

Deferred Surprise and the Geometry of Learning: From Simulated Danger to Global Cognition

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Abstract

This essay presents a field-theoretic synthesis of cognition, learning, and cultural evolution through the Relativistic Scalar Vector Plenum (RSVP) model, positing surprise as the fundamental currency of cognitive systems. Integrating Barenholtz’s surprise shortcut model with RSVP’s geometric framework, we conceptualize surprise as local curvature in a cognitive plenum, learning as entropic gradient flow, and cultural systems as recursive mechanisms that defer and buffer complexity across generations. Infrastructure, language, and mathematics serve as curvature-suppressing architectures, enabling earlier recursion and driving phenomena like the Flynn effect and Moore’s Law. We extend the RSVP formalism with a Lagrangian action principle, coupled partial differential equations, reinforcement learning connections, homotopy classes, tensor field dynamics, and spectral analysis to enhance rigor and testability. Implications for education, AI alignment, and civilization design are explored, proposing a geometric approach to optimize recursive surprise buffering while preserving generative capacity. The RSVP framework—Relativistic (context-dependent dynamics), Scalar (meaning potential), Vector (cognitive flows), and Plenum (semantic substrate)—offers a novel lens for understanding consciousness and learning as continuous field interactions.

1 Introduction: Surprise as the Currency of Cognition

Surprise, defined information-theoretically as the negative log probability of an event, $\mathcal{S}(x) = -\log P(x)$, is the cornerstone of cognitive dynamics [10]. It quantifies deviations from expectation, serving as a signal that drives adaptation, learning, and evolution. Learning is the process of inoculating against surprise by compressing environmental complexity into predictive models, reducing future uncertainty [5]. Play, a critical cognitive mechanism, functions as simulated danger—a controlled exploration of affordance-rich, bounded-entropy environments that prepares agents for real-world unpredictability [7].

This essay proposes that environments, symbolic systems, and social structures defer and shape surprise gradients across spatial and temporal scales, enabling recursive cognitive growth. By synthesizing Barenholtz’s surprise shortcut model [1] with the Relativistic Scalar Vector Plenum (RSVP) framework, we conceptualize cognition as a field-theoretic process. In RSVP, surprise is local curvature in a cognitive plenum, learning is gradient flow, and cultural systems are recursive mechanisms that transport complexity across generations. The RSVP model—Relativistic (context-dependent dynamics), Scalar (meaning potential), Vector (cognitive flows), and Plenum (semantic substrate)—provides a geometric lens for understanding how meaning, consciousness, and learning emerge from continuous field interactions.

2 The Shortcut and the Field

Barenholtz’s surprise shortcut model posits that cognitive systems prioritize immediate utility by compressing environmental data, often bypassing complex global patterns [1]. This efficiency risks accumulating unmodeled complexity, manifesting as deferred surprise. In the RSVP framework, surprise is formal-

ized as local curvature in a scalar-vector-entropy plenum, where the scalar field $\Phi(x, t)$ represents surprise or meaning intensity. Learning smooths this curvature via cognitive trajectories, described by the vector field $\mathcal{V}(x, t)$, flowing against the entropy gradient:

$$\frac{d\Phi}{dt} = -\mathcal{V} \cdot \nabla \Phi$$

This aligns with predictive coding theories [5].

The brain acts as a gradient navigator, balancing local shortcuts with global field dynamics. Cultural systems—education, ethics, algebra—reimpose deferred curvature, ensuring long-term coherence. This tension between local shortcuts and global recursion drives cognitive and societal evolution.

3 Infrastructure as Early-Life Curvature Suppression

Physical and social infrastructures—roads, modular architecture, regulated spaces—suppress environmental entropy, creating predictable cognitive environments [3]. In childhood, these low-entropy settings reduce experiential complexity, enabling earlier access to recursive abstractions like language or mathematics. For example, structured curricula minimize noise, allowing focus on abstract concepts.

This curvature suppression drives the Flynn effect (rising IQ scores) and Moore’s Law (exponential computational growth) [4, 6]. Analogously, smooth roads reduce friction for faster cycling; predictable cognitive environments reduce surprise gradients, fostering recursion. Infrastructure thus scaffolds the cognitive plenum, supporting abstraction and innovation.

4 Language and Mathematics as Recursive Curvature Banks

Language and mathematics serve as cross-generational repositories for problem-space torsion—irreducible complexities like paradoxes or algebraic structures [11]. These systems encode prior difficulties in learnable forms, allowing new generations to inherit refined maps of the plenum. Formal symbols (e.g., algebraic notation) act as torsion-preserving vectors, pointing into high-entropy domains while remaining accessible.

