

1 Overview

Image acquisition model: Based on illumination (light) & reflectance (material/object properties):

f(x,y) = i(x,y) · r(x,y)

2 main types of image processing:

- 1. **Spatial domain:** Operates on pixels directly
  - (a) **Intensity transformation:** Operates on single pixels (pointwise), T : N → N
  - (b) **Spatial filtering:** Operates on (m × n) neighborhoods of pixels, H : N^{m×n} → N
- 2. **Frequency domain:** Transform to & process in frequency domain

PDF Properties:

- 1. p\_r(r) ≥ 0 ∀ r ∈ R; ∫\_{-∞}^∞ p\_r(r)dr = 1

2 Intensity Transformations

Basic transformations: Image negatives [s = (L - 1) - r], piecewise linear

- Log/exp [s = c · log(1 - r)]: Expands range of dark/light pixels, respectively
- Power law/gamma [s = c · r^γ]; γ ∈ (0, 1) expands dark pixels; γ > 1 compresses dark pixels

Histogram Processing: For r\_k = 0, 1, ..., L - 1:

Histogram: h(r\_k) = ∑\_{x=0}^{M-1} ∑\_{y=0}^{M-1} I(f(x,y) = r\_k)

Normalized: p(r\_k) = h(r\_k) / MN

Histogram Equalization:

s = T(r) = (L - 1) · ∫\_0^r p\_r(w)dw     [(L - 1) · ∑\_{j=0}^k p(r\_j)]

- Stipulations: T is monotonically increasing, 0 ≤ T(r) ≤ L - 1
- p\_s(s) ~ Uniform(0, L - 1) for p\_r continuous
- Proof: Via that |p\_r(r)dr| = |p\_s(s)ds| ⇒ p\_s(s) = p\_r(r) |dr/ds|

Histogram Matching:

- 1. Apply histogram equalization to the source r to get s = T(r) ~ Uniform(0, L - 1)

4 Frequency-Domain Filtering

4.1 Background

Euler's formula: e^{iθ} = cos(θ) + i sin(θ)

Complex Functions: F(u) = R(u) - iI(u); |F(u)|^2 = R(u)^2 + I(u)^2

The Impulse Function:

δ(t) = { ∞    t = 0  
          0    t ≠ 0

Properties:

- Integral: ∫\_{-∞}^∞ δ(t)dt = 1
- **Sifting property:** ∫\_{-∞}^∞ f(t)δ(t - t\_0)dt = f(t\_0)
- Variations: continuous/discrete, 1D/2D

Fourier Series:

f\_T(t) = ∑\_{n=-∞}^∞ c\_n e^{i2πnt/T}, where c\_n = 1/T ∫\_{-T/2}^{T/2} f\_t(t) e^{-i2πnt/T} dt [for n = 0, ±1, ±2, ...]

Fourier Transform [t → ω]:

$$F(\mu) = \mathcal{F}[f(t)] = \int_{-\infty}^{\infty} f(t)e^{-i2\pi\mu t} dt \quad \left[ F(\mu) = \sum_{x=0}^{M-1} f(x)e^{-i2\pi\mu x/M} \right]$$

Inverse Fourier Transform [ω → t]:

$$f(t) = \mathcal{F}^{-1}[F(\mu)] = \int_{-\infty}^{\infty} F(\mu)e^{i2\pi\mu t} d\mu \quad \left[ f(x) = \frac{1}{M} \sum_{\mu=0}^{M-1} F(\mu)e^{-2\pi\mu x/M} \right]$$

Fourier/frequency spectrum: |F(μ)| = √{R(μ)^2 + I(μ)^2}

2D Fourier transform (continuous & discrete):

F(μ, ν) = ∫\_{-∞}^∞ ∫\_{-∞}^∞ f(t, z) e^{-i2π(μt+νz)} dtdz     F(μ, ν) = ∑\_{x=0}^{M-1} ∑\_{y=0}^{N-1} f(x, y) e^{-i2π(μx/M+νy/N)}

- 2. Apply histogram equalization to the target z to get s' = G(z) ~ Uniform(0, L - 1)
- 3. **Final transformation:** z = G^{-1}(T(r))

Histogram Statistics:

Mean: m = ∑\_{i=0}^{L-1} r\_i p(r\_i) ;    n^{th} moment: μ\_n(r) = ∑\_{i=0}^{L-1} (r\_i - m)^n p(r\_i)     [Variance: μ\_2(r)]

