The implicit rule is then defined as:

$$h_i(x) = (hash(x) + F(i)) \mod table_size$$

for
$$i = 0, 1, 2, 3, ...$$
 and $F(0) = 0$

- F(i) is the collision resolution function; some possibilities:
 - Linear probing: F(i) = i
 - Quadratic probing: $F(i) = i^2$
 - Double hashing: $F(i) = i \cdot hash_2(x)$

2.1 Linear Probing

 The easiest method to probe the bins of the hash table is to search forward linearly:

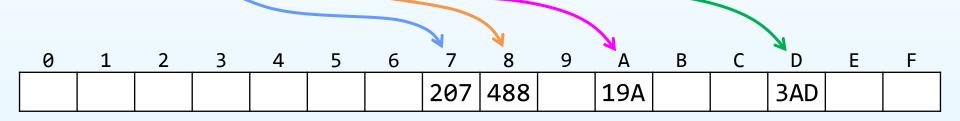
$$F(i) = i$$

- Assume we are inserting into bin k:
 - If bin k is empty, we immediately insert into that bin
 - Otherwise, check bin k + 1, k + 2, and so on, until an empty bin is found
 - If we reach the end of the array, we start at the front (bin 0)



- Consider a hash table with M = 16 bins
- Given a 3-digit hexadecimal number:
 - The least-significant digit is the primary hash function
- Insert these numbers:







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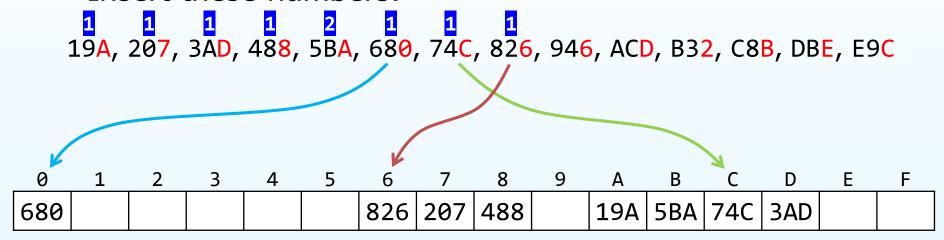
$$h_1(5BA) = (A+1)\%16 = B$$

collision occurs

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | Α | B | C | D | <u> </u> | F |
|---|---|---|---|---|---|---|-----|-----|---|-----|-----|---|-----|----------|---|
| | | | | | | | 207 | 488 | | 19A | 5BA | | 3AD | | |

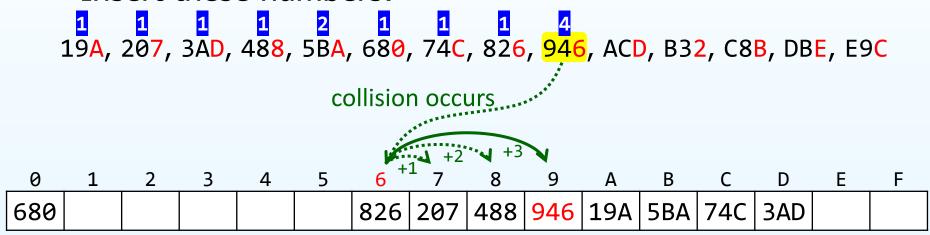


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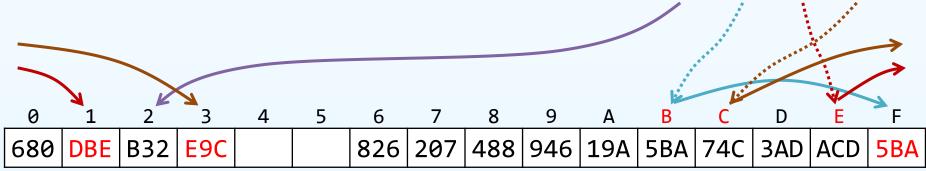


| _ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | Α | В | С | D + | ¹ E | F |
|---|-----|---|---|---|---|---|-----|-----|-----|-----|-----|-----|-----|-----|----------------|---|
| | 680 | | | | | | 826 | 207 | 488 | 946 | 19A | 5BA | 74C | 3AD | ACD | |