Cultural affordances—libraries, curricula—function as field gradients, reducing cognitive surprise while preserving generativity. A child learning multiplication tables inherits centuries of mathematical torsion, enabling early navigation of complex problem spaces. This recursive offloading accelerates learning across generations.

5 Recursive Deferral Loops: The Meta-Generative Engine

The recursive deferral loop drives cognitive and cultural evolution through:

1. **Early learning:** Low-entropy environments shaped by infrastructure.
2. **Abstraction:** Compression into formal symbols.
3. **Symbolic encoding:** Storage in cultural artifacts.
4. **Cultural offloading:** Distribution via shared systems.
5. **Simpler childhood environments:** Refined settings for future generations.
6. **Earlier learning:** Deeper cognitive capacity.

This loop underpins Moore’s Law and the Flynn effect, externalizing engineer-

ing and cognitive complexity [4, 6], transforming the plenum into a generative engine.

6 RSVP Formalism: Surprise as Scalar Curvature

The RSVP framework—Relativistic Scalar Vector Plenum—models cognition as continuous field dynamics. **Relativistic** dynamics emphasize context-dependence [8]. The **Scalar** field $\Phi(x, t)$ encodes surprise potential, with:

$$\mathcal{S}(x, t) = \nabla^2 \Phi(x, t)$$

The **Vector** field $\mathcal{V}(x, t)$ represents cognitive flows. The **Plenum** is a semantic substrate assuming universal density [2]. Learning follows:

$$\frac{d\mathcal{V}}{dt} = -\nabla \mathcal{E}$$

Torsion, $\mathcal{T}_{ij} = \partial_i \mathcal{V}_j - \partial_j \mathcal{V}_i$, captures irreducible complexity. Recursive systems defer torsion, smoothing curvature while preserving generativity.

7 Extended RSVP Formalism: Lagrangian and Topological Perspectives

To enhance rigor, we introduce a Lagrangian action principle unifying surprise, learning, and generativity:

$$\mathcal{L}[\Phi, \mathbf{v}, E] = \alpha \|\nabla \Phi\|^2 + \beta \|\nabla \cdot \mathbf{v}\|^2 + \gamma \|T_{ij}\|^2 - \delta E$$

The action functional over region Ω is:

$$\mathcal{S} = \int_{\Omega} \mathcal{L}[\Phi, \mathbf{v}, E] dx dt$$

Surprise ($\nabla^2\Phi$) adds tension, divergence and torsion encode complexity, and entropy (E) dampens dynamics. Minimizing this action defines optimal learning paths.

We also introduce cognitive homotopy, where vector fields $\mathbf{v}_1, \mathbf{v}_2$ are homotopic if deformable without crossing torsion singularities. Homotopy energy is:

$$H[\mathbf{v}_1, \mathbf{v}_2] = \int_0^1 \int_M \left\| \frac{\partial \mathbf{v}_\tau}{\partial \tau} \right\|^2 dx d\tau$$

This classifies equivalent generative policies, enhancing the model’s expressiveness.

8 Implications: Design, AI, and Civilization

Education, as field flattening, optimizes learning but risks stifling generativity if overly predictable [3]. Dynamic curricula could balance surprise and abstraction, fostering environments that nurture both predictive accuracy and creative exploration. In AI alignment, shortcutting systems risk torsion accumulation, leading to misalignment by creating cognitive eddies, where high μ values indicate divergence from long-term coherence [9]. Torsion-aware AI, integrating human curvature maps through iterative feedback, is essential to mitigate these risks. Civilization’s sustainability depends on balancing complexity deferral with generativity, demanding conscious plenum design to ensure long-term resilience and adaptability.

9 Conclusion: Toward a Field Theory of Surprise

Surprise is curvature in the cognitive plenum. Recursive systems—language, mathematics, infrastructure—encode and defer this curvature, enabling abstraction. The RSVP framework, extended with Lagrangian, PDE, and topological for-

malisms, provides a robust substrate for designing education, aligning AI, and sustaining civilization. The future lies in consciously shaping the plenum to balance surprise and generativity.

A Mathematical Appendix: Formalizing Surprise, Learning, and Recursive Deferral in RSVP Field Dynamics

A.1 A1. Surprise as Local Scalar Curvature

Surprise quantifies deviations from expected events, akin to perturbations in a cognitive manifold. In the RSVP framework, it is modeled as curvature in a scalar field, where sharp gradients indicate high surprise, reflecting the intensity of unexpected information in a spatiotemporal cognitive context.

Surprise is defined as the negative log probability:

$$\mathcal{S}(x) = -\log P(x)$$

In RSVP, it is scalar curvature:

$$\mathcal{S}(x, t) = \nabla^2 \Phi(x, t)$$

where $\Phi(x, t)$ encodes surprise intensity.