3 Spatial Filtering

Linear Spatial Filtering: On neighborhoods {f(x + s, y + t) : -a ≤ s ≤ a, -b ≤ t ≤ b}:

g(x,y) = ∑\_{s=-a}^a ∑\_{t=-b}^b w(s,t) f(x+s,y+t)

- (2a + 1) × (2b + 1) kernel → a rows of padding on top & bottom, b columns on left & right

Smoothing Filters: Blur images for noise reduction; larger mask → more blurring, typically

- 1. Weighted average filter: g(x, y) = ∑\_s ∑\_t v(s, t) f(x + s, y + t) / ∑\_s ∑\_t v(s, t)
- 2. Order-statistic filters (nonlinear): Median/max/min filters (good for salt & pepper)

Sharpening Filters: Highlight transitions in intensity; compute using 2D discrete Laplacian [limit definition of the derivative evaluated at h = 1, δ = 1]:

Δf(x, y) = ∂^2 f / ∂x^2 + ∂^2 f / ∂y^2 = f(x + 1, y) + f(x, y + 1) + f(x - 1, y) + f(x, y - 1) - 4f(x, y)

→ **Composite Laplacian** (sharpened image): g(x, y) = f(x, y) - Δf(x, y)

Unsharp Masking: Use blurring to sharpen images

- 1. Blur the original image f to obtain a blurred image f̃
- 2. Take g\_mask(x, y) = f(x, y) - f̃(x, y)
- 3. For k ≥ 0 [scaling factor], take g(x, y) = f(x, y) + k · g\_mask(x, y)

(\*) Gradients for image sharpening: use g(x, y) = |∇f| (various formulas; ex: central difference)

2D IFT:

f(t, z) = ∫\_{-∞}^∞ ∫\_{-∞}^∞ F(μ, ν) e^{i2π(μt+νz)} dμdν     f(x, y) = 1/MN ∑\_{μ=0}^{M-1} ∑\_{ν=0}^{N-1} F(μ, ν) e^{i2π(μx/M+νy/N)}

Periodicity of the DFT: F(μ, ν) = F(μ + k\_1M, ν + k\_2N); f(x, y) = f(x + k\_1M, y + k\_2N)

- Periodic with periods M, N

Convolution (associative, commutative, distributive):

(f \* h)(t) = ∫\_{-∞}^∞ f(τ)h(t - τ)dτ     [ ∑\_{m=0}^{M-1} f(m) · h(x - m) ]

→ **Convolution Theorem:** F[f \* h] = F[f] · F[h], F[f · h] = F[f] \* F[h]

- 2D convolution:

[f \* h](t, z) = ∫\_{-∞}^∞ ∫\_{-∞}^∞ f(t, ξ)h(t - τ, z - ξ)dτdξ     [f \* h](x, y) = ∑\_{m=0}^{M-1} ∑\_{n=0}^{N-1} f(m, n)h(x - m, y - n)

Fourier Transform - Additional Properties

- F[δ(t, z)] = 1
- F(0, 0) = MN f\_mean
- **Translation Properties:**
  - 1. f(x, y) e^{i2π(μ\_0x/M+ν\_0y/N)} ⇔ F(μ - μ\_0, ν - ν\_0)
  - 2. f(x - x\_0, y - y\_0) ⇔ F(μ, ν) e^{-i2π(μx\_0/M+νy\_0/N)}
- **Conjugate Symmetric Property:** If f(x, y) is real, then F(μ, ν) = F(-μ, -ν)
- Polar coordinates: F(r, θ + θ\_0) = F(w, φ + θ\_0)
- (\*) Separability of the DFT: F(μ, ν) = ∑\_{x=0}^{M-1} F(x, ν) e^{-2πiμx/M} [sum of 1D DFTs]

4.2 Frequency-Domain Filtering

Motivations:

- Low-frequency components correspond to slowly-varying regions (i.e. smooth regions); high-frequency components correspond to fast-varying components (e.g. noise, edges)
- Filtering in frequency domain can be faster than in spatial domain
- Can express symmetric linear spatial filters as frequency-domain filters

Frequency Filtering:

$$g(x,y) = (-1)^{x+y} \cdot \text{Real} \left\{ \text{IDFT} \left[ H(\mu,\nu) \cdot \mathcal{F} \left[ (-1)^{x+y} f(x,y) \right] \right] \right\}$$