- Consider a hash table with M = 16 bins
- Given a 3-digit hexadecimal number:
 - The least-significant digit is the primary hash function
- Insert these numbers:

1 1 1 2 1 1 4 2 1 5 4 8 19A, 207, 3AD, 488, 5BA, 680, 74C, 826, 946, ACD, B32, C8B, DBE, E9C



- We have completed all insertions:
 - The load factor is $\lambda = \frac{14}{16} = 0.875$
 - The average number of probes is $\frac{33}{14} \approx 2.36$





Example: Resizing the Array

- To double the capacity of the array, each value must be rehashed
 - We will use the least-significant five bits for hashing

```
unsigned int hash(int n) {
  return n & 31;
}
```

Re-insert all previous numbers:

| 0 1 | - | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | Α | В | C | D | Е | F | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 1 A | 1B | 1 C | 1D | 1E | 1F |
|-----|---|---|---|---|---|-----|-----|-----|-----|---|-----|-----|-----|-----|---|----|----|-----|----|----|----|----|----|----|----|------------|-----|------------|----|-----|----|
| 680 | | | | | | 826 | 207 | 488 | 946 | | C8B | 74C | 3AD | acd | | | | B32 | | | | | | | | 19A | 5BA | E9C | | DBE | |

- After completing all insertions:
 - The load factor is $\lambda = \frac{14}{32} = 0.4375$
 - The average number of probes is $\frac{19}{14} \approx 1.36$





Example: find()

- Searching for membership is similar to insertions:
 - Start at the appropriate bin, and searching forward until
 - 1. The item is found,
 - 2. An empty bin is found → not found, or
 - 3. We have traversed the entire array → not found
- Searching for 5C8

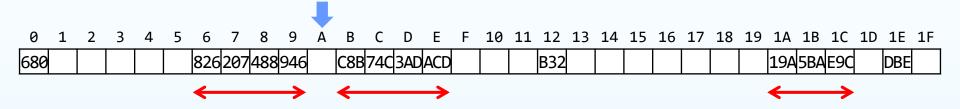






Primary Clustering Phenomenon

- With more insertions, the contiguous regions (or clusters) get larger
 - → This results in longer search times



- Above, we currently have three clusters
- There is a $\frac{5}{32} \approx 16\%$ chance that an insertion will fill bin A
 - Suppose 747 is inserted, two clusters will coalesce into one larger of length 9



2.2 Quadratic Probing

Quadratic probing suggests moving forward by different amount:

$$F(i) = i^2$$

- Assume we are inserting into bin k:
 - If bin k is empty, we immediately insert into that bin
 - Otherwise, check bin $k + 1^2$, $k + 2^2$, and so on, until an empty bin is found
 - If we reach the end of the array, we start at the front (bin 0)



Will i^2 step all bins of the table?

```
#include <stdio.h>
    unsigned int hash(unsigned int n, unsigned int M) {
 3:
      return n % M;
 4:
    void try quadratic(unsigned int n, unsigned int M) {
 6:
      unsigned int init = hash(n, M);
 7: unsigned int i;
 8: | for (i=0; i<M; i++)
 9:
        printf("%3d", (init + i*i) % M);
      printf("\n");
10:
11:
12:
    int main(void) {
13:
      try_quadratic(0, 10);
14:
    try quadratic(0, 16);
15:
      return 0;
16:
                  4 9
                        6
                           5
                               6
                                     4
```

4

0

0





Making *M* **Prime**

Guarantee to iterate through $\left\lceil \frac{M}{2} \right\rceil$ entries

Problems:

- Modulus (%) is slow; cannot use bitwise operators (&, <<, or >>)
- Doubling the number of bins is difficult