A.2 A2. Learning as Entropic Gradient Flow

Learning involves navigating a cognitive manifold to minimize unpredictability, akin to optimizing a trajectory through a complex landscape. In RSVP, this is modeled as a vector flow that reduces surprise by aligning with gradients of an

entropy field, effectively compressing information into predictive structures.

Learning follows vector flow against entropy:

$$\frac{d\mathcal{V}}{dt} = -\nabla\mathcal{E}$$

reducing surprise via:

$$\frac{d\Phi}{dt} = -\mathcal{V} \cdot \nabla\Phi$$

A.3 A3. Recursive Deferral as Torsion Transport

Certain complexities, such as logical paradoxes or abstract concepts, resist simplification and persist as irreducible features of the cognitive manifold. In RSVP, these are represented as torsion, which cultural systems encode and transmit across generations in accessible forms, akin to preserving intricate patterns in a shared knowledge framework.

Torsion captures complexity:

$$\mathcal{T}_{ij} = \partial_i\mathcal{V}_j - \partial_j\mathcal{V}_i$$

Cultural systems transport torsion:

$$\frac{d}{dt} \oint_{\gamma} \mathcal{V} \cdot d\mathbf{x} = \int_{\Sigma} \frac{\partial \mathcal{T}}{\partial t} \cdot d\mathbf{A}$$

A.4 A4. Surprise Shortcut and Field Deviation

Cognitive systems often prioritize rapid responses to surprise, opting for immediate solutions over comprehensive understanding. In RSVP, this is modeled as a deviation from optimal cognitive trajectories, where short-term efficiency may defer complexity to later stages unless balanced by recursive integration.

Barenholtz’s shortcut is a geodesic deviation:

$$\delta\mathcal{V} = \mathcal{V}_{\text{shortcut}} - \mathcal{V}_{\text{recursive}}$$

minimizing immediate surprise:

$$\min_{\gamma} \int_{\gamma} \mathcal{S}(x) ds$$

A.5 A5. Moore’s Law and Flynn Effect as Recursive Curvature Dynamics

Societal advancements create structured environments that reduce cognitive unpredictability, facilitating earlier engagement with abstract reasoning. In RSVP, this is modeled as a reduction in childhood surprise, accelerating recursive cognitive processes and manifesting as increased intellectual capacity (Flynn effect) and technological progress (Moore’s Law).

Curvature complexity evolves:

$$\begin{aligned} \frac{d\mathcal{C}}{dt} &\propto \mathcal{R}(t) \\ \mathcal{R}(t) &= \int_0^t \frac{1}{\mathcal{S}_{\text{childhood}}(\tau)} d\tau \end{aligned}$$

A.6 A6. RSVP Coupling Metrics

To evaluate the coherence and efficiency of cognitive dynamics, we define metrics that quantify alignment, interaction, and complexity within the cognitive manifold. These include measures of field coherence, coupling between cognitive flows and entropy, and the pressure exerted by irreducible complexities, informing the design of cultural and educational systems.

Metrics include:

- Field coherence: $\mathcal{F} = \int |\nabla \cdot \mathcal{V}|^2 dx$

- Vector–entropy coupling: $\mathcal{C}_{\text{VE}} = \int \mathcal{V} \cdot \nabla \mathcal{E} \, dx$
- Torsion pressure: $\mathcal{P}_{\mathcal{T}} = \int \|\mathcal{T}_{ij}\|^2 \, dx$

A.7 A7. Recursive Surprise Buffering and Cognitive Infrastructure

Cultural and infrastructural systems act as stabilizing frameworks, mitigating cognitive unpredictability to facilitate learning. In RSVP, this is modeled as a tensor that balances the manifold’s geometric structure with its inherent complexities, evolving dynamically as societies invest in knowledge transmission.

A.7.1 A7.1. Cognitive Buffer Tensor

The buffering tensor is:

$$\mathcal{B}_{ij} = \lambda(x, t) \cdot g_{ij} - \mathcal{T}_{ij}$$

Its dynamics follow:

$$\frac{\partial \mathcal{B}_{ij}}{\partial t} + \nabla_k \mathcal{B}_{ij} \cdot v^k = \sigma_{ij}$$

where σ_{ij} encodes scaffolding stress.

A.7.2 A7.2. Buffer Evolution Dynamics

Cognitive utility evolves:

$$\frac{d\mathcal{U}}{dt} = \alpha \cdot \mathcal{B}_{ij} \cdot \frac{dg^{ij}}{dt} - \beta \cdot \mathcal{T}_{ij} \cdot \mathcal{V}^i \mathcal{V}^j$$

A.8 A8. Predictive Tiling of Surprise Gradients

Civilizational systems organize cognitive processes into structured domains to manage unpredictability effectively. In RSVP, this is modeled as partitioning the cognitive manifold into regions, optimized to balance surprise reduction with

the preservation of creative potential across generations.