- $\mathcal{F} [f(x,y)(-1)^{x+y}] = F(\mu - M/2, \nu - N/2)$  [same for  $H$ ], shifts (0,0) from corner to center
- Lowpass (smoothing)/highpass (sharpening): Bandwidth  $D_0$  [larger  $\rightarrow$  less filtering]
- $H_{\text{highpass}} = 1 - H_{\text{lowpass}}$ ;  $H_{BR} = 1 - H_{BP}$ ,  $H_{NR} = 1 - H_{NP}$
- Notch reject filters:  $H_{NR} = \prod_{k=1}^N H_{NR}(k)(\mu,\nu)H_{NR}(-k)(\mu,\nu)$ , for spatially dependent noise

2D continuous Laplacian filter:  $\mathcal{F} [\Delta f] = -4\pi^2(\mu^2 + \nu^2)F(\mu,\nu)$

- Using that:  $f(x,y) = \int \int F(\mu,\nu)e^{2\pi i(\mu x + \nu y)}d\mu d\nu \implies \frac{\partial^n}{\partial x^n} f = (2\pi i\mu)^n \int \int F(\mu,\nu)e^{2\pi i(\mu x + \nu y)}d\mu d\nu$

Homomorphic filtering:  $f(x,y) = i(x,y) \cdot r(x,y) \implies z(x,y) := \ln[f(x,y)] = \ln[i(x,y)] + \ln[r(x,y)] \implies \mathcal{F} [z(x,y)] = \mathcal{F} [\ln[i(x,y)]] + \mathcal{F} [\ln[r(x,y)]]$

- $S = H \cdot Z = H \cdot F_i + H \cdot F_r \implies s = i' + r' = \ln(g(x,y)) \implies g = e^s = e^{i'} e^{r'}$

5 Image Restoration

Image Degradation Model:

$$g(x,y) = h(x,y) * f(x,y) + \eta(x,y) \iff G(\mu,\nu) = H(\mu,\nu)F(\mu,\nu) + N(\mu,\nu)$$

- $g$  [output] =  $h$  [degradation]  $* f$  [original]  $+ \eta$  [noise]

Estimation of Noise Parameters:

1. Find a subregion  $S$  of the image with relatively constant background intensity
2. Generate the histogram of  $S$  and compare it with known probability density functions
  - For impulse noise: instead, look at probabilities of black & white pixels, respectively
3. Estimate the mean and variance  $\longrightarrow$  use to estimate the noise parameters

Image Restoration with Spatial Filtering:

For spatially-invariant noise (noise is indep. of coords  $(x,y)$ , uncorrelated with image  $f(x,y)$ ):

- Arithmetic, geometric mean filters
- Order-statistic: Median, min/max, midpoint (avg of min/max), alpha-trimmed (average of subset of neighborhood; delete  $d/2$  highest & lowest intensities; extremes: arith mean, median)
- *Harmonic mean filter*:  $\hat{f}(x,y) = mn / \left[ \sum_{s,t} 1/g(s,t) \right]$

- Final result:

$$W = \frac{1}{H} \cdot \frac{|H|}{|H|^2 + S_\eta/S_f} \quad \text{[approx. } S_\eta/S_f \text{ by } k; k=0 \text{ gives direct inverse filter]}$$

- Constrained least-squares: find  $\hat{f} = \min_f \gamma \|\Delta f\|_2^2 + \|g - h * f\|_2^2$ 
  - Unconstrained reformulation of orig. constrained optimization:

$$\min_f \|\Delta f\|_2^2 \text{ subject to } \left\| g - h * \hat{f} \right\|_2^2 = \|\eta\|_2^2$$

- \* Have a constraint: “best solution” is  $\hat{f}$  maximally smooth [min Laplacian 2-norm]
- Same method as prev. (Plancherel’s + minimize  $\hat{F}$  over real/imaginary separately)
- Final result ( $P$ : FT of Laplacian):

$$\hat{F}(\mu,\nu) = \left[ \frac{1}{H} \cdot \frac{|H|}{|H|^2 + \gamma |P|^2} \right] G$$

- Connection with mean/variance:

$$\|\eta\|^2 = \sum_{x=1}^M \sum_{y=1}^N \underbrace{\left[ (\eta(x,y) - \bar{\eta})^2 + 2\eta(x,y)\bar{\eta} - \bar{\eta}^2 \right]}_{\eta(x,y)^2} = MN(\sigma_\eta^2 + \bar{\eta}^2)$$