Using the Powers of two $M = 2^m$

If $M = 2^m$ is powers of two, this guarantees that all M entries are visited:

$$F(i) = c_1 i + c_2 i^2$$

Since $i + i^2$ is always even, we can select $c_1 = c_2 = \frac{1}{2}$



Using $M = 2^m$

```
#include <stdio.h>
    unsigned int hash(unsigned int n, unsigned int M) {
 3:
      return n % M;
 4:
    void try quadratic(unsigned int n, unsigned int M) {
6:
      unsigned int init = hash(n, M);
7: unsigned int i;
8: | for (i=0; i<M; i++)
        printf("%3d", (init + (i + i*i)/2) % M);
9:
10:
      printf("\n");
11:
    int main(void) {
12:
13:
      try_quadratic(0, 10); // not powers of two
14:
    try_quadratic(0, 16); // powers of two (2^4)
15:
    return 0;
16:
```

0 1 3 6 0 5 1 8 6 5 0 1 3 6 10 15 5 12 4 13 7 2 14 11 9 8

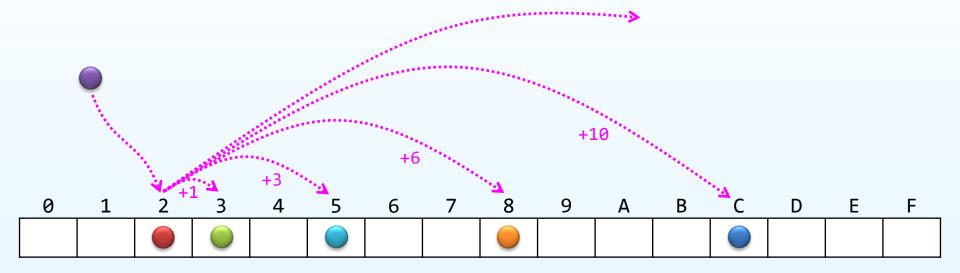




Example: insert() and delete()

- Consider a hash table with M = 16 bins
- Suppose try to insert at 2

$$h_i(x) = (2 + (i + i^2)/2) \% 16$$
 for $i = 0, 1, 2, ...$





Secondary Clustering Phenomenon

- Weakness with quadratic problem:
 - It reverts to linear probing if many of the hash function is not random
 - Objects placed in the same bin will follow the same sequence



2.3 Double Hashing

Double hashing suggests moving forward by a jump function:

$$F(i) = i \cdot hash_2(x)$$

- Assume we are inserting into bin k:
 - If bin k is empty, we immediately insert into that bin
 - Otherwise, check bin $k + hash_2(x)$, $k + 2 \cdot hash_2(x)$, and so on, until an empty bin is found
 - If we reach the end of the array, we start at the front (bin 0)



Example of Double Hashing

7 14 5 12 3 10 1

```
#include <stdio.h>
    #define PRIME 7
    unsigned int hash(unsigned int n, unsigned int M) {
      return n % M;
 5:
    void try doublehash(unsigned int n, unsigned int M) {
7:
      unsigned int init = hash(n, M);
      unsigned int jump = PRIME - hash(n, PRIME);
8:
    unsigned int i;
 9:
10: | for (i=0; i< M; i++)
11:
        printf("%3d", (init + i*jump) % M);
12:
      printf("\n");
13:
14:
    int main(void) {
15:
      try doublehash(0, 10);
16: try doublehash(0, 16);
17:
    return 0;
18:
                        8
                          5
                             2
                                    6 3
```





6 13 4 11 2 9

8 15

Be Careful !!!

Must be careful about the second hash function $hash_2(x)$:

$$h_i(x) = (hash_1(x) + i \cdot hash_2(x)) \mod table_size$$

for i = 0, 1, 2, 3, ...

- Must never evaluate to zero
 - → Otherwise, it will always hash the same value
- Must make sure that all bins can be probed
 - E.g., if $hash_1(x) = 3$, $hash_2(x) = 9$, and M = 12, then we can probe only the bins (3 + 9)%12 = 0, (3 + 18)%12 = 9, (3 + 27)%12 = 6, (3 + 36)%12 = 3, (3 + 45)%12 = 0, and so on





Any Question?