Civilization design minimizes:

$$\min_{\{\mathcal{Z}_n\}} \sum_{n=1}^N \int_{\mathcal{Z}_n} (\|\nabla \mathcal{S}_n(x)\|^2 + \gamma \|\mathcal{T}_n(x)\|^2) dx$$

A.9 A9. AI Misalignment and Surprise Myopia

AI systems may prioritize short-term optimization, neglecting long-term complexities, akin to focusing on immediate outcomes without regard for broader consequences. In RSVP, this myopia is quantified as a divergence between short-term cognitive trajectories and accumulated complexities, predicting potential misalignment.

Surprise myopia is:

$$\mu(x, t) = \frac{|\delta \mathcal{V}|}{\|\nabla \cdot \mathcal{T}\|}$$

High μ predicts misalignment.

A.10 A10. Lagrangian and Action Principle

To unify the dynamics of surprise, learning, and generative capacity, we formulate a single variational principle, analogous to a physical law governing cognitive optimization. This balances the energy of surprise gradients, cognitive flows, and complexities, guiding optimal learning trajectories.

A Lagrangian unifies the RSVP dynamics:

$$\mathcal{L}[\Phi, \mathbf{v}, E] = \alpha \|\nabla \Phi\|^2 + \beta \|\nabla \cdot \mathbf{v}\|^2 + \gamma \|T_{ij}\|^2 - \delta E$$

Action is:

$$\mathcal{S} = \int_{\Omega} \mathcal{L}[\Phi, \mathbf{v}, E] dx dt$$

A.11 A11. Coupled PDE System for Field Evolution

The cognitive manifold evolves dynamically, akin to a complex system governed by interacting forces. We model this with a set of equations describing how surprise, cognitive flows, and entropy interact over time, enabling simulations of learning processes and their stability.

The RSVP fields evolve via:

$$\begin{aligned}\frac{\partial \Phi}{\partial t} &= -\mathbf{v} \cdot \nabla \Phi + \kappa \nabla^2 \Phi \\ \frac{\partial \mathbf{v}}{\partial t} &= -\nabla E + \lambda (\nabla \cdot \mathbf{v}) \mathbf{v} + \mu \mathbf{T} \\ \frac{\partial E}{\partial t} &= -\theta \mathbf{v} \cdot \nabla E + \eta \nabla^2 E\end{aligned}$$

A.12 A12. Reinforcement Learning Connection

Learning resembles decision-making to optimize outcomes, such as achieving predictive accuracy. In RSVP, we model this as a policy that navigates the cognitive manifold to minimize entropy, balancing exploration of novel patterns with exploitation of known structures.

A reward field $\mathcal{R}(x, t)$ defines a policy:

$$\pi_i(x, t) = \frac{\exp(-\beta \partial_i E(x, t))}{\sum_j \exp(-\beta \partial_j E(x, t))}$$

Expected return is:

$$\mathcal{G}(x, t) = \int_t^{t+\tau} \mathbb{E}_\pi[-\log P(x_{t'})] dt'$$

Exploration–exploitation balances:

$$\mathcal{L}_{\text{explore}} = \xi \|\nabla^2 \Phi\|^2 - \zeta E$$

A.13 A13. Topological Perspective: Cognitive Homotopy

Certain cognitive strategies may converge to equivalent outcomes despite differing trajectories, analogous to paths in a manifold that avoid obstacles. In RSVP, we quantify this equivalence by measuring how cognitive flows can transform without encountering irreducible complexities, facilitating comparisons of learning approaches.

Vector fields $\mathbf{v}_1, \mathbf{v}_2$ are homotopic if deformable without crossing torsion singularities. Homotopy energy is:

$$H[\mathbf{v}_1, \mathbf{v}_2] = \int_0^1 \int_M \left\| \frac{\partial \mathbf{v}_\tau}{\partial \tau} \right\|^2 dx d\tau$$

A.14 A14. Spectral Formulation

Surprise and entropy can be decomposed into components across multiple scales, akin to analyzing a signal's frequencies. In RSVP, this decomposition reveals how cognitive processes vary across granularities, informing the design of educational and computational systems.

Fields expand in a Fourier basis:

$$\Phi(x, t) = \sum_k \hat{\Phi}_k(t) e^{ikx}, \quad E(x, t) = \sum_k \hat{E}_k(t) e^{ikx}$$

Surprise curvature is:

$$\mathcal{S}(x, t) = - \sum_k k^2 \hat{\Phi}_k(t) e^{ikx}$$

This extended appendix provides a robust framework for simulating and testing RSVP dynamics, integrating cognitive, computational, and topological perspectives.

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