6 Color Image Processing

RGB:  $f(x,y) = \vec{c}(x,y) = [c_R(x,y), c_G(x,y), c_B(x,y)]$ , point in Cartesian space; pixel depth: total # bits/pixel

- Per-component vs vector-based processing

HSI:

1. Intensity:  $I = \frac{1}{3}(c_R + c_G + c_B), \in [0,1]$
2. Saturation:  $S = 1 - \min \{c_r, c_g, c_b\} / I, \in [0,1]$  (0=gray, 1=pure)
3. Hue (angle  $\in [0,360]$ ):

$$\theta = \arccos \left( \frac{(c_R - c_G) + (c_R - c_B)}{2\sqrt{(c_R - c_B)^2 + (c_R - c_B)(c_G - c_B)}} \right)$$

Can use grayscale processing techniques (histogram eq., smoothing) on intensity channel only for HSI  $\rightarrow$  more efficient, less unwanted color alterations compared to RGB per-component

- Complementary color:  $H' = 180 + H$ , liket grayscale negative, use for color image completion

- Good for salt noise ( $1/g(s,t)$  small), but not pepper noise ( $1/g(s,t)$  large)

- *Contraharmonic mean filter*:  $\hat{f}(x,y) = \left[ \sum_{s,t} g(s,t)^{Q+1} \right] / \left[ \sum_{s,t} g(s,t)^Q \right]$

- $Q > 0$  for pepper noise;  $Q < 1$  for salt noise

Image Restoration with Frequency-Domain Filtering Spatially-dependent periodic noise:

$$\mathcal{F} [\sin(2\pi\mu_0x + 2\pi\nu_0y)] = \frac{iMN}{2} [\delta(\mu + \mu_0, \nu + \nu_0) - \delta(\mu - \mu_0, \nu - \nu_0)]$$

- Shifted: impulses at  $(\mu/2 - \mu_0, \nu/2 - \nu_0), (\mu/2 + \mu_0, \nu/2 + \nu_0) \rightarrow$  use notch reject filter to remove

Estimating the Degradation Function

1. By observation: Find subimage  $s$  where  $\eta \approx 0$ , use sharpening filter to obtain unblurred subimage  $\hat{f}$  (within  $s$ ), use  $H_s = G_s/\hat{f}$  to approximate  $H$  for entire image
2. By experimentation: Given source used to acquire degraded image, obtain degradation  $g$  of a small dot of light  $f = A\delta(x,y)$ ; use  $F, G$  to compute degradation f’n  $H$
3. By modeling: based on physical parameters

Blurring from linear motion:

$$g(x,y) = \int_0^T f(x - x_0(t), y - y_0(t))dt \implies G(\mu,\nu) = F(\mu,\nu) \int_0^T e^{2\pi i(\mu x_0(t) + \nu y_0(t))}dt$$

For uniform linear motion  $[x_0(t) = at/T, y_0(t) = bt/T]$ :

$$H = \frac{T}{\pi(\mu a + \nu b)} \sin(\pi(\mu a + \nu b)) e^{-i\pi(\mu a + \nu b)}$$

Frequency-Domain Filtering

- Inverse filtering:  $\hat{F}(\mu,\nu) = G(\mu,\nu)/H(\mu,\nu)$ , assumes  $\eta$  negligible
  - Approximation suffers when noise is significant (divide by small  $H$ )  $\rightarrow$  use a lowpass filter to suppress high-frequency noise
- Wiener/min-MSE filtering: Minimizes  $\left| f - \hat{f} \right|$  MSE [average over all  $(x,y)$ ]
  - Assumes  $\hat{F}_{opt} = WG$  for some  $W$  linear (want to find), either  $F$  or  $N$  mean 0, we know  $H$ , noise  $\eta$  & image  $f$  are uncorrelated
  - Plancherel’s theorem:

$$\int_{\mathbb{R}} \int_{\mathbb{R}} |f|^2 dx dy = \int_{\mathbb{R}} \int_{\mathbb{R}} |F|^2 d\mu d\nu \quad \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} |f|^2 = \frac{1}{MN} \sum \sum |F|^2$$

- Rewrite filters  $W(\mu,\nu) = R_W(\mu,\nu) + iI_W(\mu,\nu)$ , take partials of MSE w.r.t.  $R_W, I_W$